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# Sintering Techniques of Materials

Edited by Arunachalam Lakshmanan



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#### **Sintering Techniques of Materials**

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# Meet the editor



Dr. Arunachalam Lakshmanan obtained his Ph.D on Thermoluminescence Dosimetry during his tenure as Scientist at BARC, Mumbai from 1972-90. He was heading the Radiation Dosimetry Section at IGCAR, Kalpakkam during 1990-2007. Presently he is a Research Professor in Physics at Saveetha Engineering College, Chennai. His research areas include recycling PTFE,

synthesis of new luminescence phosphors for display, lighting, X-ray imaging and radiation dosimetry. Dr. Arunachalam Lakshmanan is currently working on the development of a third form (other than fission or fusion) of nuclear energy which is eternal and green. He has earlier worked on CERN, Geneva as a scientific Associate and as AvH Fellow at University of Wuppertal, Germany and as a visiting Professor at Postech, South Korea. He has written books and published several papers in international journals and is presently guiding students in their doctoral thesis research work on luminescence.

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# Preface

Sintering is the process of fusing together ceramic, metallic or plastic particles under heat and pressure, blending them to enhance their strength and other properties, as well as wear life. Most materials products for use in key industries such as electronics, nuclear and aerospace are fabricated by sintering. Lots of novel sintering technologies, such as spark plasma sintering, mechanical alloying combined with hot isostatic pressing, and microwave sintering, have been developed during the past twenty years. However, it is still crucial to have processing methods to fabricate dense components by techniques that can retain the particle size or designed composition distribution in the final microstructure.

The present book covers new sintering techniques on ceramic materials, metals and composites as well as reprocessed PTFE. This is a continuation of an earlier book published by InTech in 2012 on Sintering of Ceramics – New Emerging Techniques edited by me. The book covers theoretical as well as experimental aspects on Spark Plasma Sintered (SPS) Porous copper, development of cutting blades with high hardness and resistance to cracking and wear, increased microhardness of austenitic steel–TiB2 composites obtained with high pressure - high temperature sintering, Al  $_2$ O  $_3$  porous body with cotton as the template and excellent thermal insulation with direct application for refractories as well as metal matrix composites added nanostructured tantalum carbide and an overview of different sintering techniques used in powder metallurgy. In the case of SPS, the combination of internal heating and external pressure provides the conditions for fast sintering. The mostly popular laser-based AM techniques, namely selective laser melting (SLM), electron beam melting (EBM), laser metal deposition (LMD) and selective laser sintering (SLS) for the fabrication of Ti-6Al-4V have been overviewed based on an analysis of over 100 individual studies. Finally recycling of PTFE scrap materials using ram extrusion and compression molding are described.

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# **Sintering of Ceramic Materials**

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# Pulsed Electric Current Sintering of Transparent Alumina Ceramics

Makoto Nanko and Khanh Quoc Dang

Additional information is available at the end of the chapter

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## 1. Introduction

Aluminum oxide ( $Al_2O_3$ ) commonly referred as to alumina is one of the most widely used as engineering oxide ceramics. From crystalline structure difference, there are many forms of  $Al_2O_3$  ( $\alpha$ ,  $\chi$ ,  $\eta$ ,  $\delta$ ,  $\theta$ ,  $\gamma$  and  $\varrho$ ), with  $\alpha$ - $Al_2O_3$  being thermodynamically the most stable form. An example of  $\alpha$  phase of  $Al_2O_3$  is corundum or sapphire [1]. In the present chapter,  $\alpha$ - $Al_2O_3$  is discussed and described as  $Al_2O_3$ . With a high melting temperature, chemical stability,  $Al_2O_3$  is leading to applications as high-temperature components, catalyst substrates and biomedical implants.  $Al_2O_3$  has excellent optical transparency and along with additives such as chromium and titanium, it is important as a sodium lamp (sapphire), a gem stone (sapphire and ruby) and a laser host (ruby).

Usually,  $Al_2O_3$  ceramics were produced by sintering  $Al_2O_3$  powder, that is, polycrystalline  $Al_2O_3$ . Sintered polycrystalline  $Al_2O_3$  ceramics were opaque because of light scattering by closed pores and grain boundaries. In order to fabricate transparent polycrystalline  $Al_2O_3$ , many sintering techniques have been studied such as hot-pressing (HP), hot isostatic pressing (HIP), microwave sintering and pulsed electric current sintering (PECS).

PECS is also known as spark plasma sintering (SPS) or plasma activated sintering (PAS). The sintering technique is the latest pressure-sintering process to consolidate advanced materials such as ceramics, metallic materials, composites, polymers, semiconductors and oxide superconductors, in which the powder is heated by the application of electric current under uniaxial pressure.

PECS is a promising sintering technique for producing transparent polycrystalline  $Al_2O_3$ . In the present chapter, progress in PECS for transparent  $Al_2O_3$  was discussed as well as other



oxides. Fundamentals of PECS were also discussed in the present chapter in order to understand PECS for transparent  $Al_2O_3$ .

### 2. Fundamentals on PECS

According to the open literatures [2, 3], the electric current activated/assisted sintering technology was pioneered by Duval d'Adrian in 1922 [4]. However, the first patent on pure direct current (DC) resistance sintering (RS) was proclaimed by Bloxam in 1906 [5, 6]. Thereafter, Taylor [7-9] developed the resistive sintering process consisting of capacitors, transformers and special switching devices. This process originated the electric discharge compaction (EDC) [10].

Inoue [11, 12] developed the first concept of the PECS technology in 1966. It introduced different electric current waveforms, i.e. low-frequency alternate current (AC), high-frequency unidirectional AC or pulsed DC. These sintering techniques were combined in one sintering process of electric-discharge sintering (EDS) [11], also known as spark sintering (SS). In SS process, a unidirectional pulsed DC or a unidirectional AC, is applied, then DC is eventually superimposed. This process led the development of current PECS technology, e.g. plasma activated sintering (PAS), spark plasma sintering (SPS), filed assisted sintering and plasma pressure compaction® (P<sup>2</sup>C) [13].

In the late 1980s various companies started to manufacture PECS machines based on Inoue's patents. Since then, the number of the PECS applications has been extended further. In the early 1990s, Sumitomo Coal Mining Co. commercialized the new PECS apparatuses (2-20 kA DC pulse generators, 98-980 kN load cells) [14, 15]. The PECS process is schematically shown in Figure 1.

It simultaneously applies an electric current along with a uniaxial pressure in order to accelerate densification of powders with desired configuration [16]. The electric current delivered during PECS processes could in general assume different intensity and waveform which depend upon the power supply characteristics [2, 3, 14, 16].

The PECS process is characterized by the application of the pulsed electric current during sintering. The heating rate in the PECS process depends on the materials and shapes of the die/sample ensemble and on the electric power supply. Heating rates from 100 to 600 K/min can be obtained in the current PECS equipments. As a consequence, the PECS process can be in time ranges from a few ten seconds to minutes depending on the material and its size to be sintered, configuration and equipment capacity.

The temperature is measured either with a pyrometer focused on the surface of the graphite die or with the thermocouple inserted into the die. Usually, the measured temperature at the surface of the die (die temperature) is lower than that of the sample (sample temperature). The magnitude of this temperature difference depends on a number of factors such as thermal conductivity of the die and the sample, the heating rate used, the pressure used, how well the die is thermal insulated etc. [17]. The current and consequent temperature distributions within

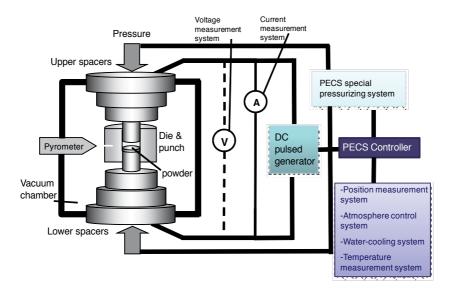


Figure 1. Schematic representation of the PECS process

the sample inside are very important to the homogeneity of density and grain size distribution of the product. Locally dense parts, at the beginning of current flow in particular, may result in locally overheating or even melting [16]. Experimental evidence of temperature distributions with different conductivity materials have been reported in [18-24]. It has been verified that the electrical properties of the sample influence significantly the temperature distributions inside the die as well as sample inside. Thus, in a nonconductive sample (i.e.  $Si_3N_4$  and  $Al_2O_3$ ), larger thermal gradients has been sometimes observed than in the case of a conductive one (i.e. Ti and Ni), indicating that the temperature distribution within the nonconductive sample is not as homogeneous as within a conductive sample.

Current understanding of the effects of pulse current waveform on compact density in a PECS process is still incomplete. The pulse current did not affect significantly the PECS of cast-iron powder [20] and Ni-20Cr powder [21]. In the PECS process of Al powder, densification behavior is independent of pulse frequency ranging from 300 Hz to 20 kHz [25]. The applied current, however, can significantly affect the growth of the product layer in chemical reaction between Mo and Si plates [26, 27]. With the PECS process, the pulse DC current affected the growth of Nb-C system, Mo<sub>2</sub>C layer formed in Mo/C, Ti/C and Zr/C diffusion couples [28-30]. Inoue claimed that there was a frequency-dependent effect in his patent [31]. The densification rate of Fe and Ni based alloy processed by pulsed current was about 5% faster than by direct current [32].

However, the sintering mechanism of insulating oxides such as  $Al_2O_3$  using the PECS method is still an on-going research area. Many papers on PECS of  $Al_2O_3$  powder focused on densification and grain growth behavior by investigating effects of various parameters such as particle size, heating rate, sintering time, pressure and sintering temperature during the PECS

process. Influences of the sintering parameters on densification and grain growth are not clear yet.

There are no reports about pulse current waveform effects on sintering behavior of  $Al_2O_3$ . The waveform of applied current is probably an important factor to the sintering process of  $Al_2O_3$ . An effect of two types of pulse current waveforms, inverter and pulsed DC, on sample temperature and densification of  $Al_2O_3$  powder by using the PECS process has been clarified in [33-35]. The magnitude of the voltage peaks increased with an increase of the "OFF" time relative to the "ON" time for all of pulse power generator. Maximum voltage value of the inverter generator was higher than that of the pulsed DC generator. PECS with the inverter generator had higher sample temperature than that with the pulsed DC generator.

In PECS of  ${\rm Al_2O_3}$  powder, the electric current would be mostly applied to the punches and graphite die for heating up to sintering temperature. The average peak height of the 12/2 pulsed DC pattern is lower than that of the 2/6 pulsed DC pattern as well as lower than that of 40/10 inverter and 10/20 inverter pattern at the same die temperature. The inverter-type PECS had a higher voltage applied to the graphite die than the pulsed DC-type ones at the same die temperature. When the number of the "OFF" pulses increased as in the 10/20 inverter or the 2/6 pulsed DC pattern, the peak height of voltages of the "ON" pulses must have increased to keep the output power constant.

Temperature difference in  $Al_2O_3$  sample is generated in PECS [33-35]. When PECS of  $Al_2O_3$  sample with  $\phi15$  in diameter and 3 mm in thickness was conducted, temperature of sample outside was 20 - 30 K higher than that of the inside sample. The difference of inside/outside temperature using pulsed DC was approximately 10 K lower compared to the inside/outside temperature using the inverter. PECS with an inverter had a higher sample temperature than that with a pulsed DC power generator and it also higher than the die temperature. When the die temperature is increased, the temperature difference between the die surface and the sample also increases.

The sample temperature would be strongly affected by the applied current profile during the PECS process. The current flow should be strongly dependent on the characteristics of the different elements which compose the system (powder, punches, die) and, particularly, their electrical and thermal characteristics. For an insulating material, the applied current does not flow through the sample when a pulse current power is applied to the die-sample, but could only flow from one punch to the other punch via the die. The current forms a magnetic field in the near surface of punches and the die inside where is close to the sample surface, and this magnetic field affects current density [19, 33-37]. The highest current density should be located close to the sample surface as can be illustrated in Figure 2. The temperature distribution is closely related to the current distribution because the heat transfer is generated by the flow of current at the graphite die and the punches. Thus, during the PECS process, the  $Al_2O_3$  powder must be sintered by the heat transferred from the die inside close to the sample surface and punches by means of heat conduction. Given that heat generation and transfer lead to a temperature distribution, temperature outside is higher than that inside the sample [33-35]. In the punch-compression direction, the die temperature is lower than the sample because the

punches are in contact with water-cooled jacket and the die is cooled by radiation from the die outer surface.

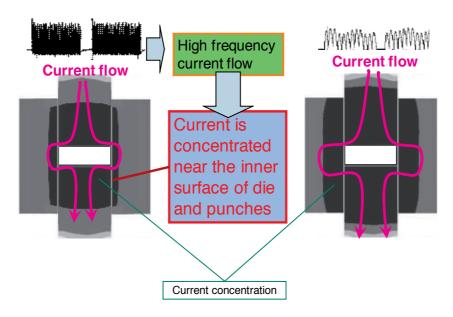


Figure 2. Current flows and distributions in the PECS die/punch/sample system for various pulse waveforms.

The ON/OFF pulse patterns and power generator frequency could also affect the sample temperature. In the case of inverter power waveform, high voltage with high frequency at long "OFF" time flows into die and punch gives higher heat transfer to heat sample than that of other pulse patterns. The difference in sample temperature using pulse current waveform inverter and pulsed DC could be explained by the skin effect as shown in Figure 2. In the punch/die/sample system, the back color area shows the current concentration during the PECS process. In both cases, the heat is generated only in the conductive die and the distribution of the heat generation does not change drastically with the electric conductivity of sample. Electric current distribution is the main cause of the temperature gradient between the sample and the external surface of the die, together with radiation heat from the die surface. When the high frequency current (inverter generator) is applied to punches and graphite die, the current density near the inner surface of the punches/die should be higher than that at its center. In contrast, at low frequency (pulsed DC generator), current density would be uniformly distributed across graphite die and punches. This difference suggests that higher temperature could be achieved due to higher applied voltages, and the required energy for heating a sample with an inverter is higher than that with pulsed DC generator [19, 33-37]. The relative density as a function of the outside/inside sample temperature was discussed in [33-37]. These results show a consistent relative density increase trend with an increase in the sample temperature, independent of the applied pulse current waveforms and ON/OFF patterns. It was also revealed that the average grain size increases with an increase in sample temperature even in different pulse current waveforms and ON/OFF patterns. Densification and grain growth were predominated by sample temperature. The pulse electric current waveform had effects on the sample temperature, but did not have direct influence on the densification, grain growth and homogeneity of the sample sintered by the PECS process.

# 3. Sintering of transparent polycrystalline alumina

Transparent polycrystalline Al<sub>2</sub>O<sub>3</sub> has increasingly become the focus of recent investigations primarily because of their unique combination of properties. Single crystals of Al<sub>2</sub>O<sub>3</sub> are highly transparent in visible and IR region. However polycrystalline Al<sub>2</sub>O<sub>3</sub> ceramics are usually opaque because of light scattering of pores and grain boundaries as well as impurities. High density is the most important factor to produce polycrystalline transparent ceramics, as well as grain size. Because of the high efficiency of pores for light scattering, transparency in polycrystalline materials requires extremely low level in porosity, less than 0.01 vol.%. Samples with such low porosity could only be produced under proper sintering conditions involving high temperatures and long sintering time. Residual porosity is much more important than grain boundaries for obtaining the transparency, even in crystallographically anisotropic materials in optical properties. The scattering efficiency for spherical pores, however, decreases dramatically when the pore size in the nanometric range could be achieved [38-40]. It is believed that nanostructured polycrystalline materials would possess higher transparency than ones with the micrometric grain size range. The sintering process at high temperature causes extensive grain growth and then seriously degrades the mechanical properties of the material. What is more important, the higher/bigger grain size larger than 410 µm leads to significant light scattering coming from the birefringence of coarse Al<sub>2</sub>O<sub>3</sub> grains [41].

After Coble developed transparent polycrystalline Al<sub>2</sub>O<sub>3</sub> [42], many studies for producing transparent polycrystalline Al<sub>2</sub>O<sub>3</sub> by sintering techniques were reported [40, 41, 43-82]. Recently, fine-grained transparent polycrystalline Al<sub>2</sub>O<sub>3</sub> has attracted much attention due to its superior mechanical and optical properties. This material is prepared by sintering using HP and HIP at low temperature ranging from 1150 to 1400°C. The formation of nanostructure (< 1 μm) results in a significant improvement in both the mechanical strength and the optical transparency. It is reported that the mechanical strength of the fine-grained transparent Al<sub>2</sub>O<sub>3</sub> is reached up to 400 - 600 MPa together with a high in-line transmission up to 60 % for visible light [41, 45]. Thus far, the addition of small amount of MgO is known to suppress normal and abnormal grain growth. The MgO concentration needed to inhibit abnormal grain growth depends on other impurities, CaO, SiO<sub>2</sub> etc.. [42, 46, 47, 70, 72]. Coble opened a new chapter that positive effect of 250 ppm MgO addition in sintering of Al<sub>2</sub>O<sub>3</sub> is accompanied by dissolution into Al<sub>2</sub>O<sub>3</sub> and excess MgO beyond its solid solubility limit exists as non-stoichiometric MgAl<sub>2</sub>O<sub>4</sub> spinel at the grain boundaries of Al<sub>2</sub>O<sub>3</sub> [42]. Hence, MgO strongly segregates into Al<sub>2</sub>O<sub>3</sub> grain boundaries and produces a solute drag effect. The resultant microstructure is finer in grain size with higher final density. The transparent MgO doped Al<sub>2</sub>O<sub>3</sub> ceramics was sintered to full density and had an in-line transmission of 40-50 % between 400 and 600 nm of the wavelength.

On the other hand, HPed  $Al_2O_3$  yielding better transparency than pressureless-sintered samples was reported long back [38, 48-50]. Those reports showed that the increase of the transparent  $Al_2O_3$  with a much smaller grain size of 1  $\mu$ m could be obtained by a continuous hot-pressing process at 1400°C under pressures of 120 MPa in different atmosphere.

The major contribution to the less transparency for undoped polycrystalline  $Al_2O_3$  originates from scattering caused by the remaining pores, and the difference between translucent and transparent  $Al_2O_3$  could come entirely from the difference in pore-size and its distribution. Until quite recently, HIP is the most widely used technique for developing transparent alumina as it eliminates residual porosity and prevents grain growth leading to high transmission. For post-HIP treated samples, results for undoped [52], single doped ( $Mg^{2+}$ ,  $Ti^{4+}$ ) [39, 45, 46] transparent polycrystalline  $Al_2O_3$  have been reported so far. This method gave fairly reproducible in-line transmittance between different groups with values up to 65 %.

Recently, some new sintering techniques such as microwave sintering [65] have also been studied for transparent crystalline  $Al_2O_3$ . Pressure-sintering such as HP and HIP is usually expensive in process cost. Microwave sintering is expected to realize homogeneous heating of the whole of ceramic sample.

# 4. Transparent Polycrystalline Al<sub>2</sub>O<sub>3</sub> Produced by using PECS

Many reports on PECS for sintering transparent  $Al_2O_3$  have been published as well as other transparent polycrystalline oxides. As process technology for ceramic powder is progressed, oxide ceramic powders with fine grain size and less agglomeration have been developed. Transparent polycrystalline  $Al_2O_3$  with fine grains have been able to be prepared with such advanced oxide powder by using PECS.

Recently transparent oxide ceramics with fine grains such as 300 nm have been reported with different the PECS techniques as well as  $Al_2O_3$ . Munir and his colleagues promote PECS with ultra-high pressure such as 500 MPa [82]. High-pressure PECS is effective for preparing highly transparent polycrystalline  $Al_2O_3$  [83], and also  $Y_2O_3$ -doped  $ZrO_2$  [82] and  $Y_2O_3$  [84]. High-pressure PECS is very useful for eliminate closed pores. However sample size is likely limited in high-pressure PECS.

Kim et al. proposed slow-heating PECS for densifying  $Al_2O_3$  with less grain growth [57]. PECS with slow healing rate is available for not only  $Al_2O_3$  but also  $MgAl_2O_4$  [85]. Kim studied kinetics of densification and grain growth with stress rate in the point of view on "dynamic grain growth" [86]. He mentioned that slow stress rate in PECS is preferred in order to densification of  $Al_2O_3$  with less grain growth. On the other hand, Makino and his colleagues reported that transparent polycrystalline  $Al_2O_3$  can successfully obtained by PECS with fast heating rate such as 200 K/min [73]. However transparency of the sample with fast heating rate was not good in homogeneity. In order to densify  $Al_2O_3$  without significant grain growth, influences of heating rate is still in discussion.

Goto and his colleagues reported PECS of transparent  $Lu_2O_3$  with two-step pressure profile [87].  $Lu_2O_3$  is one of the candidates on laser host materials for high-power and ultra-short pulse lasers. However it is difficult for densification by conventional sintering. Taking account of advanced studies on transparent oxides given by Kim and Goto, a sintering profile is very important even in a process of PECS.

Thus PECS provides transparent polycrystalline oxides. Besides the oxides described here, there are many examples of transparent oxides sintered by using PECS. Table 1 shows a variety of transparent polycrystalline oxides prepared by using PECS.

Materials	Dopants	Remarks	Ref.
_	As-received	Slow-heating	[57]
	Cr₂O₃-doped		[76]
_	As-received	High-pressure	[88]
_	MgO, Y <sub>2</sub> O <sub>3</sub> and La <sub>2</sub> O <sub>3</sub> -doped		[56]
	Cr <sub>2</sub> O <sub>3</sub> -doped	Slow-heating	[77]
$Al_2O_3$	ZrO <sub>2</sub> , La <sub>2</sub> O <sub>3</sub> and MgO- doping		[78]
_	MgO-doped	High-pressure	[83]
_	As-received	Fast-heating	[73]
_	As-received	Slow-heating	[80]
	La <sub>2</sub> O <sub>3</sub> -Doped	High-pressure	[81]
	As-received	Two-step temperature	[74, 75]
	Undoped & LiF-doped	Two-step Pressure & Temperature	[89]
	As-received	Slow-heating	[85]
MgAl <sub>2</sub> O <sub>4</sub> —	As-received		[90]
	As-received		[91]
	As-received	Slow-heating	[92]
	Lab-made		[93]
	As-received	High-pressure	[82]
Y <sub>2</sub> O <sub>3</sub> -Doped ZrO <sub>2</sub> —	As-received		[94]
	As-received		[95]
	Lab-made		[96]
Y <sub>2</sub> O <sub>3</sub>	As-received, undoped	High-pssure	[97]

Materials	Dopants	Remarks	Ref.
	As-received, undoped		[98]
	As-received, undoped		[99]
$Lu_2O_3$	As-received, undoped	Two-step Pressure	[87]
	Lab-made, Yb <sub>2</sub> O <sub>3</sub> -doped		[100]
M-O	As-received		[101]
MgO	Lab-made, Undoped & CaO-doped	High-pressure	[102]
Lu <sub>2</sub> Ti <sub>2</sub> O <sub>7</sub>	Lab-made, undoped	Two-step Pressure	[103]
Lu <sub>3</sub> NdO <sub>7</sub>	Lab-made, undoped	Two-step Pressure	[104]
$La_2Zr_2O_7$	Lab-made, undoped	Two-step Pressure	[105]
$\beta$ -Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub>	undoped		[106]
	undoped		[107]
YAG	undoped &LiF-doped		[108]
-	Lab-made, undoped		[109]
0	Lab-made, undoped		[110]
Oxyapatite -	Lab-made, undoped	High-pssure	[111]
Mullite	Lab-made, undoped		[112]

Table 1. Transparent polycrystalline oxides produced by PECS

# 5. Two-step PECS for transparent polycrystalline alumina

The authors study PECS with two-step temperature profile, that is, two-step PECS (referred as to TS-PECS), in order to fabricate transparent oxide ceramics with fine grains [74, 75]. Figure 3 shows the sintering profile of TS-PECS with other PECS techniques. TS-PECS can provide well-transparent oxides with shorter sintering period in comparison with slow-heating PECS.

Figure 4 shows appearance, fracture surface and density of polycrystalline Al<sub>2</sub>O<sub>3</sub> prepared by using TS-PECS with 1st different temperature for 60 min and 1200°C for 20 min under 100 MPa in vacuum. A sample prepared by slow-heating PECS at 1200°C is shown for comparison. Importance of the 1st step temperature can be understood in Figure 4. The sample sintered at 1000°C in the 1st step has high transparency and less grain growth. The meaning of the 1st step is densification without significant grain growth. Sintering at 1000°C can provide densification without grain growth, however, full densification cannot be achieved. In order to reach to the full densification of the sample, the 2<sup>nd</sup> step with higher sintering temperature is necessary.

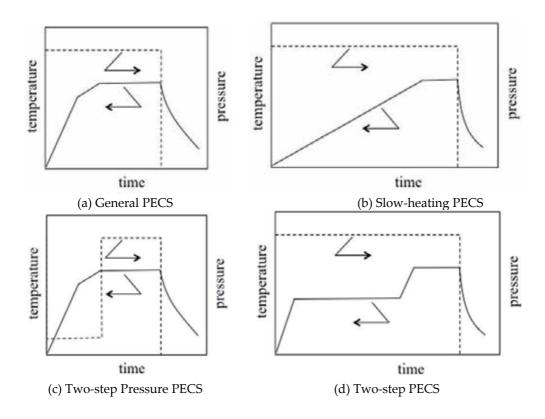


Figure 3. Schematic diagrams on sintering profiles of TS-PECS with other PECS techniques.

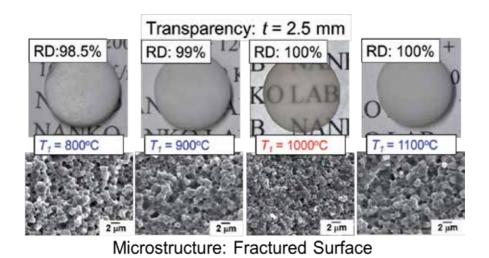


Figure 4. Appearance, fracture surface and density of polycrystalline Al<sub>2</sub>O<sub>3</sub> prepared by using TS-PECS with 1st different temperature for 60 min and 1200°C for 20 min under 100 MPa in vacuum.

TS-PECS is also useful for other transparent oxides such as MgAl<sub>2</sub>O<sub>4</sub>. This polycrystalline oxide has better transparency because of isotropic crystal structure. Figure 5 shows appearance of polycrystalline MgAl<sub>2</sub>O<sub>4</sub> produced by using TS-PECS. Even regular PECS such as 1300°C for 20 min with 100 K/min can provide transparent MgAl<sub>2</sub>O<sub>4</sub> with fine grain size. However TS-PECS can increase transparency of the sintered sample.

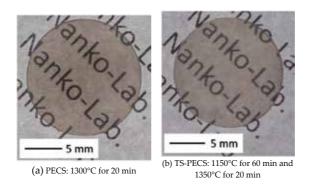


Figure 5. Appearance of polycrystalline MgAl<sub>2</sub>O<sub>4</sub> produced by using (a) PECS and (b) TS-PECS under 100 MPa in vacuum.

Table 2 shows mechanical properties of transparent Al<sub>2</sub>O<sub>3</sub> of TS-PECS. Bending strength of the samples is approximately 400 MPa, which is comparable with any commercial opaque Al<sub>2</sub>O<sub>3</sub>. This is caused by the existence of macroscopic defects as large as a few tens micrometers. Figure 6 shows an optical microscopic image of the inside of the transparent Al<sub>2</sub>O<sub>3</sub> prepared by TS-PECS. Many black dots are observed in the sample. Figure 7 represents a scanning electron microscopic image of the cross-section of a black dot in transparent Al<sub>2</sub>O<sub>3</sub> prepared by TS-PECS. Size of the black dot in  $Al_2O_3$  is approximately 50 µm in diameter. The black dot is pores although the surrounding is fully densified. The microstructure of the black dots implies that the black dots were derived from the agglomeration of the initial particles of the Al<sub>2</sub>O<sub>3</sub> powder. Such a larger defect leads low mechanical strength, as given by the Griffith Criteria. Even PECS with high pressure, the powder properties such as the agglomeration is very important. PECS under 100 MPa in uniaxial pressure cannot eliminate the agglomeration of the initial particles. In particular elimination of the agglomeration of the initial particles is very important in even PECS for structural ceramics and transparent ceramics.

Density	99.8%
Average Grain Size	0.31 μm
Vickers Hardness	20.8 GPa
Bending Strength	400 MPa
Fracture Toughness	3.3 MPam <sup>1/2</sup>

Table 2. Mechanical Properties of Transparent Al<sub>2</sub>O<sub>3</sub> prepared by TS-PECS (1000°C for 60 min, 1200°C for 20 min, 100MPa 100 K/min)

Figure 6 An optical microscopic image of the inside of the transparent Al<sub>2</sub>O<sub>3</sub> prepared by TS-**PECS** 

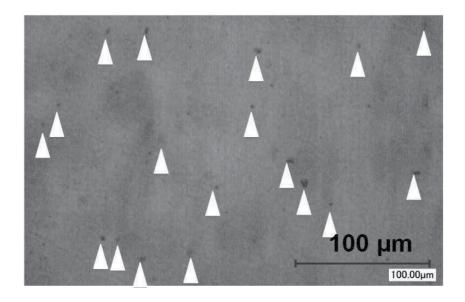


Figure 6. An optical microscopic image of the inside of the transparent Al<sub>2</sub>O<sub>3</sub> prepared by TS-PECS

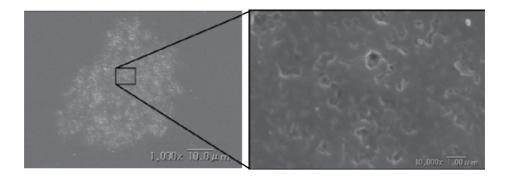


Figure 7. A scanning electron microscopic image of the cross-section of a black dot in transparent Al<sub>2</sub>O<sub>3</sub>.

TS-PECS is also available for preparing transparent colored-Al<sub>2</sub>O<sub>3</sub> and MgAl<sub>2</sub>O<sub>4</sub>. Figure 7 shows appearance of various transparent Al<sub>2</sub>O<sub>3</sub> and MgAl<sub>2</sub>O<sub>4</sub> added with different dopants. A red color in Al<sub>2</sub>O<sub>3</sub> and MgAl<sub>2</sub>O<sub>4</sub> is caused by doping Cr<sub>2</sub>O<sub>3</sub>. In polycrystalline Al<sub>2</sub>O<sub>3</sub>, MnO causes the colour of orange or brown, however less transparency. Doping MnO into MgAl<sub>2</sub>O<sub>4</sub> shows yellow in color and good transparency.

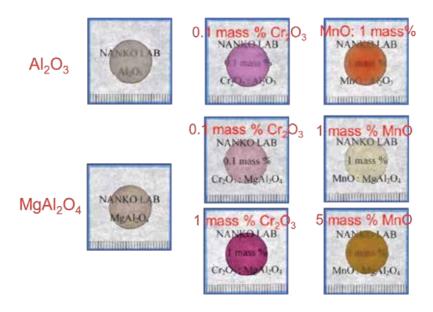


Figure 8. Appearance of various transparent Al<sub>2</sub>O<sub>3</sub> and MgAl<sub>2</sub>O<sub>4</sub> added with different dopants.

# 6. Summary

Transparent Polycrystalline  $Al_2O_3$  can be produced by using PECS with advanced  $Al_2O_3$  powder. The preferred techniques of PECS to obtain better transparency in  $Al_2O_3$  are classified into the following: (1) high-pressure PECS, (2) slow-heating PECS, (3) fast-heating PECS, and (4) two-step PECS, as well as PECS with preferred additives. Influences in sintering parameters in PECS for transparent polycrystalline  $Al_2O_3$  are still not clear. At least, PECS with slow heating rate and two-step heating profile is preferred to produce transparent polycrystalline  $Al_2O_3$ . Using advanced  $Al_2O_3$  powder and PECS, agglomeration of the particles is still significant issue in transparent polycrystalline  $Al_2O_3$ . Management of  $Al_2O_3$  powder to reduce the agglomeration is necessary to increase transparency of  $Al_2O_3$  prepare by using PECS.

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# Spark Plasma Sintering of Negative Temperature Coefficient Thermistor Ceramics

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Additional information is available at the end of the chapter

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#### 1. Introduction

Spark plasma sintering (SPS), also known as field assisted sintering technique (FAST), belongs to a class of sintering techniques in which densification is enhanced by the simultaneous application of axial pressure and elevated temperature generated by a high current flow [1, 2]. SPS has been successfully used in the preparation of functionally graded materials [3], ceramics [4-6], magnetic materials [7, 8], alloy [9], etc. It is believed that the SPS has a significant advantages in decreasing the sintering temperature and sintering periods, and also preparing high density ceramics [10]. Although SPS has been widely used to prepare dense transparent and structural ceramics, little reports focus on the application of this technique to prepare dense ceramics for negative temperature coefficient (NTC) thermistor applications [11,12]. So this chapter first provides a summary of fundamental theoretical aspects of spark plasma sintering, and then the application of spark plasma sintering in NTC thermistor ceramics are introduced emphatically. At the end, the future research and application of spark plasma sintering in the NTC thermistor ceramics are forecasted.

# 2. Theoretical aspects of spark plasma sintering

SPS is a novel effective sintering technique, which has been used in the research and development of various kinds of materials. However, there is no uniform understanding in the sintering mechanism of SPS. In general, SPS is a pressure sintering method based on the simultaneous application of axial pressure and high temperature plasma momentarily



generated in the gaps between powder materials by electrical discharge at the beginning of ON-OFF DC pulse energizing [13]. In addition to have the Joule heating due to the electric current and plastic deformation produced by pressure, SPS also generates DC pulse voltage between the powder particles, and effectively makes use of the spontaneous heat generated by the discharge between powder particles, thus resulting in some special characteristics. Compared to the conventional sintering, SPS has two important characteristics [14, 15]: (1) SPS process can make high-energy pulse focus on the grain junction point, thus saving the energy; (2) A high energy, low voltage spark pulse current momentarily generates spark plasma and produces a high localized temperature from several to ten thousand °C between the particles and then resulting in optimum thermal diffusion and grain boundary migration, i.e. more material transfer can be intensified and thus high density ceramics can be obtained through spark plasma sintering with a low sintering temperature and a short sintering period. Fig. 1 shows the schematic of SPS furnace [16].

