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23 ABSTRACT

24

25 Stronger metacognitive regulation skills are linked to increased academic achievement.

26 Metacognition has primarily been studied using retrospective methods, but these methods limit

27 access to students' in-the-moment metacognition. We investigated first-year life science

students' in-the-moment metacognition while they solved challenging problems, and asked 1)

29 What metacognitive regulation skills are evident when first-year life science students solve

30 problems on their own? and 2) What aspects of learning self-efficacy do first-year life science

31 students reveal when they solve problems on their own? Think aloud interviews were conducted

32 with 52 first-year life science students across three institutions and analyzed using qualitative

33 content analysis. Our results reveal that first-year life science students use an array of

34 monitoring and evaluating skills while solving problems, which challenges the deficit-oriented

35 notion that students enter college with poor metacognitive skills. Additionally, a handful of

36 students self-coached or encouraged themselves as they confronted aspects of the problems

37 that were unfamiliar. These verbalizations suggest ways we can encourage students to couple

38 their metacognitive regulation skills and self-efficacy to persist when faced with challenging

39 disciplinary problems. Based on our findings, we offer recommendations for how instructors can

40 help first-year life science students develop and strengthen their metacognition to achieve

41 improved problem-solving performance.

42 INTRODUCTION

43

44 Have you ever asked a student to solve a problem, seen their solution, and then wondered what 45 they were thinking while they were problem solving? As college instructors, we often ask 46 students in our classes to solve problems. Sometimes we gain access to our student's thought 47 process or cognition through strategic question design and direct prompting. Far less often we 48 gain access to how our students regulate and control their own thinking, or the metacognition 49 they use in the moment to solve. Retrospective methods can and have been used to access this 50 information from students, but students often cannot remember what they were thinking a week 51 or two later. We lack deep insight into students' in-the-moment metacognition because it is 52 challenging to obtain their in-the-moment thoughts. Not having access to students' 53 metacognition in-the-moment presents a barrier towards developing effective metacognitive 54 interventions to improve learning. Educators and students alike are interested in metacognition 55 because of its malleable nature and demonstrated potential to improve academic performance. 56 Metacognition of life science undergraduates has been studied widely using retrospective 57 methods. In contrast, less is known about how life science undergraduates use their 58 metacognition through in-the-moment methods like think aloud interviews. Thus, there is a need 59 to characterize how life science undergraduates use their metacognition during individual 60 problem-solving and to offer evidence-based suggestions to instructors for supporting students' 61 metacognition. In particular, understanding the metacognitive skills first-year life science 62 students bring to their introductory courses will position us to better support their learning earlier 63 on in their college careers and set them up for future academic success. 64

65 Metacognition

66

67 Metacognition, or one's awareness and control of their own thinking for the purpose of learning 68 (Cross & Paris, 1988), is linked to improved academic achievement. In one meta-analysis of 69 studies that spanned developmental stages from elementary school to adulthood, metacognition 70 predicted academic performance when controlling for intelligence (Ohtani & Hisasaka, 2018). In 71 another meta-analysis specific to mathematics, researchers found a significant positive 72 correlation between metacognition and math performance in adolescences, indicating 73 individuals who demonstrated stronger metacognition also performed better on math tasks 74 (Muncer et al., 2022). The strong connection between metacognition and academic 75 achievement represents a potential leverage point for enhancing student learning and success 76 in the life sciences. If we explicitly teach life science undergraduates how to develop and use 77 their metacognition, we can expect to increase the effectiveness of their learning and 78 subsequent academic success. However, in order to provide appropriate guidance, we must 79 first know how students in the target population are employing their metacognition. 80 81 Based on one theoretical framework of metacognition, metacognition is comprised of two 82 components: metacognitive awareness and metacognitive regulation (Schraw & Moshman, 83 1995). Metacognitive awareness includes one's knowledge of learning strategies and of 84 themselves as a learner. Metacognitive regulation encompasses how students act on their 85 metacognitive awareness or the actions they take to learn (Sandi-Urena et al., 2011). 86 Metacognitive regulation is broken up into three skills: *planning* how to approach a learning task 87 or goal, monitoring progress towards achieving that learning task or goal, and evaluating 88 achievement of said learning task or goal (Stanton et al., 2021). These regulation skills can be 89 thought of temporally: planning occurs before learning starts, monitoring occurs during learning, 90 and evaluating takes place after learning has occurred. As biology education researchers, we

91 are particularly interested in life science undergraduates' metacognitive regulation skills or the

92 actions they take to learn because regulation skills have been shown to have a more dramatic

- 93 impact on learning than awareness alone (Dye & Stanton, 2017).
- 94

95 Importantly, metacognition is context-dependent, meaning metacognition use may vary 96 depending on factors such as the subject matter or learning task (Kelemen et al., 2000; Kuhn, 97 2000; Veenman & Spaans, 2005). For example, the metacognitive regulation skills a student 98 may use to evaluate their learning after reading a text in their literature course may differ from 99 those skills the same student uses to evaluate their learning on a genetics exam. This is why it

100 is imperative to study metacognition in a particular context, like the life sciences. Metacognition 101 is often thought of as a domain-general skill because of its broad applicability across different

102 disciplines. However, metacognitive skills are first developed in a very domain-specific way and

103 then those metacognitive skills can become more generalized over time as they are further

104 developed and honed (Kuhn, 2000; Veenman & Spaans, 2005). This is in alignment with

105 research from the problem-solving literature that suggests stronger problem-solving skills are a

- 106 result of deep knowledge within a domain (Frev et al., 2022; Presslev et al., 1987). For example,
- 107 experts are known to classify problems based on deep conceptual features because of their 108
- well-developed knowledge base whereas novices tend to classify problems based on superficial 109 features (Chi et al., 1981).
- 110

111 Methods for Studying Metacognition

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113 Researchers use two main methods to study metacognition: retrospective and in-the-moment

114 methods. Retrospective methods ask learners to reflect on learning they've done in the past. In

115 contrast, in-the-moment methods ask learners to reflect on learning they're currently

116 undertaking (Veenman et al., 2006). Retrospective methods include self-report data from

117 surveys like the Metacognitive Awareness Inventory (Schraw & Dennison, 1994) or exam

118 "wrappers" or self-evaluations (Hodges et al., 2020). Whereas in-the-moment methods include 119

think-aloud interviews, which ask students to verbalize all of their thoughts while they solve 120 problems (Bannert & Mengelkamp, 2008; Blackford et al., 2023; Ku & Ho, 2010), or online

121 computer chat log-files as groups of students work together to solve problems (Hurme et al.,

- 122 2006; Zheng et al., 2019).
- 123

124 Most metacognition research on life science undergraduates, including our own work, has 125 utilized retrospective methods (Dye & Stanton, 2017; Stanton et al., 2019; Stanton et al., 2015). 126 Important information about first-year life science students' metacognition has been gleaned 127 using retrospective methods, particularly in regard to planning and evaluating. For example, 128 first-year life science students tend to use strategies that worked for them in high school, even if 129 they do not work for them in college, suggesting first-year life science students may have 130 trouble evaluating their study plans (Stanton et al., 2015). Additionally, first-year life science 131 students abandon strategies they deem ineffective rather than modifying them for improvement 132 (Stanton et al., 2019). Lastly, first-year life science students are willing to change their approach

133 to learning, but they may lack knowledge about which approaches are effective or evidence-

- 134 based (Stanton et al., 2015; Tomanek & Montplaisir, 2004).
- 135

136 In both of the meta-analyses described at the start of this *Introduction*, the effect sizes were

137 larger for studies that used in-the-moment methods (Muncer et al., 2022; Ohtani & Hisasaka,

138 2018). This means the predictive power of metacognition for academic performance was more 139

profound for studies that used in-the-moment methods to measure metacognition compared to

- 140 studies that used retrospective methods. One implication of this finding is that studies using
- 141 retrospective methods might be failing to capture metacognition's profound effects on learning

142 and performance. Less research has been done using in-the-moment methods to study 143 metacognition in life science undergraduates likely because of the time-intensive nature of 144 collecting and analyzing data using these methods. One study that used think-aloud methods to

145 investigate biochemistry students' metacognition when solving open-ended buffer problems

146 found that monitoring was the most commonly used metacognitive regulation skill (Heidbrink &

147 Weinrich, 2021). Another study that used think-aloud methods to explore Dutch third-year

148 medical school students' metacognition when solving physiology problems about blood flow also

revealed a focus on monitoring, with students also planning and evaluating but to a lesser

extent (Versteeg et al., 2021). Further investigation into the nature of the metacognition first-

- 151 year life science students use when solving problems is needed in order to provide guidance to
- 152 this population and their instructors on how to effectively use and develop their metacognitive
- 153 regulation skills.
- 154

155 Metacognition and Self-efficacy

156

157 Metacognition is related to self-efficacy, another construct that impacts learning. Self-efficacy is 158 one's belief in their capability to carry out a task (Bandura, 1977; Bandura, 1997). Research on

