



Special Issue Reprint

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# Water Environment Pollution and Control

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Edited by  
Weiyang Feng, Fang Yang and Jing Liu

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# **Water Environment Pollution and Control**

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# Preface

The water environment serves as the foundation for numerous habitats and ecosystems. The discharge and accumulation of pollutants can disrupt the balance of aquatic ecosystems, leading to species extinction, ecological disruptions, and direct or indirect impacts on human health. Against the backdrop of the global carbon peak and carbon neutrality, controlling water pollution has become increasingly important. Within the broad framework of “water environment pollution and control,” this Special Issue aims to provide essential knowledge and establish a solid scientific foundation for the control and management of water pollution by studying the environmental behavior and bioavailability of various pollutants. It can serve as a scientific basis for developing effective pollution prevention and control strategies, and can provide guidance for establishing a clean, healthy, and sustainable environment. We believe the reprint of “Water Environment Pollution and Control”, will offer readers comprehensive and in-depth knowledge, thereby promoting further research in the field of water environment pollution and control.

**Weiying Feng, Fang Yang, and Jing Liu**

*Editors*

# Water Environment Pollution and Control in the Dual-Carbon Background

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## 1. Introduction to the Special Issue

Water pollution and control are becoming increasingly important in the global context of carbon peaking and carbon neutrality. Water environment safety is important to keep humans healthy. In this wide “Water Environment Pollution and Control” framework, this Special Issue aimed to provide important knowledge and lay a sound scientific foundation for the control and management of water environment pollution by studying the environmental behaviors and bioavailabilities of various pollutants. The thirteen articles in this Special Issue focusing on water environmental pollution and control are mainly divided into four categories: (1) the composition characteristics and environmental behaviors of the pollutants in the surface water (i.e., the Chinese lakes Ulansuhai [1], Hulun Lake [2], Tai Lake [3], Shahu Lake [4], and Russian Ancient lakes [5]); (2) the assessment of groundwater and aquifer interaction [6] and the sources, risks, and management of groundwater pollution [7]; (3) the impact of polluted water on human health [8–10]; and (4) the removal of pollutants in water [11–13].

Since the call for papers was announced in 2022, and after a rigorous peer-review process, thirteen papers have been accepted for publication in the Special Issue [1–13], which include eleven research papers [1–4,6,8–13] and two reviews [5,7]. We offer brief highlights of the published papers below.

## 2. Overview of the Contribution of the Special Issue

The paper “Differences of Nitrogen Transformation Pathways and Their Functional Microorganisms in Water and Sediment of Seasonally Frozen Lake, China” [1] improves the understanding of the nitrogen cycle in seasonally frozen lakes. Shotgun metagenomic sequencing of subglacial water and sediment from Lake Ulansuhai was performed to identify and compare nitrogen metabolism pathways and microbes involved in these pathways. The study found that ammonia assimilation was the most prominent nitrogen transformation pathway, and bacteria and proteobacteria were the most abundant portion of microorganisms in nitrogen metabolism. Gene sequences devoted to nitrogen fixation, nitrification, denitrification, dissimilatory nitrate reduction to ammonium, and ammonia assimilation were significantly higher in sediment than in surface and subsurface water.

The paper “The Sources of Sedimentary Organic Matter Traced by Carbon and Nitrogen Isotopes and Environmental Effects during the Past 60 Years in a Shallow Steppe Lake in Northern China” [2] quantified the contribution of organic matter sources in the lake sediment via multiple mixing models based on the stoichiometric ratios and stable isotopic compositions. The results showed that the organic matter in the sediments from Hulun Lake mainly came from terrestrial organic matter: the proportion of terrestrial organic matter was more than 80%. The results of the SIAR mixing model further revealed that

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the proportions of terrestrial C3 plant-derived organic matter, soil organic matter, and lake plankton-derived organic matter were 76.0%, 13.9%, and 10.1%, respectively.

The paper “Analysis of the Driving Mechanism of Water Environment Evolution and Algal Bloom Warning Signals in Tai Lake” [3] collected the long-term water quality indicators, ecological indexes, natural meteorological factors, and socio-economic indexes in Tai Lake and studied the environmental evolution of the lake ecosystem. The key time nodes and early warning signals of the steady-state transformation of Tai Lake were also identified, which could provide a theoretical basis for early indication of the transformation of lake ecosystems. Furthermore, the characteristics and driving mechanisms of the lake’s ecosystem evolution were analyzed based on the physical and chemical indexes of its sediments and its long-term water quality indexes. These results have important theoretical and practical significance for pollution control and the management of eutrophic lakes.

The paper “Variation in Spectral Characteristics of Dissolved Organic Matter and Its Relationship with Phytoplankton of Eutrophic Shallow Lakes in Spring and Summer” [4] characterized the seasonal changes of dissolved organic matter as well as phytoplankton abundance and composition in Shahu Lake via three-dimensional fluorescence spectroscopy combined with parallel factor analysis. The relationship between the response of DOM and phytoplankton abundance was explored via Pearson correlation and redundancy analysis in the overlying water. Seasonal phytoplankton growth had an important influence on the composition of the DOM.

The paper “Geochemical Indicators for Paleolimnological Studies of the Anthropogenic Influence on the Environment of the Russian Federation: A Review” [5] reviewed the most significant studies of sequential accumulation of pollutants, including heavy metals in the lake sediments in Russia, where there are about 2 million lakes. It was found that sedimentation rates were significantly lower in pristine areas, especially in the Frigid zone, compared to urbanized areas and industrial territories. In addition, the excess concentrations of heavy metals in the sediments of lakes were directly affected by the source of pollution. Further prospects of developing paleolimnological studies in Russia were discussed in the context of the continuing anthropogenic impact on the environment.

The paper “New Green and Sustainable Tool for Assessing Nitrite and Nitrate Amounts in a Variety of Environmental Waters” [6] improved the selectivity and sensitivity of the quantitation of nitrite and nitrate in waters by liquid chromatography with a short analysis time of about 10 min and using low residues. Ion pair formation and ion exchange retention mechanisms were considered. The experimental scheme was optimized and a new research method was established.

The paper “A Review of Groundwater Contamination in West Bank, Palestine: Quality, Sources, Risks, and Management” [7] reviewed the four levels of domains used to evaluate the groundwater condition in the West Bank for the past 27 years, including (i) assessing the groundwater quality in the West Bank, (ii) identifying the sources of groundwater pollution, (iii) determining the degree of health risks associated with groundwater pollution, and (iv) determining the role of groundwater management in maintaining the quality and sustainability of these groundwater sources. A review matrix was developed based on these four core domains. The results showed that the contamination and shortages of drinking water in the West Bank were among the most important challenges facing the Palestinian National Authority (PA) and the population residing in all sectors.

The paper “Health Risk Assessment of Nitrate and Fluoride in the Groundwater of Central Saudi Arabia” [8] assessed the non-carcinogenic health risks posed by nitrate and fluoride to infants, children, and adults using the daily water intake (CDI), hazard quotient (HQ), and non-carcinogenic hazard index (HI). Groundwater samples were collected from 36 wells and boreholes in three central Saudi Arabian study areas for nitrate and fluoride analysis using ionic chromatography and fluoride selective electrodes, respectively. Fluoride in 30.55% of the samples exceeded the WHO recommendations for acceptable drinking water (1.5 mg/L). The average hazard index (HI) values for adults, children, and infants were 0.99, 2.59, and 2.77, respectively. Accordingly, water samples from Jubailah

and Wadi Nisah may expose infants, children, and adults to non-cancer health concerns. Immediate attention and remedial measures must be implemented to protect residents from the adverse effects of  $F^-$  in the study area.

The paper “The Problem of Selenium for Human Health—Removal of Selenium from Water and Wastewater” [9] analyzed the change in the content of selenium (Se) in drinking water, raw water, as well as treated and raw wastewater in an annual cycle in the city of Szczecin. Selenium content in raw water was the highest in the summer. The removal of Se from raw water and wastewater was difficult because Se is often present in many complex forms and can form various compounds with other elements. Treated wastewater could be a source of Se in the environment, and the discharge of treated wastewater can become a secondary source of Se in the surface water. Treating wastewater resulted in lowering the Se content in the wastewater by as much as 47%.