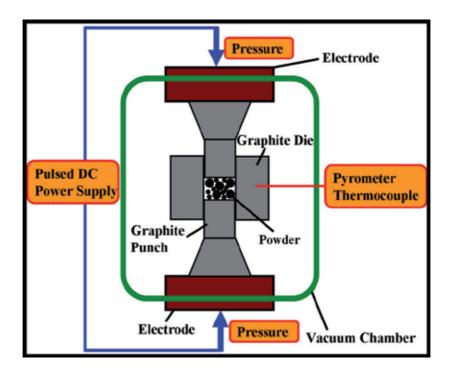


Figure 1. Schematic of SPS furnace [16].

# 3. Application of spark plasma sintering in NTC thermistor ceramics

In the past decades, more advanced techniques such as microwave sintering [17, 18], and nitrogen atmosphere sintering [19] have been used for NTC ceramic powder consolidation. However, there are few reports focusing on the application of SPS technique to prepare dense

ceramics for NTC thermistor applications. The advantages of spark plasma sintering against conventional sintering for high temperature NTC thermistor ceramics are reviewed as follows.

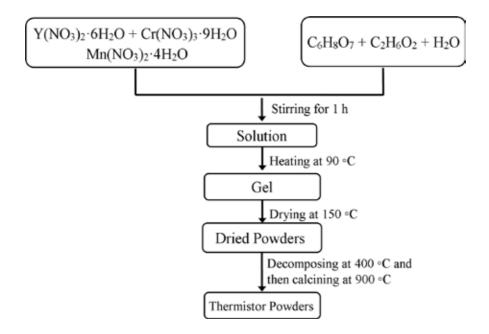
#### 3.1. Brief introduction of NTC thermistors

NTC thermistors are thermally sensitive resistors whose resistance decreases with increasing temperature. Their resistivity can be expressed by the following Arrhenius equation [20, 21]:  $\rho = \rho_o \exp(Ea/kT)$ , where  $\rho_o$  is the resistivity of the material at infinite temperature, T is the absolute temperature, E is the activation energy for electrical conduction, and E is the Boltzmann constant. They are mainly used in industrial areas as elements for temperature measurements, control, etc [22].

In the past few years, development of novel high temperature NTC thermistor materials has been motivated by the requirements of particle filters and catalytic converters in exhaust pipe for automotive motors [23, 24]. NTC thermistor ceramics composed of spinel structure (MMn<sub>2</sub>O<sub>4</sub>, where M=Ni, Co, Fe, Cu, Zn) show aging of the electrical properties and their application is commonly limited to temperatures below 300°C [25, 26]. The literature suggests that rare earth (Sm, Tb, Y, etc) perovskite oxides (ABO<sub>3</sub>) can be used for measurements from ambient to  $1000^{\circ}$ C [23]. In particular, YCrO<sub>3</sub> having an orthorhombic perovskite structure, has been considered as a candidate for high temperature NTC thermistor applications [19, 23, 27, 28]. However, the material shows poor sinterability and is difficult to densify under ambient atmospheric conditions or through pressureless sintering techniques [29, 30]. We have investigated the spark plasma sintering of YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> ceramics and MgAl<sub>2</sub>O<sub>4</sub>-YCr<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>3</sub> composite ceramics, and their NTC electrical properties.

#### 3.2. Spark plasma sintering and electrical properties of YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> NTC ceramics

In the conventional sintering processes, extremely high sintering temperatures (up to 1600°C) and long holding time (several hours) in air are applied in the fabrication of YCrO<sub>3</sub> ceramics to achieve the highest density and minimum porosity. The poor sinterability of YCrO<sub>3</sub> material is attributed to the loss of Cr<sub>2</sub>O<sub>3</sub> through its volatility during sintering process [30, 31]. In our previous work [32, 33], YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> ( $0 \le x \le 0.5$ ) NTC ceramics with a high relative density have been obtained by combing the Pechini method synthesis and SPS. Fig.2 shows the flow chart for the fabrication of  $YCr_{1-x}Mn_xO_3$  thermistor powders by a Pechini method. The molar ratio of citric acid, ethylene glycol and metal ions was 1.5:1.5:1. The spark plasma sintering was carried out in vacuum (6 Pa) with an apparatus (FCT Systeme GmbH, FCT, Rauenstein, Germany). The SPS equipment used in the experiments is shown in Fig. 3. Fig. 4 shows the time dependence of the temperature and applied pressure during SPS process. The sintering temperature was 1300 °C, and the dwell time was 10 min. Fig.5 shows the XRD patterns of the SPS-sintered YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> ceramics. All samples had a pure orthorhombic perovskite phase isomorphic to YCrO<sub>3</sub> (JCPDF 34-0365) described by the space group *Pnma*, and no secondary phase occurred with the increase of Mn concentration. Fig.6 shows the SEM images of surface section of SPS-sintered YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> ceramics. It can be seen that as-sintered YCrO<sub>3</sub> ceramics were highly dense, and had a bulk density of 5.6112 g/cm<sup>3</sup>, corresponding to 97.6% of the theoretical density (5.751 g/cm<sup>3</sup>) [33]. One can observe that grain size decreased with the increase of Mn content. This result may be due to the dragging effect between Mn ions and grain boundary, which increases the energy for the movement of grain boundary and retards the grain growth [34, 35]. The YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> NTC thermistor over a wide temperature range of 25 to 300 °C showed a linear relationship between the logarithm of the resistivity and the reciprocal of the absolute temperature. And the resistivity increased at first and then decreased with increasing Mn contents, which had the same varying tendency with activation energy. This electrical conductivity anomaly has been revealed by using defect chemistry theory combination with X-ray photoelectron spectroscopy analysis [32]: The major carriers in YCrO<sub>3</sub> are holes. Mn ions are acting as an n-type dope, and partly compensate for the effect of metal vacancies, thus leading to an increase in the resistivity. Mn<sup>4+</sup> ions increase as Mn content increases from 0.2 to 0.5, which promote the rise in charge carriers and the electron hopping, thereby resulting in a decrease in the resistivity. Therefore, SPS has shown significant advantages against conventional sintering in the fabrication of high density YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> ceramics, and provides efficient and viable means for the study of the conduction mechanism of NTC thermistors.



**Figure 2.** Flow chart for the fabrication of YCr<sub>1-x</sub>Mn<sub>x</sub>O<sub>3</sub> thermistor powders by a Pechini method.



Figure 3. Image of SPS equipment (From Alfred University).

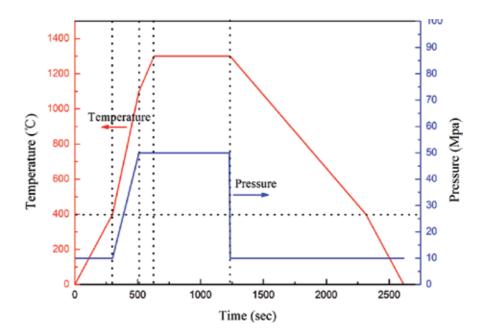


Figure 4. Time dependence of the temperature and applied pressure during SPS process [33].

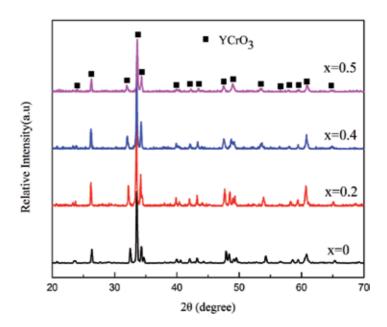
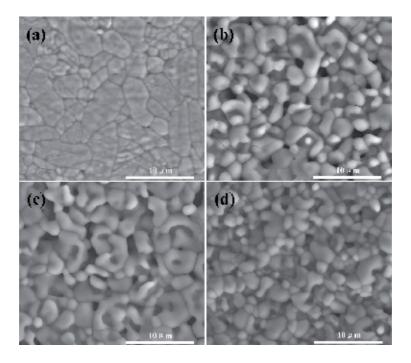


Figure 5. XRD patterns of the SPS-sintered  $YCr_{1-x}Mn_xO_3$  ceramics [32].



**Figure 6.** SEM images of surface section of SPS-sintered  $YCr_{1-x}Mn_xO_3$  ceramics: (a) x=0; (b) x=0.2; (c) x=0.4; (d) x=0.5.

# 3.3. Spark plasma sintering and electrical properties of $MgAl_2O_4$ - $YCr_{0.5}Mn_{0.5}O_3$ composite NTC ceramics

Recently, there is an increasing interest in exploring NTC behavior in composite materials because of their high temperature potential for combining properties that are difficult to attain separately with the individual component [24]. We have designed and prepared xMgAl<sub>2</sub>O<sub>4</sub>-(1-x)YCr<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>3</sub> high temperature composite thermistor ceramics by associating a less resistive phase YCr<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>3</sub> with a high resistive MgAl<sub>2</sub>O<sub>4</sub> combination with spark plasma sintering [12]. Fig.7 shows the time dependence of the temperature and applied pressure during SPS process. The sintering temperature was 1200°C, and the dwell time was 20 min. The SPS-sintered composite ceramics consisted of a cubic spinel MgAl<sub>2</sub>O<sub>4</sub> phase and an orthorhombic perovskite YCr<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>3</sub> phase isomorphic to YCrO<sub>3</sub>. Fig. 8 exhibits the microstructures of the SPS-sintered samples. The SPS-sintered ceramics were highly dense, and their grain sizes were ranging from 0.5 to 2 µm. The relative densities were 95.5%, 97.4% and 94.1% of the theoretical density for x=0.1, 0.4, 0.6, respectively. The resistivity of composite ceramics decreased with increasing temperature from 25 to 1000 °C, indicative of NTC characteristics. The obtained  $\rho_{25}$ ,  $B_{25-150}$ ,  $B_{700-1000}$ ,  $Ea_{25/150}$  and  $Ea_{700/1000}$  of the SPS-sintered composite NTC thermistors were in the range of  $1.53 \times 10^6$  -9.92×10<sup>9</sup>  $\Omega$ cm, 3380-5172 K, 7239-9543 K, 0.291~0.446 eV, 0.624~0.823 eV, respectively. This result indicates that these values can be adjusted by changing MgAl<sub>2</sub>O<sub>4</sub> content. Fig. 9 compares the temperature dependence of electrical resistivity  $\rho$  of the samples  $0.4 \text{MgAl}_2 \text{O}_4 - 0.6 \text{YCr}_{0.5} \text{Mn}_{0.5} \text{O}_3$  sintered by conventional sintering (CS) and SPS. It can be seen that the samples from SPS-sintered ceramics possessed a higher resistivity than that from conventional sintered ceramics, there are two possible reasons for the increase in the resistivity of SPS-sintered samples [12]: (1) During the SPS process, the short sintering period is advantageous in reducing chromium volatilization, thus leading to a decrease in Cr4+ and Mn4+ ion concentration, thereby increasing the resistivity as a result; (2) SPS-sintered

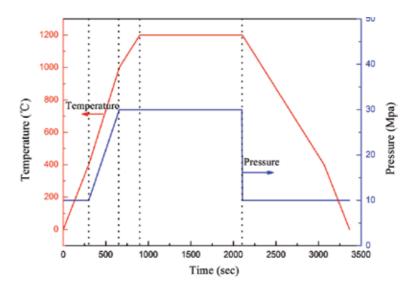


Figure 7. Time dependence of the temperature and applied pressure during SPS process.

samples have a smaller grain size, resulting in a decrease in the time between electron scattering events of charge carriers and thus increasing the resistivity [36]. In conclusion to this, SPS has potential superiority on synthesis high temperature NTC ceramic materials.

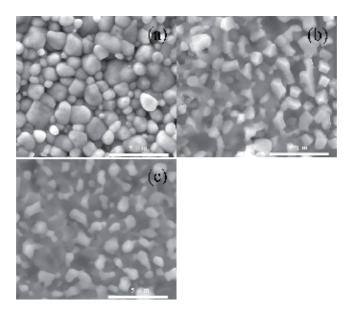


Figure 8. SEM images of the SPS-sintered  $xMgAl_2O_4$ - $(1-x)YCr_{0.5}Mn_{0.5}O_3$  composite ceramics: (a) x=0.1; (b) x=0.4; (c) x=0.6 [12].

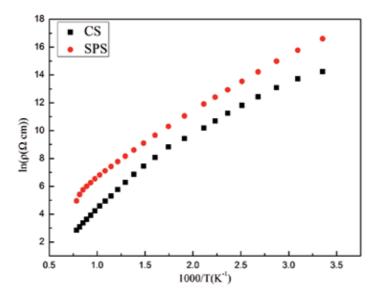


Figure 9. Temperature dependence of electrical resistivity  $\rho$  of the samples  $0.4 MgAl_2O_4$ - $0.6 YCr_{0.5}Mn_{0.5}O_3$  sintered by conventional sintering (CS) and SPS [12].

# 4. Summary

The fundamentals, applications of spark plasma sintering to thermistor ceramics are reviewed in this chapter. For thermistor ceramics, the advantages of spark plasma sintering against traditional sintering are as follows: (1) Faster heating rate decreases the sintering time by using spark plasma sintering, and thus saving energy than traditional sintering; (2) Spark plasma sintering can ensure ceramics with high density and small grain at a low sintering temperature and a short sintering period. What's more, spark plasma sintering has shown significant advantages in the fabrication of YCrO<sub>3</sub> perovskite-based thermistor ceramics and provides an efficient mean for the study of the conduction mechanism of NTC thermistors.

In the past years, there have had a significant developments and advances regarding to the spark plasma sintering of ceramics. However, the fundamental theory of spark plasma sintering is not fully understood, and also needs massive fundamental research and practical innovation to perfect. It can be forecasted that there is a great future for the successful commercialization of spark plasma sintering in ceramic preparation.

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# Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> Fibers Obtained by Biotemplete with Low Thermal Conductivity

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Additional information is available at the end of the chapter

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# 1. Introduction

Certain porous materials have special properties and functions that cannot normally be obtained by conventional dense counterparts. Therefore, porous materials are now used in many applications such as final products and in various technological processes. Macroporous materials are used in various forms and compositions in everyday life; e.g. polymeric foams, packaging, lightweight aluminum structures in buildings, aircraft, and as a porous ceramic for water [1,2].

A growing number of applications that require advanced ceramics have appeared in recent decades, especially in environments where high temperatures, extended wear and corrosive environments are present. Such applications include the filtration of molten metals, high temperature insulation, support for catalytic reactions [3], filtration of particulates from exhaust gases of diesel engines and filtration of hot gases in various corrosive industrial processes, for example [4-6]. The advantages of using porous ceramic for these applications are generally a high melting point, suitable electronic properties, good corrosion resistance and wear resistance in combination with the characteristics acquired by the replacement of the solid material by voids in the component. Such characteristics include low thermal mass, low thermal conductivity, permeability control, high surface area, low density, high specific strength and a low dielectric constant [1,7]. These properties can be tailored for each specific application by controlling the composition and microstructure of the porous ceramic [8,9].



According to the type of application different microstructures and thus different preparation methods are required for porous ceramic bodies. For thermal or acoustic insulators materials with closed porosity are preferred, whereas membranes and filters require open pores with exactly defined pore size, for example. Are currently used some specific techniques to obtain pores with polymer and cotton for use in thermal insulation [10,11]. On the other hand, for application to environmental issues sodium hydroxide is used to pore formation [12].

This work uses a simple and versatile methodology to prepare sintered porous ceramic bodies obtained by replica method using organic fibers. The porous bodies of  $Al_2O_3$  and  $Al_2O_3$ - $ZrO_2$  were synthesized through co-precipitation method [11] and replica [1,11] aiming to achieve a porous ceramic structure with thermal properties in agreement to the application of refractory materials.

# 2. Methodology

The  $Al_2O_3$  fibers were prepared from an aluminum hydroxide slurry in which the organic fibers were dispersed and impregnated. The solvents were eliminated from the organic fibers through heat treatment and the remaining ceramic precursor was sintered to  $Al_2O_3$ .

The synthesis of aluminum hydroxide consisted of dissolving aluminum nitrate –  $Al(NO_3)3.9H_2O$  (Synth) - in water; this solution was heated in a heating plate at 80°C. After its complete dilution ammonium hydroxide -  $NH_4OH$  (Vetec) - was added until pH = 9 was reached. The  $Al(NO_3)-NH_4OH$  ratio was 1:6 (in moles). Afterwards, anhydrous citric acid -  $C_6H_8O_7$  (Synth) - was added until pH = 1 was reached, keeping a  $Al(NO_3)-C_6H_8O_7$  molar ratio of 3:1. The addition of the citric acid causes the precipitation of aluminum nitrate in aluminum hydroxide -  $Al(OH)_3$  - forming a slurry solution that was used to impregnate the organic fibers.

The organic fibers were impregnated in the aluminum hydroxide solution following the procedure of the replica method [1,11]. The organic fibers consisted of commercial cotton produced by Johnson & Johnson. After complete impregnation, the cotton fibers were pressed into a ceramic crucible to eliminate the surplus solution from the fibers and a green body with the shape of the recipient is obtained. These green bodies were placed on an electric oven (INTI, model FE-1300) for the calcination process, which occurred at 1200°C in air for 2 hours using a heating rate of 2°C/min. During this process there was a complete removal of the organic matter and  $\alpha$ -alumina was formed from phase transition of aluminum hydroxide [13].

For the synthesis of zirconium hydroxide, initially, zirconium tetrachloride -  $ZrCl_4$  (Synth) - was diluted in water. Next, this solution was heated in a heating plate at 80°C and after complete dilution ammonium hydroxide -  $NH_4OH-NH_4OH$  (Vetec) - was added until pH = 9 was reached keeping a  $ZrCl_4-NH_4OH$  molar ratio of 1:6. Afterwards, anhydrous citric acid -  $C_6H_8O_7$  (Synth) - was added until pH = 1 was reached, keeping a  $ZrCl_4-C_6H_8O_7$  molar ratio of 3:1. The addition of the citric acid causes the precipitation of zirconium tetrachloride in zirconium hydroxide -  $Zr(OH)_4$  - forming a slurry solution that was used to impregnate the  $Al_2O_3$  fibers.

The calcinated Al<sub>2</sub>O<sub>3</sub> fibers were impregnated with the zirconium hydroxide slurry to form a structure of Al<sub>2</sub>O<sub>3</sub> covered with ZrO<sub>2</sub>. After impregnation, samples were sintered on the electric oven at 1200-1600°C in air for 4 hours using a heating rate of 2°C/min causing the transition of zirconium hydroxide to zirconia while the fibers are sintered [14].

## 2.1. Characterization

The crystalline phase was determined by X-ray diffraction (XRD) using a Shimadzu XRD-6000 diffractometer with CuKα; radiation at 40 kV and 40 mA with the patterns recorded in the 20 to 80 theta measuring range at a scan rate of 2°/min, at room temperature. Through micro-Raman was possible to verify the peaks corresponding to the symmetry of the ceramic phases; the analysis was performed in room temperature, using an wavelength of 514.5nm of an argon laser as exciting source. The energy was maintained in 15mW and a 50x lense was used. The spectra was registered through a monochromer T-64 Jobin-Yvon jointed to a CCD detector.

The morfology and structure of the pores created by the template of the metal oxides were analysed by FEG-SEM (Supra 35-VP, Carl Zeiss). Mean grain size was determined by using the intercept method.

A TG analysis of the porous alumina body was performed in a NETZSCH TG 209 F1 thermal analyzer using 10 mg samples heated to 25-900°C in air at a rate of 10°C/min.

Thermophysical properties are determined by the flash laser method [15-17] that allows to determine thermal diffusivity and specific heat of the sample. Specific heat and thermal diffusivity values were found through measurement of the increase in temperature of the opposite face of the material in the shape of a small disk, while the frontal face receives a strong energy flash by a laser. A laser with maximum power of 90 watts was applied. The irradiation time is within the order of 10 ms. The measurements were taken at room temperature in normal atmosphere.

The thermal diffusivity is calculated from the thickness of the sample and the required time for the temperature in the opposite face to reach 50% of the temperature in the laser incident face. Specific heat is determined by the density and thickness of the sample given the maximum temperature reached in the opposite face and the amount of heat received. Thermal conductivity is calculate by the product of the thermal diffusivity, specific heat and density, as shown in the equation 1 where K = thermal conductivity,  $\alpha$ ; = thermal diffusivity,  $\varrho$ ; = density and  $C_p$  = specific heat.

$$K = \alpha \cdot \rho \cdot C_{p} \tag{1}$$

# 3. Results

Figure 1 shows the decomposition of organic fibers and aluminum hydroxide [18]. XRD patterns of samples in various temperatures suggest that phase changes occur at 200°C, 300°C,

400°C, 600°C, 700°C, 1100°C and 1600°C. The material phases agree with those phases obtained using the method of a solution precursor cation embedded in fibrous cotton organic matrix under high temperatures which profit from the reactive activity of decomposition and the reaction of fibers / aluminum hydroxide appears at 600°C but disappears at 700°C. At 700°C, the positions of all peaks agree with the positions of number 46-1212 of the JPCDS file (see Figure 1) which suggests that a completely crystallized  $Al_2O_3$  product was obtained. A crystalline  $Al_2O_3$  is obtained by the step-wise transition of  $Al(OH)_3$  + cotton fibers between 300°C and 700°C. The results indicate that  $Al_2O_3$  is the rhombohedral space group R-3C (167). Peaks were observed relative to  $\alpha$ - $Al_2O_3$ , and its crystalline peaks are identified in the X-ray diffractogram shown in Figure 1 as determined by the Scherrer equation [19], the average crystallite size is ~ 350 nm at 1600°C.

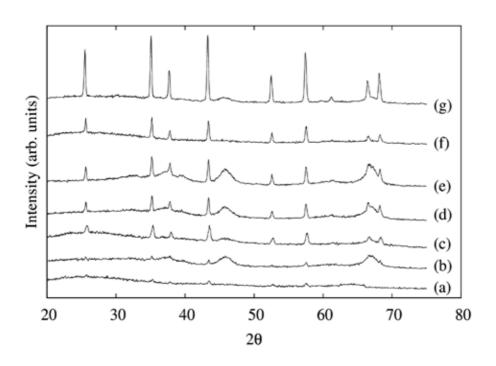


Figure 1. X-ray diffractogram of the  $Al_2O_3$  porous bodies at temperatures of (a)200°C, (b)300°C, (c)400°C, (d)600°C, (e)700°C, (f)1100°C, (g)1600°C.

XRD of the sintered samples in different temperatures suggests the formation of alumina and zirconia phases in every temperature of sintering. The peaks for  $Al_2O_3$ - $ZrO_2$  are in agreement with those found in PDF (Powder Diffraction File) 53-559 file [20];  $\alpha$ - $Al_2O_3$  agrees with what is found in PDF 46-1212 file [21];  $ZrO_2$  agrees with the peaks in JPCDS 37-1484 file [22] (see Figure 2).

Raman is a powerful technique to detect the allotropic forms of zirconia [23]. According to the previous work of Popa et al [24], densified regions are caused by presence of monoclinic

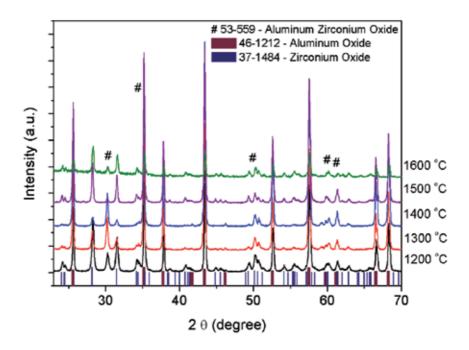
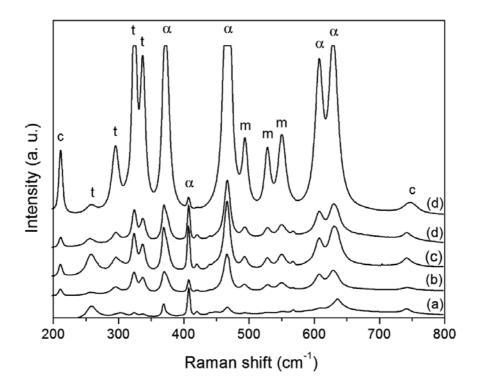


Figure 2. X-ray diffraction patterns of the Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> porous ceramics at several sintering temperatures.

zirconia. Figure 3 shows results regarding the measurements of micro-Raman spectroscopy dealing with wavelength radiation and vibration energies of the molecules. According to Raman results, vibration of Al-O bonds are related to the peaks described below: shows a peak at 378 cm-1, that may be considered polycrystalline  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> [25]. For samples sintered above 1200°C there were significant spectroscopic bands in 410, 470, 605 and 630 cm<sup>-1</sup>, which are identified as absorption bands characteristic of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> [26-28]. These results are agreement with the study conducted by Cava et al, in which spectroscopic bands of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> are present in temperatures above 1000°C [13].

Figure 4 shows the morphological profile of the Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> porous body with an increase of 5000x where the samples are not similar. Densification and grain growth are two inversely proportional properties during the sintering process. The high porosity and low densification shown in SEM images of the Al<sub>2</sub>O<sub>3</sub> porous bodies (Figure 4a) relates to a heating rate of 2°C/min which was used during the sintering process [29]. SEM micrographs (Figure 4b) show the presence of densified regions of zirconia; therefore, micro-Raman was used to identify the presence of monoclinic zirconia in these regions. Vibration of Zr-O bonds are related to the peaks on the spectroscopic bands of monoclinic zirconia were identified in frequencies of 505, 534, 550 cm<sup>-1</sup> [24,30], peaks shown in temperatures of 1300-1600°C. Only a small amount of monoclinic zirconia is present; however it can be suggested that this amount was enough to densify the regions of porous ceramics agglomerating around grains [24]. Tetragonal zirconia was clearly detected in the peaks 260, 300, 323 and 340 cm<sup>-1</sup> [24] increasing their intensity above 1300°C. It was found only two well defined peaks at 217 and 745



 $\textbf{Figure 3.} \ \ \text{Micro-Raman spectra of the Al}_{2}O_{3}\text{-}ZrO_{2} \ \ \text{porous ceramics at differents temperatures of: (a) } \ 1200^{\circ}\text{C}, \ \ \text{(b) } 1300^{\circ}\text{C}, \ \ \text{(b) } 1300^{\circ}\text{C}, \ \ \text{(b) } 1300^{\circ}\text{C}, \ \ \text{(c) } 1300^{\circ}\text{C}, \$ (c)  $1400^{\circ}$ C, (d)  $1500^{\circ}$ C and (e)  $1600^{\circ}$ C.  $\alpha = \alpha$ -alumina, c = cristobalite zirconia, <math>t = tetragonal zirconia, m = monocliniczirconia.

corresponding to cristobalite zirconia between temperatures of 1200-1600°C. The crystalline phase of Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> shown in Figure 2 has a cubic structure [20], not being detected by Raman.

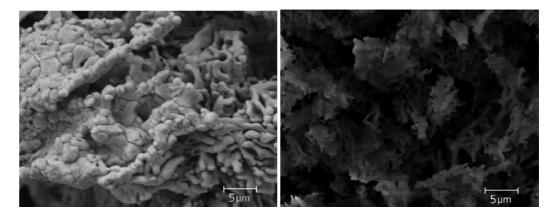


Figure 4. SEM micrographs of Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> porous bodies at temperature of 1600°C at increasing of 5000x.

The method of embedding a cotton fiber in the organic matrix is based on the impregnation of a cellular structure with a ceramic suspension or solution precursor ceramic to produce a macroporous ceramic which has the same morphology as the original porous material (cotton) as illustrated in SEM images of Figure 4. Many cellular structures can be used as templates to produce macroporous ceramic embedding techniques for organic matrices.

The process of manufacturing ceramic fibers using cotton as a template by employing the embedding of the organic matrix [1] can be divided into two stages: the formation of the  $Al_2O_3$  fiber morphology and the removal of the cotton used as a template.

The TG illustrated in Figure 5 shows the weight loss along with images of samples at temperatures ccurring in the formation of pore bodies at a temperature of 900°C; a gradual weight loss of weight is evident during the process. The sample weight loss was 82.5% up to 650°C where it terminated the decomposition of the carbonaceous mass with no significant loss of mass after the complete elimination of the carbonaceous mass.

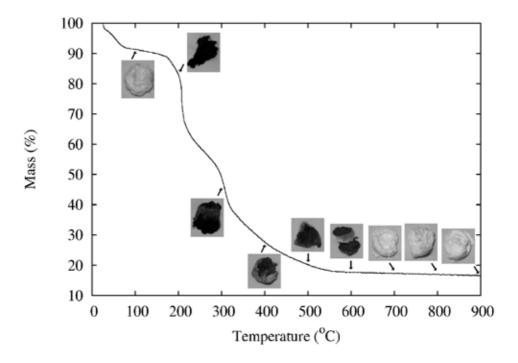


Figure 5. Thermogravimetric analysis of the Al<sub>2</sub>O<sub>3</sub> porous bodies.

 $Al_2O_3$  fibers are formed during the calcination process. After the formation of  $Al_2O_3$  fibers, cotton models are removed through the decomposition process (see Figure 5) [31] which begins below 300°C, and terminates close to 700°C.

The porous body at 100°C has a weight loss of 9% which indicates that only evaporation solvents are used in the TG analysis. A weight loss of 8% over a primary loss of 9% at 200°C

indicates the evaporation of water in the sample and the beginning of the organic material evaporation. An additional weight loss of 55% at 400°C indicates the organic matter evaporation from the cotton fiber which forms the porous body [31]. A mass loss of 11% and a final weight of 83% in relation to the green body which indicates the total organic matter evaporation and the formation of the defined porous body.

Figure 4b shows morphological features of the porous ceramic of  $Al_2O_3$ - $ZrO_2$ , in which grain growth was possible due to the presence of  $ZrO_2$ , causing the formation of diffusion barriers to control the growth and formation of the grain[32]. Furthermore, it was possible to verify the solid phase sintering, where the densification and grain growth are controlled by the diffusion across the grain boundary [33]. Therefore, it is reasonable to affirm that the formation of porosity in the structure is beneficial to decrease the thermal conductivity of the sample.

Table 1 illustrates physical and geometric properties of Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> porous bodies.

Sample	Surface area (m²/g)	Pore Volume (cm³/g)	Pore Diameter (Å)	Porosity (%)
Al <sub>2</sub> O <sub>3</sub>	14.33	0.01	42.47	40.7
Al <sub>2</sub> O <sub>3</sub> -ZrO <sub>2</sub>	8.45	0.006	4.19	77.9

Table 1. Physical and geometrical properties of the Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> porous ceramics.

For the porosity calculation by the average of 3 samples, the  $Al_2O_3$  theoretical density value was assumed to be 3940 kg.m<sup>-3</sup>; the actual density was 2336 kg.m<sup>-3</sup>. A porosity average value of 40.7% and 77.9% was obtained for the  $Al_2O_3$  and  $Al_2O_3$ -ZrO<sub>2</sub> porous, respectively.

Table 2 shows the results of thermophysical property determinations of  $Al_2O_3$  and  $Al_2O_3$ -ZrO<sub>2</sub> porous bodies.

Sample	a (10 <sup>6</sup> m <sup>2</sup> s <sup>-1</sup> )	(Kg.m <sup>-3</sup> )	C <sub>p</sub> (J.Kg <sup>-1</sup> .K <sup>-1</sup> )	K (W.m <sup>-1</sup> .K <sup>-1</sup> )
$Al_2O_3$	1.24	2336	561.8	1.63
Al <sub>2</sub> O <sub>3</sub> -ZrO <sub>2</sub>	1.09	2696	547.3	1.61

Table 2. Analysis of thermal conductivity by laser flash method of the Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> porous bodies.

In the literature very few data about the thermal conductivity of the  $Al_2O_3$  and  $ZrO_2$  system is available. However the effect of the porosity to reduce the thermal conductivity of ceramic materials is a well recognized phenomenon, that has been widely applied for  $Al_2O_3$  and  $ZrO_2$  [3,10,34]. In addition to the absolute value of the porosity, the interconnection of grain size and pore shape have a significant influence on the final thermal conductivity. The high purity  $Al_2O_3$  with no pores and with average grain size ~1  $\mu$ m shows a thermal conductivity of approximately 33 W.m<sup>-1</sup>.K<sup>-1</sup> at room temperature. High purity  $ZrO_2$  without porosity and average grain size of ~1  $\mu$ m has a thermal conductivity of approximately 3.3 W.m<sup>-1</sup>.K<sup>-1</sup> [35] at

room temperature. Different studies show that thermal conductivity is independent of the grain size [36,37].

Some studies have been reported in the literature on the effect of porosity on reducing the thermal conductivity of solids, especially the porosity of Al<sub>2</sub>O<sub>3</sub> [3,10]. In addition to its absolute value, the grain size and porous interconnectivity and shape have a significant influence on the final thermal conductivity. Porosity-free high-purity Al<sub>2</sub>O<sub>3</sub> with a grain size ~1 μm has a thermal conductivity of approximately 33 W.m<sup>-1</sup>.K<sup>-1</sup> at room temperature [35] which is very high when compared to the results obtained for porous  $Al_2O_3$  reported by B. Nait-Ali et al [10] and Z. Zivcova et al [3]. Note that for the relative thermal conductivity the grain size dependence of thermal conductivity [36,37] is irrelevant, since it is cancelled out by taking the conductivity ratio [3].

Nait-Ali and co-workers [10] conducted a research on the Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> system relating thermal conductivity to porosity. Their samples were sintered at 1400°C and pores were generated by a pore-forming polymer. Results showed that commercial Al<sub>2</sub>O<sub>3</sub> with 40% of porosity presented a thermal conductivity of 9 W.m-1.K-1 and average grain size of 0.5 µm; for commercial ZrO<sub>2</sub>, thermal conductivity was 0.9 W.m<sup>-1</sup>.K<sup>-1</sup> for 37% of porosity and average grain size of 0.1 µm.

The obtained Al<sub>2</sub>O<sub>3</sub> porous bodies sintered at 1600°C have a thermal conductivity of 1.63  $W.m^{-1}.K^{-1}$  with a porosity of 40.71% and average grains size 0.55  $\mu$ m, using cotton pore-forming agent and alumina obtained by a phase transition. Correlating these values and methods with literature data shows that Al<sub>2</sub>O<sub>3</sub> porous bodies have high refractory properties from the combination of factors such as the synthesis method, grain size and porosity, when compared to the thermal conductivity of alumina bodies analyzed at temperatures as high as 1000°C [38].