159 self-efficacy has revealed its predictive power in regards to performance, academic

achievement, and selection of a college major (Pajares, 1996). The large body of research on

- 161 self-efficacy suggests that students who believe they are capable academically, engage more
- 162 metacognitive strategies, and persist to obtain academic achievement compared to those who
- 163 do not. In STEM in particular, studies tend to reveal gender differences in self-efficacy with
- 164 undergraduate men indicating higher self-efficacy in STEM disciplines compared to women
- 165 (Stewart et al., 2020). In one study of first-year biology students, women were significantly less
- 166 confident than men and students' biology self-efficacy increased over the course of a single
- semester when measured at the beginning and end of the course (Ainscough et al., 2016).
- 168 However, self-efficacy is known to be a dynamic construct, meaning one's perception of their
- 169 capability to carry out a task can vary widely across different task types and over time as 170 struggles are encountered and expertise builds for certain tasks (Yeo & Neal, 2006).
- 171

172 Both metacognition and self-efficacy are strong predictors of academic achievement and

173 performance. For example, one study found that students with stronger metacognitive regulation

skills and greater self-efficacy beliefs (as measured by self-reported survey responses) perform

- better and attain greater academic success (as measured by GPA) (Coutinho & Neuman,
- 176 2008). Additionally, self-efficacy beliefs were strong predictors of metacognition, suggesting
- 177 students with higher self-efficacy used more metacognition. Together, the results from this
- 178 quantitative study using structural equation modeling of self-reported survey responses
- suggests that metacognition may act as a mediator in the relationship between self-efficacy and
- 180 academic achievement (Coutinho & Neuman, 2008). As qualitative researchers, we were

181 curious to uncover how both metacognition and self-efficacy might emerge out of more

- 182 qualitative, in-the-moment data streams.
- 183

184 Research Questions

185

186 To pinpoint first-year life science students' metacognition in-the-moment and to describe the 187 relationship between their metacognition and self-efficacy, we conducted think aloud interviews 188 with 52 students from three different institutions to answer the following research questions:

- 189 (1) What metacognitive regulation skills are evident when first-year life science students
 190 solve problems on their own?
- 191 2) What aspects of learning self-efficacy do first-year life science students reveal when
- 192 they solve problems on their own?
- 193

194 METHODS

195

196 Research Participants & Context

197

198 This study is a part of a larger longitudinal research project investigating the development of 199 metacognition in life science undergraduates which was classified by the Institutional Review 200 Board at the University of Georgia (STUDY00006457) and University of North Georgia (2021-201 003) as exempt. For that project, 52 first-year students at three different institutions in the 202 southeastern United States were recruited from their introductory biology or environmental 203 science courses in the 2021-2022 academic year. Data was collected at three institutions 204 (Georgia Gwinnett College, University of Georgia, and University of North Georgia) to represent 205 different academic environments because it is known that context can affect metacognition (see 206 Supplemental Data Table 1). Additionally, in our past work we found that first-year students 207 from different institutions differed in their metacognitive skills (Stanton et al., 2019; Stanton et 208 al., 2015). Our goal in collecting data from three different institution types was to ensure our 209 qualitative study could be more generalizable than if we had only collected data from one 210 institution. Students at each institution were invited to complete a survey to provide their contact 211 information, answer the revised 18-item Metacognitive Awareness Inventory (Harrison & Vallin, 212 2018), 32-item Epistemic Beliefs Inventory (Schraw et al., 1995), and 8-item Self-efficacy for 213 Learning and Performance subscale from the Motivated Strategies for Learning Questionnaire 214 (MSLQ) (Pintrich et al., 1993). They were also asked to provide their demographic information 215 including their age, gender, race/ethnicity, college experience, intended major, and first-216 generation status. First-year students who were 18 years or older and majoring in the life 217 sciences were invited to participate in the larger study. We used purposeful sampling to select a 218 sample that matched the demographics of the student body at each institution and also 219 represented a range in metacognitive ability based on students' responses to the revised 220 Metacognitive Awareness Inventory (Harrison & Vallin, 2018). In total, eight students from 221 Georgia Gwinnett College, 23 students from the University of Georgia, and 21 students from the

222 University of North Georgia participated in the present study (see **Supplemental Data Table 2**).

223

224 Data Collection

225

226 All interviews were conducted over Zoom during the 2021-2022 academic year when 227 participants had returned to the classroom. Participants (n=52) were asked to think aloud as 228 they solved two challenging biochemistry problems (Figure 1) that have been previously 229 published (Bhatia et al., 2022; Halmo et al., 2018; Halmo et al., 2020). We selected two 230 challenging biochemistry problems for first-year students to solve because we know that 231 students do not use metacognition unless they find a learning task challenging (Carr & 232 Taasoobshirazi, 2008). The problems we selected met this criterion because participants had 233 not yet taken biochemistry. The biology problems were open-ended and asked students to 234 make predictions and provide scientific explanations for their predictions about 1) non-covalent 235 interactions in a folded protein for the Protein X Problem (Halmo et al., 2018; Halmo et al., 236 2020) and 2) negative feedback regulation in a metabolic pathway for the Pathway Flux 237 Problem (Bhatia et al., 2022). To elicit student thinking after participants fell silent for more than 238 five seconds, interviewers used the following two prompts: "What are you thinking (now)?" and 239 "Can you tell me more about that?" (Charters, 2003; Ericsson & Simon, 1980). After participants 240 solved the problems, they shared their written solutions with the interviewer using the chat 241 feature in Zoom. Participants were then asked to describe their problem-solving process out 242 loud and respond to up to four reflection questions (see Supplemental Material for full 243 interview protocol). The think aloud interviews were audio and video recorded and transcribed

using a professional, machine-generated transcription service (Temi.com). All transcripts were checked for accuracy by members of the research team before analysis began.

246247 Data Analysis

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249 The resulting transcripts were analyzed by a team of three researchers in three cycles. In the 250 first cycle of data analysis, half of the transcripts were open coded by members of the research 251 team (S.M.H., J.D.S., and K.A.Y.). During this open coding process, we individually reflected on 252 the contents of the data, remained open to possible directions suggested by our interpretation of 253 the data, and recorded our initial observations using analytic memos (Saldaña, 2021). The 254 research team (S.M.H., J.D.S., and K.A.Y.) then met to discuss our observations from the open 255 coding process and suggest possible codes that were aligned with our observations, knowledge 256 of metacognition and self-efficacy, and our guiding research questions. This discussion led to 257 the development of an initial codebook consisting of inductive codes discerned from the data 258 and deductive codes derived from theory on metacognition. In the second cycle of data 259 analysis, the codebook was applied to the dataset iteratively by two researchers (S.M.H. and 260 K.A.Y) using MaxQDA2020 software (VERBI Software; Berlin, Germany) until the codebook 261 stabilized. Coding disagreements between the two coders were discussed by all three 262 researchers until consensus was reached. All transcripts were coded to consensus to identify 263 aspects of metacognition and learning self-efficacy that were verbalized by participants. Coding 264 to consensus allowed the team to consider and discuss their diverse interpretations of the data and ensure trustworthiness of the analytic process (Tracy, 2010). In the third and final cycle of 265 266 analysis, thematic analysis was used to uncover central themes in our dataset. As a part of 267 thematic analysis, two researchers (S.M.H and K.A.Y) synthesized one-sentence summaries of 268 each participant's think aloud interview. Student quotes presented in the Results & Discussion 269 have been lightly edited for clarity, and all names are pseudonyms.

270

271 Problem-Solving Performance as Context for Studying Metacognition

272

273 Participants' final problem solutions were individually scored by two researchers (S.M.H. and 274 K.A.Y) using an established rubric and scores were discussed until complete consensus was 275 reached. The median problem-solving performance of students in our sample was two points on 276 a 10-point rubric. Students in our sample scored low on the rubric because they either failed to 277 answer part of the problem or struggled to provide accurate explanations or evidence to support 278 their predictions. Despite the phrase "provide a scientific explanation to support your prediction" 279 included in the prompt, most students' solutions contained a prediction, but lacked an 280 explanation. For example, the majority of the solutions for the Protein X problem predicted the 281 non-covalent interaction would be affected by the substitution, but lacked categorization of the 282 relevant amino acids or identification of the non-covalent interactions involved, which are critical 283 problem-solving steps for this problem (Halmo et al., 2018; Halmo et al., 2020). The majority of 284 the Pathway Flux solutions also predicted that flux would be affected, but lacked an accurate 285 description of negative feedback inhibition or regulation release of the pathway, which are 286 critical features of this problem (Bhatia et al., 2022). This lack of accurate explanations is not 287 unexpected. Previous work shows that both introductory biology and biochemistry students 288 struggle to provide accurate explanations to these problems without pedagogical support, and 289 introductory biology students generally struggle more than biochemistry students (Bhatia et al., 290 2022; Lemons, personal communication). 291

292 The problem-solving scores were interrogated using R Statistical Software (R Core Team,

- 2021). A one-way ANOVA was performed to compare the effect of institution on problem-solving
- 294 performance. This analysis revealed that there was not a statistically significant difference in

problem-solving performance between the three institutions (F(2, 49) = 0.085, p = 0.92). This

indicates students performed similarly on the problems regardless of which institution they

297 attended (Supplemental Data Table 3). Another one-way ANOVA was performed to compare 298 the effect of gender on problem-solving performance which revealed no statistically significant

the effect of gender on problem-solving performance which revealed no statistically significant differences in problem-solving performance based on gender (F(1, 50) = 0.956, p = 0.33).