The paper “Distribution, Sources, and Risk of Polychlorinated Biphenyls in the Largest Irrigation Area in the Yellow River Basin” [10] studied samples in the Yellow River irrigation area in Inner Mongolia, China to determine the polychlorinated biphenyl (PCB) content and to investigate the contamination of PCBs in agricultural soils irrigated chronically with polluted water. The distribution and migration of PCBs under long-term irrigation were also studied with 100 farmland soil profile samples. Cluster analysis was used to identify possible sources of PCBs, and the USEPA Health Risk Evaluation Model was used to assess the health risks posed by PCBs to humans.

The paper “Different Adsorption Behaviors and Mechanisms of Anionic Azo Dyes on Polydopamine–Polyethyleneimine Modified Thermoplastic Polyurethane Nanofiber Membranes” [11] successfully developed a method for removal of anionic azo dyes using the polydopamine–polyethyleneimine (PEI)-modified TPU nanofiber membranes (PDA/PEI-TPU NFMs). After six iterations of adsorption–desorption, the adsorption performance of the PDA/PEI-TPU NFMs did not decrease significantly, which indicated that the PDA/PEI-TPU NFMs had a potential application for the removal of Cr molecules by adsorption from wastewater.

The paper “Treatment of Wastewater Effluent with Heavy Metal Pollution Using a Nano Ecological Recycled Concrete” [12] synthesized a new material (Nano ecological recycled concrete, Nano-ERC) for removing heavy metals from wastewater. The results showed that nano-ERC simultaneously reduced the treatment cost of the simulated wastewater effluents and the environmental burden of solid waste. The adsorption capacity of nano-ERC was presumed to be significantly enhanced by adding nano CuO. Nano-ERC can serve as a cost-effective approach for the further treatment of wastewater effluent and may be applied more widely in wastewater treatment to help relieve water stress.

The paper “Simultaneous Removal of  $COD_{Mn}$  and Ammonium from Water by Potassium Ferrate-Enhanced Iron-Manganese Co-Oxide Film” [13] developed a stable and efficient method for removing water pollutants. The catalytic oxidation ability of iron–manganese co-oxide film (MeOx) was enhanced by dosage with potassium ferrate ( $K_2FeO_4$ ) to achieve the simultaneous removal of  $COD_{Mn}$  and  $NH_4^+$  from water in a pilot-scale experimental system. By adding  $K_2FeO_4$  to enhance the activity of MeOx, the removal efficiencies of  $COD_{Mn}$  and  $NH_4^+$  were increased to 92% and 61%, respectively, and the pollutants were consistently and efficiently removed for more than 90 days. The mechanism of  $K_2FeO_4$ -enhanced MeOx for  $COD_{Mn}$  removal was proposed by the analysis of the oxidation process.

### 3. Conclusions

The guest editors envision that the papers in this Special Issue will be of interest to researchers and practitioners and help identify further research directions. We also hope that the results and methods presented in these studies will shed light on the efficient removal of pollutants from water bodies, the pollution control of water ecosystems, the risk assessment of water quality to human health, the impact evaluation of climate change on the availability of surface and groundwater resources, and the interpretation of future policies for sustainable water environmental management.

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## Article

# Differences of Nitrogen Transformation Pathways and Their Functional Microorganisms in Water and Sediment of a Seasonally Frozen Lake, China

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**Abstract:** Nitrogen is one of the most important elements involved in ecosystem biogeochemical cycling. However, little is known about the characteristics of nitrogen cycling during the ice-covered period in seasonally frozen lakes. In this study, shotgun metagenomic sequencing of subglacial water and sediment from Lake Ulansuhai was performed to identify and compare nitrogen metabolism pathways and microbes involved in these pathways. In total, ammonia assimilation was the most prominent nitrogen transformation pathway, and Bacteria and Proteobacteria (at the domain and phylum levels, respectively) were the most abundant portion of microorganisms involved in nitrogen metabolism. Gene sequences devoted to nitrogen fixation, nitrification, denitrification, dissimilatory nitrate reduction to ammonium, and ammonia assimilation were significantly higher in sediment than in surface and subsurface water. In addition, 15 biomarkers of nitrogen-converting microorganisms, such as Ciliophora and Synergistetes, showed significant variation between sampling levels. The findings of the present study improve our understanding of the nitrogen cycle in seasonally frozen lakes.

**Keywords:** seasonally frozen lakes; ice-covered period; Lake Ulansuhai; nitrogen cycle; microorganisms; heterogeneity habitats

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## 1. Introduction

Nitrogen is an essential element of life [1,2]. Its role as a limiting nutrient means that nitrogen runoff can exacerbate eutrophication in lakes [3,4]. The nitrogen cycle and its environmental effects are, therefore, a focus of research around the world. Most processes that can alter the chemical form of nitrogen depend on microorganisms [5,6]. For a long time, research on the nitrogen cycle and nitrogen-processing microorganisms focused on marine and terrestrial environments [7,8]. However, more recent studies focus on the nitrogen cycle of lake ecosystems, partially in response to the increasing need for eutrophication prevention and control methods.

Nitrogen can exist in nine known chemical forms with valence charges ranging from  $-3$  to  $+5$  and can be exchanged through 14 known redox reactions. The main nitrogen cycle includes two oxidation pathways (anaerobic ammonium oxidation and nitrification) and four reduction pathways (denitrification, nitrogen fixation, assimilatory nitrate reduction to ammonium [ANRA], and dissimilatory nitrate reduction to ammonium [DNRA]). Ammonification can also occur without a change in charge [9,10]. Ammonium is generally the most preferred nitrogen source for nitrogen assimilation; however, polyamines and monoamines

have recently been found as alternative nitrogen sources for bacterial nitrogen assimilation [11]. New nitrogen cycle pathways have been discovered in recent years such as anaerobic ammonium oxidation (anammox) [12], complete ammonia oxidation (comammox) [13], ANRA [14], DNRA [15], and aerobic denitrification [16]. These nitrogen transformation pathways are mainly driven by Bacteria, but novel microorganisms were identified as part of these processes, including symbiotic heterotrophic nitrogen-fixing Cyanobacteria [17], ammonia-oxidizing Archaea [18], *Streptomyces* [19], and Eukaryota [20–22].

Although our understanding of microbial nitrogen cycling in lake ecosystems has increased, studies have primarily been performed in open water lakes, largely ignoring ice-covered lakes. In fact, half of the world's lakes, especially those located at high altitudes and latitudes in temperate and boreal climates, are covered with ice for more than 40% of the year [23–25]. Consequently, little information is known about microbial life and nitrogen cycling in these lakes. It is traditionally believed that lake ecosystems under the ice subjected to low light and low water temperature are “on hold” in winter [26,27]. Increased interest in declines in ice cover dynamics caused by global warming led to the discovery of an unexpectedly dynamic subglacial microbiome. For example, large-scale cyanobacterial blooms broke out under the ice of Lake Stechlin in Germany during the winter of 2009–2010 and triggered the active growth of heterotrophic bacteria [28]. Similarly, large-scale algal blooms have also occurred under the ice of Lake Michigan and Lake Erie [29,30]. Ice sheets have a significant role in shaping subglacial hydrodynamics, nutrient concentration, salinity, photosynthetically active radiation (PAR), and water temperature. These changes alter the subglacial microbial community structure, and the way nitrogen is processed [20,31].