Bansal and co-workers [39], using samples of commercial ZrO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> sintered at 1000°C with density around 99% with average grain size of ~1 µm showed a thermal conductivity of 6.9 W.m<sup>-1</sup>.K<sup>-1</sup>. The porous Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> fibers obtained in this work were sintered at 1600°C and the calculated thermal conductivity was 1.61 K(W.m<sup>-1</sup>.K<sup>-1</sup>) with a porosity of 77.9% and an average grain size of ~1 µm. The cotton replicated fibers of Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> sintered at 1600°C presented very low thermal conductivity compared to other works using different processes of pore formation.

## 4. Conclusions

Al<sub>2</sub>O<sub>3</sub> porous bodies composed of ceramic fibers were successfully obtained by the embedded fibrous organic matrix method with cotton as a template. SEM at different temperatures during heat treatment along with thermogravimetric analysis data indicates a step-by-step method for the complete formation of the ceramic fiber porous body. The sintering temperature, low heating rate and the use of cotton as template had a strong effect on the surface area, pore size and distribution of the synthesized fibers. Thermal conductivity data show excellent results when compared to the literature, due to the direct influence of the organic template as a shapemodel and the efficient method of synthesis. The results show that the  $Al_2O_3$  and  $Al_2O_3$ - $ZrO_2$  porous body are an excellent thermal insulator with direct application for refractories. A higher porosity and lower densification of the porous body is made possible with the addition of  $ZrO_2$  to the  $Al_2O_3$  matrix. However, there was no difference in thermal conductivity due to the characteristic values of density, specific heat and microstructure observed in both materials.

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# **Sintering with Additives**

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# The New Generation of Diamond Wheels with Vitrified (Ceramic) Bonds

Barbara Staniewicz-Brudnik, Elżbieta Bączek and Grzegorz Skrabalak

Additional information is available at the end of the chapter

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# 1. Introduction

Modern, composite materials: non-ferrous metal alloys reinforced with hard particles, wood composites, plastic composites reinforced with glass fibers, nickel super-alloys (Hastalloy, Waspalloy, Inconel 718, Udimet720) and titanium Ti6Al4V, TiAl) [1-5] requires the development of technology taking into account abrasive tools with new binders [6-9]. It is emphasized that provided affective work tools in the optimal adjustment of the characteristics of grinding machines, machining parameters, the characteristic of tools and a method of cooling and dressing. Typical bonded abrasive tool includes: super hard grains (diamond cubic boron nitride, mono-or microcrystalline microstructure), filler(corundum, silicon carbide, boron nitride etc.), binder (sintered metal, electroplated, resin, ceramic, hybrid), modifiers (homogenizers, greases), body (metal, ceramic, polymer). Currently as bonded abrasive products are mainly used synthetic diamond or cubic boron nitride grains. Significant diamond grains producers were shown in table 1 [10]

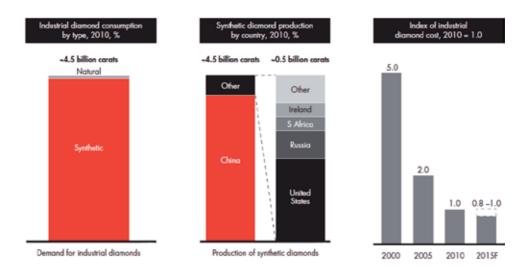
Producer	Trade name of diamond	Grains morphology	Application
	MBG 600	Irregular, sharp, friable	
Sandvic Hyperion, USA	MBG 640	Cubooctahedral crystals with high impact and fracture strength, free cutting capability	Coarse and fine grinding ceramics, glass and precious



Producer	Trade name of diamond	Grains morphology	Application
	RVG	Irregular shapes, medium friable crystals	Duranisian amin din a and finishing of
	RVG 800	Irregular shape, superior free capabilities due to controlled micro fracturing mode of crystals	Precision grinding and finishing of tungsten carbide and carbide, grinding of
	RBI - uncoated	Irregular shape, medium friable crystals	grinding of tungsten carbides and PCD inserts
	SPR	Uncoated economic grade grain, the friability of SPR enables controlled diamond fracturing, wheel self-sharpening and free cutting action	
Element Six, USA	PDA 657		It is suited for used in less applications where sharp cutting characteristic are important
Element Six, USA	PDA 321 PDA 311 PDA 211	microchipping structure, friable	Grinding of cermets and elements of technical ceramic
Gems Superabrasives, China	GRD 10 GRD 20	Multi crystal shape, consisting of many micro crystals with slight friability enable excellent self- sharpness	Grinding of soft Stones cutting,, sharpening, grinding ceramics and rubber
	LS 070	Ultra friable, irregular shape	Specialized grinding benefiting from
	LS 100	Friable, irregular shape	free cutting crystals
Lands, USA	LS 600F	Uniform, blocky friable	Grinding lapping, polishing of cemented carbide, special steels, glass, natural stone
Kingray New Materials & Science Technology Co., China	JRI – typ A JRI – typ B	irregular shape	Grinding of nonferrous hard alloys
	SMD 690	Premium grade grain with high strength and great thermal stability	
Changha 3 better ultra HARD MATERIALS, China	MBD 6 MBD 4	Sharp crystal shape	Grinding stones ,ceramics, polishing of hard materials optical glass grinding
	RVD	Irregular crystal shape preferably self- sharpness	Grinding of hard alloy, non-ferrous metal, polishing of natural diamond
	RVE	Friable and strong self-sharpness, policrystallic shape, low hardness	Fine processing ceramics, optical glasses, grinding, polishing of

Producer	Trade name of diamond	Grains morphology	Application	
			nonferrous metals and nonmetallic materials, PCD, carbide, granite	
	SFD 10	Irregular shape, rough surface low strength	For grinding tools, which work with	
	SFD 20 SFD 30	Irregular crystal shape, rough surface, low strength	low load	
SF Diamond, China	SFD 40 SFD 50	Comparatively regular crystal shape, medium strength	For axia din a sub asla subish suad	
	SDM-c	Dark green color, medium tough, micro- friable multinano crystal, irregular shape, perfect self-sharpen ability	– For grinding wheels, which work with medium and low load	
	AC 4 AC 6	Irregular shape, friable, low strength		
The Institute of Super Hard Materials Kiev Ukraine	AC 15 AC 30	Irregular shape, less friable, medium strength	Grinding of brittle materials (ceramic, hard alloys, glass)	
	AC 100 ACR	Comparatively regular crystal shape, medium strength	_	

Table 1. Significant producers of diamond grains



Source: U.S. Geological Survey; Merchant Research and Consulting "Ind. Diamond Market Review"; expert interviews: Bain analysis

Figure 1. Synthetic industrial diamonds production [1]

In general, monocrystalline grains, used for tools with vitrified bond are assigned for grinding of flat and shaped surfaces, for example grinding of internal surfaces of Titan alloys and sharpening (fig. 2). Microcrystalline grains are complexes consisting of collection of small microcrystals with sizes ranging from one to a few microns, characterized by a higher mechanical strength, and higher ductility of monocrystalline grains (fig. 3)

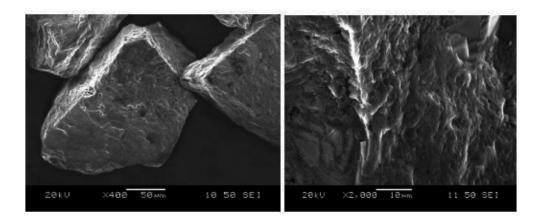


Figure 2. Microcrystalline diamond grains magn.: a) 400x, b) 2000x

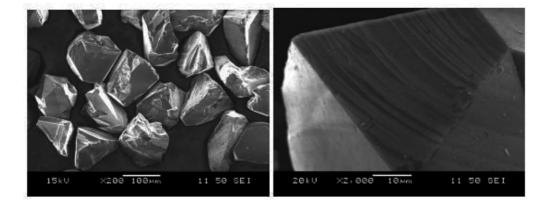


Figure 3. Monocrystalline diamond grains magn.: a) 200x, b) 2000

The microcrystalline grains are recommended for grinding operations, where surface quality is the main processing criterion. The fillers can be alumina, silicon carbide, tungsten, zirconium silicate etc. It is assumed that the filler, introduced into the binder, protects the seed prior to the dynamic action of chips (typically having a higher temperature) and that increases the strength properties of the sinter, the thermal resistance and wear resistance, also taking part

in the grinding process. The binder is a factor connecting the embankment of grain in the wheel causes the maintenance work until the grains have sharp edges, thereby induced on the selfsharpening effect of the grain. The efficiency of binders application provide features such as adequate strength of fixing force of particles in the binder, wear resistance, the possibility of sintering of the tools below temperature of graphitization (diamond) or active oxidation (CBN), having long thermal conductivity allowing for intense heat removing from the grinding zone without accumulation, the coefficient of thermal expansion close or identical with the coefficient of thermal expansion of the abrasive grain, proper hardness and strength, no reaction with work-piece material [6-8, 11-13]. Vitrified binders are primarily glass or devitrificate from the multicomponent system, received from synthetic chemicals with very high purity [6, 9].

They are divided into a low-melting binder (sintering temperature up to 730° C), medium (sintering temperature up to 880°C) and a high-melting (sintering temperature above 900°C). The most characteristic systems are borosilicate glasses modified with various oxides (Na<sub>2</sub>0, Li<sub>2</sub>0), calcium-silicate glasses, modified by V<sub>2</sub>0<sub>5</sub>, Fe<sub>2</sub>0<sub>3</sub> or P<sub>2</sub>0<sub>5</sub>, lead-borosilicate devitrificates, barium-borosilicate with the addition of bismuth oxide. The most famous research centers dealing with binders for super hard tools including vitrified bond are located in Worceaster (GE company-USA), Aachen(RWTH-Germany), Sankt Petersburg (Ilyich company -Russia), Kiev (Institute of Super hard Materials – Ukraine), Zhengzhou (Zhengzhou Concern Hongtuo Superabrasive Products Co.-China). The Institute of Advanced Manufacturing Technology is conducting researches aiming at obtaining ceramic binders for super abrasives tools with controlled physical and mechanical properties and testing tools containing these binders. This publication shows the results of research of new vitrified (ceramic) binders and testing of grinding tools with these binders for the latest generation of composite BNDCC (boron nitride dispersive in cemented carbide) of various grit size of cubic boron nitride grains.

# 2. Experimental section

# 2.1. Part I Preparation and study of some physical and mechanical properties of glasses

## 2.1.1. The starting materials and methods of research

The study selected five variants of glasses from the Si0<sub>2</sub>-Al<sub>2</sub>0<sub>3</sub>-B<sub>2</sub>0<sub>3</sub>-Na<sub>2</sub>0-Ba0 system. The following were used as starting materials:

- silicon dioxde -Si0<sub>2</sub> pure
- hydrated aluminum nitrate Al (N0<sub>3</sub>)<sub>3</sub> x9H<sub>2</sub>0 of high purity or aluminum hydroxide Al
- barium carbonate BaC0<sub>3</sub>-pure
- boric acid H<sub>3</sub>B0<sub>3</sub>-pure
- sodium carbonate Na<sub>2</sub>C0<sub>3</sub>-pure

After accurate grinding, sieving through a 0.63mm sieve and mixed, raw materials were placed in corundum crucibles and heated at a temperature above 1,350° C. After fritting (hot melt glass pouring into cold water) and once again the glass was milled and sieved. All glass frits were completely transparent with bluish color. On the received materials the following tests were carried out:

- the density of helium, on Accu Pyc II 1340V10 helium pycnometer using 5 parallel samples;
- the X-ray diffractometer of Panalytical Empiriam with copper lamp in the range of 2 theta angle of 5 to 90 degrees;
- calculation of thermodynamic stability using algorithm VCS for sets of glasses in temperatures of 700, 900, 1300 and 1500° C on two assumptions: the total miscibility of liquid and vapor phases or total immiscibility liquid and vapor phases;
- the reactivity of raw materials of glasses and the melt glasses using differential scanning calorimetry (DSC-device STA-449 F3 Jupiter)
- the wettability of glasses to the substrate of silicon carbide or sintered alumina (cubitron) on the high-temperature microscope of Leitz -Watzler type by sessile-drop method.
- microscopic observation (SEM)of transverse specimens after testing the wettability
- · the Young's modulus of glass using a flaw with the head broadband
- the three-point bending strength of trabecular glass, describing the work of destruction by using a machine Zwick Roell Z2.5

# 2.1.2. Results and discussion

Test glass belonged to the group of light, characterized by a low density, ranging between 2.34 (W4), and 2.69 g/cm³ (Ba23bis). It was evident that with the reduction of silicon dioxide content in the glass, decreasing the density of the glass increased A slight increase in the content of barium oxide, the constant of silicon dioxide and reduced alumina content did not affect significantly the increase in density. The results are summarized in Table 2

The variant of glasses	Density [g/cm³]
W1	2,4548
W2	2,5347
W3	2,4320
W4	2,3426
Ba 23 bis	2,6912

Table 2. The density of the tested glasses

# 2.1.3. Calculations of thermodynamic stability of the glasses by algorithm VCS

Chemical stability of the connections between components of the glasses was determined by calculation of the thermodynamic potential of components by VCS algorithm, which takes into account the probable stability of the reaction products. Equilibria calculations were performed for the four variants of the glasses of the SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-BaO-B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O system in temperatures 700° C, 900° C, 1,350° C, 1500° C at atmospheric pressure 1013 hPa. The molar ratios of the components were adopted taking into account their actual values. It was assumed that the component of glass within these ranges of temperatures may occur: in a multicomponent gas phase and condensed phase pure (liquid and solid). It is not known whether the active phase pure liquid form (that are immiscible with each other) or a liquid phase formed of unlimited miscibility, therefore, the calculation was performed by two assumptions out. Of the approximately 100 likely stable compounds there were 10, of which the solid 3. Summary of solid stable compounds glass as an example of option 2 are shown in Tables 3 and 4.

Name of compounds in solid phase	700° C	900° C	1350 ° C	1500 ° C
NaAlSi <sub>3</sub> O <sub>8</sub>	0,9096	0,92326	_	_
$Al_4B_2O_9$	0,0772	0,0430	_	_
Al <sub>6</sub> BSi <sub>2</sub> O <sub>13</sub>	_	_	0,15674	0,15674
BaSi <sub>2</sub> O <sub>5</sub>	-	-	0,08201	0,08201
SiO <sub>2</sub>	_	-	2,4153	2,4153

Table 3. Calculation of the thermodynamic stability of glass precursors, option 1 assumption 1

According with the first assumption at high temperatures (1350, 1500° C) there should be stable three compounds: an aluminum borosilicate ( $Al_6BSi_2O_{13}$ ), barium silicate ( $BaSi_2O_5$ ) and silicon dioxide ( $SiO_2$ ). In the second assumption the second barium silicate ( $BaSi_2O_5$ ) presented only one. Similarly were in the other glasses. The verification of the existence of these compounds in the glass was carried out on the basis of X-ray examination.

Name of compounds in solid phase	700°C	900°C	1350°C	1500°C
NaAlSi <sub>3</sub> O <sub>8</sub> c.s	0.89295	0.86483	-	-
$Al_4B_2O_9$ c.s	0.01188	0.01891	-	-
Al <sub>6</sub> BSi <sub>2</sub> O <sub>13</sub> c.s	_	_	0.15674	0.15674

Table 4. Calculation of the thermodynamic stability of glass precursors, option 1 assumption 2

## 2.1.4. X-ray research of the glasses

The study of X-ray glasses clearly showed amorphous structure, as evidenced increase in the background at low angles and lack of educated, sharp peaks throughout the angular range.

(fig. 4-X-ray glasses of W1). The existence of crystalline barium silicate ( $Al_6BSi_2O_{13}$ ) was not found.

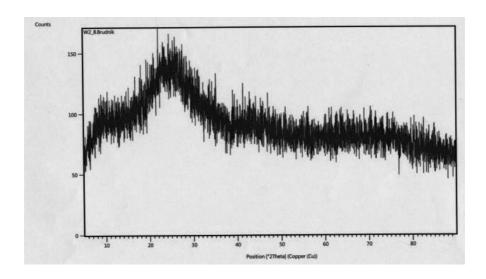


Figure 4. An example of X-ray glass, variant W1

## 2.1.5. Differential scanning calorimetry research

Thermal analysis of the five types of the glass raw materials and glass of W1 variant was based on differential scanning calorimetry. This method consisted of recording energy required to bring to zero the difference in temperature of the test sample and the reference material as a function of temperature or time.[14] The shape of the DSC curve showed a good agreement with the DTA curve. Endothermic peak was created when the sample temperature was below the standard, and the exothermic peak, when the temperature of the sample was higher than the reference. Samples containing a mixture of raw materials (precursors) of glass heated at a rate of 5° C/min to a temperature of 1400° C. When analyzing the plots, it can be concluded that the incomplete decomposition of the raw materials with the separation of water or CO<sub>2</sub> to a temperature of 350°C occurred, as reflected by the different endothermic peaks. The earliest release of the water of hydration of aluminum nitrate (variant W2-71,0° C, 80,0° C), followed by water coming from the decomposition of boric acid (W1-127,3° C) and CO<sub>2</sub> from the decomposition of sodium carbonate. At a temperature of 548° C on curves of W2 and Ba23 bis inflection appeared to indicate polymorphic transitions of silicon dioxide with the appearance of high gamma phase. For all graphs at about 1230° C and above the melting peak of barium carbonate was observed. Full homogenization mixture of precursors occurred above 1,350° C (W1-DSC chart in fig. 5 and 6)

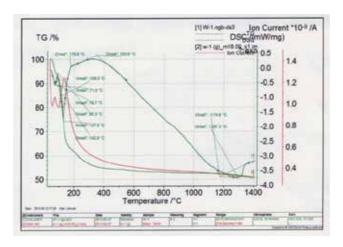


Figure 5. DSC curves of glass raw materials, variant W1

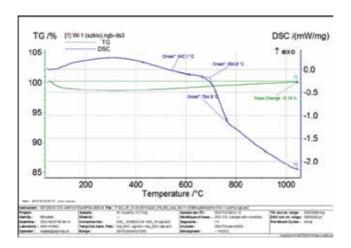


Figure 6. DSC curves of glass, variant W1

Analyzing the DSC plot of W1 glass could be seen of his amorphous. The inflection of the curve reported that there was at 542°C vitrification and 649,8°C started the process of softening. On the thermogravimetric curve TG were not visible weight loss upon heating the glass.

# 2.1.6. Wettability research of glasses

The study of wettability of glasses to substrates of silicon carbide or submicrocrystalline sintered corundum is performed using high-temperature microscope of Leitz- Watzler, type using sessile drop method. Silicon carbide is used as a filler in diamond grinding wheels or supporting grain. SiC substrate, there was degassed under vacuum, and therefore contain adsorbed gases from the air (oxygen, nitrogen), and chronic alcohol bath defatted not entirely

surface. Therefore, the observed high temperature sintering (for all variants within 620-670° C glasses) and the temperature drops propagation (920-970° C).

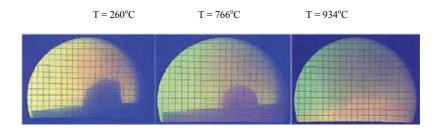


Figure 7. Wetting of cubitron substrate by glass, W1 variant

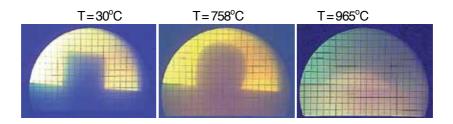


Figure 8. Wetting of silicon carbide substrate by glass, W1 variant

Contact angle theta ranged less than 40 degrees. All the tested glass wetted submicrocrystalline sintered corundum (cubitron) substrate better than the silicon carbide substrate, at lower temperatures (880-930° C), although the same procedure of degreesing were conducted.

# 2.1.7. Mapping of the surface of glass-substrate microsection

Microscopic observation of transverse cross-sectional views of the glass –SiC substrate or glass-submicrocrystalline sintered corundum substrate carried out using a scanning electron microscope JSM 6460LV and starters EDS (Energy – dispersive X-ray spectroscopy analyzer) revealed the presence of a small transition layer in the system glass- submicrocrystalline sintered corundum (pictures of the glass Ba23 bis-submicrocrystalline sintered corundum) contains barium elements. The phenomena of interlayer (fig. 9) in the case of specimens glass-silicon carbide substrate comprising this was much wider probably resulted from the presence of silicon, both in the glass and substrate.

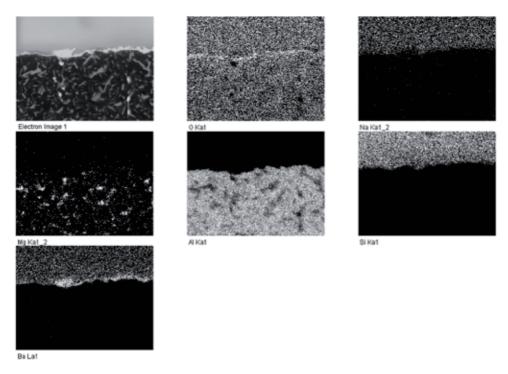


Figure 9. Picture of mapping of the surface of glass-substrate microsection – Ba23 bis variant

# 2.1.8. Testing the strength of glass

Flexural testing was performed on the four groups of glasses for Zwick Roell Z2.5 machine, at the test speed of 0.5 mm/min, and the spacing supports 34 mm The flexural modulus was determined for all samples by the secant, taking reference points as a force of 50 N and 100 N start the end (Table 5). Maximum bending strength in the range of 100 MPa was obtained for the samples of glass W1 and Ba23 bis. For a group of glasses W4 and W3 it was lower by half. This can be explained by the reduced content of primarily silicon dioxide in these glasses. Similar behavior was observed in the samples of the destruction operation. The largest value of the work of destruction (W1-19,27 N/mm) was obtained for samples W1 and three times lower for samples W3.

Names of samples	Bending strength [MPa] average value	Work of destruction [N/mm] Bending modulus [G average value average value		
W1 glass	105,72 +-2	19.27	25,945	
W3 glass	87,08	17,31	14,141	
W4 glass	52,29	5,62	21,418	
Ba23 bis glass	101,35	16,49	27,195	

Table 5. Measurement of flexural strength of glass

# 2.1.9. Young modulus measurement of glasses

The measurements were carried out on the test bench equipped with a flaw, heads broadband and a PC with installed software. Young's modulus and Poisson's ratio of the samples based on the determined velocity of the longitudinal wave and transverse density of the material. All calculations were performed using the program Modulus 1.0. The highest values obtained of glass Ba23 bis (87 GPa) (Table 6). Poisson's ratio for all glasses were the same (0.38).

Name of samples	Poisson's ratio	Young modulus [GPa]	Modulus uncertenainty [%]
W1 glass	0.38	79	2,2
W3 glass	0.38	79	2,2
W4 glass	0.38	76	6,2
Ba 23 bis glass	0.38	87	5,8

Table 6. Measurement results of Young modulus

# 2.2. Part II Operational tests of grinding wheels containing the newly developed glass

# 2.2.1. The starting materials and methods of research

After summarizing the results of studies on the physical and mechanical properties of the newly developed glass, two of them with the best value properties (Ba23 bis and W1) were selected and used to develop the recipe wheel. Test wheels were prepared to carry out grinding tests. Grinding of flank surface of BNDCC composite samples was performed using wheels 6A2 100x10x4 mm type, containing uncoated diamond grain, Lands LS120 (D46-45-38 microns) type or LS 600F (D25-20-30 microns) with higher concentration of diamond (C125%) and a W1 or Ba23 bis binder (Fig. 9b).

Using DOE, influence on surface roughness and process efficiency of following parameters was derived:

- grinding wheel peripheral speed,  $v_s = 12$ , 15, 20 m/s
- working engagement a<sub>e</sub> 0.002, 0.005, 0.01 mm / double stroke of the table
- diamond grain D46, D25

Constant parameters during experiments were:

- a research position with the universal tool grinder 3E642,
- the characteristics and dimensions of the grinding type 6A2 100x10x4 mm
- · the type of coolant-fed Synkom PGA
- the method by pouring coolant

- maschining time of the one cycle, t = 600 s,
- number of the table double strokes 3
- table feed speed,  $v_f = 210 \text{ mm} / \text{min}$
- BNDCC composites

The study was carried out on a modernized grinding universal tool grinder 3E642 (fig. 10a), equipped with stepless speed control of the wheel and the cooling system. Grinding process was carried out with cooling by spraying a 2% solution of PGA Synkon coolant concentrate in tap water.

To test of the efficiency of grinding process of custom BNDCC composite sample (boron nitride dispersive in cemented carbide), comprising weight 20% of the grains of cubic boron nitride, with granulation 4-8 mm and dimensions 19x5,7 mm from the edge intersected at a distance of 1 mm from the end, were made at the Warsaw University of Technology, at the Faculty of Materials Science and Engineering. Before attempts to work the sample was adhered to the brackets in order to attach them to the machine (fig. 10c). Below the picture shows the position of the test, the wheel and bonded composites (fig. 10b).

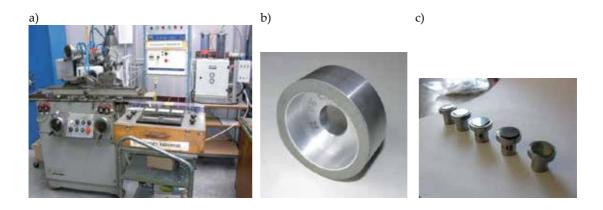


Figure 10. a) The position of a universal tool grinder 3E642 grinding tests with cooling, b) diamond grinding wheel vitrified Ba23 bis c) composites BNDCC intended for research

Measured: the height of abraded material m, height of wheel. Calculated: the volume of abraded material Vw, consumption volume Vs wheel, radial wheel wear Vrs, grinding ratio G, yield losses of (volumetric) Qw, proper performance defects Q'w (deficient performance attributable on the active wheel width).

Sample mass measurements were carried out before and after each treatment using a laboratory scale with an accuracy of measurement 0.001 g. Measurements of the height of wheels and work-before and after each test was performed using electronic calipers accurate to 0.01 mm.

For the measurement of surface topography work-pieces profiler workshop was used. Before and after test were performed via research work on modul profilometer TOPO 01vP designed for measurement and analysis of surface roughness and waviness profiles and the profile of the actual surface without filtration with the program PROFILE. Images of 2D, 3D, marked elevation values  $R_{z_c}$   $R_{t_r}$  Ra and horizontal parameters W, St, Sa in accordance with PN-EN ISO 4287th were conducted. The microscopic observations (SEM) of the samples before and after grinding were made. The designated function of the object of research in the form of a polynomial of the second degree of interaction, allowed to determine the statistical relationship between the basic parameters of the treatment and its effects (surface roughness).

#### 2.2.2. Results and discussion

The results of grinding tests of D46 diamond grinding wheels with newly developed binders worked at a peripheral speed of 12, 15, 20 m/s and depth of grinding 0.002, 0.005 mm/double stroke of the table. were presented in Tables 7-8 and figures (fig. 11-16). It was evident that both: the D46 Ba23 bis wheel andW1 D46 wheel were best operating at a depth of 0.005 mm grinding/double stroke. The uniform wear of diamond grains and their self-sharpening were demonstrated.

The grinding wheels with D25 grit size of diamond grains worked at a speed of 15m/s and depth of grinding 0.002 and 0.005 mm/double stroke. All the grinding performance parameters were comparable for both types of wheels. Better results were obtained by D25 grinding wheels with Ba23 bis binder. For the depth of the grinding process the surface roughness of machined BNDCC composites varied within the same limits 0.02-0.03  $\mu$ m. Comparing the results of performance parameters of the process of grinding wheels with the D46 and D25 granulation can state that they were very similar. Slightly better results were obtained with the wheels with lower diamond grit size (D25).

Kind of wheel	Working engagement a <sub>e</sub> , I mm	Peripheral speed of wheel vs, m/s	Qw, x10 <sup>-3</sup> mm <sup>3</sup> /s	Q'w, x10 <sup>-3</sup> mm <sup>3</sup> / mm·s	G [-]	R <sub>a</sub> , µm
D46 W1	-	12	1,32	0,13	13,56	0,03
D46 Ba23 bis		12	1,35	0,14	3,41	0,03
D46 W1		4.5	2,30	0,23	31,12	0,03
D46 Ba23	= 0,002	15	2,29	0,23	29,48	0,03
D46 W1		20	1,19	0,12	12,02	0,03
D46 Ba23	-	20	1,31	0,13	17,91	0,03

**Table 7.** Results of performance parameters of the process of grinding the surface of composites BNDCC 3,14 D46 grinding wheel W1, Ba23 bis,  $v_s = 12$ , 15, 20 m/s with working engagement  $a_e = 0.002$  mm

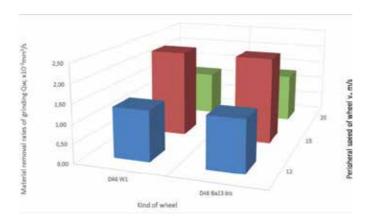


Figure 11. The diagram of material removal rate Qw, x10<sup>-3</sup> mm<sup>3</sup>/ s after grinding of the surface of composites BNDCC 3,14 samples with D46 W1 and Ba23 bis grinding wheels with working engagement ae: 0.002 mm/double stroke of the table

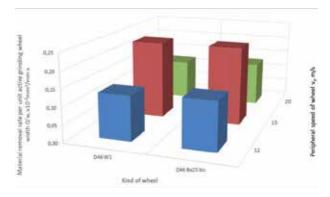


Figure 12. The diagram of material removal rate per unit active grinding wheel width Q'w, x10<sup>3</sup>mm<sup>3</sup>/mm·s after grinding of the surface of of composites BNDCC 3,14 samples with D46 W1 and Ba23 bis grinding wheels with working engagement ae: 0.002 mm/double stroke of the table

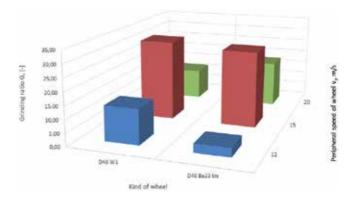


Figure 13. The diagram of grinding ratio G, mm<sup>3</sup>/mm<sup>3</sup> for grinding wheels with working engagement ae 0.002 mm/ double stroke of the table

Kind of wheel	Working engagement a <sub>e</sub> , l mm	Peripheral speed of wheel	Qw, x10 <sup>-3</sup> mm <sup>3</sup> /s	Q'w, x10 <sup>-3</sup> mm³/mm·s	G	R <sub>a</sub> , μm
D46 W1	- - 0,005 -	12	1,24	0,12	17,39	0,03
D46 Ba23		12	1,27	0,13	5,88	0,03
D46 W1		15	2,25	0,23	15,24	0,03
D46 Ba23		15	2,23	0,22	26,94	0,03
D46 W1		20	1,13	0,11	7,82	0,02
D46 Ba23		20	1,21	0,12	16,94	0,03

 $\textbf{Table 8.} \ \text{Results of performance parameters of the process of grinding the surface of composites BNDCC 3,14 D46 grinding wheel W1, Ba23 bis, v_s = 12, 15, 20 m/s with working engagement a_e = 0,005 mm$ 

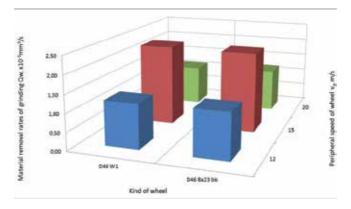
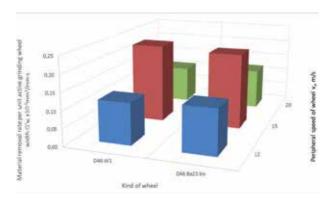


Figure 14. The diagram of material removal rate Qw,  $\times 10^3$  mm<sup>3</sup>/s after grinding of the surface of composites BNDCC 3,14 samples with D46 W1 and Ba23 bis grinding wheels with working engagement  $a_e$ : 0.005 mm/double stroke of the table



**Figure 15.** The diagram of material removal rate per unit active grinding wheel width Q'w, x10<sup>-3</sup>mm<sup>3</sup>/mm·s after grinding of the surface of composites BNDCC 3,14 samples with D46 W1 and Ba23 bis grinding wheels with working engagement a<sub>e</sub>: 0.005 mm/double stroke of the table

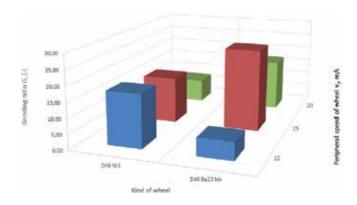


Figure 16. The diagram of grinding ratio G, mm<sup>3</sup>/mm<sup>3</sup> for grinding wheels with working engagement ae 0.005 mm/ double stroke of the table

Kind of wheel	Working engagement a <sub>e</sub> , mm	Peripheral speed of wheel vs, m/s	Qw, x10 <sup>-3</sup> mm <sup>3</sup> /s	Q'w, x10 <sup>-3</sup> mm <sup>3</sup> / mm·s	G, [-]
D25 Ba23 bis	0,002	2,31	0,23	31,60	0,02
D25 W1		2,13	0,21	21,91	0,02
D25 Ba23 bis	- 0,005	2,22	0,22	27,65	0,03
D25 W1		2,02	0,20	27,08	0,02

Table 9. Results of performance parameters of the process of grinding the surface of composites BNDCC 3,13 D25 grinding wheel W1, Ba23 bis,  $v_s = 15 \text{ m/s}$ 

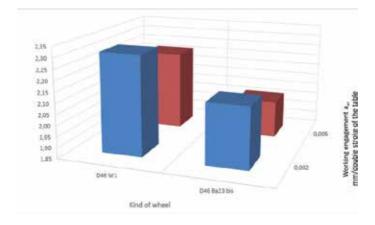
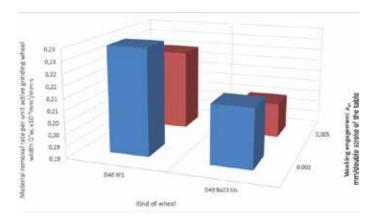
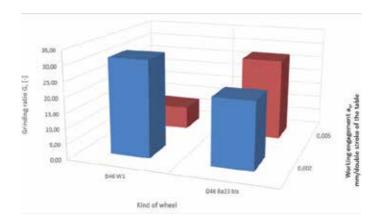


Figure 17. The diagram of material removal rate Qw, x10<sup>-3</sup>mm<sup>3</sup>/s after grinding of the surface of composites BNDCC 3,13 samples with D25 W1 and Ba23 bis grinding wheels with working engagement a.:  $0.002,\,0.005$  mm/double stroke of the table



**Figure 18.** The diagram of material removal rate per unit active grinding wheel width Q'w, x10<sup>-3</sup> mm<sup>-3</sup>/mm·s after grinding of the surface of composites BNDCC 3,13 samples with D25 W1 and Ba23 bis grinding wheels with working engagement a<sub>c</sub>: 0.002, 0.005 mm/double stroke of the table



**Figure 19.** The diagram of grinding ratio G, mm<sup>3</sup>/mm<sup>3</sup> for grinding wheels with working engagement ae 0.002 and 0.005 mm/double stroke of the table

## 2.2.3. Research of geometric structure of BNDCC composites

The study of geometric structure of the samples before and after grinding showed that the assumed test conditions are properly selected (Figure 20a). Machining by grinding the assumed operating parameters of wheel allowed to obtain roughness parameters ( $R_a$ ,  $R_z$ ,  $R_t$ ) times better than the initial ( $R_a$  before 1.28-1.40  $\mu$ m,  $R_a$  after-0,018-0,04  $\mu$ m,  $R_z$  before 7,05-7,890  $\mu$ m,  $R_z$  after 0,126-0,150  $\mu$ m). Image of 3D surface of the BNDCC composite before grinding process showed the presence of significant inequalities (fig. 20a). After grinding process the surface was completely inequalities (fig. 20b).