300 Students performed similarly on the problems regardless of their gender (**Supplemental Data**

Table 4). Taken together, this analysis suggests a homogeneous sample in regard to problem-

302 solving performance. 303

304 **RESULTS & DISCUSSION**

305

What metacognitive regulation skills are evident when first-year life science studentssolve problems on their own?

308

309 To address our first research question, we looked for statements and questions related to the 310 three skills of planning, monitoring, and evaluating in our participants' think aloud data. Because 311 metacognitive regulation skills encompass how students act on their metacognitive awareness, 312 participants' explicit awareness was a required aspect when analyzing our data for these skills. 313 For example, the statement "this is a hydrogen bond" does not display awareness of one's 314 knowledge but rather the knowledge itself (cognition). In contrast, the statement "I know this is a 315 hydrogen bond" does display awareness of one's knowledge and is therefore considered 316 evidence of metacognition. We present our findings for each metacognitive regulation skill. For 317 further demonstration of how students use these skills in concert when problem solving, we offer problem-solving vignettes of a student from each institution in **Supplemental Data**.

318 319

320 Planning: Students did not plan before solving but did assess the task and rationalize 321 their approach in the moment

322

323 Planning how to approach the task of solving problems individually involves selecting strategies 324 to use and when to use them before starting the task (Stanton et al., 2021). Planning did not 325 appear in our data in a classical sense. This finding is unsurprising because the task was 1) 326 well-defined, meaning there were a few potentially accurate solutions rather than an abundant 327 number of accurate solutions, 2) straightforward meaning the goal of solving the problem was 328 clearly stated, and 3) relatively short meaning students were not entering and exiting from the 329 task multiple times like they might when studying for an exam. Additionally, the stakes were 330 comparatively low meaning task completion and performance carried little to no weight in 331 participants' college career. In other data from this same sample, we know that these 332 participants make plans for high-stakes assessments like exams but often admit to not planning 333 for lower stakes assessments like homework (unpublished data: Stanton, personal 334 communication). While planning was absent in the traditional sense, it was present in different 335 ways that could also be categorized as planning. Related to the skill of planning, we observed 336 students assessing the task after reading the problem and describing their rationales for their 337 approach in the moment (**Table 1**). We describe these aspects related to planning and provide 338 descriptions of what happened after students planned in this way.

339

340 Assessing the task

341

342 While we did not observe students explicitly planning their approach to problem solving *before*

- beginning the task, we did observe students assessing the task or what other researchers have
- called "orientation" *after* reading the problems (Meijer et al., 2006; Schellings et al., 2013).
- 345 Students in our study either assessed the task successfully or unsuccessfully. For example,

346 when Gerald states, "So I know that not only do I have to give my answer, but I also have to 347 provide information on how I got my answer..." he successfully identified what the problem 348 was asking him to do by providing a scientific explanation. In contrast, Simone admits her 349 struggle with figuring out what the problem is asking when she states, "I'm still trying to figure 350 out what the question's asking. I don't want to give up on this question just vet, but yeah, it's just 351 kinda hard because I can't figure out what the question is asking me if I don't know the 352 terminology behind it." In Simone's case, the terminology she struggled to understand is what 353 was meant by a scientific explanation. Assessing the task unsuccessfully also involved 354 misinterpreting what the problem asked. This was a frequent issue for students in our sample 355 during the Pathway Flux problem because students inaccurately interpreted the negative 356 feedback loop, which is a known problematic visual representation in biochemistry (Bhatia et al., 357 2022). For example, students like Paulina and Kathleen misinterpreted the negative feedback 358 loop as enzyme B no longer functioning when they stated respectively, "So if enzyme B is taken out of the graph...", or "...if B cannot catalyze..." Additionally some students misinterpreted the 359 360 negative feedback loop as a visual cue of the change described in the problem prompt (IV-CoA 361 can no longer bind to enzyme B). This can be seen in the following example quote from Mila: 362 "So I was looking at it and I see what they're talking about with the IV-CoA no longer binding to 363 enzyme B and I think that's what that arrow with the circle and the line through it is representing. 364 It's just telling me that it's not binding to enzyme B."

365

366 What happened after assessing the task? Misinterpretations of what the problem was asking like those shared above from Simone, Paulina, Kathleen, and Mila led to inaccurate answers for 367 368 the Pathway Flux problem. In contrast, when students like Gerald could correctly interpret what 369 the problem asked them to do, this led to more full and accurate answers for both problems. 370 Accurately interpreting what a problem is asking you to do is critical for problem-solving 371 success. A related procedural error identified in other research on written think aloud protocols 372 from students solving multiple-choice biology problems was categorized as misreading (Prevost 373 & Lemons, 2016).

374

375 *Rationales for approach*

376

377 We also observed students explaining their rationale for their approach during and after solving. 378 Even though their rationales were not revealed before they started, we still consider their 379 rationales for their approach to be a part of planning because they include their reasoning 380 behind selecting certain strategies. Overall, students revealed rationales for their approach 381 based on their past experience solving problems. Students used two approaches based on their 382 past experience solving exam problems. The first was using instructor recommended strategies 383 for writing a solution. While typing her solution, Elena described, "I like to like answer the full 384 question, like repeat the question in a short form to answer the question just so it seems like a 385 formal answer. I don't know. That's what my AP biology teacher told me to do." It's notable 386 that students are remembering strategies their past instructors taught them because it suggests 387 some students are open to recommendations from their current instructors. The second 388 approach students used based on past experience was reading the guestion first and skipping 389 over the full prompt. As Erwin shared, "Well, I usually read what it is asking me to answer first. 390 And so I read the bottom paragraph usually first, because sometimes some information can be 391 misleading in test questions." In his reflection after solving, Erwin revealed that this "habit of 392 reading what it's asking for first" is "a strategy that I did for the ACT and SAT, where I could 393 read a question and pretty fast. And so I already jumped the gun. I didn't really care about the 394 first two paragraphs. And I just focus on the guestion at hand..." He further explains in his 395 problem reflection that he uses the rest of the problem in the first two paragraphs "as a 396 reference to answering a question or making me sound smarter in a question, so that the

397 professor can give me points." Through these snapshots of his think aloud interview, Erwin is 398 revealing his approach for the think aloud problems was similar to his approach for taking 399 exams. While his approach is potentially beneficial on timed, multiple-choice exams like the 400 ACT and SAT, this approach was likely not as effective when solving untimed, free response 401 problems like the ones in this study.

402

403 What happened after providing a rationale for approach? When students provided a 404 rationale for their approach, they followed through with using the approach, independent of 405 whether the approach was ultimately beneficial for problem solving or not. For example, Elena's 406 use of instructor recommended strategies for writing a solution ensured she attempted 407 answering the problem fully. In contrast, while Erwin's shortcut of reading the question first may 408 have helped him reduce extraneous cognitive (Paas et al., 2003) or metacognitive load (Valcke, 409 2002; Wirth et al., 2020), it ultimately caused him to miss critical parts of the problem which he 410 only realized during his problem-solving reflection. In Erwin's case, his approach may have 411 been the misapplication of a well-known general problem-solving approach of working 412 backwards by beginning with the problem goal (Chi & Glaser, 1985).