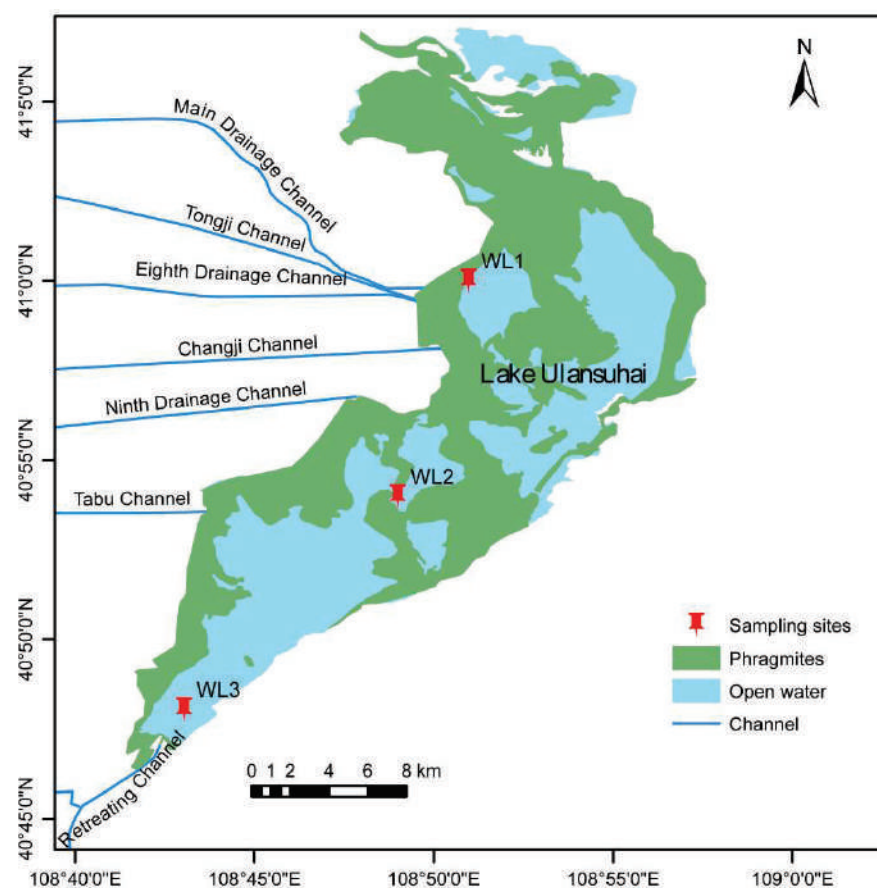
Lake Ulansuhai, as the eighth largest freshwater lake in China, is an ideal study location. The lake has a mid-temperate continental climate with a current approximate ice cover duration of four months each year. Although this lake's ecological functions and eutrophication have been studied in detail [32–34], like other seasonal frozen lakes, the subglacial microecology of Ulansuhai in winter has not been given enough attention. Metagenomics has emerged as a major research tool in microbial ecology around the world as one of the most comprehensive approaches to characterizing microbial communities, revealing the functional diversity of microorganisms and the interactions between microorganisms. In the present study, we used a metagenomic approach to study the microbial processing of nitrogen throughout the water column. The objectives of this present study are to identify and compare the nitrogen transformation pathways and their functional microbes observed in subglacial water and sediments.

## 2. Materials and Methods

### 2.1. Case Study Lake

Lake Ulansuhai (40°36′–41°03′ N, 108°41′–108°57′ E) is located in Bayannur City in the Inner Mongolia Autonomous Region, China (Figure 1). As the largest freshwater lake in the Yellow River Basin and the eighth largest freshwater lake in China, its entire area is 325.31 km<sup>2</sup>, of which 123.11 km<sup>2</sup> is open water and the remaining area is inhabited by littoral *Phragmites* sp. [35]. It has a north–south length of 35–40 km and an east–west width of 5–10 km. As an important part of the Hetao Irrigation Area, one of the three largest irrigation areas in China, Lake Ulansuhai provides a reservoir capacity of 250–300 million m<sup>3</sup>. The mean water depth is approximately 1.5 m. More than 90% of the farmland drainage from the Hetao Irrigation Area flows into the lake, with 81% inflow through the Main Drainage Channel and only 10% outflow into the Yellow River through the Retreating Channel. As a typical shallow lake in cold and arid areas, it has a mid-temperate continental climate with mean annual precipitation, annual average evaporation, and annual average air temperature of 224 mm, 1502 mm, and 7.2 °C, respectively. The multi-year average temperature during the ice-covered period from November to March is −10.24 °C, with an ice thickness of 0.3–0.6 m and snowfall of less than 10 cm [36].





**Figure 1.** Locations of Lake Ulansuhai and sampling sites.

## 2.2. Sample Collection and Treatment

Three sampling sites (numbered WL1–WL3) were selected at the inlet, middle, and outlet according to observed water flow (Figure 1). During the ice-covered period in January 2021, holes were drilled at each sampling site using an ice auger. Surface water samples, bottom water samples, and sediment samples (numbered W1, W2, and S1, respectively) were collected from each sampling site. Surface water samples were collected at a depth of 0.5 m and bottom water samples were collected at 0.5 m above the water bottom. Duplicates of each sample were filled into 1 L sterile polyethylene sampling bottles. Water temperature (WT), oxidation-reduction potential (ORP), dissolved oxygen (DO), and pH were measured with a multi-parameter YSI Professional Plus handheld water quality monitor (YSI Inc., Yellow Spring, OH, USA) in situ. After water collection, surface sediments (0–10 cm) were collected using a Petersen grab sampler, and then were divided into two parts with a 5–10 g into sterilized tubes for DNA extractions using sterile spoons and the remaining portion in sterile plastic bags for physical and chemical analyses. Physical and chemical samples of water and sediment were kept on ice in the field and during transport, and at 4 degrees Celsius in the lab. All sediment and water samples collected for DNA extractions were frozen on dry ice and brought back to the lab for long-term storage at 80 °C.

## 2.3. Physical and Chemical Analyses of Samples

In addition to measuring the WT, pH, ORP, and DO of water samples by YSI, ammonium ( $\text{NH}_4^+\text{-N}$ ), nitrate ( $\text{NO}_3^-\text{-N}$ ), nitrite ( $\text{NO}_2^-\text{-N}$ ), total nitrogen (TN), and total organic carbon (TOC) in water samples were analyzed in the laboratory according to methods reported previously [37,38]. The indophenol blue colorimetric method was utilized specifically to quantify the  $\text{NH}_4^+\text{-N}$  concentration. The amount of  $\text{NO}_2^-\text{-N}$  was determined calorimetrically using N-(1-naphthyl)-1,2-diaminoethane dihydrochloride, whereas the amount of  $\text{NO}_3^-\text{-N}$  was measured by the difference in UV absorbance at 220 and 275 nm.

Ultraviolet spectrophotometry was used to measure TN levels after alkaline potassium persulfate digestion. After taking the TC (total carbon) value and subtracting the TIC (total inorganic carbon) value, the TOC value was obtained. Meanwhile, the chemical characteristics of sediment, including TN,  $\text{NH}_4^+$ -N,  $\text{NO}_3^-$ -N,  $\text{NO}_2^-$ -N, dissolved organic nitrogen (DON), and TOC, were determined according to methods reported previously [39]. In detail, the concentrations of  $\text{NH}_4^+$ -N,  $\text{NO}_3^-$ -N,  $\text{NO}_2^-$ -N, and DON were measured by extracting 5 g of fresh sediment with 25 mL of KCl (2 mol/L) and then placing it in an oscillator at room temperature for 2 h (180 r/min). The aforementioned extract was filtered via a 0.45  $\mu\text{m}$  ANPEL membrane filter prior to analysis. The filtrate concentration was determined following standard protocol using a UV-VIS spectrophotometer (SHIMADZU UV-1700, Kyoto, Japan). To measure TN and TOC, we filtered some dried sediment over a 100-mesh screen using an elemental analyzer (ELEMEN-TAR, Frankfurt, Germany). Dissolved inorganic nitrogen (DIN) was calculated by the addition of  $\text{NH}_4^+$ -N,  $\text{NO}_3^-$ -N, and  $\text{NO}_2^-$ -N; the total organic nitrogen (TON) in water samples was obtained by the difference between the concentration of TN and DIN (particulate inorganic nitrogen did not represent a significant fraction of any samples). Here, C/N equaled TOC/TN.

#### 2.4. DNA Extraction, Library Construction and Metagenomics Sequencing

All water and sediment samples were processed in accordance with the manufacturer's instructions for the FastDNA<sup>TM</sup> SPIN Kit for Soil (MP Biomedicals, Santa Ana, CA, USA) to extract genomic DNA. The concentration, purity, and integrity of the extracted DNA were assessed with a Quantus<sup>TM</sup> Fluorometer (Promega, Madison, WI, USA), NanoDrop<sup>TM</sup> 2000 spectrophotometer (NanoDrop Technologies, Wilmington, DE, USA), and 1% agarose gel electrophoresis, respectively. After the genomic DNA was qualified, it was fragmented to an average size of 400 bp using Covaris M220 (Gene Company Limited, Hong Kong, China) for paired-end library construction using NEXTflex<sup>TM</sup> Rapid DNA-Seq Kit (Bioo Scientific, Austin, TX, USA). The paired-end sequencing was performed on Illumina NovaSeq platform (Illumina Inc., San Diego, CA, USA) by Majorbio Bio-Pharm Technology Co., Ltd. (Shanghai, China) according to the manufacturer's instructions.