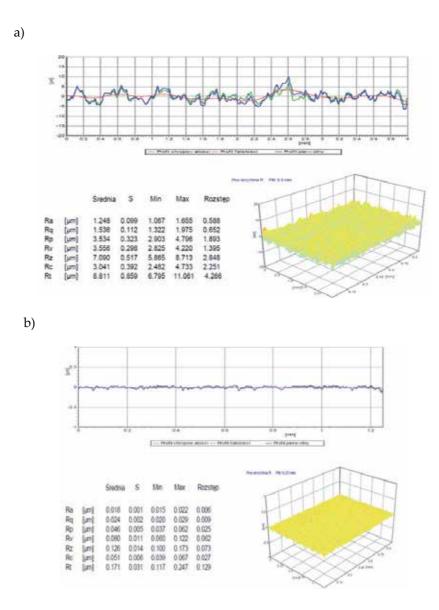


Figure 20. The results of the surface roughness of the composite BNDCC polished 1.47 grinding D25 Ba23: a) before grinding, b) after grinding

## 2.2.4. The microscopic image of BNDCC composite

The samples of BNDCC composites were observed under a scanning microscope before and after the grinding tests. For all composite samples before grinding process parallel scratches were visible on the surface of the samples. These were probably established through their mechanical treatment after sintering. Microscope image of the samples after grinding showed sharply defined edges with blunted of blade grains in carbide matrix.

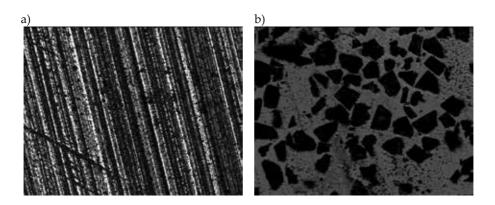


Figure 21. Microscope image of composite BNDCC: a) before; b) after the treatment grinding D46 Ba23 bis binder

#### 2.2.5. The mathematical model of the function of research object

The mathematical model describing the change in the surface roughness Ra of the treated object as a function of the depth of grinding and peripheral speed of grinding wheel was shown in graphic form (fig. 22, 23). The function of the object of research was determined for the grinding process of D46 Ba23 bis wheel and D25W1 wheel BNDCC composite of grit size 4-8 m assuming independent parameters (depth of grinding, ae and grinding wheel peripheral speed vs) and the dependent parameter (surface roughness of the composite). For DOE purposes and analysis of experimental results, STATISTICA was used [15,16]. The range of variability was low. Statistical analysis of the results of experimental studies included

- Approximation of object function tests,
- · Statistical verification of the adequacy of the function approximating,
- Statistical verification of significance approximating function coefficients.

The function of the object of research adopted in the form of a polynomial of the second degree of interaction is described by the following formula:

for D25 Ba23 bis 
$$y = 0, \ 15 - 7, \ 76 \ {\rm a_e} - 0, \ 01 \ {\rm V_S} + 0, \ 27 \ {\rm a_e \ V_S}$$
 for D25 W1: 
$$y = 0, \ 014 - 1, \ 6 \ {\rm a_e} - 0, \ 02 \ {\rm V_S} + 0, \ 18 \ {\rm a_e V_S} \setminus$$

#### where:

a<sub>e</sub>, vs – the size of the input,

 $a_0 \div a_5$  – polynomial coefficients.

The results of preliminary calculations showed that the best fit regression equations to the results of the experiment allows the second degree polynomial model of interaction, and therefore the calculated regression equation stepwise regression presented in this form. Analysis of individual regression equations fit to the experimental results was based on multivariate correlation coefficient R and also based on function values and Student's t-value of F-Snedecor. The level of significance p = 0.05.

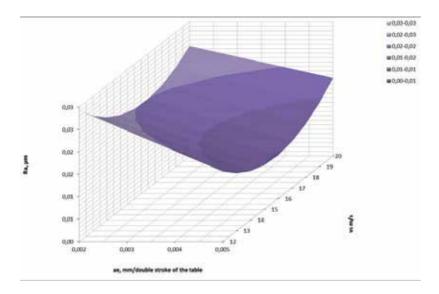


Figure 22. The influence of processing parameters on the surface roughness of the composite samples BNDCC polished by grinding wheel D46 with Ba23 bis

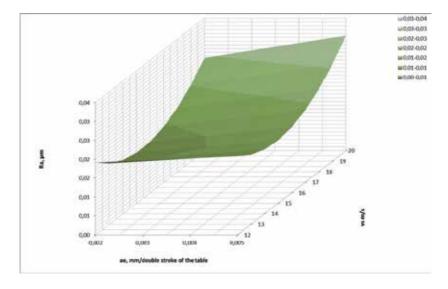


Figure 23. The influence of processing parameters on the surface roughness of the composite samples BNDCC polished by grinding wheel D46 with W1 binder

Analysis of relationship shown in Figure 22 and 23 confirmed that the minimum value of surface roughness BNDCC samples was obtained at the peripheral speed of grinding wheels in the range 14-16 m/s and 0.005 mm depth of grinding/double stroke of table. These data verified the results of performance tests of these samples.

## 3. Summary and conclusion

Based on the survey it can be concluded that:

- the research glass fulfilled their utility criteria as binders for super hard abrasive tools;
- glass received by frytting method belonged to the group of light glasses (Q <2.64 g / cm 3).</li> It was completely transparent with a bluish tint;
- thermodynamic calculation of chemical stability of glass precursors were conducted by VCS algorithm. It was shown in accordance with first assumption that the three compounds were stable in solid states at high temperatures: barium silicate, silicon dioxide, aluminum borosilicate; in the second assumption only one (barium borosilicate). X-ray crystallographic studies have not confirmed the presence of compounds. Investigated samples were in pure amorphous form;
- differential scanning calorimetry of precursor glasses showed their distribution with the separation of water or CO<sub>2</sub> to a temperature of 350°C. The whole sets underwent complete homogenization above 1,350° C;
- substrates were wetted well by all variants of glasses, although in all cases submicrocrystalline sintered corundum was wetted better than silicon carbide. Microscopic observation of samples transverse glass-substrate systems contains submicrocrystalline sintered corundum after the wettability studies showed the presence of narrow interlayer contained barium. In -case of transverse specimens with silicon carbide substrates were much wider, probably resulted from the presence of -silicon in glass and substrate;
- the flexural strength showed the highest values was obtained by W1 and Ba23 bis glass. The work of destruction was tree times lower for W3 glass than W1 glass;
- diamond wheels with D46 or D25 grains and Ba23 bis or W1 binders fulfilled the criteria of utility in the flat grinding process;
- analysis of grinding process efficiency Q<sub>w</sub> [x10<sup>-3</sup>mm<sup>3</sup>/s] Q'<sub>w</sub> [x10<sup>-3</sup>mm<sup>3</sup>/mm·s], G (listed in Tables 3, 4) showed a very good result under the processing conditions. It was observed that the most favorable results are obtained when the samples of BNDCC composite was machining in – the grinding depth of 0.005 mm/double stroke of table and the peripheral speed of the wheel of 15 m/s;
- the results of the geometrical structure of the surface of the BNDCC composites showed that the grinding surface roughness was  $R_a$  0.018 -0.04  $\mu$ m compared to the initial roughness of

R<sub>a</sub> 1.248 -1.4 μm, R<sub>s</sub> after grinding 0.12-136 μm compared to the initial value of R<sub>s</sub> 7,096-7,96 μm;

· the mathematical model of grinding process properly describes the working condition necessary to obtain the lowest roughness.

#### 4. Conclusions

• the use of diamond wheels with newly developed vitrified (ceramic) binder in conventional grinding technology has allowed to obtain a mirror of BNDCC composites.

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An Overview of Densification, Microstructure and Mechanical Property of Additively Manufactured Ti-6Al-4V — Comparison among Selective Laser Melting, Electron Beam Melting, Laser Metal Deposition and Selective Laser Sintering, and with Conventional Powder Metallurgy

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Additional information is available at the end of the chapter

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#### 1. Introduction

Additive manufacturing (AM) is the newest powder metallurgy (PM) technique [1-3] while Ti-6Al-4V is the single most important Ti alloy [4-9]. AM Ti-6Al-4V is being widely pursed by research community and industry for its capability to produce complicated, net-shape engineering parts and/or customised, biomedical implants.

This chapter begins with an introduction of the fundamental properties of Ti-6Al-4V, and its densification mechanism, typical microstructure and mechanical property achievable by conventional PM routes. This functions as a point of reference for the following discussion of the AM Ti-6Al-4V in terms of densification, microstructure, and mechanical property. The mostly popular laser-based AM techniques, namely selective laser melting (SLM), electron beam melting (EBM), laser metal deposition (LMD) and selective laser sintering (SLS), for the fabrication of Ti-6Al-4V have been overviewed based on an analysis of over 100 individual studies. Heat treatment is essential to most of the AM Ti-6Al-4V. Principles for selecting appropriate heat treatment for the AM Ti-6Al-4V are proposed based on martensite phase transformation and optimisation of mechanical properties. Oxygen impurity is an issue to most Ti materials and it is addressed in this chapter as well; counter measurements to mitigate oxygen have been suggested which involves the use of rare earth based materials.



## 2. Preliminary knowledge: Fundamental properties of Ti-6Al-4V and conventional PM Ti-6Al-4V

#### 2.1. Densification of PM Ti-6Al-4V and typical microstructure and mechanical property

- Sintering mechanism: Sintering/densification of conventional PM Ti-6Al-4V is mostly through solid state sintering. Sintering temperatures are normally selected in the  $\beta$  phase region [10-13], at a temperature (e.g. at 1300°C) well below the liquidus temperature (~1660 <sup>o</sup>C for Ti-6Al-4V, Table 1) [14,15]. For sintering under pressure such as via hot pressing or spark plasma sintering, the sintering temperature can be lower, for instance, around 900°C [16]. Driving force for densification during solid state sintering is reduction of surface area and surface free energy by eliminating solid-vapour interfaces [17-19]. Sintering procedure mainly involves diffusional flow of composing elements, including surface diffusion, grain boundary diffusion and lattice diffusion [17-19].
- Sintering activation energy: Using the master sintering curve approach, Crosby [20] estimated that sintering activation energy, the Q value, of Ti-6Al-4V is about 130 kJ/mole. This is consistent with the reported Q value of the self-diffusion of titanium (92.5 kJ/mole-158 kJ/mole) over the β-Ti range from 900 to 1250 °C, affirming that the densification of Ti-6Al-4V is mainly controlled by self-diffusion of titanium [8].
- Sintered density: Most of the as-sintered Ti-6Al-4V materials show 95%-99% of theoretical density [4-13]. The as-sintered density depends on a few factors such as compaction pressure and powder size. Using TiH<sub>2</sub> as the starting powder may assist in improving the as-sintered density [21,22], but to achieve a pore-free alloy (no less than 99.8% of theoretical density) post treatment such as via extrusion or hot isostatic pressing (HIP) is necessary [23].
- Microstructure of PM Ti-6Al-4V: PM Ti-6Al-4V is expected to show the following microstructural characteristics [24]: (a) The overall microstructure is close to the equilibrium state due to low cooling rate adopted for most sintering practices. (b) There could be some annealing and/or aging effect resulting from the slow cooling process from the isothermal sintering temperature to room temperature. Aging-induced phases such as isothermal ω may form during cooling. (c) Pores are part of the as-sintered microstructure due to the difficulty to achieve a pore-free microstructure in most cases. Fig. 1(a) provides a typical SEM image of the as-sintered PM Ti-6Al-4V, consisting of grain boundary  $\alpha$ ,  $\alpha$  lath and  $\beta$  phases [25]; the overall volume fraction of the  $\alpha$  phase is more than 85% [10-13].
- Mechanical property of PM Ti-6Al-4V: Mechanical properties of the PM Ti-6Al-4V are highly dependent upon oxygen level. Typical cases are shown in Figure 1(b) to demonstrate ductility of PM Ti-6Al-4V as a function of oxygen [26]. The fracture strength of PM Ti-6Al-4V is comparable or even higher than the wrought material (ASTM B348) [9].

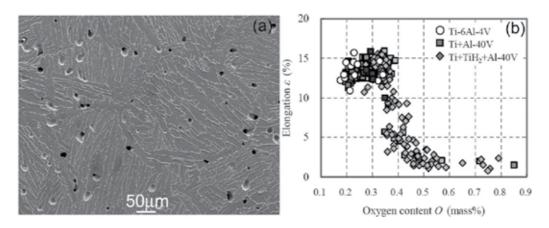


Figure 1. (a) Scanning electron microscopy (SEM) image to show the typical microstructure of PM Ti-6Al-4V [25] and (b) ductility of PM Ti-6Al-4V as a function of oxygen [26].

## 2.2. Ti-6Al-4V: Basic physical and mechanical properties

Table 1 and Table 2 list the fundamental physical and mechanical properties of the Ti-6Al-4V, respectively [14,15,27,28]. They serve as a point of reference and provide benchmark values for the following discussion on the AM Ti-6Al-4V.

Physical property	Value
Density of solid $(\rho)$	4.43 g/cm <sup>3</sup>
Density of liquid ( $\rho$ )	3.89 g/cm <sup>3</sup>
Solidus temperature	1877 K (1604°C)
Liqudus temperature	1933 K (1660°C)
Temperature of $(\alpha+\beta) \rightarrow \beta$	1253K (980°C)
Temperature of $\alpha \rightarrow \beta$	~1023K (750°C)
Thermal conductivity of solid ( $k_s$ )	6.7 W/m/K
Thermal conductivity of liquid $(k_l)$	32.5 W/m/K
Specific heat capacity of solid ( <i>Cp<sub>s</sub></i> )	0.526 J/g/K
Specific heat capacity of liquid ( $Cp_i$ )	0.872 J/g/K
Coefficient of thermal expansion of solid ( <i>K</i> <sup>-1</sup> )	8.6 μm/m/K
Temperature of martensite phase transformation $(M_s)$	~1053K (780°C) or ~883K(610°C)

**Table 1.** Fundamental physical properties of Ti-6Al-4V [14,15,27,28]

Mechanical property	Value
Tensile strength, yield ( $\sigma_{0.2}$ )	880 MPa
Tensile strength, Ultimate (UTS)	950 MPa
Elongation ( $\varepsilon$ )	14 %
Reduction of Area (R)	36%
Hardness, Hv	349
Young's modulus (E)	113.8 GPa
Poisson's ratio (v)	0.342
Fatigue strength (at $1*10^7$ cycles and $K = 3.3$ )	240 MPa
Fatigue strength (unnotched at 1*10 <sup>7</sup> cycles)	510 MPa
Fracture toughness	75 MPa•m¹/2

Table 2. Mechanical properties of Ti-6Al-4V achievable via forged- then-annealed [14,15,27,28]

# 3. Various AM approaches for Ti-6Al-4V and their key processing parameters

## 3.1. Selective Laser Melting (SLM)

There are a variety of suppliers providing reliable SLM machines for producing AM Ti-6Al-4V [29-46]. EOSINT M270, Trumpf LF250, and SLM Solutions are well known SLM facilities. Figure 2 shows a schematic graph to present the working principle of the SLM [46]. Table 3 provides a summary about the key machine parameters and working conditions via a typical SLM machine, SLM 280 HL [29-46].

	Parameter
Typical equipment	SLM 280HL
Build volume	280 mm * 280 mm * 350 mm
Laser type	YLR Fibre Laser
Laser beam size	70 μm - 120 μm
Atmosphere during processing	With protection by Ar, N <sub>2</sub> or He
Scanning speed	Up to 15000 mm/s (mostly 125 mm/s – 802 mm/s)
Feed type	5 μm – 50 μm
Layer thickness	Mostly 20 μm – 75 μm
Build temperature	Mostly naturally heated
<b>Build density</b> 99.7% - 100%	
Substrate	Without substrate; or with self-substrate heated up to 700°C

Table 3. Typical technical parameters of the SLM [29-46].

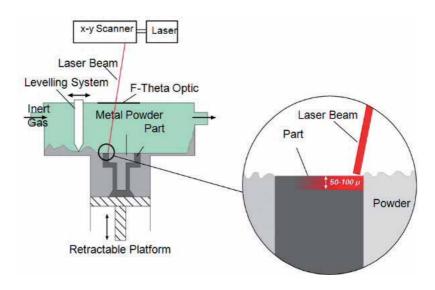


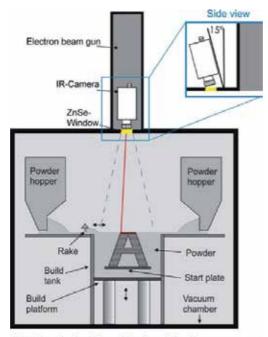
Figure 2. Schematic graph to show the working principle of the SLM [46].

## 3.2. Electron Beam Melting (EBM)

Arcam provides a variety of EBM machines for processing Ti and Ti alloys, including Arcam A1, A2, S12 and S400, and it dominates the market for the time being [47-66]. Figure 3 provides a schematic graph to show the working principle of the EBM [66]; key machine parameters and working conditions are summarised into Table 4 via a typical example of Arcam A2 EBM.

	Parameter
Typical equipment Arcam A2	
Build volume 250 mm * 250 mm * 400 mm or Φ300 mm * 200 mm	
Powder supply	7 kW max.
Laser beam size	200 μm – 1000 μm (mostly 100 μm - 500 μm)
Atmosphere during processing	$10^{\text{-}1}\text{Pa}$ - $10^{\text{-}3}\text{Pa}$ , or with protection by partial pressure of He
Scanning speed	Up to 8000 mm/s (mostly 125 mm/s – 802 mm/s)
Feed type	25 μm – 149 μm (40μm - 100μm standard)
Layer thickness	20 μm – 100 μm
Build temperature	640°C - 700°C
wild density 99.4% - 100%	
Substrate	Stainless steel, normally heated to 720°C - 800°C

Table 4. Typical technical parameters of the EBM [47-66].



Note: Inset is showing a side view of the IR-camera setup mounted with a 15° angle relative to the beam column

Figure 3. Schematic graph to show the working principle of an EBM equipment [66].

#### 3.3. Laser Metal Deposition (LMD)

A variety of LMD machines and laser systems are being used for the fabrication of metals and alloys including Ti-6Al-4V, such as LEMS TM Nd:YAG, Trumpf HLD 3504 Yb:YAG and IPG with Yb fibre laser [67-78]. Figure 4 and Table 5 present the general working principle, working conditions and key machine/processing parameters.

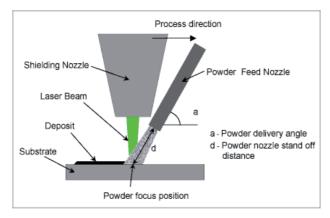


Figure 4. Schematic graph to show the working principle of an LMD equipment [76].

	Parameter
Typical equipment Trumpf HLD 3504	
Build volume	250 mm* 250 mm * 400 mm
Powder supply	3.5 kW max.
Laser beam size	Mostly 500 μm - 4100 μm
Atmosphere during processing	Argon flow protection
Scanning speed	Mostly 2 mm/s – 40 mm/s
Feed type	Mostly 10 $\mu$ m – 200 $\mu$ m (powder); 1.2 mm - 1.6 mm wire
Layer thickness	40 μm – 100 μm
Build temperature	Naturally heated
Build density	Can be >99.9%
Substrate	Ti-6Al-4V or Ti; room temperature or preheated to 200°C

**Table 5.** Typical technical parameters of the LMD [67-76].

## 3.4. Selective Laser Sintering (SLS)

EOSINT M250X and EOISNT M270 are being used as the SLS machines for preparing AM Ti-6Al-4V [79-88]. The general working conditions and key machine/processing parameters are shown by Figure 5 and listed in Table 6.

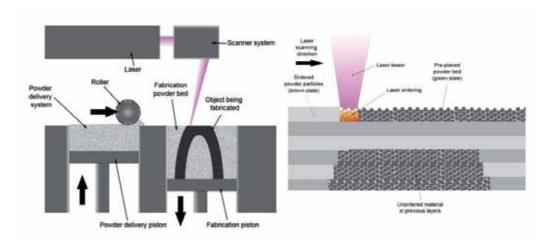


Figure 5. Schematic graph to show the working principle of an SLS equipment [88].

	Parameter
Typical equipment	EOSINT M270
Build volume	250 mm * 250 mm * 215 mm
Laser type	Yb-fiber laser, 200 W
Powder supply	5.5 kW max.
Laser beam size	100 μm - 500 μm
Scanning speed	Up to 7000 mm/s (mostly 50 mm/s - 100 mm/s)
Layer thickness	20 μm - 100 μm
Feed type	Mostly 37 $\mu m$ - 74 $\mu m$ (should be similar to SLM)
Build temperature	- (can use preheated powder at e.g. 600°C)
Build density	Mostly below 99%
Substrate	Normally Ti, can be heated to e.g. 230 °C

Table 6. Typical technical parameters of the SLS [77-88].

## 4. Densification of AM Ti-6Al-4V

## 4.1. As-built density of AM Ti-6Al-4V

Figure 6 summarises reports on as-built density of the AM Ti-6Al-4V using LMD, SLM, EBM, and SLS [29-88]. The figure shows that the as-built density of AM Ti-6Al-4V is mostly higher than 99% of theoretical density and the material can be fully dense if processing parameters are appropriately selected. SLS Ti-6Al-4V is exceptional to this conclusion, which as-built densities are around 95% and similar to the as-sintered density of the conventional PM Ti-6Al-4V [4-13].

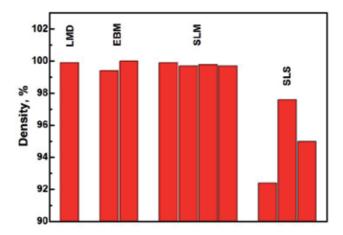


Figure 6. Density of as-built AM Ti-6Al-4V [29-88].

#### 4.2. Densification of SLS Ti-6Al-4V: Solid state sintering and liquid phase sintering

The fact that the as-built density of the SLS Ti-6Al-4V is similar to that of the conventional PM Ti-6Al-4V (see Figure 6) implies the two processing approaches should have analogous densification mechanism. This is understandable by referring to Figure 5 which shows that the SLS processing uses laser as the heating source while for the conventional PM Ti-6Al-4V, heating is mainly through conductive and/or radiation heat. Other than this the two processing pathways are essentially same. This further suggests that the driving force and activation energy for densification of the conventional PM Ti-6Al-4V should be applicable to the SLS Ti-6Al-4V. During SLS of Ti-6Al-4V, however, there is possibility that liquid phase sintering (LPS) occurs due to local overheating to temperatures higher than the liquidus temperature of Ti-6Al-4V (~1660°C). LPS provides extra driving force for sintering for it has extra surface energies, i.e. resulted from liquid surface and from liquid-solid interface [89,90]. It involves different sintering mechanisms, e.g. pore-filling, from the solid state sintering. These normally can contribute to an as-sintered density of up to 99.5% high [89,90]. Since the as-sintered density of the SLS Ti-6Al-4V is lower than this value, the overwhelming densification mechanism for the SLS Ti-6Al-4V should still remain as that of the solid state sintering.

#### 4.3. Densification of EBM, SLM, and LMD Ti-6Al-4V: Solidification from liquid

Full or nearly full denseness is achievable in EBM, SLM and LMD Ti-6Al-4V (see Figure 6), implying that the densification mechanism for these AM approaches is different from that of

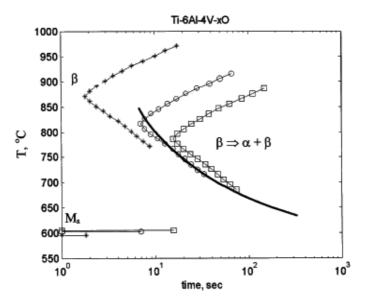


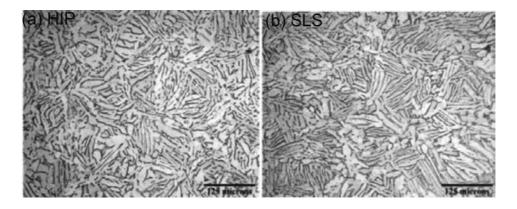
Figure 7. Simulated T-T-T curve of Ti-6Al-4V, which can be used to forecast the phase selection during solidification of the alloy [91].  $M_s$  in the figure denotes the martensite phase transformation. Open squares in the figure are for 0.05wt. %O, circles for 0.1 wt.% and stars for 0.2 wt.%O. The solid line is drafted based on experimental results. Oxygen (O) is found to be able to lower the temperature for the martensite phase transformation.

the SLS. Indeed, for these three laser-based processing approaches, the densification process is more of solidification from liquid rather than the normal sense of sintering. The so-called time-temperature-transformation (T-T-T) of Ti-6Al-4V regulates phase selection and phase constitution of the solidified microstructure. Figure 7 provides the T-T-T curve of the Ti-6Al-4V alloy containing different levels of oxygen [91]. Under equilibrium conditions (i.e. low cooling rates), the resultant microstructure will be a mixture of thermodynamically stable  $\alpha$  and  $\beta$  phases, while high cooling rates can enable formation of martensite phases.

## 5. Microstructure of AM Ti-6Al-4V (As built)

#### 5.1. Microstructure of SLS Ti-6Al-4V

SLS is largely similar to the conventional PM and this explains that the microstructure of SLS Ti-6Al-4V is close to that of equilibrium state as with the PM or cast Ti-6Al-4V [79-88]. Figure 8 compares the microstructure of SLS Ti-6Al-4V with that of an HIP Ti-6Al-4V [81]. In general both materials are of lamellar structure consisting of  $(\alpha+\beta)$  phases and show no evident difference to each other.



**Figure 8.** Optical microscopy images of (a) an HIP Ti-6Al-4V and (b) an SLS then HIP Ti-6Al-4V. The latter generally presents a lamellar type of microstructure and almost identical to the former [81].

#### 5.2. Microstructure of EBM, SLM and SMD Ti-6Al-4V

#### 5.2.1. Solidification map

As aforementioned, regarding EBM, SLM and SMD, the AM processing is essentially a solidification process [29-78]. In this regard, the solidification map (see Fig. 9) suggested by Kobryn and Semiatin [1] is useful for predicting the solidification microstructure and can even be served for microstructural design. The two parameters, *R* and *G*, that are crucial for composing the solidification map can be measured and/or calculated as follows:

$$R = dz/dt (1)$$

$$G = dT/dz (2)$$

where R is the solidification velocity which can be calculated based on a distance (dz) moved by solidus isotherm over a certain amount of time (dt), and G is the thermal gradient which can be obtained using a temperature window (dT) between solidus and liquids isotherms over a certain amount of distance (dz).

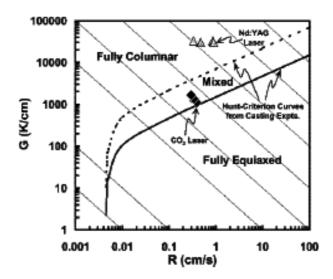


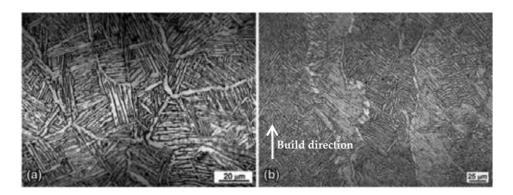
Figure 9. Solidification map of Ti-6Al-4V with simulated laser-glaze data points [1].

#### 5.2.2. *Texture and heterogeneity issue*

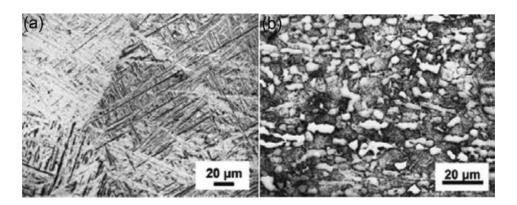
Texture and heterogeneity are widely observable microstructural features in EBM, SLM and SMD Ti-6Al-4V [29-76]. They are formed mainly due to the following two reasons: (a) different temperature distribution in the as-built sample and (b) different cooling rates in the various parts of the sample.

Figure 10 provides optical graphs for an EBM Ti-6Al-4V at the transverse direction (Fig. 10a) and longitudinal direction (Fig. 10b) [4]. The former shows a lamellar microstructure while the later presents directional growth along the build direction. Greater thermal gradient in the latter is suggested to be the main reason for the formation of this columnar type of microstructure [4]. Figure 11 shows other two examples to illustrate the microstructural heterogeneity between the surface (Fig. 11a) and the bulk material (Fig. 11b) [71]. In this case, the much faster cooling rate in the surface of the AM Ti-6Al-4V has contributed to the formation of the

acicular, metastable martensite phases in Fig. 11(a) while the bulk material presents a bimodal, equilibrium microstructure due to a much lower cooling rate, see Fig.11(b).



**Figure 10.** Optical microscopy images of the EBM Ti-6Al-4V from the (a) transverse cross-section and (b) longitudinal cross-section. Build direction is given in (b). Texture/directional growth can be found in (b) [4].

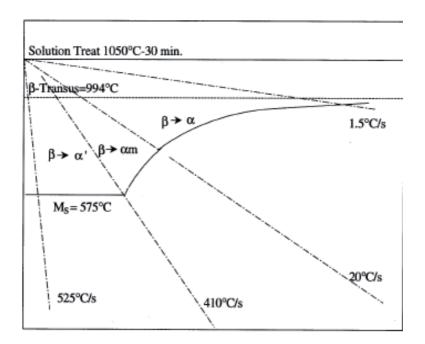


**Figure 11.** SEM images of the LMD Ti-6Al-4V which show heterogeneity among (a) the surface of the alloy (lamellar with martensite phases) and (b) base/bulk material [71].

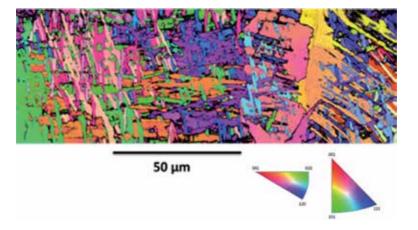
## 5.2.3. Martensite phase transformation

Cooling rate of SLM, EBM and LMD can be  $10^4$  K/s- $10^6$  K/s high [29-76]. This enables martensite phases to form in the microstructure. Figure 12 proposes the dependency of phase selection on the cooling rate for Ti-6Al-4V [92,93]. Acicular  $\alpha$ , massive martensite  $\alpha_m$  or equilibrium ( $\alpha+\beta$ ) is proposed for each representative cooling rate. A minimum cooling rate of  $20^{\circ}$ C/s is suggested to be necessary for the formation of the martensite phases while when cooling rate is higher than  $525^{\circ}$ C/s the entire microstructure can be featured as acicular  $\alpha$  martensite. One still needs to note that the real cooling rate during AM processing of Ti-6Al-4V, other materials as well, is still a research question to be further investigated. Figure 13 provides an example from an EBM Ti-6Al-4V where featherless  $\alpha$  can be found in the microstructure [94]. The stable

 $\alpha$  phase is also observable in the microstructure although it is suggested that the cooling rate during EBM can be much higher than the critical cooling rate for the martensite phase transformation and accordingly the microstructure should have been overwhelmingly martensite. This is not consistent with the microstructural observation.



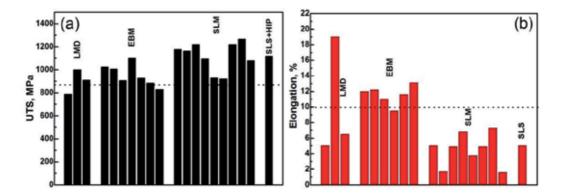
**Figure 12.** Schematic graph to show the relationship between phase selection and cooling rate during solidification (from  $1050^{\circ}$ C). The initial state of the Ti-6Al-4V alloy is as  $\beta$  phase [92,93].



**Figure 13.** Electron beam scattered diffraction (EBSD) image to show the featureless  $\alpha'$  martensite phase and the surrounding microstructure of an EBM Ti-6Al-4V [94].

## 6. Mechanical property of AM Ti-6Al-4V (As built)

UTS and elongation of as-built AM Ti-6Al-4V are summarised into Figure 14 (a) and (b) [29-88]. ASTM requires that as-prepared Ti-6Al-4V should be no lower than 860 MPa in UTS and no lower than 10% in elongation. These two benchmark values are marked in the two figures using dotted lines. These data suggest that, comparatively speaking, EBM is able to provide a combination of good facture strength and good ductility which satisfy the ASTM specifications. Ti-6Al-4V made by SLM tends to show the highest fracture strength among the four AM approaches yet the corresponding ductility is the lowest which is mostly below the corresponding benchmark value (10%). There are no adequate data for LMD but it is reckoned that it should show a similar tendency to that of the SLM due to the similarity between the two processing techniques. Because of poor density (see Figure 6), SLS Ti-6Al-4V normally relies on post treatment such as HIP to achieve good fracture strength as well as ductility.