413

414 Implications for Instruction & Research about Planning

415

416 In our study, evidence of planning was limited. This suggests that first-year students' 417 approaches were either unplanned or automatic (Samuels et al., 2005). As metacognition 418 researchers and instructors, we find first-year life science students' absence of planning before 419 solving and presence of assessing the task and rationalizing their approach during and after 420 solving illuminating. This means planning is likely one area in which we can help first-year life 421 science students grow their metacognitive skills through practice. While we do not anticipate 422 that undergraduate students will be able to plan how to solve a problem that is unfamiliar to 423 them before reading a problem, we do think we can help students develop their planning skills 424 through modeling when solving disciplinary problems. When modeling problem solving for 425 students, we could make our planning explicit for students by verbalizing how we assess the 426 task and what strategies we plan to use and why. From the problem-solving literature, it is 427 known that experts assess a task by recognizing the deep structure or problem type and what is 428 being asked of them (Chi et al., 1981; Smith et al., 2013). This likely happens rapidly and 429 automatically for experts through the identification of visual and key word cues. Forcing 430 ourselves to think about what these cues might be and alerting students to them through 431 modeling may help students more rapidly develop expert-level schema, approaches, and 432 planning skills. Providing students with feedback on their assessment of a task and whether or 433 not they misunderstood the problem also seems to be critical for problem-solving success 434 (Prevost & Lemons, 2016). 435 436 Additionally, our data show that when students have a rationale for an approach, they are likely 437 to follow through with implementing that approach. We can use this to our benefit by

438 encouraging our students to assess the effectiveness of the approach they employ which will

help them further develop their metacognitive regulation skills. As students develop stronger

rationales for their approach, they may be more likely to use those approaches. For instance,

helping students realize they can plan for smaller tasks like solving a problem by listing the pros

442 and cons of relevant strategies and what order they plan to use selected strategies before they

- begin could help students narrow the problem solving space, approach the task with focus, and achieve efficiency to become "good strategy users" (Pressley et al., 1987).
- 445

446 Monitoring: Students monitored their knowledge in the moment in a myriad of ways

448 Monitoring progress towards problem-solving involves assessing conceptual understanding 449 during the task (Stanton et al., 2021). First-year life science students in our study monitored

449 during the task (Stanton et al., 2021). First-year the science students in our study monitored 450 their conceptual understanding during individual problem solving in a myriad of ways. In our

450 analysis, we captured the specific aspects of conceptual understanding students monitored.

452 Students in our sample monitored their 1) understanding, 2) familiarity, 3) confusion, 4)

453 questions, 5) assumptions, 6) relevance, and 7) correctness (**Table 1**). We describe each

454 aspect of conceptual understanding that students monitored and we provide descriptions of

455 what happened after students monitored in this way.

456

457 Monitoring Understanding

458

459 When students monitored understanding, they described specific pieces of knowledge they 460 either knew or did not know, beyond what was provided in the problem prompt. For example, 461 Kathleen demonstrated an awareness of her understanding about amino acid properties when 462 she said, "I know that like the different amino acids all have different properties like some are, 463 what's it called? Like hydrophobic, hydrophilic, and then some are much more reactive." 464 Willibald monitored his understanding of the turn of phrase "when in doubt, van der Waals it out" 465 by sharing, "So, cause I know basically everything has, well not basically everything, but a lot of 466 things have van der Waal forces in them. So that's why I say that a lot of times. But it's a 467 temporary dipole, I think." In contrast, Jeffery monitored his lack of understanding of a specific 468 part of the Pathway Flux figure when he stated, "I guess I don't understand what this dotted 469 arrow is meaning." Ignoring or misinterpreting the negative feedback loop was a common issue 470 as students solved this problem, so it's notable that Jeffery acknowledged his lack of 471 understanding about this symbol. When students identified what they knew, the knowledge they 472 revealed sometimes had the potential to lead to a misunderstanding. Take for example Lucy's 473 quote: "I know a hydrogen bond has to have a hydrogen. I know that much. And it looks like 474 they both have hydrogen." This statement suggests Lucy might be displaying a known 475 misconception about hydrogen bonding – that all hydrogens participate in hydrogen bonding 476 (Villafañe et al., 2011).

477

478 What happened after monitoring understanding? When students could identify what they 479 knew, they used this information to formulate a solution. When students could identify what they 480 did not know, they either did not know what to do next or they used strategies to move beyond 481 their lack of understanding. Two strategies students used after identifying a lack of 482 understanding included disregarding information and writing what they knew. Kyle disregarded 483 information when he didn't understand the negative feedback loop in the Pathway Flux problem: 484 "...there is another arrow on the side I see with a little minus sign. I'm not sure what that 485 means... it's not the same as [the arrows by] A and C. So I'm just going to disregard it sort of for 486 now. It's not the same. Just like note that in my mind that it's not the same." In this example, 487 Kyle disregards a critical part of the problem, the negative feedback loop, and does not revisit 488 the disregarded information which ultimately led him to an incorrect prediction for this problem. 489 We also saw one example of a student, Elaine, use the strategy of writing what she knew when 490 she was struggling to provide an explanation for her answer: "I should know this more, but I 491 don't know, like a specific scientific explanation answer, but I'm just going to write what I do 492 know so I can try to organize my thoughts." Elaine's focus on writing what she knew allowed her 493 to organize the knowledge she did have into a plausible solution that specified which amino 494 acids would participate in new non-covalent interactions ("I predict there will be a bond between 495 A and B and possibly A and C.") despite not knowing "what would be required in order for it to 496 create a new noncovalent interaction with another amino acid." The strategies that Kyle and 497 Elaine used in response to their monitoring of a lack of understanding shared the common goal 498 of helping them get unstuck in their problem-solving process.

499

500 Monitoring Familiarity

501

502 When students monitored familiarity, they described knowledge or aspects of the problem 503 prompt that were familiar or not familiar to them. This category also captured when students 504 would describe remembering or forgetting something from class. For example, when Simone 505 states, "I remember learning covalent bonds in chemistry, but I don't remember right now what 506 that meant" she is acknowledging her familiarity with the term covalent from her chemistry 507 course. Similarly, Oliver acknowledges his familiarity with tertiary structure from his class when 508 solving the Protein X problem. He first shared, "This reminds me of something that we've 509 looked at in class of a tertiary structure. It was shown differently but I do remember something 510 similar to this." Then later, he acknowledges his lack of familiarity with the term flux when 511 solving the Pathway Fux problem, "That word flux. I've never heard that word before." Quinn 512 aptly pointed out that being familiar with a term or recognizing a word in the problem did not 513 equate to her understanding, "I mean, I know amino acids, but that doesn't... like I recognize 514 the word, but it doesn't really mean anything to me. And then non-covalent, I recognize the 515 conjunction of words, but again, it's like somewhere deep in there..."

516 517 What happened after monitoring familiarity? When students recognized what was familiar to 518 them in the problem, it sometimes helped them connect to related prior knowledge. In some 519 cases, though, students connected words in the problem that were familiar to them to unrelated 520 prior knowledge. Erika, for example, revealed in her problem reflection that she was familiar with 521 the term mutation in the Protein X problem and formulated her solution based on her knowledge 522 of the different types of DNA mutations, not non-covalent interactions. In this case, Erika's 523 familiarity with the term mutation and failure to recognize this familiarity when problem solving 524 impeded her development of an accurate solution to the problem. This is why Quinn's 525 recognition that her familiarity with terms does not equate to understanding is critical. This 526 recognition can help students like Erika avoid false feelings of knowing that might come from the 527 rapid fluent recall of unrelated knowledge (Reber & Greifeneder, 2017). When students 528 recognized parts of the problem they were unfamiliar with, they often searched for familiar terms 529 to use as footholds. For example, Lucy revealed the following in her problem reflection: "So first 530 I tried to look at the beginning introduction to see if I knew anything about the topic. 531 Unfortunately, I did not know anything about it. So I just tried to look for any trigger words that I

532 did recognize." After stating this, Lucy said she recognized the words protein and tertiary

533 structure and was able to access some prior knowledge about hydrogen bonds for her solution.

534

535 Monitoring Confusion

536

537 Monitoring confusion is distinct from monitoring understanding. When students displayed 538 awareness of a specific piece of knowledge they did not know (e.g., "I don't know what these 539 arrows really mean." - Mila) this was considered monitoring (a lack of) understanding. In 540 contrast, monitoring confusion was a more general awareness of their overall lack of

541 understanding (e.g., "Well, I first look at the image and I'm already kind of confused with it

542 [laughs]." - Erwin). When students monitored confusion when solving, they expressed a general

543 lack of understanding or knowledge about the problem. As Sara put it, "I have no clue what I'm

544 looking at." Sometimes monitoring confusion came as an acknowledgement of lack of prior

545 knowledge students felt they needed to solve the problem. Take for instance when Ismail states,

546 "I've never really had any prior knowledge on pathway fluxes and like how they work and it

547 obviously doesn't make much sense to me." Students also expressed confusion about how to 548

approach the problem, which is related to monitoring one's procedural knowledge. For example, 549 when Harper stated, "I'm not sure how to approach the question," she was monitoring a lack of knowledge about how to begin. Similarly, after reading the problem Tiffani shared, *"I am not sure how to solve this one* because *I've actually never done it before…"* Several of the firstyear life science students in our study also got stuck with the request to provide a scientific
explanation in the problem prompt, as Simone stated, *"I don't know how to provide a scientific explanation* for that."