#### 2.5. Sequence Processing and Bioinformatics Analysis

To generate the clean reads from metagenome sequencing, we utilized the fastp software (version 0.20.0) [40] to remove adaptor sequences, trim, and eliminate low-quality reads. These included reads with unknown nucleotide "N" bases, a minimum length threshold of 50 bp, and a minimum quality threshold of 20. This resulted in 743,197,588 high-quality clean reads from the initial 765,555,050 raw reads with 13,228,319,062 total bases. We then assembled these clean reads into contigs using MEGAHIT (version 1.1.2) [41] which employs succinct de Bruijn graphs. The 8,604,784 contigs with a minimum length of 300 bp were selected as the final assembling result. Open reading frames (ORFs) in contigs were identified using MetaGene (<http://metagene.cb.k.u-tokyo.ac.jp/> (accessed on 1 May 2022)) [42]. A total of 10,673,571 genes were predicted from ORFs with a minimum length of 100 bp, retrieved, and translated into amino acid sequences. A comprehensive gene catalog with 6,445,882 microbial genes (spanning 3,114,468,057 bp) was created using CD-HIT (<http://www.bioinformatics.org/cd-hit/> (accessed on 1 May 2022), version 4.6.1) [43]. The catalog was built with 90% sequence identity and 90% coverage. To determine gene abundances, SOAPaligner (<http://soap.genomics.org.cn/> (accessed on 4 May 2022), version 2.21) [44] was used to map the clean reads of each sample to the catalog with 95% identity. All metagenomic sequencing statistics are displayed in Table S1 (Online Resource).

To annotate nitrogen-related genes and abundances, the non-redundant gene catalog of nitrogen metabolism was constructed from the PATHWAY subdatabase of the Kyoto Encyclopedia of Genes and Genomes (KEGG) database. The non-redundant gene catalog of nitrogen metabolism was translated into amino acid sequences. These translated amino acid sequences were annotated based on the integrated non-redundant (NR) database of the NCBI (<https://ftp.ncbi.nlm.nih.gov/blast/db/FASTA/> (accessed on 11 May 2022),

version 20200604) using blastp as implemented in the Diamond software (<http://www.diamondsearch.org/index.php> (accessed on 11 May 2022), version 0.8.35) with an e-value cutoff of  $1 \times 10^{-5}$  for N taxonomic annotations [45]. The KEGG annotation was conducted using Diamond against the KEGG Orthology (KO) database (<http://www.genome.jp/kegg/> (accessed on 11 May 2022), version 94.2) (e-value =  $1 \times 10^{-5}$ ) for N functional analyses.

## 2.6. Statistical Analysis

Samples were grouped according to spatial location: surface water ( $n = 3$ ), bottom water ( $n = 3$ ), and lakebed sediment ( $n = 3$ ). Physicochemical data of samples were expressed as mean  $\pm$  standard error (SE). Relative abundances of nitrogen metabolic genes were measured in terms of instances per million sequencing reads, simplified as “parts per million” (ppm). Relative abundances of the taxonomic and functional profiles of nitrogen-related genes were also defined in ppm. Differences in the physicochemical properties of water samples and nitrogen transformation pathways were performed by a non-parametric Kruskal–Wallis test of independent samples in IBM SPSS Statistics 19.0 (IBM Corporation, Armonk, NY, USA).  $p \leq 0.05$  was defined as a statistically significant difference. The Bray–Curtis similarity between functional and taxonomic profiles consisting of relative abundances was ordinated using principal coordinate analysis (PCoA) with the significance of groupings assessed using analysis of similarities (ANOSIM) with 999 random permutations. Significantly different taxonomic profiles in multiple groups were identified using a linear discriminant analysis (LDA) effect size (LEfSe) method. Functional contributions of observed microbial taxa (phylum level) to the functional pathways were explored using customized R scripts.

## 3. Results

### 3.1. Physical and Chemical Properties of Samples

The physicochemical properties of the water and sediment samples are shown in Tables S2 and S3 (Online Resource). The concentrations of TN,  $\text{NH}_4^+\text{-N}$ ,  $\text{NO}_3^-\text{-N}$ ,  $\text{NO}_2^-\text{-N}$ , DIN, and TON were higher in the bottom water than in the surface water, but the differences between them were not significant ( $p > 0.05$ ). The concentration of DIN was higher than that of TON in the water column, and its proportions in surface water and bottom water were 77.09% and 78.17%, respectively. The concentrations of  $\text{NH}_4^+\text{-N}$  and  $\text{NO}_3^-\text{-N}$  were much higher than that of  $\text{NO}_2^-\text{-N}$  in the water column. Overall, the concentration of nitrogen in Lake Ulansuhai was relatively high during the ice-covered period, and DIN (dominated by  $\text{NH}_4^+\text{-N}$  and  $\text{NO}_3^-\text{-N}$ ) was the main form of nitrogen. The bottom water also displayed a higher TOC concentration than the surface water. There were significant differences ( $p \leq 0.05$ ) between the physical properties of the surface water and bottom water in WT and DO; however, the values of pH and ORP were comparable ( $p > 0.05$ ). The concentration of DON was higher than that of DIN in the sediment, and  $\text{NH}_4^+\text{-N}$  was the main form of DIN. C/N was also present in higher concentrations in the sediment than in either water depth sampled.

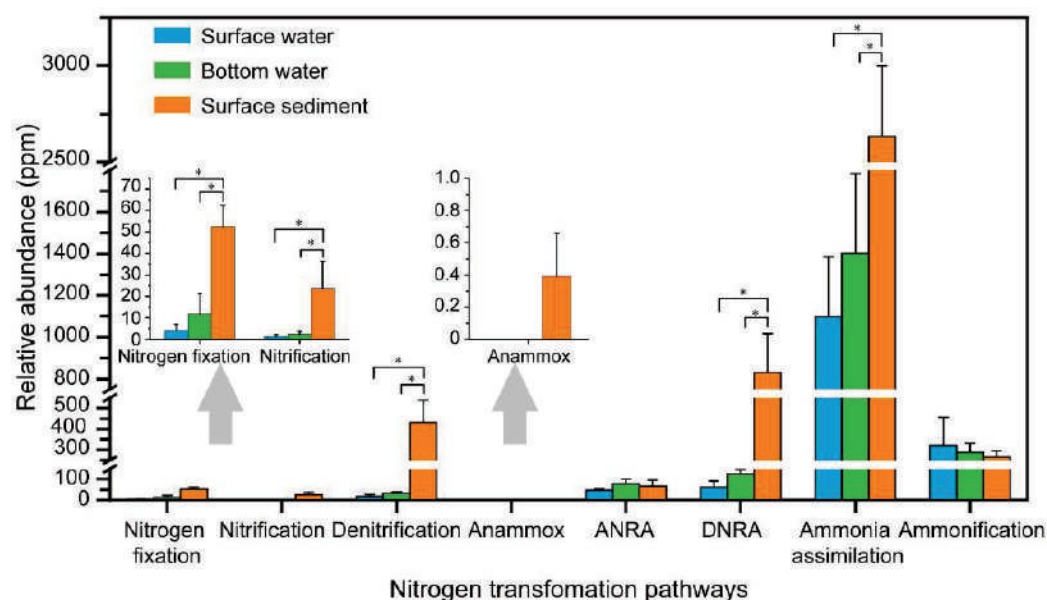
### 3.2. Detection Frequency of Nitrogen-Related Genes

In this study, we analyzed the frequency of detection of nitrogen-related genes in the samples. After aligning the high-quality clean reads to the non-redundant gene catalog of nitrogen metabolism from the KEGG Pathway database, we identified a total of 588,894 nitrogen-related gene sequences. The abundance of nitrogen-related genes in each sample ranged from 1278 to 6247 ppm. On average, the surface water samples had 1751 ppm, bottom water samples had 2198 ppm, and sediment samples had 4655 ppm of nitrogen-related genes. Composition and comparison of nitrogen transformation pathways

KEGG Orthology (KO) is a collection of genes with the same or similar function in the KEGG database that can directly characterize specific metabolic pathways and identify the number of functionally equivalent genes (KEGG orthologs, or KOs). All