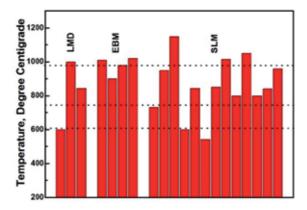
**Figure 14.** (a) UTS and (b) elongation of as-built Ti-6Al-4V prepared by the various AM techniques [29-88]. Dotted lines in the two figures represent corresponding ASTM specifications.

# 7. Heat treatment of AM Ti-6Al-4V and corresponding microstructure and mechanical properties

## 7.1. Heat treatment temperature: A collection from AM Ti-6Al-4V and corresponding mechanical property

Results show that the residual stress in AM Ti-6Al-4V can be higher than 1000 MPa [33]. Considering that the yield strength of Ti-6Al-4V is merely around 880 MPa (see Table 2), residual stress can initiate premature failure especially during dynamic testing such as fatigue. It is a major concern for the AM Ti-6Al-4V. Most as-built AM Ti-6Al-4V therefore need heat treatment to mitigate the impact of the residual stress on the mechanical property performance. A collection of heat treatment temperatures being adopted by current studies is shown in Fig. 15 [29-88]. Temperatures of the martensite phase transformation (at ~610°C),  $\alpha \rightarrow \beta$  (at ~750°C)

and  $\beta$ -transus (at ~980°C) are also marked in the figure using dotted lines. The figure indicates that most of the heat treatments are distributed at temperatures between 610°C and 980°C. This is very likely due to the necessity of eliminating the hard-but-brittle martensite phases via phase transformation from the  $\alpha$ ' and/or the  $\alpha$ m phases to stable ( $\alpha$  and/or  $\beta$ ) phases for the LMD, EBM and SLM approaches. The microstructure of the SLS Ti-6Al-4V is similar to that of the equilibrium state, and can be free from any post heat treatment.



**Figure 15.** Collection of heat treatment temperatures adopted by various studies of the AM Ti-6Al-4V [29-88]. Dotted lines in the figure represent critical temperatures for Ti-6Al-4V (refer to Table 1).

UTS and elongation of the as-annealed AM Ti-6Al-4V are shown in Fig.16 [29-88]. If comparing with the as-built data (see Fig. 14), the general trend is that the fracture strength will be reduced after heat treatment while ductility can be improved. This is mainly resulted from both the reduced residual stress due to the heat treatment and the partially or even fully transformed martensite phases in the as-built microstructure.

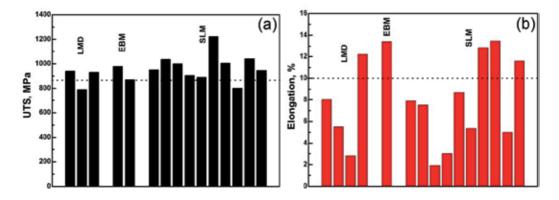
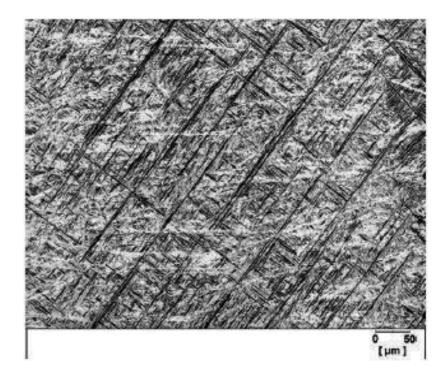


Figure 16. (a) UTS and (b) elongation of as-annealed AM Ti-6Al-4V [29-88]. Dotted lines in the two figures represent corresponding ASTM specifications.

#### 7.2. Stress relief annealing and corresponding microstructure of as-annealed AM Ti-6Al-4V

Heat treatment at temperatures below the temperature of the martensite phase transformation may be not able to change the microstructure. Figure 17 provides such an example via an EBM Ti-6Al-4V annealed at 600°C for four hours [69]. The martensite phases are still observable after the annealing. Such heat treatment may only offer stress relief to reduce the residual stress in the as-built AM Ti-6Al-4V.



**Figure 17.** Microstructure of an EBM Ti-6Al-4V annealed at 600°C for four hours. Rectangular (acicular) shaped martensite phases remained after the heat treatment [69].

#### 7.3. Heat treatment to achieve equilibrium microstructure of AM Ti-6Al-4V

#### 7.3.1. Heat treatment at temperature above the martensite phase transformation

Contrary to the low temperature annealing, heat treatment at temperatures higher than the martensite phase transformation may assist in eliminating the brittle, metastable martensite phases via phase transformation [29-88]. Increasing the heat treatment temperature to higher

than the  $\alpha \to \beta$  (at ~750°C) or even the  $\beta$ -transus (at ~980°C) will facilitate the transformation from  $\alpha$  to  $\beta$ . Figure 18 provides an example of this where heat treatment was conducted up to 1200°C to form equilibrium lamellar ( $\alpha$ + $\beta$ ) microstructure [69]. Martensite phases were not observable after such high-temperature heat treatment.

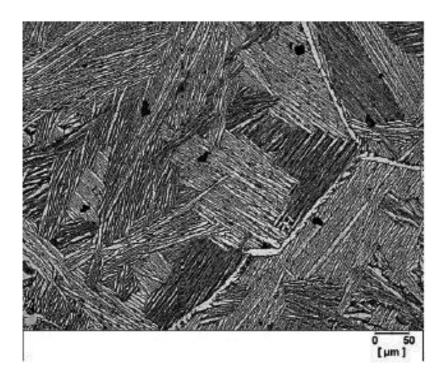
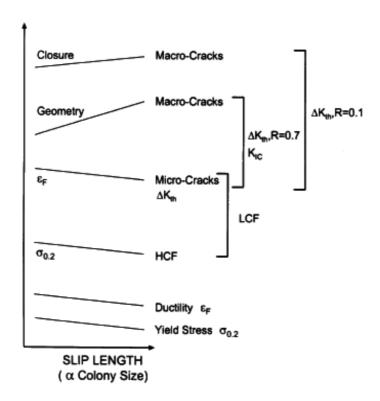


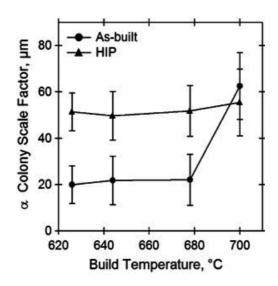
Figure 18. Microstructure of an LMD Ti-6Al-4V annealed at 1200°C for two hours. Colony  $\alpha$ -phase is observable along with prior  $\beta$  grains and grain boundary  $\alpha$  phases [69].

## 7.3.2. Dependence of $\alpha$ colony size on heat treatment and microstructural dependency of mechanical property

The model developed by Tiley et al. [95,96] suggests that the mechanical performance of the  $(\alpha+\beta)$  dual phase Ti-6Al-4V can be estimated based on a variety of microstructural parameters including the size of the  $\alpha$  colony. The schematic graph in Figure 19 suggests that increasing size of the  $\alpha$  colony may lead to reduced ductility ( $\epsilon$ ) and yield strength ( $\sigma_{0.2}$ ) but in the meantime it may also contribute to better resistance to macro-cracks [95,96]. It is noted that heat treatment can coarsen the  $\alpha$  colony of the AM Ti-6Al-4V, see Fig. 20 [54]. From this perspective, heat treatment of AM Ti-6Al-4V will have to be selected according to specific requirement and serve the application purpose of the material.



**Figure 19.** Dependency of mechanical property on the  $\alpha$  colony size of the Ti-6Al-4V alloy [95,96].



**Figure 20.** The size of the  $\alpha$  colony in an SLS Ti-6Al-4V. HIP at (626°C-700°C) increases the  $\alpha$  colony size evidently. HIP at higher temperatures can slightly increase the  $\alpha$  colony size than those of lower temperatures [54].

## 8. Potential oxygen issue for the AM Ti-6Al-4V and counter measurements

#### 8.1. Oxygen equivalent and oxygen level in AM Ti-6Al-4V

Oxygen is detrimental to ductility of Ti-6Al-4V especially when it exceeds certain level [97-99]. Miura et al. [26] suggests the critical oxygen level for PM Ti-6Al-4V is 0.33 wt.%, above which ductility drops rapidly and can be much lower than the corresponding ASTM specification (see Fig. 1b). It needs to be noted that C, N and Fe may affect the mechanical performance of Ti-6Al-4V in a similar way to that of the oxygen, as suggested by the following Eq. (3) [100] and Eq.(4) [101].

$$[O]_{EO} = \lceil O \rceil + 2 \lceil N \rceil + 2/3 \lceil C \rceil \text{ (wt.\%)}$$
(3)

$$\left[O\right]_{FO} = \left[O\right] + 2.77 \left[N\right] + 0.1 \left[Fe\right] \left(wt.\%\right) \tag{4}$$

Generally speaking, AM processing only mildly increases oxygen level and the oxygen level in most of the as-built AM Ti-6Al-4V remain low [29-88]. This is mostly contributed to the extra-low interstitial (ELI) raw powders used, good vacuum condition and/or inert gas protection achievable during AM processing. Only occasional report can be found where oxygen level is higher than the 0.2 wt.%O benchmark value, see Figure 21 [29-88].

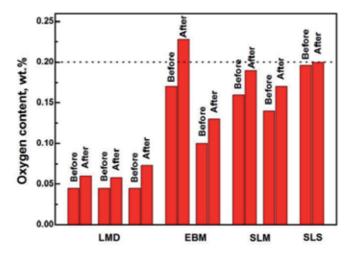


Figure 21. Variation of oxygen content before and after AM [29-88]. Dotted line in the figure represents corresponding ASTM specification (0.2 wt.%O).

#### 8.2. Price issue of raw AM powders

Figure 21 indicates that the oxygen issue may have not been serious to the AM Ti-6Al-4V so far. This is mainly thanks to the use of ELI powders that are prepared by gas-atomisation or rotating electrode. The high cost of the ELI raw powders, however, can be an issue to AM Ti-6Al-4V from cost perspective. Figure 22 compares the price of a variety of powders, showing that the cost on the AM powders is extraordinary [4-13]. They are mostly higher than \$400 per kg while hydrogenation-dehydrogenation (HDH) Ti powders that are widely used in conventional PM Ti are merely around \$30 per kg. The high cost can be the bottle-neck issue for AM Ti-6Al-4V to be fully embraced by industries. For this reason, low-cost, high-interstitial (i.e. oxygen), fine powders are more desired for developing cost-effective AM Ti alloys. Sun et al. [102] recently developed an approach to manipulate irregularly-shaped HDH powders to make round-shaped, fine particles that are suitable for AM. The cost of their powders is higher than the HDH Ti powder but still markedly lower than the current AM powders, making it be more reliable for developing AM Ti and Ti alloys.

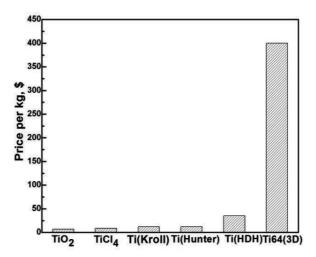


Figure 22. Comparison on the cost of various Ti materials, including raw material (TiO<sub>2</sub>), intermediate material (TiCl<sub>4</sub>) and Ti powders produced via the Kroll, Hunter and HDH approaches (price of Ti-6Al-4V is similar to these Ti powders). Price of fine powders of Ti-6Al-4V for the 3D printing is extraordinary [4-13].

#### 8.3. Counter measurements

Counter measurements need to be consulted when the high impurity (oxygen) powders are to be used for developing AM Ti-6Al-4V. Research has shown that the RE elements are capable to scavenge oxygen and their oxygen scavenging capability follows this sequence: Y>Er>Dy>Tb>Gd (see Figure 23a) [103, 104]. The high potency of yttrium in scavenging oxygen from AM titanium alloys is demonstrated in Figure 23 (b), where the uniformly distributed  $Y_2O_3$  dispersoids are resulted from an addition of 0.1 wt.%Y to an EBM titanium alloy [105].

RE hydrides such as  $YH_2$  have been further demonstrated to be able to scavenge, aside from oxygen, Cl, another important impurity element to Ti materials, Fig. 24 [106,107].

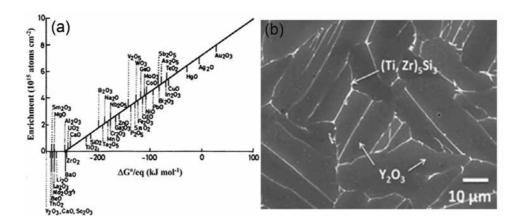
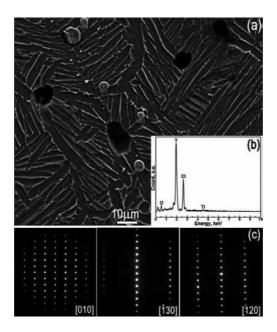


Figure 23. The free Gipps energy ( $\Delta G$ ) of formation of various oxide materials, showing their thermodynamic stability as well as their affinity for oxygen [104]. Rare earth elements such as Y and some alkaline earth elements such as Ca have higher affinity for oxygen than Ti. (b) Precipitation of fine Y<sub>2</sub>O<sub>3</sub> dispersoids (due to the addition of 0.1 wt.%Y) in the α-Ti matrix of Ti-6Al-2.7Sn-4Zr-0.4Mo-0.45Si-0.1Y (wt.%) which contained 0.07 wt.% oxygen. The alloy was additively manufactured by EBM [105].



**Figure 24.** (a) SEM back-scatter-electron (BSE) image of Y-Cl particles in an  $YH_2$ -doped, as-sintered Ti alloy, (b) SEM energy dispersive x-ray (EDX) spectrum showing the enrichment of both Y and Cl in the phase and (c) TEM selected area electron diffraction (SAED) analysis of the phase [107].

## 9. Concluding remarks

3D printing has becoming a focusing topic not only to research community and industry but also to the general public, and AM Ti and Ti alloys is one of the most promising and interested areas to be further developed. For the time being, although a few issues persist such as the microstructural inhomogeneity in the as-built material, some of the AM Ti-6Al-4V have already been able to achieve mechanical properties no lower than the corresponding ASTM specifications. The cost of the ELI AM Ti powders is one of the most challenging issues to limit the scale-up of the AM products. Employing low-cost powders to replace existing expensive powders to reduce the overall cost is a valuable research direction for further developing AM Ti-6Al-4V. Counter measurements to deal with the impurity issue associated with the high-interstitial Ti powders can be one of the key research elements for such development.

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# Metal Matrix Composites Added of Nanostructured Tantalum Carbide

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Additional information is available at the end of the chapter

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#### 1. Introduction

Metal matrix composites (MMC) reinforced with dispersed ceramic particles have received considerable interest over the years and are still in constant development in order to expand their applications in industry. It is a brilliant choice for applications that require mechanical strength and wear resistance. They combine a soft metal matrix with hard ceramic particles resistant to wear (Gordo, 200).

Different matrixes and reinforcements for MMC have been studied, and therefore studies have been conducted with various combinations of metal matrixes with reinforcement of ceramic powders aimed at obtaining composites with similar properties or superior to conventional steel tool. Carbides such as NbC, TaC, VC, and TiC have been combined with iron or steel powders to produce sintered composites. Niobium and tantalum carbide can be used for structural purposes and also for the production of refractory components, or as steel reinforcement by dispersed particles. Particularly, reinforced steel has been used in the automotive and textile industries and also in the manufacture of high-speed cutting and ore milling tools (Martinelli, *et al*, 2007). Advances in research (Silva, 2005; Silva, *et al*, 2005; and Silva, *et al*, 2012) and marketing of steel reinforced with NbC and TaC particles have also contributed to add value to manufactured products strategically using mineral sources produced in north-eastern Brazil.

New techniques for the production of refractory metal carbides (WC, NbC, TaC, TaxNby) have been developed by synthesizing nanostructured carbides that provide improvement of diverse properties of materials compared to materials obtained by conventional methods (Medeiros, 2002 and Medeiro, et al, 2005). Uniform distribution and fine particle size of nanosized particles



of the reinforcing phase added to steel provide homogeneous dispersion of these steel carbides into the matrix, thus providing uniformity of properties and allowing compounds to be used in a variety of applications.

In the production of metal composites reinforced with carbides, powder metallurgy offers some economic and technological advantages in relation to other competing processes such as low cost of raw material processing and relatively low temperatures involved in the process. As the microstructure of the sintered steel is the result of process parameters (time, milling speed, compaction pressure, sintering time and temperature, sintering in solid or liquid state) and also the characteristics of starting powders (size and particle size distribution, compressibility and chemical purity); any changes in these parameters also affect the sintering kinetics, with wide variations in their microstructure and consequently their performance in relation to specific application. The use of the technique Powder Metallurgy (PM) in the manufacture of MMC composites is increasing.

## 2. Synthesis of nanostructured carbides — TaC

Refractory, ultrathin and nanostructured metal carbides (TaC, NbC, MoC and WC) have been produced at low temperature from ammonium oxalate complexes via gas-solid reactions with suitable characteristics for various purposes: high surface area (38 to 58m<sup>2</sup>/g), size of crystallites (18-20 nm), extremely porous particles and high acidity.

Recent discoveries aimed at facilitating the routes for obtaining these carbides with high surface area have led to a rapid growth in the application of these materials in catalysis, composite alloys (Medeiros, 2002; Oyama, 1992, and Ledoux, 1990) and metal-ceramic composites (Upadhyaya, 1998 and Matthews, 1994).

Nanosized refractory metal carbides, particularly TaC, are produced at low temperature and short reaction time through gas-solid reaction in fixed bed reactor using tris (oxalate) hydrated ammonium oxytantalate-(NH4)<sub>3</sub>TaO(C<sub>2</sub>O<sub>4</sub>)<sub>3</sub>nH<sub>2</sub>O-precursor of fine grain size (ultrafine particles with high surface area). However, for successful precursor carburizing process, certain parameters such as temperature, composition of the gas mixture ( $H_2$  and  $CH_4$ ), methane concentration, reaction time, precursor cost and heating rate must be taken into account.

The system used for carrying out gas-solid reactions is composed of a horizontal tubular resistive furnace (alumina tube) with temperature controller, fixed bed reactor (slip casting), gas inlet and outlet system, valves and flow meters. The gas composition determines reduction and carburization, which is characteristic of atmosphere. The amount of CH<sub>4</sub> in the mixture cannot be too excessive, since much carbon would precipitate on the surface of particles, hindering the reaction and producing free carbon in the product, which hinders the diffusion process. In the case of low CH<sub>4</sub> concentration, carburization does not occur. The complete carbide reaction occurs at temperature below 1000°C with CH<sub>4</sub> concentration ranging from 3 to 5%. Under some conditions, carburization is completed within 2 hours (Medeiros, 2002).

In recent work (Lima, 2013) Fig.1, TaC synthesized revealed the formation of pure phase with characteristics different from those conventionally obtained for the product, with average crystallite size of the order of nanometers, approximately 12.05 nm, and surface area of 19 m<sup>2</sup>/ g. These characteristics allow its use not only as reinforcement for MMC composites and solid inserts but also as catalysts.

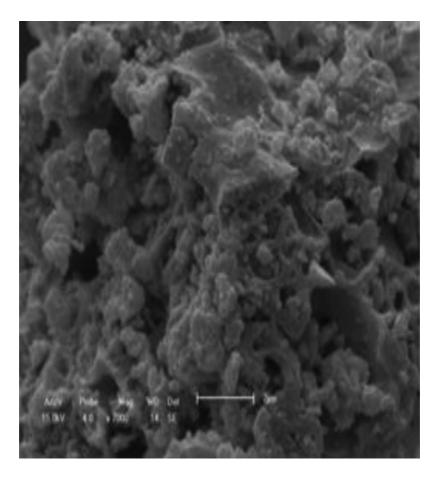


Figure 1. Electron microscopy of tantalum carbide (Lima, 2013). Magnification of 7000x.

# 3. Eurofer steel — Complexity microstructure and property

Eurofer steel, also called Eurofer 97 due to the year 1997 when its chemical composition was defined, is the end product of a synthesis of four steels of reduced activity that were studied in the European Union (MANET, OPTIMAX, BATMAN and OPTIFER I and II) (Lucon, et al, 2006). Although, a huge progress in the improvement of this steel has been developed in recent years (Lindal, 2005), studies with Euroferno with regard to optimization of the reduced activity, increased fluency and decrease in the ductile-brittle transition temperature after radioactive damage remain under constant growth, evolving thus to EUROFER 2 and 3. The aim is that EUROFER 3 becomes a residue of low level of radiation after 80 or 100 years when applied to the DEMO demonstration reactor (Daum and Fischer, 2000; Huang et al, 2007).

The mechanical and corrosion resistance properties of martensitic stainless steels can be seriously impaired particularly in function to the precipitation of complex phases, generally rich in chromium, operating temperature, or even during processing; thus, the thermal cycles to which these steels are submitted should be conducted under absolute control.

To provide the steel mechanical strength, hardness and toughness needed, the most common heat treatments consist of tempering followed by single or double thermal treatment. The main parameters involved in this case are: heating and cooling rate, austenitization temperature and time and tempering thermal cycles (Mariano, 2007).

In the specific case of Eurofer steel, the improvement of its chemical composition aiming at reduced activity was achieved by replacing niobium by tantalum and molybdenum by tungsten. Nb, Mo, Ni, Cu, Al and Co were restricted to ppm values. Calculations aided by computer simulation programs indicate that Eurofer is a very promising reduced-activity alloy.

The mechanical properties of ferritic-martensitic steels restricted their use to temperatures above 550°C. Subsequently, the addition of fine and homogeneously dispersed particles allowed their use at higher temperatures (650°C) to give origin to ferritic-martensitic alloys hardened by oxide dispersion (ODS) (Lindau, 2005). To date, the most promising of this series is the ODS-EUROFER alloy. This reduced-activity alloy was developed by the Karlsruhe Research Center (Forschungszentrum Karlsruhe, FZK), in cooperation with France and Russia aiming nuclear applications.

EUROFER steel (9Cr-1W) can be used in turbines for power generation, pressure vessels, nuclear reactors or applications where the material is submitted to temperatures between 250 and 550°C. One way to improve the properties of steel, so that it works at higher temperature or become more stable is to add second-phase particles into the matrix. These particles can be in the form of oxides, carbides, nitrides, or even in solid solution when certain chemicals are added to the material.

# 4. Metal matrix composite — MMC with ceramic reinforcement

Metal matrix composites significantly evolved from the 20's. This composite was first used in the aerospace industry. More recently, various areas of industry have increasingly studied this type of material, for example, automotive, electronic, and nuclear, among others. MMCs attract many researchers and engineers as a good alternative to develop materials with high technological potential.

Generally, the aim is to create material with the hardness of ceramics, combined with metal that has high toughness compared to ceramics. Thus, they form a family of materials called metal-ceramic materials. Nucleation and precipitation of carbides in steel to obtain good mechanical strength coupled with high toughness or the manufacture of hard metals containing high amount of carbides and / or nitrides, in which, when processed by powder metallurgy form a continuous ceramic skeleton, are classical examples of these materials (Breval, 1995).

In principle, forged steel and most conventional steels can be treated as metal matrix composites since this forged matrix has a dispersed phase. The dispersed phase may be composed of oxide, sulfide, nitride, carbide, etc. In addition, many metal alloys should be included as MMC if the microstructural definition is considered. Although the definition of a composite material is very comprehensive, there is a strong tendency to believe that the solidification direction of eutectic microstructure is within the possible definitions of MMC (Ralph, et al, 1997).

Different applications are found when a metal is reinforced. The reinforcement of light metals, for example, opens the possibility of their use in areas where weight reduction is a priority. The main objectives of reinforcing steel (not limited to only these) are:

- Increase in elasticity modulus and tensile strength at room temperature and above it, while maintaining minimum ductility or greater toughness;
- Increase the fluency resistance at high temperatures compared with conventional alloys;
- Increase fatigue resistance, especially at high temperatures;
- Improve the thermal-shock resistance;
- Increase corrosion resistance.

Therefore, composites are versatile in their applications because they combine the distinctive properties of each material that composes them.

Metal matrix composites can be obtained with continuous fiber reinforcement and the use of particulate reinforcements. However, particulate reinforcements have significant advantages because the cost of manufacture of such composite is reduced and conventional metallurgical processes such as powder metallurgy and casting, followed by post-processing steps such as lamination, forging and extrusion can be used. Depending on the type of particulate reinforcement, the composites obtained may have higher use temperature compared to the matrix material, improved thermal stability and wear resistance, such as Al (matrix) / Si (fiber) composites. Therefore, research efforts have been directed to obtain metal matrix composites and particulate reinforcement (Levy and Pardini, 2006).

# 5. High-energy milling — Reinforcement by dispersing nanoparticles into the matrix

High-energy milling is a technique fo the processing of powders in solid state involving repeated cycles of deformation, cold welding, fragmentation and cold re-welding of powder particles held in a high-energy ball mill (Suryanarayana, 1998; Suryanarayana, 2001; Koch,

1998). This method uses high-energy milling to form composite powders typically for long milling times (Gomes et al, 2001; Costa el al, 2002.).

In high-energy milling, the constant ball-powder-ball collisions result in deformations and fractures that define the dispersion of components, homogenization, phases and the final powder microstructure. The nature of these processes depends on the mechanical behavior of the powder components, their equilibrium phase and stress state during milling (Suryanarayana, 1998 and 2001). High-energy milling can be performed with three different categories of metal powders or alloys, namely: ductile-ductile components, ductile-brittle components and brittle-brittle components.

The influence of particle dispersion with the formation of a second phase, slowing the metal surface movement and its sintering, has been proposed by Kuczynsky and Lavendell, who considered that moving particles act as barriers to the surface advancement. Later, it was discussed that particles are swallowed by the surface movement exerting force and preventing its movement (Sbrockey and Johnson, 1980).

The dispersion of large amounts of ceramic particles by powder metallurgy aims to improve their tribological properties and thus their mechanical properties; therefore, 12% SiC powder with average size of 3 µm was added to 316L steel powders of 5 µm, mixing for 8 hours, uniaxially compressed at 100 MPa and sintered at temperatures of 1100°C for one hour in inert atmosphere, resulting in complete fusion of samples (Patankar, et al, 2000).

Another study that used 3% W TaC dispersed in the metal matrix of atomized 316L austenitic stainless steel and processed by powder metallurgy showed higher density values and a significant increase in hardness compared to material without reinforcement (Oliveira, 2008).

# 6. Sintering

Sintering is a non-equilibrium thermodynamic process in which a system of particles (powder or compacted aggregate) acquires a coherent solid structure by reducing the specific surface area. The result is the formation of grain boundaries and growth of necks and inter-particle bond, typically leading the system to densification and volumetric shrinkage (Gomes, 1995).

Solid-phase sintering occurs at temperature where none of the system elements reaches their melting point. This is accomplished with material transport (atomic diffusion, vapor transport, viscous flow, etc) (Costa, 2004).

In the second case, liquid-phase sintering leads to the formation of a liquid phase capable of dissolving a percentage of particles. This yields a diffusion pathway analogous to the grain boundary in the solid-phase sintering, causing a rapid initial density increase and then, dissolution of solid particles in the liquid and precipitation on the neck region occur (Gomes, 1995).

The sintering of metal matrix composites can be divided into two categories: a) solid-phase sintering (including powder metallurgy) and b) liquid-phase sintering (Kocjak, et al, 1993).

This is a complex step in the powder technology route and is influenced by several parameters such as: solubility, self-diffusivity and inter-diffusivity, mutual solubility and wettability, etc., which act simultaneously on the process and systems are so diverse that it has not yet been possible to develop a single sintering model capable of meeting the most varied and possible systems. Therefore, the solution is to develop a model for each system to be studied.

# 7. Case study: Developing a Metal Matrix Composite (MMC) — Eurofer 97 steel reinforced with tantalum carbides — TaCs

EUROFER 97 steel is a promising alloy for use in nuclear reactors or in applications where the material is submitted to working temperatures up to 550°C due to its lower strength under fluency. Factors that influence the slip of boundaries are the grain morphology, angle and speed of grain boundaries. The speed can be reduced with the presence of a dispersed phase in the material, provided it is thin and evenly distributed.

The state of Rio Grande do Norte is a major producer of refractory metals (W, Ta, Nb), ceramic minerals (diatomite, kaolin, feldspar, mica, barite, clays, etc.) and other minerals containing rare earths and semi-precious stones. However, this natural wealth that places Rio Grande do Norte among the top five producers of minerals in the country has not reversed in progress and development for the region, mainly due to lack of technology to aggregate these resources to local raw materials.

This study presents the development of a new metal matrix composite (MMC), which has the following starting materials: ferritic / martensitic EUROFER 97 stainless steel and tantalum carbides - TaC as reinforcement, one of them synthesized in laboratory (UFRN) and the other supplied by Aldrich, the first with average crystallite size of 13.78 nm and the second with crystallite size of 40.66. TaC nanometric particles were inserted into the metallic matrix through the processing steps of powder metallurgy seeking to improve the properties of the final product.

Initial sintering studies with EUROFER 97 steel reinforced by TaC nanosized particles dispersed into the matrix of MMC composites showed satisfactory values with respect to the improvement of the mechanical properties regardless of processing, as sintered materials with similar microhardness values and even greater that 100% the value of 333.2 HV for bar-shaped pure steel was obtained (Oliveira, 2013).

#### 7.1. Experimental procedure

EUROFER steel was received in the form of bar and has ferritic / martensitic microstructure as can be seen in Figure 2, as well as the presence of grain boundaries with considerable sizes, unable to be viewed in full due to their size indicated by arrows. Microhardness measurements were made and the average value was 333.2 HV and according to the literature, the theoretical density ranges from 8.0 to 8.1 g/cm<sup>3</sup>.

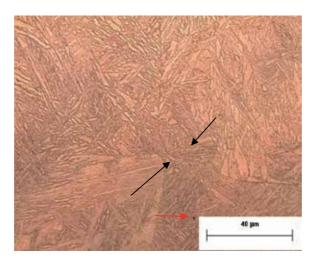


Figure 2. MO micrograph (500x) of EUROFER steel as received, attacked with 2% Vilela.

After characterization of the bar-shaped starting material, machining was performed, where chip was removed, and from this, the grinding process began. Firstly, pure steel was performed, and then steel with the addition of 3% UFRN TaC and 3% commercial TaC. The powders were milled for 5 hours in high-energy mill. Each of the resultant particulate samples were characterized by x-ray diffraction and SEM and then cold compacted under uniaxial pressure of 600MPa in a cylindrical array of 5 mm in diameter. Subsequently, the compressed powders were sintered in a vacuum oven at temperature of 1250°C isotherm for 60 minutes. Sintered samples were cooled to room temperature. The structure of sintered samples was observed by optical and scanning electron microscopy and analyzed by microhardness tests.

#### 7.2. Results

Figure 3 shows the microstructure and the X-ray pattern of pure EUROFER steel milled for 5 hours. The electron micrograph of EUROFER 97 shows uneven particles with rough surface, which can be compared to the morphology of powder atomized with water, Fig (3a). The Xray diffraction pattern, Figure (3b) shows only peaks associated to Fe with CCC structure, which is the same structure of the ferrite phase. This is a strong indication that the milling conditions used significantly influenced the phase transformation occurred during sintering of EUROFER steel. During milling, the starting percentage of martensite was transformed into ferrite from the effects of powder processing.

Figure (4a) shows the electron micrograph of EUROFER 97 steel composite powder with addition of elemental TaC (UFRN) after 5 hours of milling. It was observed that there is a similarity in images-SEM of pure steel powder and composite powder, Figure (4a) and Figure (4a). The presence of carbides (light spots) is noticeable, which are distributed and inserted in a dispersed form on the surface of the metal matrix (dark gray surface). Figure (4b) shows the XRD pattern of X-ray of EUROFER 97 steel composite powder with elemental TaC (UFRN)

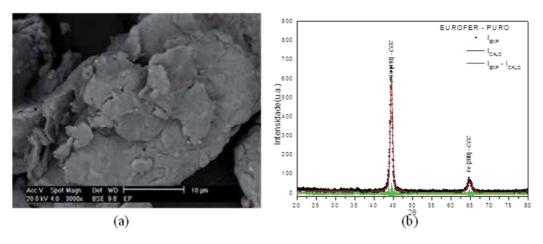


Figure 3. Pure EUROFER 97 steel powder milled for 5 hours, (a) SEM-magnification 3000x and (b) XRD.

milled for 5 hours, which presents peaks characteristics of steel and TaC, and their respective phases. The diffractogram shows only the ferrite phase, without martensite, for iron after 5 hours of milling.

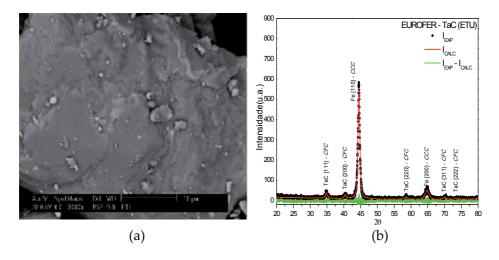


Figure 4. EUROFER 97 steel powder with TaC UFRN milled for 5 hours, (a) SEM-magnification 3000x and (b) XRD.

Figure (5a) shows the SEM image and figure (5b) shows the diffraction standard of X-ray of EUROFER 97 steel composite powder with commercial TaC milled for 5 hours in a high-energy planetary mill. Initially, the SEM microstructure shows heterogeneous particle size and shape and the non-uniform dispersion of carbides into the metal matrix compared to particulate composites of steel with UFRN TaC Figure (4a). XRD pattern shows peaks of Fe with TaC and CCC structure with greater intensity and height for carbide when compared with XRD pattern of the EUROFER 97 composite powder with UFRN TaC, Figure (4b).

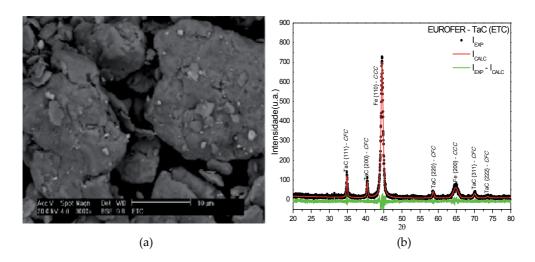


Figure 5. EUROFER 97 steel powder with commercial TaC milled for 5 hours, (a) SEM-magnification 3000x and (b) XRD.