555

556 What happened after monitoring confusion? When students monitored their confusion, one 557 of two things happened. Rarely, students would give up on solving altogether. In fact, only one individual (Roland) submitted a final solution that read, "I have no idea." More often students 558 559 persisted despite their confusion. Rereading the problem was a common strategy students in 560 our sample used after identifying general confusion. As Jeffery stated after reading the problem, 561 "I didn't really understand that, so I'm gonna read that again." After rereading the problems a 562 few times, Jeffery stated, "Oh, and we have valine here. I didn't see that before." Some students 563 like Valentina revealed their rereading strategy rationale after solving, "First I just read it a 564 couple of times because I wasn't really understanding what it was saying." After rereading the 565 problem a few times Valentina was able to accurately assess the task by stating "amino acid (A) 566 turns into valine." When solving, some students linked their general lack of prior knowledge or 567 knowledge about how to proceed with an inability solve. As Harper shared, "I don't think that I 568 have enough like basis or learning to where I'm able to answer that guestion." Similarly, Tiffani 569 shared, "I am actually not sure how to solve this. I do not think I can solve this one." Despite 570 making these claims of self-doubt in their ability to solve, both Harper and Tiffani monitored in 571 other ways and ultimately came up with a solution beyond a simple, "I don't know." In sum, 572 when students acknowledged their confusion in this study, they usually did not stop there. They 573 used their confusion as a jumping off point to further monitor by identifying more specifically 574 what they did not understand or they used a strategy, like re-reading, to resolve their confusion. 575 Persisting despite confusion is likely dependent on motivational factors which were not explored 576 in this study.

577

578 Monitoring Questions

579

580 When students monitored through questions, they asked themselves a question out loud. These 581 guestions were either about the problem itself or their own knowledge. An example of 582 monitoring through a question about the problem itself comes from Elaine who asked herself 583 after reading the problem and sharing her initial thoughts, "What is this asking me?" Elaine's 584 question helped reorient her to the problem and put herself back on track with answering the 585 guestion asked. After Edith came to a tentative solution, she asked herself, "But what about the 586 other information? How does that pertain to this?" which helped her initiate monitoring the 587 relevance of the information provided in the prompt. Students also posed questions to 588 themselves about their own content knowledge. Take for instance Phillip when he asked 589 himself, "So would non-covalent be ionic bonds or would it be something else? Covalent bonds 590 are sharing a bond, but what does non-covalent mean?" After Phillip asked himself this 591 question, he reread the problem but ultimately acknowledge he was "not too sure what non-592 covalent would mean."

593

What happened after monitoring questions? After students posed questions to themselves while solving, they either answered their question or they did not. Students who answered their self-posed questions relied on other forms of monitoring and rereading the prompt to do so. For example, after questioning themselves about their conceptual knowledge, some students acknowledged they did not know the answer to their question by monitoring their understanding. Students who did not answer their self-posed questions moved on without answering their question directly out loud.

601

602 Monitoring Assumptions

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604 When students monitored assumptions, they explicitly acknowledged when they were making 605 assumptions in their thought process. In the Pathway Flux problem, the majority of students 606 admitted to not knowing what the term flux meant, which lead them to make some assumptions 607 about its meaning. As Elena put it, "So when it says flux, I think of flow, like the flow of the 608 pathway. I'm assuming that's what it's asking." Henry acknowledges he made the same 609 assumption as Elena, "It would be nice if I knew what pathway flux was. I'm guessing the flow 610 of the pattern or flow of the process." Students like Ismail also acknowledged when they made 611 assumptions about associations between words: "When I see the word 'non-covalent', I 612 **presume** ionic interactions are the type of interactions that are being in guestion." In one case, 613 Icarus acknowledged a central assumption pertinent to his more sophisticated prediction to the 614 Pathway Flux problem: "...if IV-CoA can't bind to enzyme B as a substrate, assuming part of 615 IV-CoA in excess, this is what helps enzyme B work." Icarus was one of the only students in 616 our sample to get at the idea of relative amounts of metabolites in the Pathway Flux problem. 617 618 What happened after monitoring assumptions? Monitoring assumptions allowed students to

619 continue problem solving rather than getting stuck on what they did not know. Intriguingly, other 620 researchers have identified making incorrect assumptions as a procedural error when solving 621 multiple-choice biology problems (Prevost & Lemons, 2016). We posit that this error may occur 622 when there is a failure to monitor the assumptions being made during problem solving. Explicitly 623 acknowledging when as assumption is made might prevent this procedural error from occurring.

624 625

Monitoring Relevance

626

627 When students monitored relevance, they described what pieces of their own knowledge or 628 aspects of the problem prompts were relevant or irrelevant to their thought process. For the 629 Protein X problem, many students monitored the relevance of the provided information about 630 pH. First-year life science students may have focused on this aspect of the problem prompt 631 because pH is a topic often covered in introductory biology classes, which all participants were 632 enrolled in at the time of the study. However, students differentially decided if this information 633 was relevant or irrelevant. Quinn decided this piece of information was relevant: "The pH of the 634 water surrounding it. I think it's important because otherwise it wouldn't really be mentioned." 635 In contrast, Ignacio decided the same piece of information was irrelevant: "So the pH has 636 nothing to do with it. The water molecules had nothing to do with it as well. So basically 637 everything in that first half, everything in that first thing, right there is basically useless. So I'm 638 just going to exclude that information out of my thought process cause the pH has nothing 639 to do with what's going on right now..." From an instructional perspective, knowing the pH in the 640 Protein X problem is relevant information for determining the ionization state of acidic and basic 641 amino acids, like amino acids D and E shown in the figure. However, this specific problem 642 asked students to specifically consider amino acids A and B, so Ignacio's decision that the pH 643 was irrelevant may have helped him focus on more central parts of the problem. In addition to 644 monitoring the relevance of the provided information, sometimes students would monitor the 645 relevance of their own knowledge that they brought to bear on the problem. For example, 646 consider the following quote from Regan: "I just think that it might be a hydrogen bond, which 647 has nothing to do with the question." Regan made this statement during her think aloud for 648 the Protein X problem, which is intriguing because the Protein X problem deals solely with non-649 covalent interactions like hydrogen bonding.

651 **What happened after monitoring relevance?** Overall, monitoring relevance helped students 652 narrow their focus during problem solving, but could be misleading if done inaccurately like in 653 Regan's case.

654

655 *Monitoring Correctness*

656

657 When students monitored correctness, they corrected their thinking out loud. A prime example 658 of this comes from Kyle's think aloud, where he corrects his interpretation of the problem not once but twice: "It said the blue one highlighted is actually a valine, which substituted the serine, 659 660 so that's valine right there. And then I'm reading the question. No, no, no. It's the other way 661 around. So serine would substitute the valine and the valine is below...Oh wait wait, I had it 662 right the first time. So the blue highlighted is this serine and that's supposed to be there, but a 663 mutation occurs where the valine gets substituted." Kyle first corrects his interpretation of the 664 problem in the wrong direction but corrects himself again to put him on the right track. Icarus also caught himself reading the problem incorrectly by replacing the word non-covalent with the 665 666 word covalent, which was a common error students made: "Oh, wait, I think I read that wrong. 667 I think I read it wrong. Well, yeah. Then that will affect it. I didn't read the non-covalent part. I just 668 read covalent." Students also corrected their language use during the think aloud interviews, like 669 Edith: "since enzyme B is no longer functioning... No, not enzyme B... since IV-CoA is no 670 longer functional and able to bind to enzyme B, the metabolic pathway is halted." Edith's 671 language use correction, while minor, is worth noting because students in this study often 672 misinterpreted the Pathway Flux problem to read as "enzyme B no longer works". There were 673 also instances when students corrected their own knowledge that they brought to bear on the 674 problem. This can be seen in the following quote from Tiffani when she says, "And tertiary 675 structure. It has multiple... No, no, no. That's primary structure. Tertiary structure's when like 676 the proteins are folded in on each other."

677

678 What happened after monitoring correctness? When students corrected themselves, this 679 resulted in more accurate interpretations of the problem and thus more accurate solutions. 680 Specifically, monitoring correctness helped students avoid common mistakes when assessing 681 the task which was the case for Kyle, Icarus, and Edith described above. When students do not 682 monitor correctness, incorrect ideas can go unchecked throughout their problem-solving 683 process, leading to more inaccurate solutions. In other research, contradicting and 684 misunderstanding content were two procedural errors students experienced when solving 685 multiple-choice biology problems (Prevost & Lemons, 2016), which could be alleviated through 686 monitoring correctness.