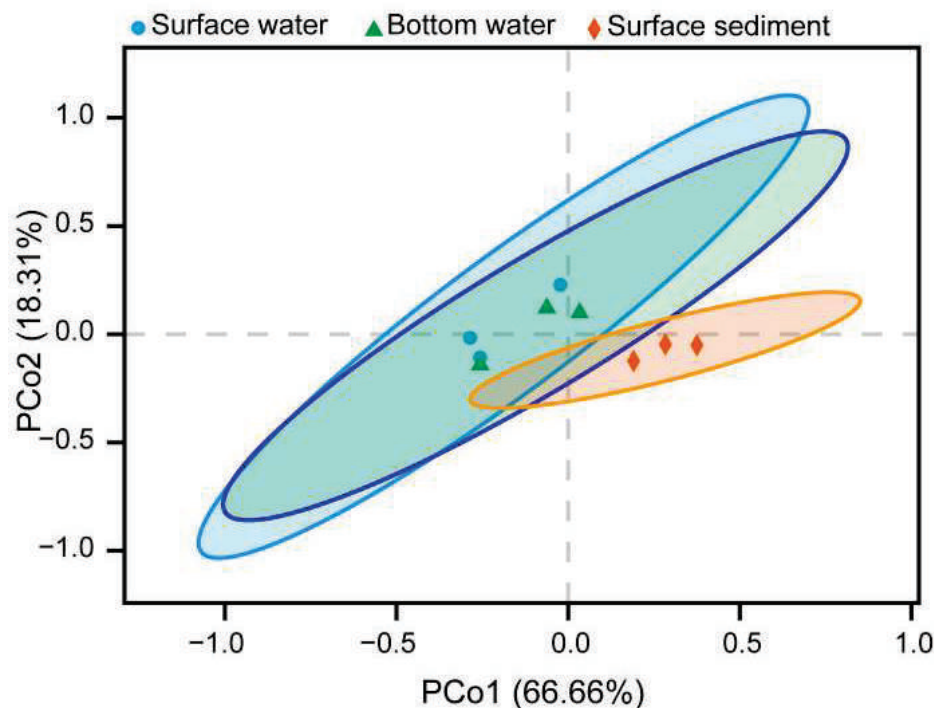


nitrogen-related genes identified in this survey were classified by aligning them to the KO database. According to the annotation results, 53, 54, and 54 N functional genes (KOs) were identified in the surface water, bottom water, and sediment, respectively, involving seven, seven, and eight nitrogen transformation pathways. In the surface water and bottom water, ammonia assimilation and ammonification were the major nitrogen transformation pathways, and their abundances ranged from 1097.16 to 1402.57 ppm and from 287.47 to 321.04 ppm, respectively. In the sediment, the predominant pathways were ammonia assimilation, DNRA, and denitrification, and their abundances were 2632.20 ppm, 831.16 ppm, and 431.21 ppm, respectively (Figure 2). The functional gene abundance of ammonia assimilation was higher than that of other nitrogen transformation pathways, indicating that ammonia assimilation was a major nitrogen transformation pathway in the water and sediment of Lake Ulansuhai during the ice-covered period. In comparison, the functional gene abundance of anammox was the lowest (0.39 ppm), and this pathway did not exist in detectable quantities in surface water or bottom water.



**Figure 2.** The relative abundances and differences of nitrogen transformation pathways in water and sediment during the ice-covered period. The error bars represent the standard error of the mean ( $n = 3$ ). \* represents a statistically significant difference at the 0.05 level. Abbreviation: ANRA, assimilatory nitrate reduction to ammonium; DNRA, dissimilatory nitrate reduction to ammonium.

Ordination of functional profiles based on the relative abundance of KOs demonstrated that genetic content variation with respect to water depth was significantly smaller than the variation between water and sediment samples (Figure 3). The ANOSIM analysis strongly supported this clustering ( $R = 0.56$ ,  $p = 0.04$ ), suggesting notable distinctions in nitrogen transformation pathways between subglacial water and sediment. A non-parametric Kruskal–Wallis test showed that the KO abundances of nitrogen fixation, nitrification, denitrification, DNRA, and ammonia assimilation in sediment were significantly ( $p \leq 0.05$ ) higher than those in subglacial water. No such significant difference was observed ( $p > 0.05$ ) between surface water and bottom water. Anammox, ANRA, and ammonification showed no significant genetic signature difference ( $p > 0.05$ ) between surface water, bottom water, and sediment samples (Figure 2).

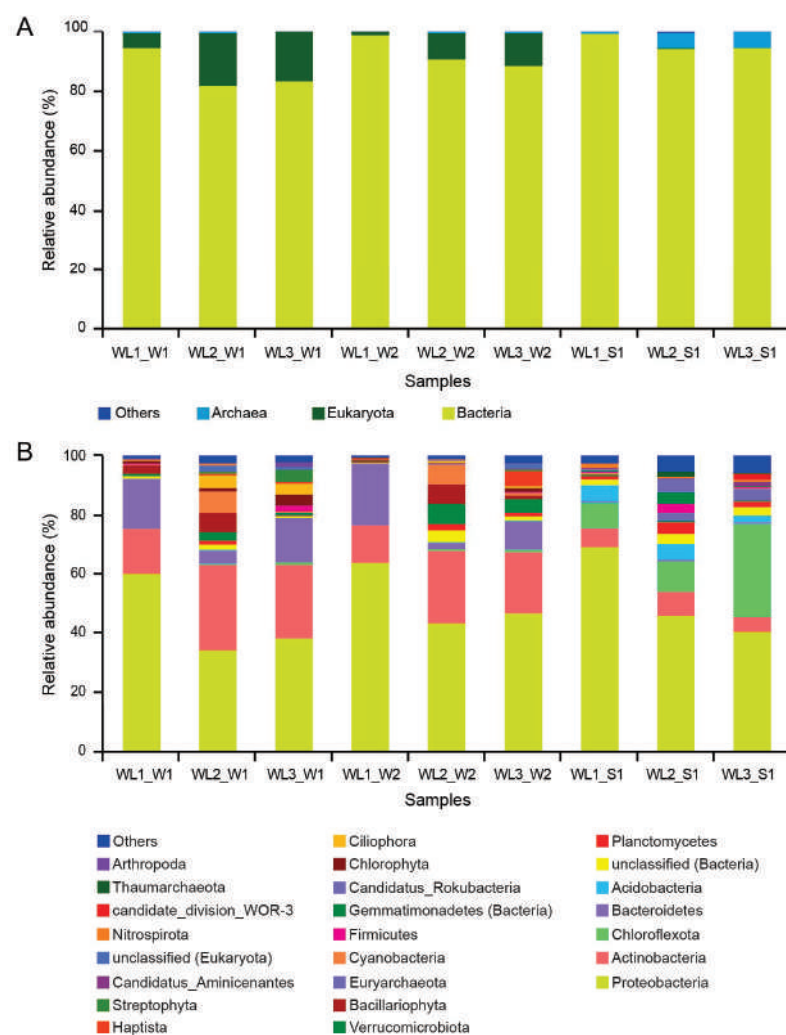


**Figure 3.** PCoA plots using Bray–Curtis distance of KOs in different samples from the ice-covered period.

### 3.3. Composition and Comparison of Nitrogen-Processing Microbiome

To determine the makeup of the microbiome at each level, all discovered N functional genes were cross-referenced with the NR database. At the domain level, Bacteria (82.08–99.46%) were the most common among all samples, with an average relative abundance of 86.22% in surface water, 91.92% in bottom water, and 96.76% in sediment. Intriguingly, the relative abundances of Eukaryota and Archaea varied greatly between water samples and sediment samples. A high percentage of Eukaryota (13.62% and 7.96%) were observed in surface water and bottom water, respectively, while the predicted abundance of Eukaryota was only 0.07% in sediment. Contrarily, the relative percentage of Archaea in sediment samples (3.07%) was greater than the measured percentages in surface water and bottom water (0.10% and 0.06%) (Figure 4A).

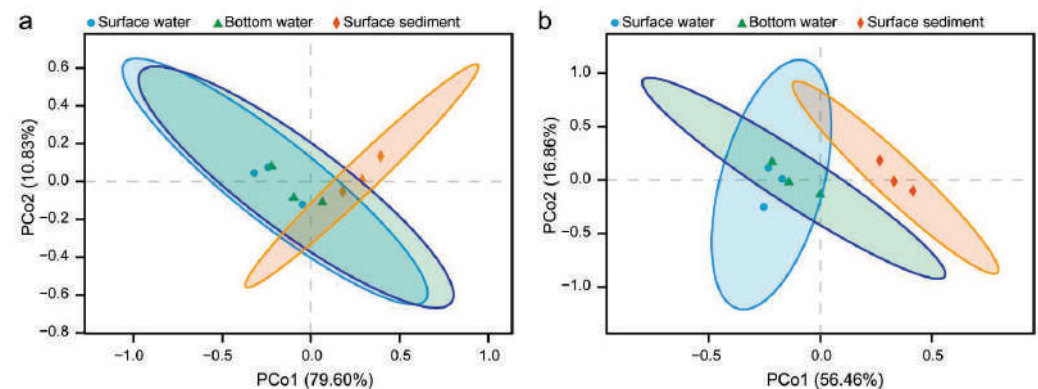
At the phylum level, a total of 121 phyla of nitrogen-transforming microorganisms were identified in all samples including 67 phyla in surface water, 77 phyla in bottom water, and 89 phyla in sediment. Proteobacteria was the dominant phylum in all samples, with a relative abundance ranging from 34.21% to 69.04%. In surface water, the average relative abundance of Proteobacteria was 42.99%, followed by Actinobacteria (23.20%) and Bacteroidetes (13.22%). Similar abundances of Proteobacteria (48.59%), Actinobacteria (20.83%), and Bacteroidetes (8.69%) were observed in the bottom water. Notably, compared with Bacteroidetes, the abundances of Cyanobacteria (7.32%) and Bacillariophyta (6.80%) (Eukaryota domain) were relatively high in WL2\_W1, and Cyanobacteria (6.59%), Bacillariophyta (6.71%), and Verrucomicrobiota (6.59%) were more abundant in WL2\_W2. Proteobacteria made up the majority of the phyla in the sediment, accounting for 54.88% of it, followed by Chloroflexota (14.67%) and Actinobacteria (6.61%) (Figure 4B).