Figure 6 shows the micrographs (SEM) of samples sintered at temperature of 1250°C for 60 minutes. Figure (6a) shows sintered pure EUROFER steel with microstructure that indicates that it is in the final stage because pores are small and rounded, typical of this stage. Figures (6b) and (6c) show the dispersion of TaC particles in ETU and ETC composites, in which it is observed that TaC particles (white portion) are dispersed in the grain boundaries of the metal matrix (gray portion); in the case of ETU sample still with the presence of many pores, a large cluster of TaC particles randomly dispersed in the metal matrix between the boundaries and within the pores of the sintered sample was observed, hindering the closing of pores and the non densification of the material.

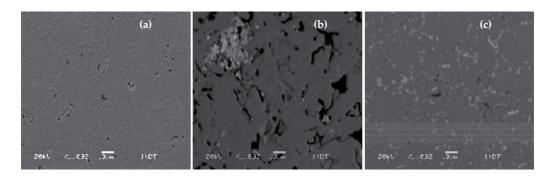


Figure 6. Micrographs (SEM-1000x) of samples sintered at 1250°C for 60 minutes.; (a) Pure EUROFER (EP), (b) EURO-FER with UFRN TaC (ETU) and (c) EUROFER with commercial TaC (ETC).

Micrographs (OM) of Figure 7 show sintered pure steel (Fig. 7a), sintered steel with UFRN TaC (Fig7b) and sintered steel with commercial TaC (Fig. 7c). Regarding porosity, there are small and well rounded pores, indicating the final sintering stage. The idealized sinterization models proposed by various authors are specific to each stage. Figure 7a shows in the micrograph of sintered EUROFER pure steel sample, the size of grain boundaries and the presence of two phases, ferrite (lighter portion) and martensitic (darker portion); Figure 7b shows sintered ETU still with the presence of many pores compared to the two other sintered samples under the same conditions; however, pores in the segmented form in particles and also rounded pores, indicating sintering at stage from intermediate to the final stage. Microstructure with diffuse phases, dark and clear portions, was observed. Figure 7c shows densified samples with grain boundaries with regular shapes and small sizes; however, the presence of a single phase characteristic of ferritic phase can influence the mechanical properties of the material.

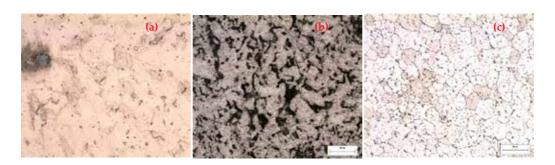


Figure 7. Micrographs (SEM-500x) of samples sintered at 1250°C for 60 minutes; (a) Pure EUROFER (EP), (b) EURO-FER with UFRN TaC (ETU) and (c) EUROFER with commercial TaC (ETC).

Table 1 shows the microhardness values obtained for pure Eurofer 97 and samples added of elemental TaC sintered at temperature of 1250°C and time of 60 min.

It was observed that the ETU sample obtained the highest hardness value compared to all other samples, which can be related to the size of very fine particle, described in experimental procedure, not even when dealing with a well-densified sample.

The large difference in the microhardness values among pure steel samples can be observed, and for this, the processing of powder metallurgy (milling and sintering) was sufficient to improve the mechanical properties of steel samples with UFRN TaC compared to steel samples with commercial TaC, both at the same sintering temperature and sintering time. These differences in the microhardness values can be probably associated with the dispersal of coarse and / or fine particles in the metal matrix, and this is due to the synthesis of carbides; even with low energy input, UFRN TaC produced particles with small crystallite size and more homogeneous compared to that provided by Aldrich, or may even be related to phase transformation, i.e., in sintered samples with commercial TaC, the prevailing phase is ferrite, which has low hardness, whereas in those with UFRN TaC, the presence of other phases, i.e., the metal matrix composite remains ferritic / martensitic as the initial steel and the presence of fine particles dispersed or embedded in the metal matrix of the EUROFER steel.

Samples	Microhardness (HV)				
EP	636.8 ± 35.6				
ETU	700.6 ± 17.0				
ETC	191.2 ± 20.3				
EP= Pure EUROFER;					
ETU=EUROFER + UFRN TaC;					
ETC=EUROFER + commercial TaC					

Table 1. Microhardness results of composites milled for 5 hours and sintered at temperature of 1250°C for 60

#### 8. Conclusions

The process of TaC powder synthesis generated microstructure of refined grains with rounded morphology of particles, and crystallite size (13.78 nm) that may have influenced densification and also the microhardness of sintered samples.

Tantalum carbide-TaC with nanosized crystallites, strongly agglomerated, forming particles smaller than EUROFER 97 steel particles, were dispersed by milling, remaining compacted among particles that compose the metal matrix, thus obtained material with refined microstructure, smaller grain sizes and good mechanical properties.

SEM images show sintered pure steel samples and steel composites with UFRN TaC, in which microstructure typical of sintered material with initial, intermediate, final sintering stages were observed. In addition, the dispersion of tantalum carbides in the EUROFER steel metal matrix was observed.

Optical microscopy showed a change in grain size and in the microstructure of the different sintered materials. For samples with the addition of TaC, grain size decreased or remained stable compared with sintered pure steel. Sintering at temperature of 1250°C provided high densification in composite reinforced with Aldrich TaC but the microhardness result was low, which can be related to a phase change, i.e. steel became fully ferritic.

The powder metallurgy processing was sufficient to improve the mechanical properties of EUROFER steel, with small difference in relation to microhardness results between EUROFER steel and composite reinforced with UFRN TaC. In the case of composite reinforced with commercial TaC, there is a considerable difference, which can be related to the phase transformation and / or presence of coarse particles compared with UFRN TaC powder particles, which are thinner.

New EUROFER 97 steel composite with TaC was developed and characterized according to appropriate procedures for the manufacturing processes used in this work and with altered mechanical properties and future features such as: special applications in nuclear reactor, cutting materials and many others.

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# **Sintering Techniques on Metals**

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# Consolidation of AISI316L Austenitic Steel — TiB2 Composites by SPS and HP-HT Technology

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Additional information is available at the end of the chapter

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#### 1. Introduction

Quickly developing industry and need for materials of better utility parameters contribute to development of innovative production technologies. Industry is always in search of new technologies which meet growing demands of faster better and cheaper products. Powder metallurgy is modern technology of manufacturing of ceramic, metallic and composite products. Commonly applied technologies of the powder metallurgy based on the sintering process include following stages:

- i. Obtaining of the powders; the aim is obtaining of adequate chemical and phase composition of a powder of certain physical and technological properties. The powders are attained among others through: processing of natural raw materials, chemical synthesis, size reduction, segregation and other methods.
- **ii.** Product formation; a process due to which powder is prepared giving it respective shape through pressing, drawing, casting from a slurry. During the pressing process itself, the following phenomena occur:
  - particles of the powder come close at a distance allowing adhesion,
  - increase of contact surface area of the powder due to relocation and their plastic deformation,
  - peeling the oxide coating off through abrasion of the neighbouring particles,
  - and local point sintering of the particles due to plastic deformation and increase of temperature.
- **iii.** Sintering; main operation of the technology of the powder metallurgy, which is conducted until diffusion connection of the powder particles is established.



iv. Processing of the sinters; the final stage after which the product is ready to use.

The sintering process itself is a process depending on transforming of the powder material into new stable material with different physical properties. The characteristic feature of the technology is possibility of serial production of ready elements and semi-products of the highest quality and strictly specified composition, without impurities and faults connected with conventional technological procedures of plastic processing or foundry. Besides, parsimony in the use of materials, energy, time and automation of the process make the method very economical and prospective. Therefore, in recent years, the interest in modern sintering methods in the production processes has increased [1-4].

Sintering is the process involving many quantitative and qualitative factors. The first group of the factors affecting the sintering are values characterizing a preformed product from a power, such as: particle size of the powder, its chemical and phase composition, density of a profile, inhomogeneity of the profile. On the other hand, the second group of the factors make parameters controlled during the sintering process such as: temperature, time, pressure, sintering atmosphere. It is also to remember about so called random factors, which, in uncontrolled way, may influence the result of sintering, e.g. inhomogeneous chemical composition or inhomogeneity of mixtures [1].

From the mentioned above three groups of factors influencing properties and microstructure of the sintered materials, the most important are the following:

- Temperature of sintering, which should ensure successful finalizing consolidation processes and receiving of required utility properties. It should be selected in such a way, that during consolidation, diffusion mechanisms causing solidifying contraction dominated.
- · Time of sintering is the time during which the sintering material is kept at the sintering temperature. Carefully chosen time allows for effective course of diffusion mechanisms in a material and limits also the process of grains growth.
- Pressure; application of the external load causes intensification of the consolidation processes and, among others, simplifies the elimination process of pores at the final stages of the sintering. Due to application of pressure, temperature can be lowered and time of sintering can be shorter.
- Atmosphere of sintering; during the sintering process, neutral (protective) or active atmosphere can be applied. In most cases the process is realized in the neutral atmosphere, whose main advantage is protection of the sintering materials again oxidation. This kind of atmospheres are selected in such a way, so possible reaction with a sinter is forbidden. On the other hand, the active atmospheres directly influence the sintering process causing desirable changes of the chemical composition, eg. prevent decomposition of the compound before finish of the sintering or reduce oxides present in the powder that impede sintering etc.
- Activators of sintering are used in order to accelerate contraction, decrease sintering temperature or formation of proper microstructure of a sinter. They influence mainly

acceleration of diffusion processes, formation of transient liquid phase and prevent grain growth [1,4-8].

Among techniques limiting grain growth and accelerating consolidation are sintering under pressure (Hot Isostatic Pressing (HIP) and High Pressure - High Temperature (HP-HT) or microwave sintering or the one taking advantage of current impulses. The main advantage of the sintering by HP-HT method is opportunity to achieve extremely high pressures together with high temperatures during the process. It is worth noting, that under influence of simultaneous operation of pressure and temperature, the process proceeds much faster (usually time does not exceed few minutes) than in the case of free sintering (which usually lasts from few to several hours). The obtained sinters are characterized by a degree of densification reaching almost 100% and isotropic properties. The use of such conditions can also reduce the diffusion of particles and prevent grain growth. The high-pressure devices are composed of hydraulic presses and specially-designed chambers that permit sintering. Presently, many solutions for such high-pressure chambers exist. Basic types of the chambers applied in industry are the following: spherical chambers of Bridgman type, 'Belt' type chambers and multi-die cubic chambers. Such construction solutions of the synthesis chamber allow for relatively large volume of reaction charge, optimal distribution of pressure and achievement of high sintering temperature. Their characteristic feature is attaining quasi-hydrostatic state of stress through stable medium transferring pressure, such as various kinds of rocks, most often. The highest possible temperature at which the sintering process can be carried by means of HP-HT method is 2000°C, or even more – depending on the duration of the sintering process [9-11]. The HP-HT method is applied to sintering large group of materials, for example: diamond [10], cubic boron nitride (cBN) [12], TiB<sub>2</sub> ceramics [13], gradient materials [14,15], composite materials [12,16-19] and others.

In recent years, many papers were published on new techniques of sintering, in which heating of charge is performed by impulse current source. Taylor is recognized as a progenitor of this technology [20], who in 1933 proposed a pioneering solution involving to heat up during sintering Joule heat released during current flow through the consolidating powder. This process was named resistance sintering (RS). Currently, large interest in this kind of sintering is connected with its technical and economical advantages. The resistance sintering allows to obtain dense sinters with good properties at lower temperature and short time (from few to several minutes) [21]. The RS techniques involving pulsed current include Pulse Plasma Sintering (PPS) and Spark Plasma Sintering (SPS) methods. The SPS method was developed in 1960 initially to sinter metal powders [22]. However, due to high cost of equipment and low efficiency of the sintering process, the solution has not found its application at the beginning. Only in 80's of the 20th century, a new generation of apparatus for sintering of materials was elaborated under a name Spark Plasma Sintering. The SPS method was used even then to produce modern composite and functional gradient materials [23,24]. Up till now, not all phenomena associated with pulsed current sintering have been explained. There are many theories regarding the SPS sintering. Yoshimura et al. proved experimentally [25] that consolidation intensifies processes caused by the pulsed current.

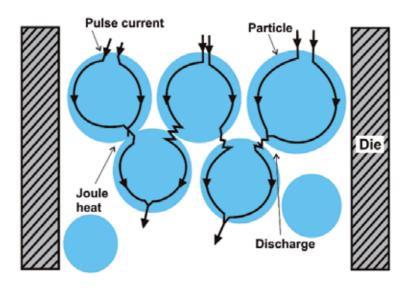


Figure 1. A schematic drawing of the pulsed current that flows through powder particles [26]

Currently accepted concept basis on the phenomenon of electric discharge. Heating up of the sintered materials is caused by pulsed current that may flow in two ways: either through graphite die and punches or through press powder particles. At the moment of current flow through powder particles, electric discharge occurs at places, where particles touch each other and at free spaces in the material (Figure 1). As a result, in these regions, a momentary increase of temperature even up to several dozen thousands degrees of Celsius is observed. The heat in the process concentrates at surface of the particles. Then, vaporizing occurs followed by cleaning, activation of the sample surface and increase of diffusion processes on the surface and grain boundaries. Next, partial melting takes place and formation of necks between particles being connected [27-29]. The pulsed current during SPS sintering is usually applied during consolidation of materials that conduct electric current. On the other hand, insulators must be consolidated with the application of the direct current. Main advantages of the SPS method are as follows:

- shortening of the time of sintering, what prohibits the grain grow of materials,
- enabling fast densification under relatively low temperatures,
- elimination of application of the sintering agents in the case of many materials,
- faster and better purifying and activation of the powder particle surfaces, what enhances sintering activity,
- · consolidation of materials without the application of the initial pressing, isostatic densification and drying [26,29,30].

Thanks to SPS method, sinters of the following materials were produced in the recent years: metals and their alloys (eg. Fe, Cu, Al, Au, Ag, Ni, Cr, Ti, Mo etc.), oxide ceramics (eg. Al<sub>2</sub>O<sub>3</sub>. MgO, ZrO<sub>2</sub>, TiO<sub>2</sub>, SiO<sub>2</sub>), carbides (eg. SiC, B<sub>4</sub>C, TiC, ZrC, WC), nitrides (eg. TiN. Si<sub>2</sub>N4, TaN, ZrN, AlN), borides (eg. TiB<sub>2</sub>, ZrB<sub>2</sub>, HfB<sub>2</sub>, VB<sub>2</sub>), fluorides (eg. LiF, CaF<sub>2</sub>), composite materials and intermetallic phases [24, 25, 31-35].

Currently, the TiB<sub>2</sub> compound belongs to the group of modern engineering ceramics. The interest in this material has been developing since the beginning of 2000. Previously, the use of TiB<sub>2</sub> was limited for technological reasons. TiB<sub>2</sub> ceramics is characterised by a unique combination of physico-chemical properties that allow it to be used under the conditions of high temperature and in corrosive environments. The most attractive properties of TiB<sub>2</sub> are:

- high hardness (33 GPa),
- high tensile strength (350 MPa),
- high compression strength 4900 MPa,
- the bending strength of 305-521MPa obtained for products sintered in the temperature range of 1600-1900°C,
- high Young's modulus (570 GPa) stable with increasing temperature,
- high melting point (3127°C),
- low density (4.5-4.62 g/cm<sup>2</sup>).

Titanium diboride is also characterised by a very good resistance to oxidation, chemical and structural stability at high temperatures, thermal shock resistance and abrasion resistance [36-38]. Currently, TiB<sub>2</sub> ceramics is an attractive material for specific applications in the aviation, automotive, defence, and aerospace industries, including the production of armours for land vehicles, ships and planes, aerospace parts and parts operating at high temperatures, characterised by very good abrasion resistance. Restrictions on more extensive use of TiB<sub>2</sub> ceramics are mainly due to difficulties associated with the sintering process and obtaining in this way a pure ceramic material of suitable density. They include, among others:

- a very high melting point,
- low coefficient of diffusion along the grain boundaries, requiring a long time of sintering,
- presence of oxide layers of TiO<sub>2</sub> and B<sub>2</sub>O<sub>3</sub> adversely affecting the compaction process and reaction with metal matrix [39,40].

Despite these difficulties of purely technological character, recent years have brought an increased interest in titanium diboride, with focus on its use as a phase reinforcing the metal matrix composites. Technical literature [41-46] presents studies that relate to the use of TiB<sub>2</sub> ceramics as a reinforcing phase of composites based on, among others, iron, aluminium, copper, titanium, or cobalt, and on their respective alloys. Authors of the research works focus their attention mainly on studies of the effect of the amount of the reinforcing phase on the properties and microstructure of these composites and on the development of best technology for their manufacture. In the composites reinforced with particles of TiB<sub>2</sub>, an important issue is to choose the right size of the reinforcing phase and at the same time its optimum percent content in the composite material. Depending on the volume fraction and particle size of each material, sintered products with highly varied microstructure and properties can be obtained. Studies described in [48,49] show the impact of TiB<sub>2</sub> ceramics on microstructure and tribological as well as mechanical properties of austenitic stainless steel sintered by HIP. Nahme et al. [47] studied the mechanical properties of AISI 316L stainless steel reinforced with 15 vol% of TiB<sub>2</sub>, including its behaviour at elevated temperatures. An improvement was obtained in the Young's modulus (218 GPa), tensile strength (885 MPa) and compression strength (1800 MPa) of the sintered materials. As proved by various research works, the deformation of sintered AISI 316L stainless steel reinforced with 15 vol% of TiB<sub>2</sub> was significantly reduced from 45% to 6%. Microstructural observations revealed a uniform distribution of TiB<sub>2</sub> ceramics in the examined material and presence of phases rich in Cr/Mo in places where the grains of this ceramics appeared. Tjong et al. [48] examined the properties of AISI 304 austenitic stainless steel reinforced with varying amounts of TiB<sub>2</sub> ceramics. It has been shown that increasing the content of the reinforcing TiB<sub>2</sub> phase improved both hardness and tensile strength, but at the expense of reduced ductility. Based on the tribological tests carried out, a dramatic improvement of abrasion resistance has been obtained with increased volume fraction of the ceramic phase.

The aim of the presented study was to analysis of the effect of sintering techniques on the physical, mechanical and tribological properties of 316L austenitic steel-TiB<sub>2</sub> composites.

### 2. Materials and research methodology

#### 2.1. Materials selected for sintering composites

The TiB<sub>2</sub> powder (2.5-3.5µm in average size, 99.9 wt.% in purity) and commercial AISI 316L austenitic stainless steel (25µm in average size, Hoganas) were used in the present study. The stainless-steel powder have the chemical composition as follows: 17.20 wt.% Cr, 12.32 wt.% Ni, 2.02 wt.% Mo, 0.43 wt.% Mn, 0.89 wt.% Si, 0.03 wt.% S, 0.028 wt.% P, 0.03 wt.% C and balance of Fe. Figure 2 presents the morphology of the selected powders.

The raw powders were mixed in the special, closed container using the mixer of TURBULA type. The powders were mixed for 8 hours. The initial phase composition of mixtures for the samples preparation were as follow:

- Steel AISI 316L+2 vol% TiB<sub>2</sub>
- Steel AISI 316L+4 vol% TiB<sub>2</sub>
- Steel AISI 316L+6 vol% TiB<sub>2</sub>
- Steel AISI 316L+8 vol% TiB<sub>2</sub>

For composition analysis, the AISI 316L austenitic stainless steel was used.

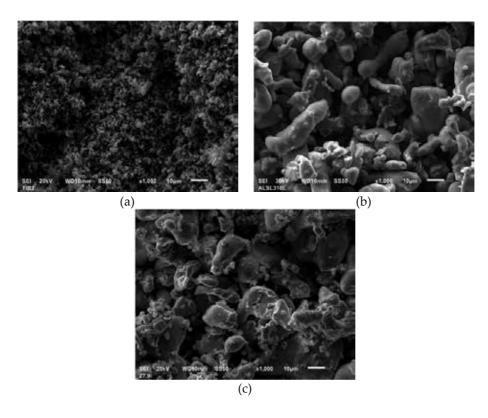


Figure 2. Morphology of powders of: a) titanium diboride, b) AISI 316L austenitic stainless steel and c) mixtures of steel with 8 vol% TiB2 used in current study.

#### 2.2. Fabrication of composites

Consolidation of composites reinforced with various volume fractions of TiB<sub>2</sub> were performed by High Pressure-High Temperature (HP-HT) and Spark Plasma Sintering (SPS). First, for the densification of composites the high pressure-high temperature (HP-HT) Bridgman type apparatus was used. Figure 3 presents the scheme of Bridgman-type HP-HT apparatus. The samples were sintered at temperature of  $1000^{\circ}$ C and  $1300^{\circ}$ C pressure of  $5 \pm 0.2$  GPa for 60 seconds. In the case this methods, the samples had a size: 15 mm in diameter, 5 mm in high.

Next, the SPS process was carried out using the HPD 5 FCT System GmbH furnace. Materials are compacted in a graphite die using a maximum pressure of 35 MPa at vacuum. Maximum pressure was obtained after duration of 10 minutes. Vacuum and pressing time of 10 minutes was to vent the mixture. After that, the SPS furnace chamber introduced argon, which acted as a protective gas and the sintering process was carried out. The heating rate and holding time were 200°C\*min<sup>-1</sup> and 30 minutes, respectively. In the case this methods, the samples had a size: 20 mm in diameter, 7 mm in high. Figure 4 shows the schematic of Spark Plasma Sintering (SPS).

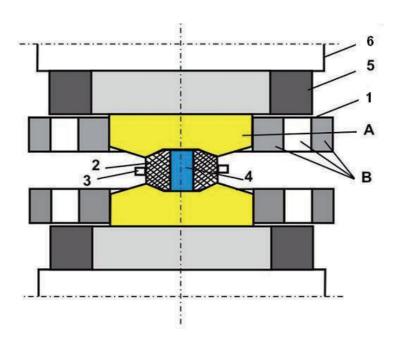


Figure 3. Scheme of Bridgman-type, toroidal HP-HT apparatus: 1 – anvil (A – central part made of sintered carbides, B - supporting steel rings), 2- pyrophyllite container, 3 - pyrophyllite gasket, 4 - material for sintering, 5 - punch, 6 supporting plate [11].

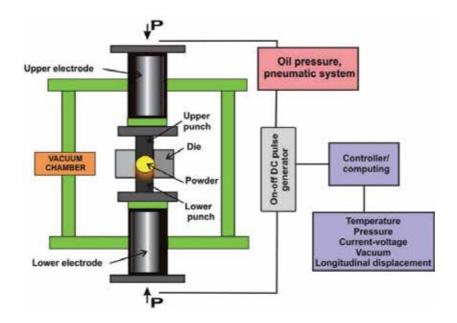


Figure 4. Spark plasma sintering device scheme.

#### 2.3. Testing methods

Density was determined by weighing in air and water using Archimedes method. Uncertainty of measurements was 0.02 g/cm<sup>3</sup>. Young's modulus of the composites were measured basing on the velocity of the ultrasonic waves transition through the sample using ultrasonic flaw detector Panametrics Epoch III. The velocities of transverse and longitudinal waves were determined as a ratio of sample thickness and relevant transition time. The accuracy of calculated Young's modulus is estimated at 2 %. Calculations were made using the following formula:

$$E = \rho C_T^2 \frac{3C_L^2 - 4C_T^2}{C_L^2 - C_T^2}$$

where: E-Young's modulus, C<sub>L</sub> – velocity of the longitudinal wave, CT-velocity of the transversal wave, o-density of the material.

A JEOL JSM 6610LV scanning electron microscope with Energy Dispersive Spectrometry (EDS) and Hitachi SU-70 scanning electron microscope with Wavelength Dispersive Spectroscopy (WDS) were used for microscopy studies of composites. Also, the phase compositions of selected samples were analysed by X-ray diffraction (XRD) using Cu K radiation with a scintillation detector (Empyrean; PANalytical).

The Vickers microhardness (HV0.3) of the composite samples was measured at FM-7 microhardness tester. The applied load for non-graded materials was 2.94 N. Standard deviations of HV 0.3 values were no more than 4 % of the average values. The compression test was carried out at INSTRON TT-DM machine at strain rate of about of 10<sup>-3</sup> s.

Tribological tests were performed using a ball-on-disc wear machine UMT-2T (producer CETR, USA). The experimental procedure followed the ISO 20808:2004(E) [49,50]. For ball-ondisk method the sliding contact is brought by pushing a ball on a rotating disc specimen under a constant load. The loading mechanism applies a controlled load F<sub>n</sub> to the ball holder. The friction force was measured continuously during the test using the extensometer. For each test a new ball is used. Specimens were washed in high purity acetone and dried. After the ball and sample were mounted, materials are washed in ethyl alcohol and then dried. The wear test conditions were:

ball made of Al<sub>2</sub>O<sub>3</sub>, diameter of 3.175 mm,

friction track diameter: 4 mm,

sliding speed: 0.1 m/s,

total sliding distance: 200 m,

test duration: 2000s,

load applied: 4 N,

room temperature.

The values of friction coefficient were calculated from the following equation:

$$\mu = \frac{F_f}{F_n L}$$

where  $F_i$  is the measured friction force, and  $F_n$  is the applied normal force, L – sliding distance [m].

Following the wear test, the specific wear rate was calculated. For the wear track on the disc specimen, the cross-sectional profile of the wear track at four places at intervals of 90° using a contact stylus profilometer was measured with accuracy of measurement in the vertical axis of  $0.01 \mu m$ , in the horizontal axis of  $0.1 \mu m$ . The cross-sectional area of the wear track was calculated using a custom-developed software. Specific wear rate according to wear volume was calculated by means of equation:

$$W_{V(disc)} = \frac{V_{disc}}{F_n L}$$

where:  $W_{V(disc)}$  – specific wear rate of disc [mm<sup>3</sup>/Nm];  $V_{disc}$  – wear volume of disc specimen [mm<sup>3</sup>];  $F_n$  – applied load [N]; L-sliding distance [m].

The wear volume of disc specimen was calculated from following Equation:

$$V_{disc} = \frac{1}{2}R(S_1 + S_2 + S_3 + S_4)$$

where: R –radius of wear track [mm];  $S_1$  to  $S_4$  – cross-sectional areas at four places on the wear track circle [m<sup>2</sup>].

The cross-sectional microstructure of worn surface was observed using a scanning electron microscope (SEM) JEOL JSM 6610LV.

#### 3. Results

Figure 5 shows the results of measurements of the apparent density of the composite materials sintered by HP-HT and SPS. From these results (Figure 5a) it follows that all the materials sintered by HP-HT have reached a very high level of density, despite the short sintering time (60 sec). This was due to the combined effect of high pressure and high temperature during the HP-HT sintering process. The use of such sintering conditions allows restricting the diffusion and prevents growth of grains in the sintered materials. For composites sintered at 1300°C, the apparent density (Table 1) was in the range of 99-100% of the theoretical density. The apparent density was found to be decreasing with the increasing amount of the reinforcing TiB<sub>2</sub> phase in the composite matrix. This was the result of a much lower density of titanium diboride (4.52 g/cm<sup>2</sup> [55]) compared with the density of AISI 316L austenitic stainless steel (8.00 g/cm $^2$  [56]). The resulting sintered composites had low porosity. The porosity of the composites with various content of the TiB $_2$  ceramics was in the range of 0.004-0.017% (Table 1).

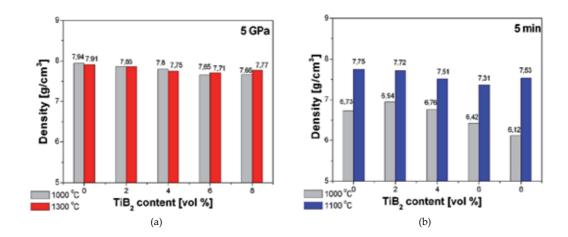


Figure 5. Effect of TiB<sub>2</sub> particle content and sintering methods: a) HP-HT [51,52] and b) SPS [53] on relative density of composites.

In contrast, analysing the results (Figure 5.b) of the apparent density measurements for the composites sintered by SPS, a strong correlation was noticed between the apparent density of the tested materials and the sintering temperature (Table 2). The apparent density was significantly improved with the increase of sintering temperature. The best density in the range of 97-99% of the theoretical density was obtained for the sintering temperature of 1100°C, while sinters produced at a temperature lower than 1000°C had the density of 82-88%. The temperature of 1000°C was not sufficient to obtain the required degree of consolidation in the austenitic steel-based composites reinforced with TiB<sub>2</sub> ceramics. Careful examination of the results has showed that the process of composite consolidation was most intense during the first few minutes of the SPS, producing the required degree of density (Figure 5b). Materials manufactured at 1000°C were characterised by high porosity in the range of 10.5-18.5% (Table 2). Increasing the sintering temperature to 1100°C has improved this parameter, and composite porosity was found to be at a level of 0.03-2.3%.

Sintered materials	Sintering conditions (HP-HT)		Relative density	$\frac{\mathbf{Q}_0}{\mathbf{Q}_{teor}}$	Porosity	(	Young's modulus	$\frac{E}{E_{teor}}$
	T [°C]	p [GPa]	Q0 [g/cm³]	[%]	[%]	[-]	E [GPa]	[%]
steel AISI 316L	1000	- 5 -	7.94	100	0.005	0.30	190	91
	1300		7.91	100	0.004	0.31	192	94
steel AISI 316L+ 2vol% TiB <sub>2</sub>	1000	5	7.86	100	0.006	0.30	197	91

Sintered materials	Sintering conditions (HP-HT)		Relative density	$\frac{\mathbf{Q}_0}{\mathbf{Q}_{teor}}$	Porosity	(	Young's modulus	$\frac{E}{\mathbf{E}_{teor}}$
	T [°C]	p [GPa]	Q0 [g/cm³]	[%]	[%]	[-]	E [GPa]	[%]
	1300		7.86	100	0.004	0.30	205	94
steel AISI 316L+ 4vol% TiB <sub>2</sub>	1000	- 5	7.8	100	0.005	0.30	202	90
	1300		7.75	99	0.010	0.29	209	93
steel AISI 316L+ 6vol% TiB <sub>2</sub>	1000	- 5 -	7.65	99	0.009	0.29	208	91
	1300		7.71	99	0.011	0.29	215	94
steel AISI 316L+ 8vol% TiB <sub>2</sub>	1000	- 5 -	7.66	100	0.007	0.29	207	88
	1300		7.77	100	0.004	0.29	216	93

Table 1. The selected physical properties of composites with the various content of  $TiB_2$  sintered by HP-HT method [51,52].

Sintered materials	Sintering conditions (SPS)		Relative density	$\frac{\mathbf{Q}_0}{\mathbf{Q}_{teor}}$	Porosity	(	Young's modulus	E E <sub>teor</sub>
	T [°C]	time [min]	<b>و0</b> <b>[g/cm³]</b> [54]	[%]	[%]	[-]	E [GPa]	[%]
AISI 316L	1000	_	6.73	83	12.32	0.26	165	79
	1100	- 5	7.75	97	1.12	0.27	196	94
steel AISI316L+ 2vol% TiB <sub>2</sub>	1000	- 5	6.94	88	10.55	0.29	138	64
	1100		7.72	98	0.03	0.27	207	96
steel AISI316L+ 4vol% TiB <sub>2</sub>	1000	- 5	6.76	86	10.89	0.29	130	58
	1100		7.51	96	0.08	0.28	202	90
steel AISI316L+ 6vol%. TiB <sub>2</sub>	1000	- 5	6.42	83	16.29	0.26	112	49
	1100		7.49	96	2.10	0.28	203	89
steel AISI316L+ 8vol% TiB <sub>2</sub>	1000	. 5	6.29	82	18.27	0.27	166	67
	1100		7.53	98	0.034	0.28	215	91

 $\textbf{Table 2.} \ \ \textbf{The selected physical properties of composites with the various content of TiB_2 sintered by SPS method.}$ 

Figure 6a shows the results of studies of the Young's modulus of materials sintered by HP-HT. The results demonstrate an obvious improvement in this parameter when the content of the reinforcing TiB<sub>2</sub> phase is increasing in the composite matrix. The highest values of Young's modulus were obtained in the composites reinforced with 8 vol% of TiB<sub>2</sub> (Figure 6a). For comparison, Young's modulus was changing with the increasing content of the reinforcing phase from 197 GPa to 207 GPa for the sintering temperature of 1000°C, and from 192 GPa to 216 GPa for the sintering temperature of 1300°C, thus proving that the temperature of sintering also exerts an effect on this parameter (Table 1 and Figure 6a). With the application of temperature raised to 1300°C, higher values of the Young's modulus were obtained. Also in composites sintered by SPS, the temperature of sintering had a significant effect on the Young's modulus (Figure 6b and Table 2). At 1000°C, low values of the Young's modulus and a large scatter in the results of measurements (in the range of 112-176 GPa) were obtained. This might be due to the high porosity of materials sintered under such conditions. Raising the temperature to 1100°C considerably improved the values of Young's modulus (202-215 GPa). In general, it has been found that the temperature of 1000°C is not preferred in the case of the SPS method, since it does not ensure the required high physical properties of the tested materials.

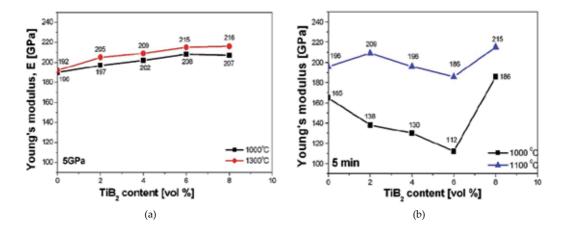


Figure 6. Effect of TiB<sub>2</sub> particle content in matrix and sintering methods: a) HP-HT [51,52] and b) SPS on Young's modulus of composites.