687

688 Implications for Instruction & Research about Monitoring

689

690 Monitoring is the last metacognitive regulation skill to develop, and it develops slowly and well 691 into adulthood (Schraw, 1998). Based on our data, first-year life science students are monitoring 692 in the moment in a myriad of ways. This may suggest that college-aged students have already 693 developed monitoring skills by the time they enter college. This finding has implications for both 694 instruction and research. For instruction, we may need to help our students keep track of and 695 learn what do with the information and insight they glean from their in-situ monitoring when 696 solving disciplinary problems. For example, students in our study could readily identify what they 697 did and did not know, but they may struggle to identify ways in which they could potentially 698 resolve their lack of understanding, confusion, or uncertainty or use this insight in expert-like 699 ways when formulating a solution. 700

701 As instructors who teach students about metacognition, we can normalize the temporary 702 discomfort monitoring may bring as an integral part of the learning process and model for 703 students what to do after they monitor. For example, when students glean insight from 704 monitoring familiarity, we could help them learn how to properly use this information so that they 705 do not equate familiarity with understanding when practicing problem solving on their own. This 706 could help students avoid the fluency fallacy or the false sense that they understand something 707 simply because they recognize it or remember learning about it (Reber & Greifeneder, 2017). 708 The majority of the research on metacognition, including our own, has been conducted using 709 retrospective methods (Dye & Stanton, 2017; Stanton et al., 2019; Stanton et al., 2015). 710 However, retrospective methods may provide little insight into true monitoring skills since these 711 skills are used *during* learning rather than *after* learning has occurred (Schraw & Moshman, 712 1995; Stanton et al., 2021). More research using in-the-moment methods, which are used 713 widely in the problem-solving literature, are needed to fully understand the rich monitoring skills 714 of life science students and how they may develop over time. The monitoring skills of life 715 science students in both individual and small group settings, and the relationship of monitoring 716 skills across these two settings, warrants further exploration. This seems particularly salient 717 given that questioning and responding to questions seems to be an important aspect of both 718 individual metacognition in the present study and social metacognition in our prior study, which 719 used in-the-moment methods (Halmo et al., 2022).

720 721

Evaluating: Students evaluated their knowledge and experience problem solving

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Evaluating achievement of individual problem solving involves appraising an implemented plan and how it could be improved for future learning after completing the task (Stanton et al., 2021). Students in our sample revealed some of the ways they evaluate during individual problem solving (**Table 1**). They evaluated both their knowledge and their experience of problem solving. When students in our sample evaluated their knowledge, we categorized this as either accuracy or solution. When students in our sample evaluated their experience, we categorized this as either difficulty or feelings.

730

731 Evaluating Knowledge: Accuracy

732 733 Evaluating accuracy occurred when students assessed whether their solution was right or 734 wrong. For example, when Harper says, "I think I got the answer right" she is evaluating her 735 accuracy in the affirmative (that her solution is right). Interestingly, Harper's answer was only 736 partially correct. In contrast, more students evaluated the accuracy of their solution in the 737 negative. For example, when Kyle states, "I don't think hydrogen bonding is correct." Kyle 738 clarified in his problem reflection, "I noticed [valine] did have hydrogens and the only non-739 covalent interaction I know of is probably hydrogen bonding. So I just sort of stuck with that and 740 just said more hydrogen bonding would happen with the same oxygen over there [in 741 *glutamine*]." Through this quote, we see that Kyle went with hydrogen bonding as his prediction 742 because it's the only non-covalent interaction he could recall. However, Kyle accurately 743 evaluated the accuracy of his solution by noting that hydrogen bonding was not the correct 744 answer. Evaluating accuracy in the negative often seemed like hedging or self-doubt. Take for 745 instance Astrid's quote about her tentative solution, "I'm using all my previous knowledge to try 746 and put something together, but it's probably not right." After making this statement Astrid was 747 able to move forward in her problem solving to come to a solution that was not fully accurate. 748 Regan's quote that she shared right after submitting her final solution also expressed self-doubt 749 about the accuracy of her solution: "The chances of being wrong are 100%, just like, you 750 know [laughs]."While all of the above examples of evaluating accuracy occurred spontaneously 751 without prompting, having students describe their thinking process after solving the problems

752 may have been sufficient to prompt them to evaluate the accuracy of their solution. For

example, Erwin evaluated the accuracy of his solution in response to a follow-up question about
 what he already knew or remembered when solving: *"Well, when I see "covalent", it pops into*

my head of covalent bonding and it's basically telling me that it's not covalent bonding, so I have

to assume it's like...ah, crap. I answered it wrong didn't I? [laughing] The more I say it, the

757 more I realize that I just answered it completely wrong because I just wrote down what I was

thinking, but I guess that's okay." Erwin's evaluation of accuracy is accurate because in his

solution he incorrectly discussed covalent bonding and not non-covalent interactions.

760

What happened after evaluating accuracy? When students evaluated the accuracy of their solution it helped them recognize potential flaws or mistakes in their answers. Additionally, acknowledging the possibility that their solutions might be wrong seemed to helped some students continue problem solving as was the case for Astrid.

766 Evaluating Knowledge: Solution

767 768 The other way students in our study evaluated their knowledge was through evaluating their 769 solution. Evaluating solutions occurred when students would double-check or rethink their 770 solution. Kyle used a very clearly-defined approach for double checking his work by solving the 771 problem twice: "So that's just my initial answer I would put, and then what I do next was I'd just 772 like reread the question and sort of see if I come up with the same answer after rereading 773 and redoing the problem. So I'm just going to do that real quick." Checking one's work is a 774 well-established problem-solving step that most successful problem solvers undertake (Cartrette 775 & Bodner, 2010; Prevost & Lemons, 2016). Other students also rethought their initial solution, 776 although their evaluations of their solution seemed less planned than Kyle's. In the following 777 case, Mila's evaluation of her solution did not improve her final answer. Mila initially predicted 778 that the change described in the Pathway Flux problem would affect flux, which is correct. 779 However, she evaluates her solution when she states, "Oh, wait a minute, now that I'm saying 780 this out loud. I don't think it'll affect it because I think IV-CoA will be binding to enzyme B or C. 781 Sorry, hold on. Now I'm like rethinking my whole answer." After this evaluation, Mila changes 782 her prediction to "it won't affect flux", which is incorrect. In contrast, some students' evaluations 783 of their solutions resulted in improved final answers. For example, after submitting his solution and during his problem reflection, Willibald states, "Oh, I just noticed. I said there'll be no effect 784 785 on the interaction, but then I said van der Waals forces which is an interaction. So I just 786 contradicted myself in there." After this recognition, Willibald decides to amend his first 787 solution, ultimately improving his prediction. Similarly, when Sara was walking through her 788 thought process during her problem reflection she noted, "I guess I could add onto my answer 789 that it could produce a van der Waals because of the close proximity." Importantly, Sara adds 790 this correct idea to her final solution. We also observed one student, Jeffery, evaluating whether 791 or not his solution answered the problem asked, which is notable because we also observed 792 students evaluating in this way when solving problems in small groups (Halmo et al., 2022): "I 793 guess I can't say for sure, but I'll say this new amino acid form[s] a bond with the neighboring 794 amino acids and results in a new protein shape. The only issue with that answer is I feel like 795 I'm not really answering the question: Predict any new non-covalent interactions that might 796 occur with such a mutation." 797

What happened after evaluating solution? When students evaluated their solution, they either decided to stick with their original answer or amend their solution. Evaluating solution often resulted in students adding to or refining their final answer. However, these solution amendments were not always beneficial or in the correct direction because of limited content knowledge. In other work on the metacognition involved in changing answers, answer-changing

neither reduced or significantly boosted performance (Stylianou-Georgiou & Papanastasiou,
2017). The fact that Mila's evaluation of her solution led to a less correct answer whereas
Willibald and Sara's evaluation of their solutions led to more correct answers further contributes
to the variable success of answer-changing on performance.

807 to the variable success of answer-changing on performan

808 Evaluating Experience: Difficulty

809

810 Evaluating difficulty occurred when students assessed the difficulty level of the problem, 811 whether it was difficult or easy for them. Kyle revealed his evaluation of difficulty after solving, 812 when he said, "This one was a little more difficult for me." He made this statement in 813 reference to how determining the interactions valine could participate in was more challenging 814 than determining the interactions serine could participate in during the Protein X problem. 815 Students also compared the difficulty of the two problems we asked them to solve. For example, 816 Elena determined that the Pathway Flux problem was easier for her compared to the Protein X 817 problem in her problem reflection: "I didn't find this question as hard as the last question just 818 cause it was a little bit more simple." In contrast, Elaine revealed that she found the Protein X 819 problem challenging because of the open-ended nature of the question: "I just thought that 820 was a little more difficult because it's just asking me to predict what possibly could happen 821 instead of like something that's like, definite, like I know the answer to."

What happened after evaluating difficulty? When students assessed the difficulty level of the
problems in this study, they usually evaluated the problems as difficult and not easy. They made
this assessment of difficulty after solving.