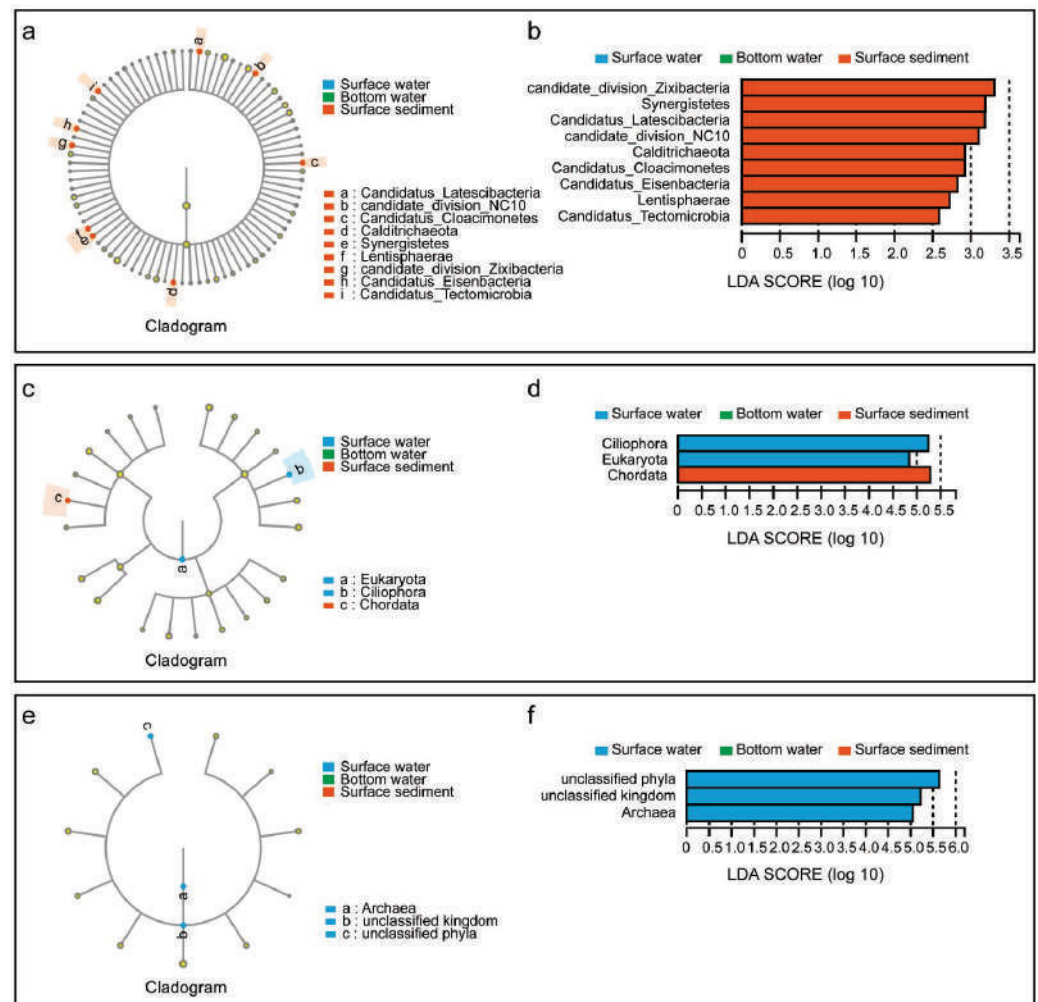
**Figure 4.** The relative abundances of the microbial community at (A) domain and (B) phylum levels in different samples during the ice-covered period. The unclassified, unidentified, and sequences with a relative abundance <1% are in the “Others” group.

The sample grouping observed for functional profiles (Figure 3) was also strongly reflected in the taxonomic profiles (Figure 5a,b). Whether at the domain or phylum level, there were two distinct clusters: one consisting of water samples from the surface and bottom water, and a second cluster consisting of sediment samples, based on PCoA plots with Bray–Curtis dissimilarity distance. These clusters were strongly supported by ANOSIM analysis ( $R = 0.47$ ,  $p = 0.05$ ;  $R = 0.55$ ,  $p = 0.04$ ), indicating significant differences in the community composition of nitrogen-transforming microorganisms at the domain and phylum levels between subglacial water and detritus, with fewer differences observed between surface and bottom water (Figure 5a,b). To further identify the difference in taxa between sample clusters, we conducted LEfSe analysis to compare the average relative abundances of the microbial community at different taxonomic levels. Considering the LEfSe outcomes, 15 species with an LDA score of at least two were considered to be significantly different in composition between clusters, which are hereafter referred to as taxa biomarkers. Among these taxa biomarkers, 5 and 10 taxa were significantly enriched in the surface water and sediment, respectively. In the surface water, an unclassified microbe derived from the domain Archaea and Ciliophora derived from the domain Eukaryota earned a significantly higher LDA score. In the sediment, Chordata derived from domain Eukaryota and candidate\_division\_Zixibacteria, Synergistetes, Candidatus\_Latescibacteria, and candi-

date\_division\_NC10 derived from domain Bacteria were substantially more prevalent than those in the water column (Figure 6a–f).



**Figure 5.** PCoA plots using Bray–Curtis distance of microbial community at (a) domain and (b) phylum levels in different samples during the ice-covered period.



**Figure 6.** Comparison and difference of microbial community in surface water, bottom water, and surface sediment. (a,b) Cladogram and LDA score (log10) of LEfSe analysis involved in Bacteria (domain–kingdom–phylum). (c,d) Cladogram and LDA score (log10) of LEfSe analysis involved in Eukaryota (domain–kingdom–phylum). (e,f) Cladogram and LDA score (log10) of LEfSe analysis involved in Archaea (domain–kingdom–phylum).



#### 4. Discussion

The nitrogen transformation pathways and the community structures of functional microorganisms in the water and sediment of Lake Ulansuhai during the ice-covered period require greater study. To the best of our knowledge, this is the only comprehensive analysis to date of the characteristics of the nitrogen cycle in subglacial water and sediment during the ice-covered period of Lake Ulansuhai.

##### 4.1. Characterization of Nitrogen Transformation Pathways

Marker gene abundance in a pathway is usually used to determine nitrogen cycling capacity. A nitrogen transformation pathway often involves multiple enzyme-catalyzed reactions, so multiple functional genes are required to work together as marker genes to ensure the integrity of metabolic pathways [46–48]. Therefore, in our study, all the functional genes annotated to each nitrogen transformation pathway are considered to characterize the corresponding pathway, so that the results are more reliable. For example, we used the abundance of *nifD* gene clusters to jointly characterize the incidence of nitrogen fixation pathways.

##### 4.2. Nitrogen Transformation Pathways and Their Differences

Among the eight nitrogen transformation pathways we analyzed, ammonia assimilation was the most frequently detected nitrogen transformation pathway during the ice-covered period. In low-temperature conditions, microorganisms may need more organic nitrogen to support cell synthesis and growth, such as amino acids and proteins [5]. Ammonia assimilation is a main nitrogen conversion pathway that can convert ammonia nitrogen into organic nitrogen. At the same time, ammonia nitrogen is a nitrogen source that is more easily used by microorganisms and can be used by almost all microorganisms through ammonia assimilation. As a result, the detection rate of genes with ammonia assimilation function is the highest [49,50]. For example, the only detected glutamine synthetase (GS) type-1 (GSI) with abundances ranging from 247.45 to 895.29 ppm. The actual ammonia assimilation pathway has long been known [51], and as the dominant pathway of nitrogen transformation, similar results have been observed not only in frozen lakes but also in a number of environments [52,53].