Figures 7 and 8 show examples of the microstructure produced in AISI 316L austenitic stainless steel and in the examined composites made by HP-HT and SPS. In all the composite materials, microstructural observations revealed a homogeneous distribution of the reinforcing  $\text{TiB}_2$  phase in the composite matrix. The best effect of the uniform distribution of  $\text{TiB}_2$  was obtained in the composites containing 6 vol% and 8 vol% of this compound (Figure 7de, 8de). In all the tested composites, the reinforcing  $\text{TiB}_2$  phase had the tendency to settle along the matrix grain boundaries.

Microscopic observations have proved that composites obtained by the HP-HT technique were nearly free from porosity (Figure 7b-e). It was the result of a very high degree of consolidation during the sintering process and a very high level of the obtained density. Figure 7a shows the microstructure of AISI 316L austenitic stainless steel after sintering by HP-HT. A characteristic feature of this microstructure is the presence of fine and irregu-

lar austenitic grains of a few µm. A similar structure of the steel matrix was also observed in the composites with 2 vol% of TiB<sub>2</sub> (Figure 7b). On the other hand, the steel matrix in the composites with higher volume fraction of TiB2 was characterised by a different type of morphology including oval-shaped grains with smooth boundaries. Microstructural analysis made by EDS and WDS (Figure 10,11) and X-ray studies (Figure 9a) confirmed the presence of titanium diboride in the form of dark precipitates settled at grain boundaries. All the investigated microstructures were observed to show the local presence of precipitates containing large amounts of nickel (Figure 11).

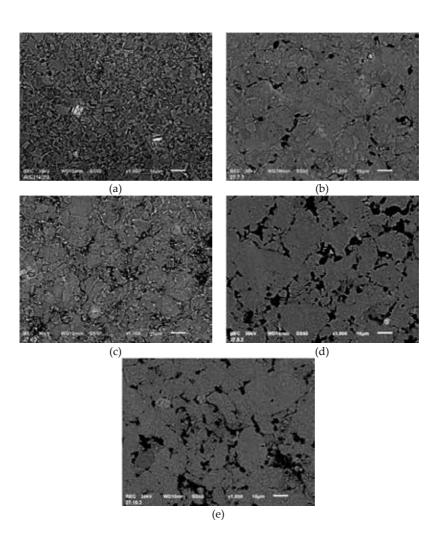


Figure 7. Selected micrographs: a) AISI 316L austenitic stainless steel and composite with: b) 2 vol.% of TiB<sub>2</sub> c) 4 vol% of TiB<sub>2</sub> d) 6 vol% of TiB<sub>2</sub> and e) 8 vol% of TiB<sub>2</sub> (HP-HT method, sintered at 1300°C and 5 GPa).

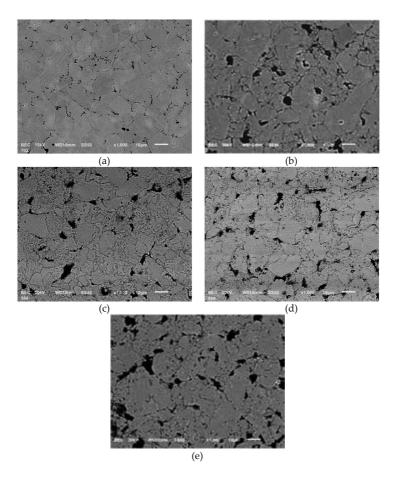


Figure 8. Selected micrographs: a) AISI 316L austenitic stainless steel and composite with: b) 2 vol% of  $TiB_2$  c) 4 vol% of TiB<sub>2</sub> d) 6 vol% of TiB<sub>2</sub> and e) 8 vol% of TiB<sub>2</sub> (SPS method, sintered at 1100°C and 5 min).

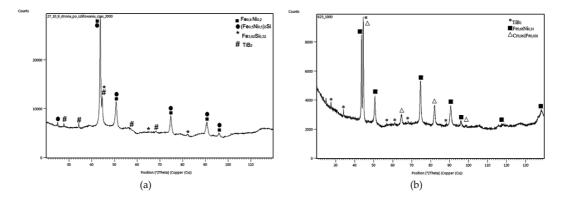


Figure 9. XRD patterns of the composites with 8 vol%  $TiB_2$  particles obtained by HP-HT (1300°C) and SPS method (1100°C).

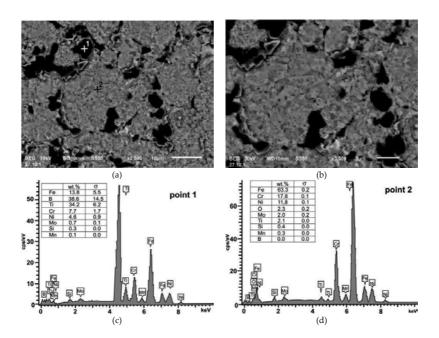


Figure 10. (a, b) The microstructure of composites with 8 vol% of TiB<sub>2</sub> (HP-HT method, sintered at 1300°C, 5 GPa) and (c, d) corresponding point analysis (EDS).

In all the composites made by SPS, the microstructure of the steel matrix has prevailed, i.e. the one characterised by the presence of particles with regular oval shapes, along which the reinforcing TiB<sub>2</sub> phase was distributed (Figure 8b-d). Sinters obtained at a temperature of 1000°C showed some voids at the grain boundaries. In contrast, the composites sintered at 1100°C were nearly completely free from porosity. This demonstrates fully effective optimisation of the SPS sintering process and selection of the best sintering conditions. Differences were revealed in the microstructure of materials sintered by SPS as compared to the materials sintered by high-pressure method. Chemical analysis (EDS and WDS) of composites sintered by SPS disclosed in the microstructure the presence of numerous precipitates containing mainly chromium (Figure 12,13), while X-ray analysis (Figure 9b) further confirmed the presence of titanium diboride and chromium-containing phases. Additionally, in the immediate vicinity of TiB<sub>2</sub> ceramics (Figure 13, the formation of large precipitates with characteristic band substructure was observed (Figure 13). The examined results of microstructural studies clearly show that both the sintering method and the sintering conditions have a significant impact on changes in the microstructure of the tested composites. Application of the SPS method promotes the formation of new phases. Some attention deserves the fact that the SPS sintering process uses pulsed current for heating of the sintered materials. At the time of current flow through the grains, spark discharges take place at the grain contact points and in the void areas of the sinter. As a result of these effects, a temporary temperature increase (up to several thousand degrees Celsius) can be expected in the near-surface layer of particles. It is followed by evaporation, cleaning and activation of the sample surface and by the increased rate of diffusion on the surface and along the grain boundaries [29,30]. A combination of these mechanisms operating in the SPS accelerates the consolidation process and brings changes to the microstructure of the tested composites.

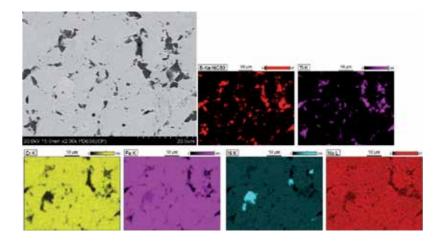


Figure 11. The microstructure of composites with 8 vol% of TiB<sub>2</sub> (HP-HT method, sintered at 1300°C, 5 GPa) with corresponding area analysis (WDS).

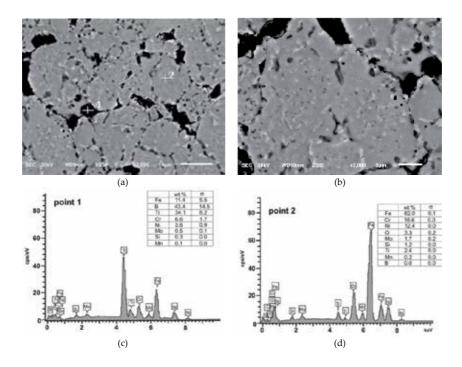


Figure 12. (a, b) The microstructure of composites with 8 vol% of TiB<sub>2</sub> (SPS method, sintered at 1100°C, 5 min) and (c, d) corresponding point analysis (EDS).

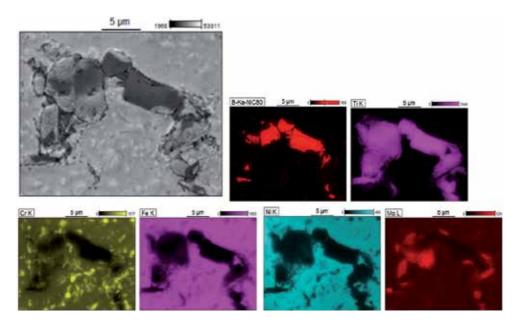
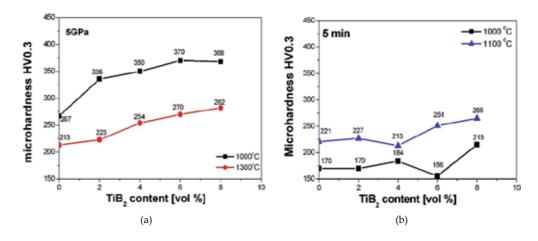


Figure 13. The microstructure of composites with 8 vol% of TiB<sub>2</sub> (SPS method, sintered at 1100°C, 5 min) with corresponding area analysis (WDS).

Figure 14 shows the results of microhardness measurements. In the case of the HP-HT method (Figure 14a) microhardness was found to be essentially dependent on the sintering temperature. In materials sintered at 1000°C, the microhardness higher by about 20-40%, compared to the microhardness of the composites sintered at 1300°C, was obtained. For example, in the composite with 8 vol% of TiB<sub>2</sub>, the microhardness was 368 HV0.3 and 282 HV0.3 for the temperature of 1000°C and 1300°C, respectively. All the composites sintered by SPS (Figure 14b) suffered a definite decrease of microhardness. For materials sintered at 1000°C and 1100°C, a very low level of microhardness was obtained. It was comprised in the range of 170-265 HV0.3 and depended on the TiB<sub>2</sub> content and sintering temperature.

The results of compression tests are shown in Figures 15-17. Careful analysis of the data obtained for composites sintered by HP-HT proves that the introduction of TiB<sub>2</sub> ceramics to the steel matrix significantly improves the mechanical properties of the tested composite materials (Figure 16), compared with the steel without reinforcement (Figure 15a). High content of the TiB<sub>2</sub> reinforcement in the composite matrix improves also the compression strength of this material. The compression strength of the steel without reinforcement was at a level of 580-730 MPa, depending on the sintering temperature (Figure 15a), while even the addition of TiB2 as small as 2 vol% introduced to the steel matrix raised the strength to 1000-1160 MPa (Figure 16a). For composites with 8 vol% of TiB<sub>2</sub>, the compression strength in the range of 1200-1300 MPa was obtained (Figure 16d). The compression curves of the composites sintered at a temperature lower than 1000°C (HP-HT method) were characterised by a large range of elastic deformation and more narrow range of plastic deformation. In contrast, in the composites obtained at 1300°C, the analysis of compression curves revealed a



**Figure 14.** Effect of TiB<sub>2</sub> particle content in matrix and sintering methods: a) HP-HT [51,52] and b) SPS [53] on microhardness of composites.

large range of plastic deformation accompanied by a double reduction in the range of elastic deformation. All the composites sintered by SPS showed very similar characteristics of the stress-strain curve with a large range of plastic deformation (Figure 17). In general, the mechanical properties of composites sintered by SPS were inferior to the properties of composites sintered by high-pressure technique. The compression strength of the AISI 316L austenitic stainless steel without reinforcement was in the range of 520-780 MPa, depending on the sintering temperature (Figure15b). Composites sintered at 1100°C offered higher compression strength comprised in the range of 930-1040 MPa, depending on the content of the reinforcing phase in matrix (Figure 17).

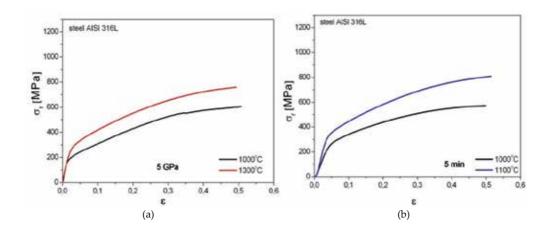
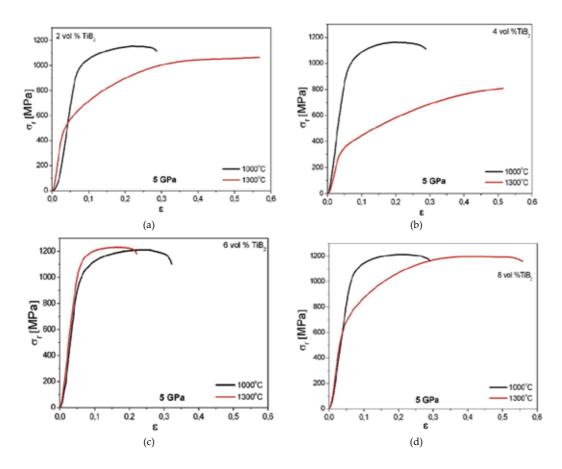
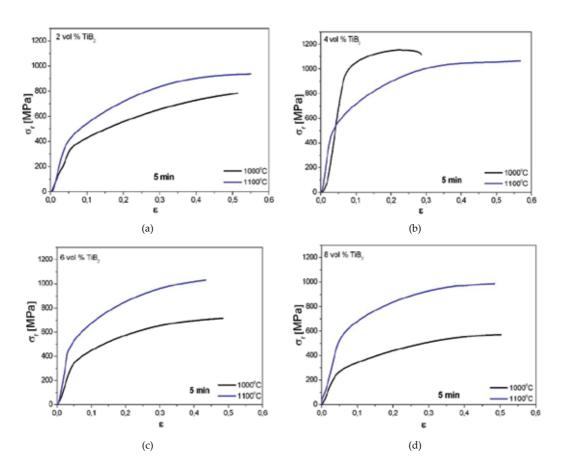


Figure 15. Compression strength of AISI 316L austenitic stainless steel sintered by: a) HP-HT and b) SPS methods.



**Figure 16.** Compression strength of the composites with: a) 2 vol.% of TiB<sub>2</sub> b) 4 vol.% of TiB<sub>2</sub> c) 6 vol.% of TiB<sub>2</sub> and d) 8 vol.% of TiB<sub>2</sub> sintered by HP-HT method.

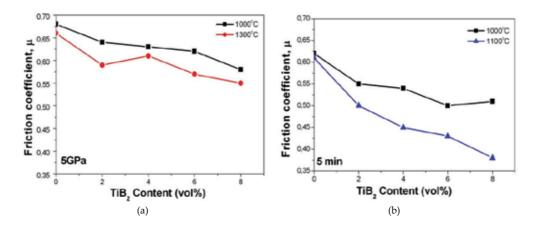
The results of studies of the coefficient of friction ( $\mu$ ) and the specific wear rate ( $W_{v(disc)}$ ) are shown in Figures 18 and 19, respectively. Coefficient of friction depends on the content of TiB<sub>2</sub> ceramics in the composite matrix and on the method of sintering. The abrasion resistance of composites is improved with the increasing content of TiB<sub>2</sub> in the matrix (Figure 18). In the case of HP-HT sintering, the lowest coefficient of friction was obtained in composites containing 8 vol% of TiB<sub>2</sub> (0,54-0,58, depending on the sintering temperature). For comparison, the coefficient of friction of the sintered AISI 316L steel was in the range of 0.67-0.72 (Figure 18a). The addition of TiB<sub>2</sub> improves the abrasion resistance of composites. This is the effect of the high hardness of TiB<sub>2</sub> ceramics, reaching 3400 HV [56]. TiB<sub>2</sub> particles protect the austenitic steel matrix during the process of friction, reducing the rate of wear. Therefore, in composites with lower content of TiB<sub>2</sub> (2 vol% and 4 vol%), the Al<sub>2</sub>O<sub>3</sub> ball could penetrate the material of the steel matrix more easily and remove it during operation. Additionally, it was observed that higher sintering temperature reduced the coefficient of friction. The lowest values of the coefficient of friction were obtained for the material sintered at 1300°C. Similar correlations were observed in the specific wear rate of the tested composites (Figure 19a). The specific wear



**Figure 17.** Compression strength of the composites with: a) 2 vol% of TiB<sub>2</sub> b) 4 vol% of TiB<sub>2</sub> c) 6 vol% of TiB<sub>2</sub> and d) 8 vol% of TiB<sub>2</sub> sintered by SPS method.

rate was decreasing with the increasing content of  $TiB_2$  ceramics in the composite matrix. The specific wear rate also depended on the sintering conditions, which means that it was decreasing with the increasing temperature. The lowest value of the specific wear rate was obtained in a composite with 8 vol% of  $TiB_2$  (372\*10-6 mm³/N • m), while in the AISI 316L steel, it amounted to 599\*10-6 mm³/N • m (Figure 19a).

Composites sintered by SPS (Figures 18b, 19b) offered improved abrasion resistance, compared with the materials sintered by HP-HT (Figures 18a, 19a). The lowest values of the coefficient of friction and of the specific wear rate were obtained in the materials manufactured by SPS at 1100°C, compared with the materials sintered by HP-HT at a temperature of 1300°C. In the case of these composites (SPS), adding the TiB<sub>2</sub> ceramics to the composite matrix considerably reduced the coefficient of friction (Figure 18b). The coefficient of friction assumed the highest value in the austenitic steels (0.62-0.61) and then was gradually decreasing to reach the lowest level in a composite with 8 vol% of TiB<sub>2</sub> (0.37). The obtained results prove that tribological properties depend on the parameters of the sintering process. Higher sintering temperature



**Figure 18.** Variation of friction coefficient with TiB<sub>2</sub> content for composites sintered by: a) HP-HT [54] and b) SPS [53] method.

reduces the coefficient of friction, and thus the material wear rate. The best tribological properties were obtained in a material sintered at  $1100^{\circ}$ C for 5 minutes. For these parameters of sintering, the coefficient of friction assumed the values of 0.50, 0.45, 0.43 and 0.37 for composites containing 2 vol%, 4 vol%, 6 vol% and 8 vol% of TiB<sub>2</sub>, respectively. By contrast, the specific wear rate was  $429*10^{-6}$  [mm³/Nm],  $341*10^{-6}$  [mm³/Nm],  $314*10^{-6}$  [mm³/Nm] and  $299*10^{-6}$  [mm³/Nm] for composites containing 2 vol%, 4 vol%, 6 vol% and 8 vol% of TiB<sub>2</sub>, respectively. The results of tribological studies made on the composites fabricated by two different methods of sintering were consistent with the achievements of, among others, Tjong et al. [48,57]. The authors investigated the properties of composites reinforced with different volume fractions of the TiB<sub>2</sub> ceramics (5-20 vol%). Strong beneficial effect of the addition of TiB<sub>2</sub> particles on the abrasion resistance of austenitic stainless steel has been demonstrated.

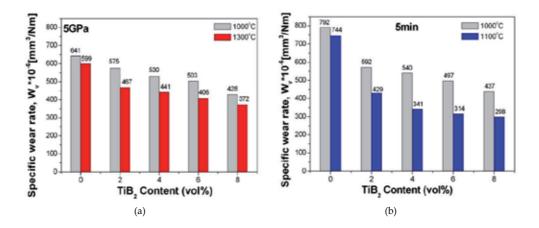


Figure 19. Variation of specific wear rate with TiB<sub>2</sub> content for composites obtained by: a) HP-HT [54] and b) SPS [53] method.

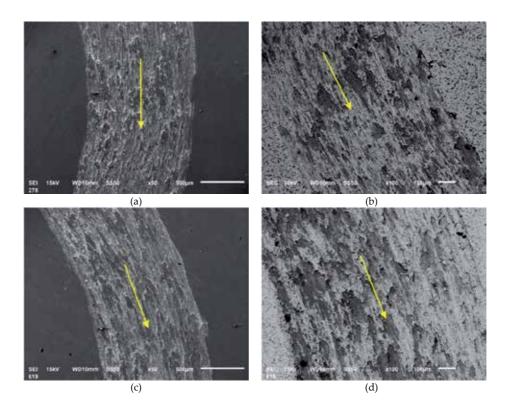


Figure 20. Selected SEM micrograph of the worn surface of composites with 8 vol% of TiB<sub>2</sub> obtained by: a, b) HP-HT (sintering temperature of 1300°C) and c, d) SPS (sintering temperature of 1100°C).

Microstructural examinations of wear traces formed in the process of abrasion followed the tribological tests (Figure 20). In all the tested samples, a very similar nature of the material removal by an Al<sub>2</sub>O<sub>3</sub> ball was observed. There were visible scratches running parallel to the direction of the ball movement. Comparing wear traces in the composites studied, some characteristic features of the abrasive wear, such as scratches and grooves running in the direction of the ball movement, were observed (light-colour arrows in Figure 20). During abrasion process, permanent deformation of material combined with its attrition has occurred in the place of wear, but no cracks appeared on the worn out surfaces. Microstructural examinations (Figure 20b, d) of the places worn out by abrasion indicated the plastic nature of deformation. In all the tested composites, the surface of the worn out places showed the exposed particles of ceramics and local voids, probably caused by tearing the ceramic phase out of the matrix. The particles of TiB<sub>2</sub> present on the composite surface protected the matrix during abrasion and reduced its wear. This effect was particularly strong in composites reinforced with 6 vol% and 8 vol% of TiB<sub>2</sub>.

#### 4. Conclusions

Composite materials based on austenitic stainless steel with varying concentration of TiB<sub>2</sub> ceramics were fabricated. Four variants of the composites were obtained applying the technique of powder metallurgy and the two modern sintering processes, i.e. High Pressure-High Temperature (HP-HT) process and Spark Plasma Sintering (SPS). Based on the results obtained, it has been proved that the introduction of TiB<sub>2</sub> ceramics to the austenitic steel matrix is an efficient way to improve the composite properties. With increasing volume fraction of the reinforcing phase, an improvement in the physical, mechanical and tribological properties was observed. The best composite properties were obtained for the TiB<sub>2</sub> content of 8 vol%.

The study also showed a significant effect of the applied method of sintering on the properties of the tested materials. In all the composites sintered by HP-HT (at 1000°C and 1300°C, 5 GPa) and by SPS (only at 1100°C, 5 min), a very high degree of consolidation was obtained. It was the result of the combined effect of heat and high pressure in the case of the HP-HT method and of the use of electric discharges, high-rate heating and properly selected temperature in the case of the SPS process. Comparing the effect of the sintering methods used it can be concluded that the HP-HT process is a promising method for sintering the TiB<sub>2</sub>-reinforced composites. Generally, the materials sintered at temperature of 1300°C and pressure of 5GPa were characterised by optimal microhardness and very good mechanical, plastic and tribological properties. In contrast, the use of SPS reduced the sintering temperature of composites, because already at a temperature of 1100°C and the duration of 5 min sinters with satisfactory density were produced. The best combination of physico-mechanical and tribological properties was obtained in the composites sintered at 1100°C. Careful analysis of the results has showed that the temperature of 1000°C was too low to produce a composite material with high degree of consolidation and satisfactory properties.

The sintering process carried out by either HP-HT or SPS has yielded the composite materials of a uniform and consistent structure produced within the whole volume of the sintered product. A homogeneous distribution of the reinforcing TiB<sub>2</sub> phase was obtained in the matrix of all the sintered products. The reinforcing phase showed a tendency to settle along the matrix grain boundaries. It has been demonstrated that the applied method of sintering and sintering conditions have a significant impact on changes in the microstructure of the tested composites. Application of the SPS process promotes the formation of new phases at the matrix boundary.

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# Correlation between Thermal and Electrical Properties of Spark Plasma Sintered (SPS) Porous Copper

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Additional information is available at the end of the chapter

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#### 1. Introduction

Spark Plasma Sintering (SPS) is a consolidation approach, in which pulsed direct current passes through a graphite die and a compacted specimen, and enabling very high heating rates with simultaneously applying an external pressure. The combination of internal heating and external pressure provides the conditions for fast sintering. SPS parameters have to be determined based on the thermal and electrical properties of the consolidated material, which, evidently, depend on the compact porosity that decreases during the sintering process. In the present study, the effect of porosity of the SPS-processed Cu specimens on their thermal and electrical properties at room temperature was theoretically and experimentally investigated.

There are some theoretical approaches, which may be applied for analysis of transport properties of a porous material. One of the approaches, the "so-called" general effective media (GEM) method (eq. 1) was discussed in [1, 2].

$$x_{1} \cdot \frac{\left(\sigma_{1}, \kappa_{1}\right)^{\frac{1}{t}} - \left(\sigma_{eff}, \kappa_{eff}\right)^{\frac{1}{t}}}{\left(\sigma_{1}, \kappa_{1}\right)^{\frac{1}{t}} + A \cdot \left(\sigma_{eff}, \kappa_{eff}\right)^{\frac{1}{t}}} = \left(1 - x_{1}\right) \frac{\left(\sigma_{eff}, \kappa_{eff}\right)^{\frac{1}{t}} - \left(\sigma_{2}, \kappa_{2}\right)^{\frac{1}{t}}}{\left(\sigma_{2}, \kappa_{2}\right)^{\frac{1}{t}} + A \cdot \left(\sigma_{eff}, \kappa_{eff}\right)^{\frac{1}{t}}}$$
(1)

The GEM equation (eq. 1) is usually employed for calculating the effective electrical and thermal conductivities ( $\sigma_{eff}$  and  $\kappa_{eff}$ , respectively) for two-phase materials using the electrical ( $\sigma_1$  and  $\sigma_2$ ) and thermal ( $\kappa_1$  and  $\kappa_2$ ) properties of each phase. The morphological (or geometrical)



parameters (A and t) may be derived from the equation of conductivity percolation [1] or by appropriate modeling of experimental results. The  $x_1$  and  $(1-x_1)$  values are the volume fractures of the phases in a two-phase material.

Generally, A and t values depend on the phases distribution and their morphology within two-phase materials. Let us consider a composite material, which consists of continuous matrix and homogenous distributed particles with high aspect ratio (for instance, fibers) of a second phase. For this kind of distribution t value is equal to 1 [1] and the so-called "parallel" and "series" alignment of the particles (relative to the electrical potential or temperature gradients) may be considered. The parameter A varies from  $\infty$  for the parallel to 0 for series alignments. Recently [1], the GEM equation and measured transport properties were successfully used for estimating the fracture and distribution of Sn phase, which displays a fiber shape particles, in the Sn<sub>x</sub>Te<sub>1-x</sub> inter-metallic matrix.

Ke-Feng Cai et al. [3] reported the results of prediction of effective thermal conductivity of porous Al-doped SiC ceramics using the Landauer's expression (eq. 2) derived for spherical and homogeneously dispersed second phase [4].

$$\kappa_{eff} = \kappa_1 \left( \frac{3x_1 - 1}{2} \right) \tag{2}$$

It may be shown that this equation may be derived from the GEM equation (1) if one takes into account that A=2 and t=1.

Another commonly used approach, yet not straightforward for multi-phased materials, to correlate effective transport properties (thermal and electrical conductivity) of porous materials is based on the Weidemann-Franz relation.

$$\kappa_{eff} = L \cdot \sigma_{eff} \cdot T \tag{3}$$

Where, the coefficient L is known as Lorenz number and T represents the absolute temperature. The Lorenz number is constant only in the case when the conduction electrons are scattered elastically. This condition requires that the temperature-independent electron scattering by impurities will dominate over the electron scattering by phonons [5]. These conditions may be achieved at high temperatures or at very low temperatures, where the residual resistivity is predominant. At intermediate temperatures, the condition of elasticity no longer holds and the Lorenz number decreases considerably from the Sommerfeld value. In order to get a better understanding of the L number, let us consider the general expression for L, derived from the Fermi-Dirac statistics (eq. 4) [6] and graphically presented in Fig. 1 for two different electron scattering mechanisms (or scattering parameters, r): by ionized impurities (using scattering parameter r of 3/2) and by acoustic phonons (r=-1/2).

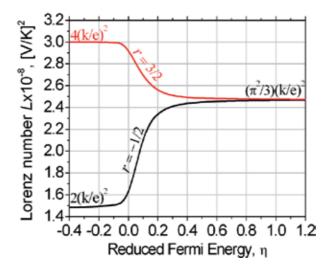
$$L = \left(\frac{k}{e}\right)^{2} \left[ \frac{\left(r + \frac{7}{2}\right)\left(r + \frac{3}{2}\right)F_{r + \frac{5}{2}}(\eta)F_{r + \frac{1}{2}}(\eta) - \left(r + \frac{5}{2}\right)^{2}F_{r + \frac{3}{2}}^{2}(\eta)}{\left(r + \frac{3}{2}\right)^{2}F_{r + \frac{1}{2}}^{2}(\eta)} \right]$$
(4)

Where, e, k,  $F_r$  and  $\eta$  are the electrons charge, Boltzmann constant, Fermi integral (defined by eq. 5) and the reduced Fermi energy ( $E_F/kT$ ), respectively.

$$F_r = \int_0^\infty \xi^r f_o(\eta) \cdot \partial \xi \tag{5}$$

$$f_o(\eta) = \frac{1}{1 + \exp(\xi - \eta)} \tag{6}$$

In the expression for  $F_r$  (eq. 5)- $f_o$  (defined in eq. 6) and  $\xi$  are the Fermi distribution function and the kinetic energy of a charge carrier, respectively.



**Figure 1.** Lorenz number variation with the reduced Fermi energy ( $\eta = E_F/kT$ ) for two different electron scattering mechanisms, by ionized impurities (using scattering parameter r of 3/2) and by acoustic phonons (r=-1/2).

According to this analysis, for materials with low carrier concentrations ( $\eta$ <-0.4), L values reach the classical (Boltzmann statistics) values of  $4(k/e)^2$  and  $2(k/e)^2$  for ionized impurities and acoustic phonons scattering, respectively. For degenerate materials ( $\eta$ >1.2) L reaches the Sommerfeld value, derived from eq. 7, regardless the scattering mechanism.

$$L = \frac{\pi^2}{3} \left(\frac{k}{e}\right)^2 \tag{7}$$

For the intermediate η values, L exhibits a strong dependence on both Fermi energy and the scattering mechanism.

Since Cu is highly conductive metal, the value of  $\eta$  at room temperature may be calculated using eq. 8 and the physical properties of Cu (effective mass,  $m^*=1.01m_0$  [7], and carrier concentration,  $n=8.4\times10^{22}$  cm<sup>-3</sup> [8]). The estimated  $\eta$  value is equal to 270 and corresponds to the energetic range where the constant Sommerfeld value valids.

$$n = \frac{8}{3\sqrt{\pi}} \left( \frac{2\pi m^* kT}{h^2} \right)^{\frac{3}{2}} \eta^{\frac{3}{2}}$$
 (8)

Nevertheless, the Lorenz number differs slightly from the Sommerfeld value due to the inelastic electrons scattering.

The simple consideration presented above shows that for the applying the Weidemann-Franz relation between the transport properties of materials, the specific Lorenz number has to be determined.

It was shown [9], for instance, that Lorenz number of copper alloys depends on their purity and thermo-mechanical treatments. Moreover, in [10] it was established that the Lorenz number for copper films depends on its thickness. This effect was partly attributed to scattering of electrons at the films surfaces and partly to scattering by frozen-in structural defects.

This explanation is based on a distinction between the free paths of electrons for the electrical conductivity process and those for the thermal conductivity process. Being more specific, in a metal, an electric field or a temperature gradient causes an electron drift, which is restricted only by the collisions of the electrons with lattice imperfections (static defects or lattice vibrations). When the electron distribution function is disturbed from its equilibrium value, the rate of return to equilibrium may be expressed by collision processes, which are usually expressed in terms of a relaxation time. Only in case that the relaxation time is the same for both electrical and thermal transport, eq. 7 can be used. This equation is based on the assumption that L is a constant independent of the band structure or the relaxation time. Regarding relaxation, it was pointed out in [11] that equilibrium can be reached in two ways: either by processes changing the direction of motion of an electron but not changing its energy significantly, or by processes changing its energy but not the direction – the so-called "horizontal" or "vertical" movements on the Fermi surface. Since the "vertical" movement was found as ineffective in producing electrical resistance, the relaxation times for electrical and thermal conduction are equal only in case that the "vertical" movement is absent. The effective scattering by phonons at high temperatures and by impurities at low temperatures is elastic, leading to similar relaxation time for the different transport properties. This is the reason for the validity of eq. 7 at very low or high temperatures.

Koh et al. [12] had used a modified Weidemann-Franz relation (eq. 9), which takes into account also the lattice component of the thermal conductivity, for analysis of porous stainless steel and Cu based alloys.

$$\kappa_0 = L \frac{T}{\rho_0} + b \tag{9}$$

Where,  $\varrho_0$  and  $\kappa_o$  are the electrical resistivity and the thermal conductivity of the alloys, respectively, and b is the lattice component of the thermal conductivity.

It was established that for highly conductive materials, such as copper alloys, where the lattice component of the thermal conductivity is relatively small compared to the electronic one, the dependency of the thermal conductivity on T/Q for various porosity levels was characterized by a straight curve with a slope L and intercept b. In this case the L and b values depend on the nature of metal only. For stainless steel, for which the lattice and the electronic components are comparable, b value depends on the porosity and specific curve for each porosity level was obtained.

It can be concluded that special care should be taken while using the Weidemann-Franz relation for porous or other multi-phased materials. On the other hand, applying the GEM approach is much more straightforward, giving additional information about the phases' alignment and distribution characteristics

In the presence study, the GEM and Weidemann-Franz relations were applied for investigation of the experimental transport properties results of porous SPS-processed Cu specimens.

# 2. Experimental

Pure (99.9%) copper powder with a nearly spherical particles shape and an average particle size of about  $8\mu m$  was consolidated by SPS apparatus (FCT Systems GmbH, Germany) under argon atmosphere with heating and cooling rates of  $50^{\circ}$ C/min. The specific parameters of the SPS process are presented in Table 1. The porosity of the sintered specimens, (measured by the Archimedes method) was varied in the 0-30 vol.% range.

The microstructure was characterized by optical microscope Zeiss (Germany). Electrical resistivity was measured at room temperature by a four-probe method using 1V/50 Hz alternating power source and Keithley 2182A Nanovoltmeter. The thermal conductivity was tested at room temperature using the flash diffusivity method (LFA 457, Netzsch). Thermal conductivity ( $\kappa_{eff}$ ) values were calculated using the equation  $\kappa_{eff}$ = $\alpha$   $\varrho$   $C_p$  where,  $\alpha$  is the thermal diffusivity,  $C_p$  is the specific heat (measured using differential scanning calorimetry, STA 449-Netzsch), and  $\varrho$  is the bulk density of the sample.