826827 Evaluating Experience: Feelings

828

829 Evaluating feelings occurred when students assessed how their emotions were connected to 830 their thinking. For example, when making a prediction Clare acknowledged her intuition. "I have 831 a gut feeling that it [the mutation] would [affect the non-covalent interaction], but I don't know 832 why." Students exclusively revealed these emotions they were experiencing when they reflected 833 on their thought process, which is why we consider them a part of evaluation. Interestingly 834 though, the feelings they described were directly tied to their monitoring. We found that students 835 associated negative emotions (nervousness, worry, and panic) with a lack of understanding or a 836 lack of familiarity. For example, in Renee's problem reflection, she connected feelings of panic 837 to when she monitored a lack of understanding: "I kind of panicked for a second, not really 838 panicked cause I know this isn't like graded or anything, but I do not know what a metabolic 839 pathway is." In contrast, students associated more positive feelings when they reflected on 840 moments of monitoring understanding or familiarity. For example, Renee stated, "At first that 841 was kind of **happy** because I knew what was going on." Additionally, some students revealed 842 their use of a strategy explicitly to engender positive emotions or to avoid negative emotions, 843 like Tabitha: "I looked at the first box, I tried to break it up into certain sections, so I did not get 844 overwhelmed by looking at it." 845

846 What happened after evaluating feelings? When students reflected on the emotions 847 connected with their thinking, they associated positive emotions with understanding, and 848 negative emotions with not knowing or a lack of familiarity. Additionally, they identified the 849 purpose of some strategy use to avoid negative emotions. 850

851 Implications for Instruction & Research about Evaluating

853 Our data indicate that some first-year life science students are evaluating their knowledge and 854 experience during and after individual problem solving. As instructors, we can encourage 855 students to evaluate their knowledge more by prompting them to 1) rethink or re-do a problem to 856 see if they come up with the same answer or want to amend their first solution, and 2) predict if 857 they think their solution is right or wrong. Encouraging students to evaluate by predicting if their 858 solution is right or wrong is limited by the students' individual content knowledge and accuracy. 859 Therefore, it is imperative to help students develop their self-evaluation accuracy by following up 860 their predictions with immediate feedback to help them become well-calibrated (Osterhage, 861 2021). Additionally, encouraging students to reflect on problem difficulty and the emotions 862 involved with solving might help students identify and verbalize perceived barriers to problem 863 solving to their instructors. There is likely a highly individualized level of desirable difficulty for 864 each student where a problem is difficult enough to engage their curiosity and motivation to 865 solve something unknown but also does not generate negative emotions associated with failure 866 that could impede solving (de Bruin et al., 2023; Zepeda et al., 2020). The link between the 867 emotional valence of feelings and metacognition in the present study is paralleled in other 868 studies that used retrospective methods and found links between feelings of (dis)comfort and 869 metacognition (Dye & Stanton, 2017). This suggests that the feelings students associate with 870 their metacognition is an important consideration when designing future research studies and 871 interventions. For example, helping students coach themselves through the negative emotions 872 associated with not knowing and pivoting to what they do know might increase the positive 873 emotions needed for problem-solving persistence.

874

875 What aspects of learning self-efficacy do first-year life science students reveal when they 876 solve problems on their own?

877

878 To address our second research question, we looked for statements related to self-efficacy in 879 our participants' think aloud data. Self-efficacy is defined as one's belief in their capability to 880 carry out a specific task (Bandura, 1997). Alternatively, self-efficacy is sometimes 881 operationalized as one's confidence in performing specific tasks (Ainscough et al., 2016). One 882 motivational strategy that students use for increased self-efficacy is efficacy self-talk or 883 "thoughts or subvocal statements aimed at influencing their efficacy for an ongoing academic 884 task" (Wolters, 2003, p. 199). One form of efficacy self-talk that appeared in our data are self-885 encouraging statements we call "self-coaching". These statements either 1) reassured 886 themselves about a lack of understanding, 2) reassured themselves that it's okay to be wrong, 887 3) encouraged themselves to keep going despite not knowing, or 4) reminded themselves of 888 their prior experience. To highlight the role that self-coaching played in problem solving in our 889 dataset, we first present examples where self-coaching was absent and could have been 890 beneficial for the students in our study. Then we present examples where self-coaching was 891 used.

892

893 When students monitored without self-coaching, they had hard time moving forward in 894 their problem-solving

895

When solving the challenging biochemistry problems in this study, first-year life science
students often came across pieces of information or parts of the figures that they were
unfamiliar with or did not understand. In the Monitoring section, we described how students
monitored their understanding and familiarity, but perhaps what is more interesting is *how students responded* to not knowing and their lack of familiarity. In a handful of cases, we
witnessed students get stuck or hung up on what they did not know. We posit that the feeling of
not knowing could increase anxiety, cause concern, and increase self-doubt, all of which can

903 negatively impact a student's self-efficacy and cause them to stop problem solving. One

example of this in our data comes from Tiffani. Tiffani stated her lack of knowledge about how to
proceed and followed this up with a statement on her lack of ability to solve the problem, *"I am actually not sure how to solve this. I do not think I can solve this one.*" A few lines later, Tiffani
clarified where her lack of understanding rested, but again stated she cannot solve the problem, *"I'm not really sure how these type of amino acids pair up, so I can't really solve it.*" In this
instance, Tiffani's lack of understanding is linked to a perceived inability to solve the problem.

910

911 Some students also linked not knowing with perceived deficits. For example, in the following 912 quote Chandra linked not knowing how to answer the second part of the Protein X problem with 913 the idea that also is "not read" with non-accurate the second part of the Protein X problem with

913 the idea that she is *"not very good"* with non-covalent interactions: *"I'm not really sure about the* 914 second part. I do not know what to say at all for that, to predict any new non-covalent, I'm not

915 very good with non-covalent at all." When asked where she got stuck during problem solving,

916 Chandra stated, "The "predict any new non-covalent" cause [I'm] not good with bonds. So I

917 cannot predict anything really." In Chandra's case, her lack of understanding was linked to a 918 perceived deficit and inability to solve the problem. As instructors, it is moments like these

918 perceived deficit and inability to solve the problem. As instructors, it is moments like these 919 where we would hope to intervene and help our students persist in problem solving. However,

920 targeted coaching for all students each time they solve a problem can seem like an impossible

feat to accomplish in large, lecture-style college classrooms. Therefore, from our data we

922 suggest that encouraging students to self-coach themselves through these situations is one

- 923 approach we could use to achieve this goal.
- 924 925

5 When students monitored and self-coached, they persisted in their problem-solving

926

927 In contrast to the cases of Tiffani and Chandra shared above, we found instances of students 928 self-coaching after acknowledging their lack of understanding about parts of the problem by 929 immediately reassuring themselves that it was okay to not know. For example, when exploring 930 the arrows in the Pathway Flux problem figure Ivy states, "I don't really know what that little 931 negative means, but that's okay." After making this self-coaching statement lvy moves on to 932 thinking about the other arrows in the figure and what they mean to formulate an answer. In a 933 similar vein, when some students were faced with their lack of understanding, one strategy they 934 deployed was not dwelling on their lack of knowledge and pivoting to look for a foothold of 935 something they do know. For example, in the following quote we see Viola acknowledge her 936 initial lack of understanding and familiarity with the Pathway Flux problem and then find a 937 foothold with the term enzymes which she knows she has learned about in the past, "I'm 938 thinking there's very little here that I recognize or understand. Just... okay. So talking about 939 enzymes, I know we learned a little bit about that." 940

Some students acknowledged this strategy of pivoting to what they do know in their problem reflections. In their problem reflections, Quinn and Gerald expanded that they will rely on what they do know, even if it is not accurate. As Quinn put it, *"taking what I think I know, even if it's wrong, like I kind of have to, you have to go off of something."* Similarly, Gerald acknowledged his strategy of *"it's okay to get it wrong"* when he doesn't know and connects this strategy to his experience solving problems on high-stakes exams,

948I try to use information that I knew and I didn't know a lot. So I had to kind of use my949strategy where I'm like, if this was on a test, this is one of the questions that I would950either skip and come back to or write down a really quick answer and then come back951to. So my strategy for this one is it's okay to get it wrong. You need to move on952and make estimated guess. Like if I wasn't sure what the arrows meant, so I was like,953"okay, make an estimated guess on what you think the arrows mean. And then using the

954 955 information that you kind of came up with try to get a right answer using that and like, explain your answer so maybe they'll give you half points..." – Gerald

956

957 We also observed students encouraging themselves to persist despite not knowing. In the 958 following quote we see Kyle acknowledge a term he doesn't know at the start of his think aloud 959 and verbally choose to keep going, "So the title is pathway flux problem. I'm not too sure what 960 flux means, but I'm going to keep on going." Sometimes this took the form of persisting to 961 write an answer to the problem despite not knowing. For example, after Kathleen states, "I don't know what flux is. That's okay." she goes on to say, "Through the pathway as whole, probably. 962 963 Okay. I'm going to try and answer it now." Additionally, take Viola's statement of, "I'm not 964 even really sure what pathway flux is. So I'm also not really sure what the little negative sign is 965 and it pointing to B. But I'm going to try to type an answer." Rather than getting stuck on not 966 knowing what the negative feedback loop symbol depicts, she moves past it to come to a 967 solution.