Nitrogen fixation, nitrification, and anammox pathways were detected less frequently in the two media, especially in anammox pathways. The previous study showed that there is a negative correlation between nitrogenase activity and ammonia nitrogen concentration [54], and the physical and chemical characteristics of our samples included high ammonia nitrogen concentration and low biological nitrogen fixation in subglacial water and sediments. These characteristics were also found in the permanently ice-covered Bonney Lake in Antarctica [55]. A weak potential for nitrification was found in this study, similar to the findings in other frozen lakes [56]. According to our investigation and a prior study [57], the level of DO in Ulansuhai's subglacial water was higher than anticipated, which ruled out the possibility of anammox. In contrast to water, denitrification and anaerobic ammonia oxidation occur naturally and are significant in sediments. However, related studies have revealed that anaerobic ammonium oxidation's standard free energy is lower than denitrification, making denitrification more thermodynamically feasible [58,59]. In addition, compared with anammox bacteria, denitrifying bacteria have a higher growth yield, so denitrification is often dominant [60,61] in an environment where two pathways exist at the same time. The seasonal death of aquatic plants in the lake's littoral zone releases large amounts of organic carbon into the water and sediment, which hinders the anaerobic ammonium oxidation process [62].

There are significant differences in this study's observed functional gene abundance of nitrogen fixation, nitrification, denitrification, DNRA, and ammonia assimilation in subglacial water and sediment. Generally speaking, compared with water bodies, sediments contain more nitrogen-metabolizing microbes, as seen in our results.

Active nitrogen is crucial to aquatic environments, and biological nitrogen fixation is a major contributor. Traditionally, nitrogen-fixing cyanobacteria are generally considered to be the main nitrogen-fixing bacteria in lakes. However, heteromorphous bacteria, chemotrophic bacteria, and archaea can also perform nitrogen fixation [63] in dark sediments. Lakebed sediment is generally rich in microorganisms carrying *nifD* gene clusters that demonstrate higher nitrogen fixation activity than microbes in the water column, which has been confirmed by related studies [64]. Iron and molybdenum are necessary components for synthesizing nitrogenase, and the content of these heavy metal elements in sediments is generally high [65,66]. These factors likely contribute to the disparity we observe in the distribution of nitrogen fixation genes. A large number of studies have shown that denitrification and nitrogen fixation occur simultaneously in sediments because the nitrogen loss caused by denitrification can be compensated by nitrogen fixation [50,67]. Temperature is also an important environmental factor affecting the nitrogen conversion pathway. When the temperature is below 5 °C, denitrification and anammox are negligible [62,68]. Our study shows that the temperature of the subglacial water body is only  $5.0 \pm 1.84$  °C in the bottom water, while the sediment temperature is relatively high. Although we did not measure the sediment temperature, the heat released from the overlying water body during the ice-sealing period can be used as evidence of higher sediment temperature than that of the water column [69]. Therefore, denitrification should occur at different rates in the lake's subglacial water and sediment. Supplementary Tables S2 and S3 (Online Resource) show that sediment samples contained higher levels of C/N and  $\text{NH}_4^+$ -N, which may be the main reason for the difference between DNRA and ammonia assimilation in water and sediments. Although we have discussed a variety of factors that may have produced the differences we observed, a definitive model of nitrogen transformation pathways in Lake Ulansuhai would require more thorough surveying of the lake.

#### 4.3. Nitrogen Transformation Functional Microorganisms and Their Differences

It is well known that there are a surprising number of microorganisms that can transform nitrogen. Bacteria, Eukaryota, and Archaea may all drive nitrogen transformation, but relatively speaking, Bacteria are the main participants in nitrogen transformation. This has also been well verified in our study (Figure 4A). Proteobacteria was the most abundant phylum in the subglacial water and sediment of Ulansuhai during the ice-covered period, which corresponded with the outcomes of experiments conducted in Hulun Lake, Chaohu Lake, and Erhai Lake [70–72], indicating that Proteobacteria is the dominant species driving nitrogen transformation in lakes during both ice-covered and ice-free periods. In addition, Actinobacteria, and Bacteroidetes were detected at higher concentrations in subglacial water, while a greater proportion of Chloroflexota and Actinobacteria were detected in sediment, which was similar to the microbial community structure in aquaculture ponds and sediments [73]. Previous studies have shown that, regardless of nutritional status, Proteobacteria, Actinobacteria, Bacteroidetes, and Chloroflexota generally occupy a dominant position in freshwater lakes, and they actively participate in the nitrogen cycle process [74–76]. Our study also confirmed that the distribution of microbial communities is not uniform but shows a small number of dominant species and a large number of rare species, and a small number of common dominant flora can be observed in different environments [77].

For lake water, although there were significant differences in the environmental factors between surface water and water near the lakebed (Table S2 (Online Resource)), their microbial communities were similar. Fifteen species with statistically significant differences were identified in the two environmental media, with 5 and 10 taxa significantly enriched in surface water and sediment, respectively. Ciliophora was found in freshwater in a planktonic state for most times of the year [78], which may account for the greater abundance of Ciliophora in surface water in our study. The significant enrichment of Synergistetes in the sediment may be related to its environmental characteristics for anaerobic existence [79]. It

is worth noting that these differential species are not the few common dominant species, indicating that they can adapt to the environment in surprisingly specific ways. These observations may reflect a wide range of environmental heterogeneity. Species differences caused by habitat heterogeneity are not very common at higher classification levels but occur at lower classification levels such as the genus or species level [80,81]. Our study showed that the habitat heterogeneity of different species in the water and sediment of Lake Ulansuhai during the ice-covered period also existed at the domain–kingdom–phylum level.

Although we generalized the functionality of microbial genes through KEGG Orthography, some functional genes will play a role in multiple nitrogen transformation pathways at the same time. For these multi-purpose functional genes, we only classified them as one nitrogen transformation pathway and did not distinguish their contribution to other pathways. Additionally, we discussed the characteristics and differences of nitrogen transformation in subglacial heterogeneous habitats based on transformation pathways and community structure; however, quantifying the relationship between them is a problem that needs to be solved in the future.

## 5. Conclusions

During the ice-covered period of Lake Ulansuhai, the characteristics of the nitrogen cycle were analyzed through the metagenomic approach of subglacial water and sediment. We found that ammonia assimilation was the main nitrogen transformation pathway used by water column and sediment microbes, and domain Bacteria and phylum Proteobacteria were the dominant taxa driving nitrogen transformation. Habitat heterogeneity had a significant impact on nitrogen transformation pathways and species taxa, and surface sediment was crucial to the nitrogen cycle in the lake during the time when the lake was covered in ice. A small number of common dominant taxa were similar in different habitats, while taxonomical differences were concentrated in a large number of rarer species.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/w15132332/s1>, Table S1: Statistics of metagenomic sequencing data; Table S2: Physical and chemical properties of water samples; Table S3: Physical and chemical properties of sediment samples.

**Author Contributions:** Z.T.: conceptualization, investigation, formal analysis, methodology, visualization, and writing—original draft; S.Z. (Sheng Zhang): conceptualization, funding acquisition, supervision, and writing—review and editing; J.L., X.S. and S.Z. (Shengnan Zhao): data curation, funding acquisition, project administration, resources, and visualization; B.S. and Y.W.: formal analysis and supervision; G.L. (Guohua Li), Z.C., X.P., G.L. (Guoguang Li) and Z.Z.: data curation and investigation. All authors have read and agreed to the published version of the manuscript.

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**Institutional Review Board Statement:** The research complies with ethical standards.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The National Center for Biotechnology Information (NCBI) Short Read Archive database has received the sequencing raw data related to this project (Accession Numbers: SRR18899872–SRR18899880). The other data generated or analyzed during this study are not publicly available but are available from the corresponding author on reasonable request.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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## Article

# The Problem of Selenium for Human Health—Removal of Selenium from Water and Wastewater

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**Abstract:** Selenium is a trace element that can be poisonous in small quantities. The aim of this study was to analyze the change in the content of selenium in drinking water, raw water, as well as treated and raw wastewater in an annual cycle in the city of Szczecin. The concentration of Se in samples was determined using the spectrofluorometric method at a 518 nm emission wavelength and a 378 nm excitation wavelength. The amount of selenium in drinking water ranged from <LOD to 0.007 µg/mL, in raw water, from 0.001 to 0.006 µg/mL, in raw wastewater, from 0.001 to 0.008 µg/mL, and in treated wastewater, from 0.001 to 0.009 µg/mL. The selenium content did not exceed the maximum allowable concentration (MAC), 0.010 µg/mL, in any of the water samples tested.