Porosity, vol%	Temperature, °C	Holding time, min.	Applied uniaxial pressure, MPa
0	700	15	97
1	600	15	97
10	400	2	88
15	400	2	70
20	400	2	53
30	400	2	35

Table 1. Spark Plasma Sintering conditions and porosity of the specimens.

The thermal conductivity measurements were conducted under ~1atm argon, similarly to the SPS conditions.

#### 3. Results

The microstructure of the porous Cu speciemns is shown in Fig. 2.

The average grain size of about 7-8µm indicates no coarsening effects during the SPS process. The pores are homogenously distributed within the metallic matrix.

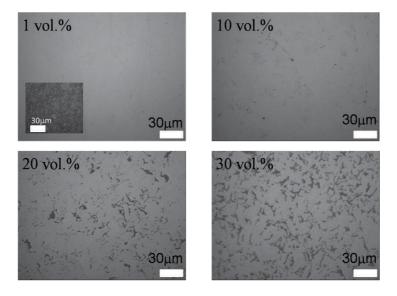


Figure 2. Optical images of the SPS-processed specimens. The microstructure of the almost dense specimen (1vol% porosity) after etching was presented as the insert in the corresponded image.

Values of the electrical resistivity and thermal conductivity measured at room temperature as a function of porosity are shown in Fig. 3.

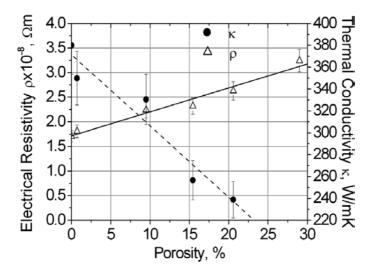


Figure 3. The electrical resistivity and thermal conductivity of porous Cu specimens.

As was expected, a general trend of increasing the electrical resistivity and decreasing the thermal conductivity values with increasing of the porosity amount was observed. Furthermore, additional insights regarding the geometrical alignment and porous distribution can be obtained, upon applying the GEM and the Weidemann-Franz equations.

#### 4. Discussion

In order to apply the GEM equation (1) and Weidemann-Franz relation (eq. 3) for analyzing the experimental results presented in Fig. 3, the thermal and electrical conductivities of pure Cu and argon, which filled the micrometric pores, have to be determined. The thermal and electrical conductivities of pure copper ( $\kappa_1$  and  $\sigma_1$ , respectively, in eq. 1) are reported in [13, 14]. For argon, the electrical conductivity,  $\sigma_2$ , is negligible, while the thermal properties has to be discussed. According to [15], at normal conditions, heat convection through a porous metallic structure becomes of practical importance only at temperatures above 1000K and for pore diameter larger than 5000  $\mu$ m. It was also reported in [15] that heat transfer by radiation in the pores at moderate and high temperatures might be neglected. Thus, for materials with relatively small pores, as in our case, conductive heat transfer is the dominant process at the investigated temperature.

Under normal pressure, the thermal conductivity of Ar [16, 17] may be calculated according to eq.10

$$\kappa_{Ar} = 1.47 \cdot 10^{-5} \frac{T^{\frac{1}{2}}}{1 + 142/T} \qquad \left[ Watt / cmK \right]$$
(10)

and is equal to  $\sim 1.73 \times 10^{-2}$  W/mK at room temperature.

#### 4.1. General Effective Media (GEM) theory

The aims of this paragraph is to confirm that the effective transport properties of the porous material may be calculated using one set of the geometrical parameters A and t. We started with the analysis of the measured values of the effective transport properties for the specimen showing a 20 vol.% porosity. By solving together two equations, based on the GEM approach, for the thermal and electrical conductivities, the values A=1.3 and t=1 were obtained. These values were used for calculation of the transport properties for the entire investigated specimens, showing various porosity amounts. A very good agreement was found between the calculated and experimental results, as can be seen in Figs. 4-5, while comparing the experimental data to various calculated curves with different A values. The experimental points are located between the curves corresponded to A values in the range of 1÷2. Thus, we may conclude that the pores are homogeneously dispersed and have nearly spherically shaped, in agreement with the micro-structural observations (Fig. 2).

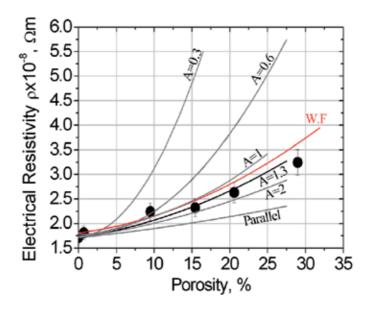
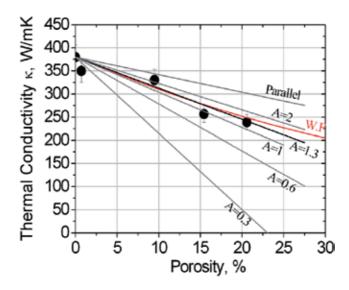


Figure 4. Effective electrical resistivity (= $\sigma_{eff}^{-1}$ ) values for the investigated porous Cu samples, calculated by the GEM equation substituting A and t parameters of 0.3,1; 0.6,1; 1.3,1; 2,1 and  $\infty$ ,1 (parallel alignment); and by the Weidemann-Franz (W.F.) relation. The experimentally measured results are shown by the black points.



**Figure 5.** Effective thermal conductivity ( $\kappa_{\text{eff}}$ ) values for the investigated porous Cu samples, calculated by the GEM equation substituting *A* and *t* parameters of 0.3,1; 0.6,1; 1.3,1; 2,1 and  $\infty$ ,1 (parallel alignment); and by the Weidemann-Franz (W.F.) relation. The experimentally measured results are shown by the black points.

#### 4.2. Weidemann-Franz (W.F.) relation

The calculated values of the transport properties as a function of the porosity levels using Weidemann-Franz relation (eq. 3) are also presented in Figs 4-5. The calculations took into account the Lorenz number for Cu at  $0^{\circ}$ C ( $2.23 \times 10^{-8}$  [V/K]<sup>2</sup>) reported in [18]. This value is slightly differs from the Sommerfeld value of  $2.47 \times 10^{-8}$  [V/K]<sup>2</sup> (derived from eq. 7), which is commonly used for highly degenerated materials. Nevertheless, there is a good agreement between the calculated and the experimental results for both the electrical resistivity and the thermal conductivity values.

#### 4.3. W.F. vs. GEM

While comparing the two suggested approaches for estimating the electrical and thermal transport properties of porous materials, several general guidelines can be obtained:

- 1. Applying the GEM approach upon measurement of  $\kappa_{eff}$  and  $\sigma_{eff}$  (or  $\varrho_{eff}$ ) of one known porosity level, and previous knowledge of the same properties of the matrix phase, can give a good approximation for the porosity amount dependency of the electrical and thermal conductivities for any given porosity amount in addition to some insight about the porosity alignment, distribution and morphology. It should be remembered that, a special care should be taken upon using any approximation such as eq. 2, since dependent on the powdering approach and the crystallographic nature of the matrix composition, the porosity morphology do not have to be spherical with an isotropic distribution.
- **2.** Applying the Weidemann-Franz relation (equation 3) for a metallic or highly degenerated porous material, using the Sommerfeld value of *L* (derived from eq. 7) at moderate

temperatures (e.g. room temperature) leads to inaccurate estimations due to inelastic scattering effects. Therefore, measurement of both  $\kappa_{eff}$  and  $\sigma_{eff}$  (or  $\varrho_{eff}$ ) for one known porosity level, for evaluation of L, should not be avoided. For less conductive matrix materials, phonons thermal conductivity effects are involved, which are expected to vary with the porosity amount. For semiconductors with reduced Fermi energy in the range of -0.4<η<1.2, L depends also on the scattering mechanism and the Fermi energy. For semiconductors or insulators with  $\eta$ <-0.4, L depends on the scattering mechanism as well. Such an approach can't supply any insight on the geometrical alignment and morphology of the pores.

#### 5. Conclusions

The thermal and electrical conductivities for spark plasma sintered porous Cu samples were experimentally measured at room temperature and theoretically correlated to the GEM and Weidemann-Franz relations. Both of the theoretic approaches showed a good agreement to the experimental values. Yet several restrictions were discussed upon generalizing the proposed theoretical procedures for any porous material. Applying the GEM equation was found as the ideal approach for estimating the thermal and electrical characteristics of porous materials, due to the possibility to give a good approximation for the porosity amount dependency of the electrical and thermal conductivities for any given porosity amount in addition to some insight about the porosity alignment, distribution and morphology.

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**Section 4** 

# Ram Extrusion and Hot Sintering of Reprocessed PTFE

# Recycling of Polytetrafluoroethylene (PTFE) Scrap Materials

Arunachalam Lakshmanan and S.K. Chakraborty

Additional information is available at the end of the chapter

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#### 1. Introduction

#### 1.1. Plastics

Polymers are formed from *thermoplastic* and *thermosetting plastic* materials. The binding forces between polymer chains in thermoplastics such as polyethylene are the result of van der Walls forces between the molecules and mechanical entanglement between the chains as shown in Fig.1. Most of the thermoplastics can be reused after melting since the bonds between the molecules are easily broken on heating. However, in thermosetting plastics such as Bakelite various polymer chains are held together by strong covalent bond. They are rigid, strong and more brittle. Due to strong covalent bond and cross-link, they are insoluble in almost all organic solvents. They will not become plastic when heated.

#### **1.2. PTFE**

Polytetrafluoroethylene (PTFE) was discovered by a research chemist in DuPont in the year 1938. In 1941 it has been patented and got the first brand name as Teflon. It is a fluorinated polymer obtained from tetrafluroethylene (TFE) monomer through free radical vinyl polymerization. Tetra means four carbon atoms are covalently bonded to carbon atoms. Fluro means bonded atoms are fluorine. Ethylene means carbon atoms are joined by a double bond as in the case of ethylene.

In PTFE, carbon to carbon atom double bond becomes a single bond and a linear chain of carbon atoms are formed with two fluorine atoms covalently bonded to each carbon atom. These fluorine atoms shield the carbon atoms and hence no solvent can attack the carbon atoms. As a result, PTFE exhibits extraordinary chemical resistance to acids and alkalis. Carbon to fluorine bonds have high dissociation energy. Due to the high electronegativity of fluorine,



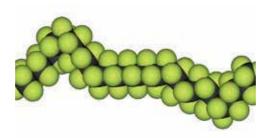


Figure 1. Typical interwinded PTFE polymer. Due to strong intermolecular forces, the polymer chains are tangled. Due to its chemical inertness, PTFE cannot be cross-linked.

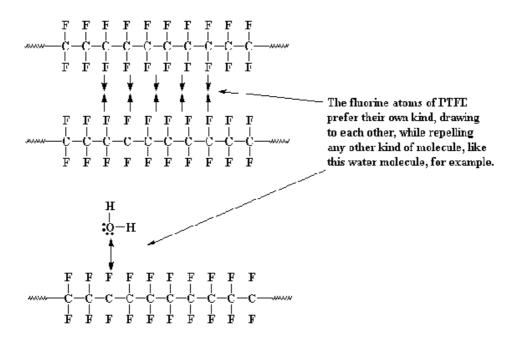


Figure 2. The molecular structure of PTFE

PTFE repels everything and hence no molecules can stick to the PTFE surface which makes it slippery (Fig.2). Ice is the only material that is slicker than PTFE. A thin PTFE coating over metal cooking pans makes them nonsticky with food items. PTFE can withstand a wide range of temperature (-184°C to 260°C) and is used in cold as well hot environments. It is hydrophobic (water repellant) and hence is resistant to weathering. It has fantastic chemical resistance and superb electrical insulation properties. It is the only plastic which can withstand temperatures up to 300°C. On heating to temperatures above 400°C, PTFE disintegrates with the production of carbon. Above 500°C, when heated in air, PTFE disappears altogether due to the production and escape of carbon and fluorine in the form of CO<sub>2</sub> and fluorine gases into the atmosphere [1]. PTFE is insoluble in common solvents and is resistant to nearly all acidic and alkaline materials. It has a high dielectric strength and low dielectric loss. Due to high melt viscosity, injection or blow molding is not possible with PTFE. Only hot sintering or ram extrusion manufacturing processes which are relatively expensive are being followed for making PTFE products. In rapidly growing economies like China, the demand for PTFE has grown 5 times over the past 5 years.

#### 2. Filler grade PTFE

PTFE undergoes creep (deformation under loading) which can be reduced with the help of high shear modulus fillers such as glass. Fillers hinder the relative movement of the PTFE molecules past one another and in this way reduce creep or deformation of the parts, reduce the wear rate of parts used in dynamic applications, and reduce the coefficient of thermal expansion. Other popular fillers used along with PTFE include carbon (improved thermal conductivity and low deformation under load), graphite (improved lubrication), bronze or stainless steel (excellent wear resistance) etc. Since PTFE powder is hydrophobic (it floats in water as seen in Fig.3) and does not flow freely, mixing it will free flowing fillers is a major task. One has to use a cryogenic medium such as liquid nitrogen to remove the electrostatic forces that hold the PTFE powder together. This technique is being used to manufacture thermoluminescent material filled PTFE discs (1:3 weight ratio) which are used for personnel radiation monitoring in India and elsewhere [2]. After radiation exposures, these discs are usually heated to 300°C during luminescence measurements and PTFE is the suitable binder for such applications. Organic liquids such as ethanol can also be used to mix free flowing fillers with non free flow PTFE since they wet PTFE powder unlike water medium. Alternately, one could use mechanical shearing force to separate the PTFE particles. The last choice is industrially viable and hence was adopted by us for manufacturing filler grade PTFE powders (Figs.4 and 5). An overview of different fillers used along with end use can be had from the brochures supplied by Dupont and other PTFE manufacturers.



Figure 3. PTFE is hydrophobic - water repellant



Figure 4. A blue pigment (3%) mixed non free flow PTFE powder.



Figure 5. Sintered rods made from blue pigmented PTFE, glass mixed PTFE and carbon mixed PTFE

# 3. Recycling PTFE scrap material

Use of plastics has the capability to cause danger to both environment and human life. PTFE scrap can be neither incinerated nor dumped! In the waste incineration processes which have been usual up to now, highly-corrosive vapors are released which also damage the incineration plant itself. Dumping of waste will in future be restricted due to nationwide regulations. In order to avoid this, Environmental Protection Agencies have taken up an action to recycle all the PTFE materials.

Recycling PTFE involves two different processes with two different end results. One involves irradiation of the PTFE scrap to heavy dose of ionizing irradiation which will reduce its molecular weight. On pulverizing, the irradiated PTFE scrap turns into micro powder which finds certain applications. The second method involves pulverizing the PTFE scrap without irradiation so that it becomes reusable like virgin PTFE itself.

### 4. Irradiation stability of PTFE

From Table 1 it is seen that among all plastics, PTFE has the least stability against ionizing radiation. Heavy gamma or electron irradiation (several kilo Gray) has been found to break down carbon-carbon bonds in the polymer chain in the PTFE scrap and reduce its molecular weight which makes it very brittle and the end product is a white, free-flowing PTFE powder which was found to be useful as additives in other materials or systems (see Fig.6). While the turnings of PTFE scrap before irradiation are tough and elastic, those after irradiation in air crumbles into a powdery material. The molecular weight of irradiated PTFE is in the range of a few tens of thousands to a few hundreds of thousands, compared to several million for the unirradiated resins. When irradiated in vacuum or inert atmosphere, the cleavage of the bonds produces highly stable radicals. The recombination of these stable radicals prevents rapid degradation of PTFE, as the molecular weight rebuilds. When irradiation is conducted in air, as is the case in the present experiment, the radicals react with oxygen leading to smaller molecular weight PTFE chains fairly quickly.



Figure 6. PTFE scrap before (left) and after gamma irradiation (right)

Plas	tic	Ionizing Radiation Stability
ABS		Fair
Ami	des	
_	Aliphatic	Fair
	Aromatic	Excellent
Cellulosics		Fair
Fluo	roplastics	
-	PTFE	Poor
-	Polychlorotrifluoroethy	Fair
	lene	
-	PVF	Good
-	Polyvinylidene fluoride	Good
-	Copolymers of ethylene & TFE	Good
-	Polycarbonate	Good
Poly	esters – aromatic	Good
Poly	olefins	
	PE	Good
-	PP	Fair
-	Polymethylpentene	Good
-	Copolymers	Good
Poly	styrene	Excellent
Poly	styrene acrylonitrile	Good
Polysulfones		Excellent
Poly	vinyls	
_	PVC	Good
_	Copolymers	Fair

Table 1. Radiation Stability of thermoplastic polymers [3]

#### 4.1. PTFE Micropowder

The irradiated and pulverized PTFE scrap differs from PTFE granular resins and fine powders because of the very small particle size, typically in the range of 2 to 20 µm (and hence the word micropowder), low molecular weight and the way they are handled and processed. Micro powders were first developed as an outlet for the disposal of scrap resin. The recycled PTFE powder cannot be used for the same applications as PTFE since its properties are quite different; it cannot be molded in the same way and it does not possesses the same plastic properties of virgin PTFE. However, it can be used as an additive/lubricant in other materials (printing ink, thermoplastics, elastomers, coatings and lubricants) and can be used over a wide range of temperatures from -190 to 250°C and depending on the application, may provide nonstick properties, improved lubricity, better wear resistance and reinforcing properties [4]. More of these applications can be seen in the pamphlets published by Dupont. Micro powders down to four micron range used as additives for Inks, Oils, Lubricants, Paints and Coatings, Cosmetics and Thermoplastics show enhanced lubrication and wear resistant properties.

Irradiation time in sec	Dose, Mrad	Average particle size (μm)
2.5	5	11.1
5.0	10	5.3
7.5	15	2.5
10	20	1.5
12.5	25	0.9

Table 2. Effect of electron irradiation dose on PTFE Micropowder Particle Size

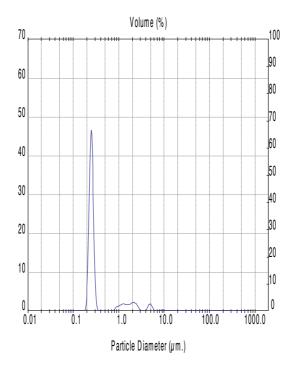


Figure 7. Particle size analysis of micro powder using laser light scattering.

Table 2 shows that with increasing electron irradiation dose in the range 5 – 25 Mrad, the average particle size of the PTFE micropowder decreases from 11.1 to  $0.9 \mu m$ . The melt flow index goes up as the molecular weight of the powder goes down with increasing dose of irradiation. Electron irradiation is reported to cause cleavage of bonds and generation of gases such as HF acid vapor, which must be removed by means of adequate ventilation from the processing areas. Electron irradiation also increases the temperature of the sample which is held below 121°C by fractionating the irradiation. Both these problems are much less severe in gamma irradiation as the irradiation rate is several orders of magnitude less than that of electron irradiator.

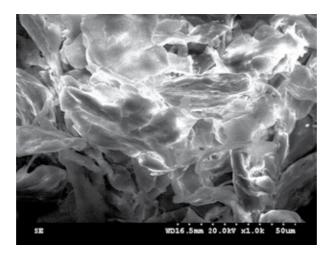


Figure 8. Scanning electron micrograph of PTFE micro powder - irradiated and pulverized

Particle size distribution of the micropowder was carried out using a laser light scattering instrument (Aerosol Dust Monitor Model 1.108 of M/S GRIMM Aerosoltechnik, GmbH, Germany). The scattering angle by a single particle is inversely proportional to the size of the particle. By measuring the forward angle of scattering and intensity of the scattering light, both size distribution and number concentration could be obtained. Fig.7 shows that an average particle size of 0.26 µm was obtained on electron irradiation of the PTFE scrap followed by milling which was achieved by a jet mill or a hammer mill. In the jet mil particles strike against each other, causing them to fracture into smaller particles. The flaky morphology of imported scrap powder seen in Fig.8 offers better lubricant property of the micro powder.

# 5. Reprocessing of unirradiated PTFE scrap

Unirradiated PTFE scrap can be recycled into many other products and used for rods, tubing and sheets etc by pulverization followed by suitable heat treatments similar to that of virgin PTFE. The recycled PTFE is known technically as "Reprocessed" or "Repro" PTFE or mechanical grade PTFE. Though off-white in color reprocessed PTFE has certain advantages over virgin PTFE namely low creep and better mechanical strength.

Unlike other thermosetting plastics, on heating to high temperatures PTFE products do not melt but only soften above 327°C. Therefore techniques other than those used for recycling conventional thermosetting plastics such as polyethylene have to be employed for recycling PTFE. One way is to grind PTFE scrap into fine powder and sinter it under pressure after suitable heat treatment and cleaning process. Another way is to decompose PTFE into its raw gaseous components (tetrafluoroethene and hexafluoropropene), cleaned and fed back into the production of new PTFE. Dyneon GmbH is building a pilot plant in Burgkirchen, Germany based on the latter technique, to recycle PTFE scrap. It will have capacity to recycle 500 metric tons of PTFE waste annually.

The most common way is to blend the pulverized scrap fine powder with pure PTFE to be used either in compression molding or ram extrusion. Before grinding, the scrap is usually shredded (Figs. 9 and 10) and heated to above its melting point to remove any volatile contaminants. Once ground, it is treated with acid to dissolve inorganics after which it is washed



Figure 9. PTFE scrap being shredded



Figure 10. Shredded scrap

Reprocessed PTFE grade powder is manufactured from pre-sintered PTFE shavings, scrap, etc. It exhibits most of the properties that the virgin grade does but is subject to occasional contamination within the material. This is the grade of choice when cost is a major concern and cleanliness is not an issue. When repro grade is mixed with PTFE the cost comes down. Such mixed grades are used when high purity is not required such as non critical chemical, electrical and mechanical applications [5-8]. However, virgin grade PTFE is the material of choice for use in pharmaceutical, food and beverage, and cosmetics industries or for medical/ electrical applications. Virgin PTFE has better friction characteristics, which may be important in some applications. Reprocessed grade PTFE is produced for thin sheets with a maximum thickness of 0.250", For thicker sheets virgin PTFE is used. However, virgin PTFE is known to undergo creep – deformation under load whereas the compressive strength and deformation under load for reprocessed PTFE are superior to virgin PTFE. Reprocessed grade PTFE also has superior wear resistance than virgin PTFE. Reprocessed grade PTFE rods are available in diameters ranging from 1/8 to 4 inch and lengths of 6 to 12 ft. Reprocessed PTFE is frequently specified for high performance bearings and bushings, particularly in applications that require resistance to corrosive chemicals.

#### 5.1. PTFE has different grades

Grade A: 100% virgin material.

Grade B: 70% virgin material, with 30% recycled material.

Grade C: 50% - 50%

Grade D: 30% virgin, 70% recycled.

Grade E: 100% recycled

High purity reprocessed PTFE is white in color similar to virgin PTFE and is used for applications ranging from extruded billets or molding into tubes, gaskets and ball-valve seats. Lower grade off-white reprocessed PTFE is blended with pre PTFE and is used for packing materials for valve stems and other applications (Table 3).

Repro (%)	< 5%	10-20%	>20%
isual			Off white
Slight off white	No notable change		Off white
Component finish	Smooth	Rough	Rough - with powdery
	Smooth		burrs
Water absorption	< 1%	> 1%	> 2%
Chemical resistance	No notable change		
Tensile strength	Slightly reduced	Reduced by 10%	Reduced by 20%
Dielectric strength	Slightly reduced	Reduced by 10%	Not suitable
Wear resistance	Slightly reduced	Slightly reduced	Not suitable

**Table 3.** Properties of different grades of reprocessed PTFE powder.

#### 5.2. Issues to be tackled in manufacturing reprocessed PTFE powder are the following

- i. Difficulties in grinding the scrap into a fine powder
- ii. Discoloration due to burning of volatiles and organic materials in ground powder
- iii. Unlike virgin PTFE scrap filled PTFE or scrap powder could not be sintered at high temperatures (350 -400°C) at atmospheric pressure
- iv. Agglomeration and Sintering of the scrap powder during thermal anneal caused cracks in reprocessed PTFE billets during sintering at atmospheric pressure

Efforts needed to solve the above problems are described below.



Figure 11. Charred organic impurities on pre-heating the shredded scrap



Figure 12. Major charred impurities are removed by handpicking



Figure 13. Shredded PTFE scrap after pre-heating treatment

## 5.3. Grinding PTFE scrap - Kirk-othemer encyclopedia of chemicals

A technique known as Shear Extrusion Pulverisation based on Bridgeman - Anvil was used for this purpose. This technique is also known as

- · Double disc mill
- Solid state pulverization (SSP)
- Pressure shear pulverization (PSP).

It is a physico-chemical process in which cohesive forces within the polymer are broken by means of mechanically induced stress.

The process is based on "Bridgeman phenomenon" and is realized inside a specially deigned pulverizer

In PSP, the polymer is subjected to simultaneous action of axial compression and shear stress between two mirror-like smooth working surfaces in the pulverizer and the pulverized

The process is realized below the melting point of polymers so the technical properties of recycled polymers remain unchanged after polymerization



Figure 14. Pre-sintered scrap powder



Figure 15. Ground scrap powder after pre-sintering



Figure 16. Ground PTFE powder being mixed with virgin PTFE powder.



Figure 17. Mixture being cold pressed into pellet.



Figure 18. Fillers like graphite or pigments normally do not melt or agglomerate nor interact with PTFE during sintering at atmospheric pressure but this is not the case with reprocessed PTFE when used alone or used as a filler with virgin PTFE



Figure 19. Reprocessed PTFE filled (40%) PTFE pellet before (left) and after (right) sintering at atmospheric pressure. The surface became rough on sintering due to agglomeration of reprocessed particles and their migration to surface of the pellet. Reprocessed PTFE is amenable to sintering only under pressure or under ram extrusion.



Figure 20. Sintered rods at atmospheric pressure - note pellets from 100% virgin scrap (second from left) crack and pop out perhaps due to the release of volatile gases whereas the pellet from 100% virgin PTFE (extreme right) are milky white in color and exhibit good integrity on sintering at atmospheric pressure.

# 6. Compression molding

Compression molding is a method of molding in which the molding material, generally preheated, is first placed in an open, heated mold cavity. The mold is closed with a top force or plug member, pressure is applied to force the material into contact with all mold areas, while heat and pressure are maintained until the molding material has cured. Its advantage lies in its ability to mold large, fairly intricate parts.

Initially it was thought that the die and plunger could be heated during compression in the hydraulic press. A strip heater was wound around the die to heat the die with the help of a heating programmer and a thermocouple was inserted into a hole made specifically for this purpose in the die to measure the temperature during compression, The experimental arrangement is shown below.



Figure 21. Compression molding

The strip heater wound around the die, however, could not heat it to the desired temperature even after several hours of operation as the heat capacity of the die made out of hardened steel was much high and so this attempt was discontinued. Instead, after cold pressing in the press, the die and plunger itself with the PTFE disc inside it was kept inside the high capacity air over under mechanical pressure.



Figure 22. Cold pressed pellet along with the die and plunger being pressurized with the help of a C-clamp



Figure 23. Die under C-clamp kept inside the air over for sintering near 400°C



Figure 24. Repro filled PTFE Discs when sintered inside the die and plunger under C-clamp show discoloration which runs through its volume although good surface smoothness is seen and cracks disappeared totally. This was attributed to carbon production on reaction of volatiles with the die material. Even 100% virgin PTFE pellet show slight discoloration but only on its surface (both sides) when sintered under C clamp



Figure 25. 10% mixture, mixee mixed, 300 deg C, 2h pre-heated, 2500 psi, No pressure during sintering at 400 deg C in a furnace. Sample is white in color but surface is rough.

The reason for the discoloration could be due to carbon generation on reaction of volatiles with the stainless steel die under pressure. This can be avoided if a pathway can be provided for the escape of volatile gases during sintering under pressure. This will need fabrication of a new die and plunger with a series of holes. While high pressure (2000 to 3000 psi) is required during cold pressing powder into pellet, a relatively lower pressure (500 to 1000 psi) should suffice during sintering. Further efforts were made by reducing the die pressure to 2500 psi and sintering without pressure.

This showed that mild pressure during sintering is a must. High pressures with clamp not only discolor the pellets but also fuse them with the die. So a compromise in pressure during cold pressing as well as provision to let out the volatile gases are necessary

## 6.1. Sintering treatment

Sintering temperatures were varied from 350 to 450 deg C and duration from 15 min to 1h. From the points of view of polish, smoothness and strength, the best treatment was found to be 380-400 deg C, 1h which is the same used for sintering virgin PTFE. Lower temperatures resulted in poor strength due to under-sintering while higher temperatures resulted in poor strength as it reduced the polymer strength.

# 7. Ram extrusion

Ram extrusion enables continuous processing of PTFE [9,10]. The PTFE powder (virgin or reprocessed) is fed into a cylindrical extrusion pipe hydraulically while at the same time compressing it by means of a ram and transported through the pipe, which is heated up to sintering temperature in the range 370 to 400°C (Fig.26). The ram is then withdrawn, the die tube re-charged with powder and the cycle repeated. This way the powder is continuously fed into the heating section of the die tube where it is sintered and then it passes through a cooler section from which the finished products (rods, tubes etc) flow out continuously which are cut into desired lengths. Apart from PTFE materials like ultra high molecular weight polyethylene as well as their compounds can be ram extruded. Uniform distribution of powder into the cavity is essential. The powder should exhibit good flow properties. The extrusion pressure is in the range of 26 to 74 MPa depending on the length of the cold zone above the heated section of the die tube and the extrusion rate is 3 m/h. The heated length of the tube can vary from 44 to 90 cm.

The 50% recycled PTFE ram extruded PTFE rod made in China is shown in Fig.27. It is clear that the discoloration in ram extruded rods (top) made by us was due to the production of carbon (Fig.28). This conclusion was confirmed when the discoloration reduced on subsequent anneal at 400° C for 1h in air oven which led to the escape of carbon into atmosphere in the form of carbon di oxide gas. As a result the extruded rod (bottom) exhibited biscuit color all thorough its volume without any black patches. This is a significant result and confirms that the discoloration is caused by carbon production. The fluorine being a gas produced on disintegration of fluorocarbon perhaps has already escaped during the sintering while carbon

in the absence of oxygen has deposited within the rod. Acid treatment before sintering has been found to improve the color further.



Figure 26. A typical ram extruder with 100% virgin extruded PTFE rod.



[Zhejiang, China (Mainland)]

Figure 27. 50% recycled PTFE rod from Deqing VRT Plastic Industrial Co., Ltd.



Figure 28. A 40% repro + 60% virgin PTFE mixture was ram extruded into long rods of diameter 17 mm with the help of a local industry in India. The rods came out fine from the points of polish and strength but was dark in color with patches all through the length and volume due to carbon deposition.

# 8. Conclusions

Among all plastics, PTFE has the least stability against ionizing radiation, a property which is used to break down carbon-carbon bonds in the polymer chain in the PTFE scrap and reduce its molecular weight which makes it very brittle and the end product is a white, free-flowing PTFE powder which was found to be useful as lubricant additive in other materials or system such as printing ink, thermoplastics, elastomers, coatings and other lubricants. While the turnings of PTFE scrap before irradiation are tough and elastic, those after irradiation in air crumbles into a powdery material. The molecular weight of irradiated PTFE is in the range of a few tens of thousands to a few hundreds of thousands, compared to several million for the unirradiated resins.

Unirradiated PTFE scrap could be successfully ground with the help of a commercial shredder and milling machine. A technique based on Shear Extrusion Pulverization based on Bridgeman - Anvil was successfully used to grind PTFE scrap into a fine powder. Suitable pre-heat treatments were arrived at to remove organic and other volatile impurities. Since normal sintering procedures used for molding virgin PTFE did not work with repro filled PTFE, sintering under pressure and ram extrusion techniques were tried to mold them. Repro filled PTFE Discs up to 40% tried so far when kept inside the die and plunger and pressurized with a C-clamp show discoloration which runs through its volume although good surface smoothness is seen and cracks disappeared totally. This was attributed to carbon production on reaction of volatiles with the die material. The discoloration can, however, be avoided if a pathway can be provided for the escape of volatile gases during sintering under pressure That will need fabrication of a new die and plunger with a series of holes. While high pressure (2000 to 3000 psi) and hence a solid die and plunger are required during cold pressing powder into pellet, a relatively lower pressure (500 psi) should suffice during sintering.

A 40% mixture was successfully ram extruded by us into long rods of diameter 17 mm. The rods came out satisfactorily from the points of polish and strength but was dark in color with patches all through the length and volume due to carbon deposition. Due to escape of carbon, the discoloration of ram extruded rod considerably reduced on annealing in air at 400°C in atmospheric pressure as the volatile gases found an easy pathway into atmosphere. This is a significant result and confirms our view that the discoloration is caused by carbon production. The fluorine being a gas produced on disintegration of fluorocarbon perhaps has already escaped during the sintering while carbon in the absence of oxygen has deposited within the rod. Discoloration could be further reduced with acid treatment so off-white recycled PTFE rods could be made with ram extrusion.

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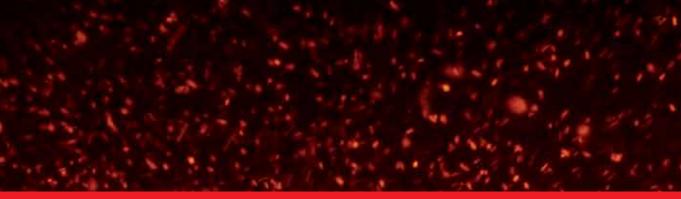
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# Edited by Arunachalam Lakshmanan

The book covers new sintering techniques on ceramic materials, metals and composites as well as reprocessed PTFE. The book covers theoretical as well as experimental aspects on Spark Plasma Sintered (SPS) Porous copper, development of cutting blades with high hardness and resistance to cracking and wear, increased microhardness of austenitic steel? TiB2 composites obtained with high pressure - high temperature sintering,  ${\rm Al}_2{\rm O}_3$  porous body with cotton as the template and excellent thermal insulation with direct application for refractories as well as Metal matrix composites added nanostructured tantalum carbide and an overview of different sintering techniques used in powder metallurgy. Finally recycling of PTFE scrap materials using ram extrusion and compression molding is described.

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