968

969 We also saw students use self-coaching to remind themselves of their prior experience. In the 970 following example, we see Mila talk herself through the substitution of serine with valine in the 971 Protein X problem: "So there's not going to be a hydroxyl anymore, but I don't know if that even 972 matters, but there, valine, has more to it. I don't know if that means there would be an effect on 973 the covalent interaction. I haven't had chemistry in such a long time [pause], but at the same 974 time, this is bio. So I should still know it. [laughs]" Mila's tone as she made this statement 975 was very matter-of-fact. Her laugh at the end suggests she did not take what she said too 976 seriously. After making this self-coaching statement, Mila rereads the question a few times and 977 ultimately decides that the non-covalent interaction is affected because of the structural 978 difference in valine and serine. Prior experiences, sometimes called mastery experiences, are 979 one established source of self-efficacy that Mila might have been drawing on when she made 980 this self-coaching statement (Bandura, 1977; Pajares, 1996).

981

982 Implications for Instruction about Self-Coaching

983

984 Students can be encouraged to self-coach by using some of the phrases we identified in our 985 data as prompts. However, we would encourage instructors to rephrase some of self-coaching 986 statements in our data by removing the word "should" because this term might make students 987 feel inadequate if they think they are expected to know things they don't yet know. Instead, we 988 could encourage students to remind themselves of when they've successfully solved 989 challenging biology problems in the past by saying things like, "I've solved challenging problems 990 like this before, so I can solve this one." Taken together, we posit that self-coaching could be 991 used by students to decrease anxiety and increase confidence when faced with the feeling of 992 not knowing that can result from monitoring, which could potentially positively impact a student's 993 self-efficacy and metacognitive regulation. Our results reveal first-year students are monitoring 994 in a myriad of ways. Sometimes when students monitor, they may not act further on the 995 resulting information because it makes them feel bad or uncomfortable. Self-coaching could 996 support students to act on their metacognition or not actively avoid being metacognitive. 997

998 LIMITATIONS

999 1000 Even with the use of in-the-moment methods like think aloud interviews, we are limited to the

1001 metacognition that students verbalized. For example, students may have been employing

1002 metacognition while solving that they simply did not verbalize. However, using a think aloud

approach in this study ensured we were accessing students' metacognition in use, rather than

1004 their remembrance of metacognition they used in the past which is subject to recall bias

1005 (Schellings et al., 2013). Our study, like most education research, may suffer from selection bias 1006 where the students who volunteer represent a biased sample (Collins, 2017). To address this 1007 potential pitfall, we attempted to ensure our sample represented the student body at each 1008 institution by using purposeful sampling based on demographics and varied responses to the 1009 revised Metacognitive Awareness Inventory (Harrison & Vallin, 2018). Lastly, while our sample 1010 size is large (N = 52) for qualitative analyses and includes students from three different 1011 institutional types, the data are not necessarily generalizable to contexts beyond the scope of 1012 the study.

1014 CONCLUSION

1015

1013

1016 The goal of this study was to investigate first-year life science students' metacognition and self-1017 efficacy in-the-moment while they solved challenging problems. Think aloud interviews with 52 1018 students across three institutions revealed that first-year life science students use an array of 1019 monitoring and evaluating skills while solving challenging problems but put less emphasis on 1020 planning. We also found instances of students self-coaching or encouraging themselves when 1021 confronted with a lack of understanding or a lack of familiarity, which helped them use their 1022 metacognition to take action and persist in problem solving. Oftentimes, researchers studying 1023 metacognition can find themselves unintentionally operating from a deficit standpoint. However, 1024 our findings challenge the notion that first-year life science students enter college with poorly 1025 developed metacognitive skills. Indeed, the first-year life science students in this study were 1026 monitoring and evaluating when solving challenging biology problems on their own. Together 1027 these findings about in-the-moment metacognition and self-efficacy offer a positive outlook on 1028 ways we can encourage students to couple their developing metacognitive regulation skills and 1029 self-efficacy to persist when faced with challenging disciplinary problems.

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1032

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TABLES

Table 1. Metacognitive Regulation Skills Revealed during Individual Problem Solving & Implications for Instruction

Metacognitive Regulation Skill	Category	Description	Example Data	Implications for Instruction
Planning	Assessing the task	Student identifies what the problem is asking them to do either successfully or unsuccessfully.	So, I know that not only do I have to give my answer, but I also have to provide information on how I got my answer.	Model planning for students by verbalizing how to assess the task and what strategies to use and why before walking through a worked example. Provide students with immediate feedback on the accuracy of their assessment of the task.
	Rationales for approach	Student provides a reason for using a specific approach	I'm going to do what I usually do on tests when I just do not get what's going on entirely or blank out.	
	Understanding	Student describes specific pieces of knowledge they know or don't know.	I know that enzymes speed up processes from my previous knowledge.	 Explicitly teach students relevant strategies that can help resolve confusion, a lack of understanding, or uncertainty. See Stanton et al., 2021 for an evidence-based teaching guide on metacognition. Encourage students to assess the effectiveness of their strategy use in response to their monitoring. For example, was acknowledging and using an assumption helpful in moving forward when you were uncertain? Provide guidance on how to keep track of the information gleaned from these types of monitoring during problem solving. For example, by writing down what they do and do not know.
	Familiarity	Student describes what is familiar or not familiar to them or something they remember or forget from class.	I'm seeing some stuff that I understand or learned about in my bio class, like tertiary structure, pH, and amino acid side chains.	
	Confusion	Student expresses a general lack of understanding or knowledge about the problem.	Well, I first look at the image and I'm already kind of confused with it.	
Monitoring	Questions	Student asks themselves a question.	Covalent bonds are sharing a bond, but what does non-covalent mean?	
Monitoring	Assumptions	Student acknowledges when they make an assumption in their thought process.	It would be nice if I knew what pathway flux was. I'm guessing the flow of the pattern or flow of the process.	
	Relevance	Student describes what parts of the prompt or pieces of their own knowledge are relevant or irrelevant to solving the problem.	So now I'm looking back up top and I'm like, "is the pH irrelevant or relevant to the question?"	
	Correctness	Student corrects themselves while talking out loud	Sorry. I just noticed that that's not even a carboxyl group. That's a carbonyl group and that's a hydroxyl group.	

	Accuracy	Student assesses whether their solution is right or wrong.	So, I feel right on that.	 Provide students with immediate feedback about the accuracy of their solution(s) to help them evaluate their knowledge and develop well-calibrated self-evaluation skills. For example, provide answer keys on formative assessments. Encourage students to self-coach during problem-solving to overcome potentially negative emotions or feelings of discomfort that may occur when they are metacognitive.
	Solution	Student rethinks their solution or double checks their answer.	Now I'm kind of double guessing my own answer	
Evaluating	Difficulty	Student assesses whether the problem was difficult or easy.	It's a very hard question.	
	Feelings	Student assesses how their emotions impacted their thinking.	At first, I was kind of happy because I knew what was going on.	



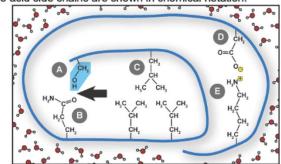
Α.



540

Protein X Problem

Protein X, a cytoplasmic protein, is folded into its tertiary structure, surrounded by water molecules (red and gray). This environment has a pH of 7.4. The blue line represents the protein X backbone. Some, but not all, of the amino acid side chains are shown in chemical notation.

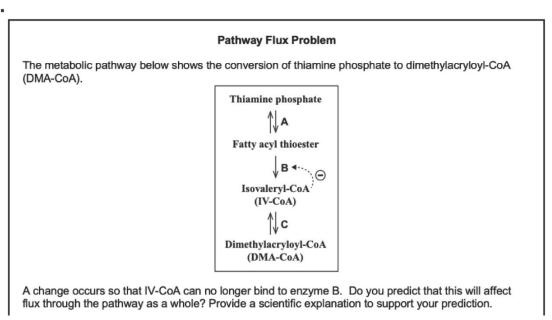


The amino acids shown are: (A) serine, (B) glutamine, (C) leucine, (D) aspartate, and (E) lysine. Sometimes, a mutation occurs that substitutes serine (blue highlight) with valine (below).

Valine CH H₃C CH,

Do you predict that such a mutation would affect the non-covalent interaction pointed to by the arrow? Predict any new non-covalent interactions that might occur with such a mutation, and provide a scientific explanation to support your prediction.

Β.



1049 1050

1051 **Figure 1. Think Aloud Problems**

1052 Students were asked to think aloud as they solved two challenging biochemistry problems.

1053 Panel A depicts the Protein X Problem previously published in Halmo et al., 2018 and Halmo et

1054 al., 2020. Panel B depicts the Pathway Flux problem previously published in Bhatia et al., 2022. 1055 Both problems are open-ended and ask students to make predictions and provide scientific 1056 explanations for their predictions.

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