**Keywords:** selenium; drinking water; water treatment; wastewater treatment

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## 1. Introduction

Selenium (Se) is a trace element that is widespread in the environment, but it is particularly found in igneous and sedimentary rocks, waters, soils, plants, and living organisms. Selenium is released from both natural and anthropogenic sources (metallurgical, glass, and pigment-producing industries [1,2]). It occurs most frequently in one of two forms—organic, including seleno-amino acids, selenopeptides, and selenoproteins—and inorganic. Inorganic forms are more toxic and less bioavailable than organic forms [3]. Selenium in waters occurs in the forms of seleno-amino acids, selenides, selenates, and dimethyl and trimethyl derivatives. The form of occurrence depends on water pH and redox potential (Eh).

Surface waters and groundwaters contain highly variable concentrations of this element. Selenium concentrations in natural marine and fresh waters ranges from 0.01 to 0.1 µg/L [4]. In some areas selenium concentrations in groundwaters can reach levels as high as 6.000 µg/L; however, in water supply networks in developed countries it occurs in quantities that do not exceed 10 µg/L [5]. Industrial wastewater can contain much higher concentrations ranging from 0.1 to 20 mg/L [6,7].

Selenium is recognized as an essential but also potentially toxic element, and the margin between selenium deficiency and toxicity is very narrow [7]. Nutritional deficiencies occur at an intake of less than 40 µg/day, and can lead to the development of many disorders, such as Keshan disease [8,9]. It is recommended to take a prophylactic dose of Se of approximately 200 µg/day to reduce the risk of cancer in humans. However, an Se dose of >400 µg/day is considered to be potentially toxic [10,11]. Excess Se can lead to the development of cancers, type II diabetes, and diseases of the circulatory and endocrine

systems [7,12]. As much as 80% of this element can be absorbed in the gastrointestinal tract [13]. Concentrations of selenium in humans are determined mainly by their diet, but the intake of it can be increased by drinking water that has high concentrations of selenium [14].

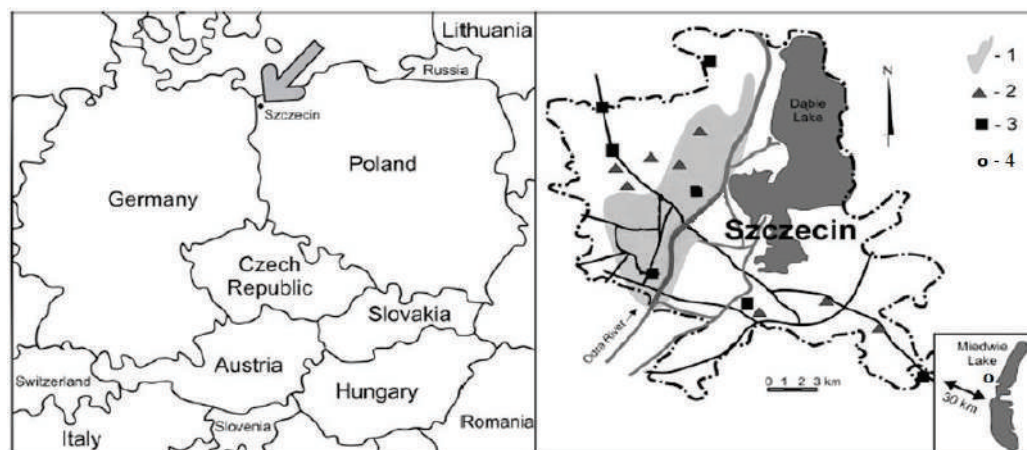
Selenium requirements differ depending on age, and range from 17 to 50  $\mu\text{g}/\text{day}$ , but requirements increase with excess stress, overconsumption of alcohol and nicotine, and increased physical exertion [15]. Among other things, selenium is a component of selenoproteins that are involved in antioxidant defense, DNA synthesis, and thyroid hormone production, and are essential to reproduction. Se inhibits the synthesis of osteopontin, an important protein in cancer metastasis [16]. Links have been confirmed between selenium deficiency and the occurrence of anxiety, depression, and affective disorders [13]. The recommended daily allowance (RDA) of selenium for adults is 55  $\mu\text{g}$  [17].

Considering the health benefits and toxic effects of selenium in humans, the current study was undertaken with the aim of assessing changes in the selenium content of drinking water throughout the year in the city of Szczecin. The study also aimed to estimate the impact of water treatment on the selenium content of drinking water. Given that treated wastewater is discharged into surface waters and can become a secondary source of selenium in treated water, the extent to which wastewater treatment affects the final selenium content was also analyzed.

## 2. Materials and Methods

### 2.1. Materials

The study material was raw water, drinking water, and treated and raw wastewater. Raw water was collected from Lake Miedwie (Figure 1), which is the main source of water (80%) for the city of Szczecin.



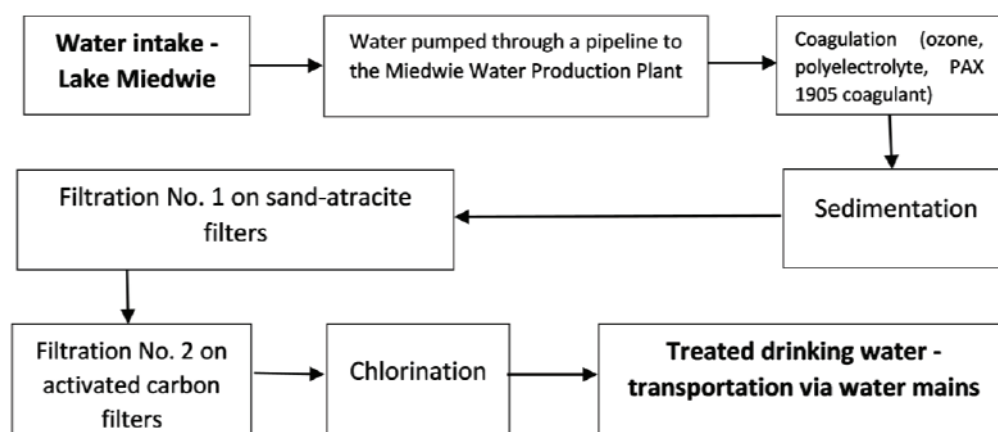
**Figure 1.** Location of the study area: 1: area supplied with water from Lake Miedwie—left-bank part of Szczecin (north, west, and downtown districts); 2: pumping stations; 3: water treatment plant; 4: water sampling sites at the Żelewo Water Treatment Plant [18].

Water samples were taken from an intake located 6 m above the bottom and 16–18 m below the water surface of Lake Miedwie. The wastewater tested was from the Pomorzany Wastewater Treatment Plant (Szczecin, Poland), from which raw wastewater was sampled at the grating station, and treated wastewater was collected at the outflow of the canal. The study began in March, 2018 and ran until March, 2019.

#### 2.1.1. Drinking Water Treatment

Raw water from Lake Miedwie is treated at the Żelewo Water Treatment Plant (Stare Czarnowo, Poland) (Figure 2).





**Figure 2.** Model of the water treatment process in the Water Treatment Plant, Żelewo.

The first stage of treatment is pre-oxidation with an ozone dose of  $1\text{--}2\text{ g/m}^3$ . The highly alkaline coagulant PAX XL 1905 (Kemipol, Police, Poland, with the following properties: pH— $3.6 \pm 0.4$ ; alkalinity— $85 \pm 5\%$ ; density— $1150\text{ kg/m}^3$ ; aluminum content— $6.0 \pm 0.5\%$ ; chlorides— $5.0 \pm 1.0\%$ ) is added to the water with the ozone. Next, the water reacts with coagulants for 3 to 6 min. Then, the water flows into labyrinth chambers (20–40 min.), where a polyelectrolyte is added at a dose that depends on the current water quality and the amount of coagulant used. Sludge sedimentation can happen in the chambers, and this is washed out with an additional stream of water, after which, the water flows into horizontal settling tanks with a capacity for sedimentation of  $1600\text{ m}^3$  each. Sludge is removed continuously to sludge funnels and discharged into a sludge canal and then into the wastewater system. The water from the settling tanks is purified on carbon filter beds and then on anthracite-sand filters. The rapid anthracite-sand filters comprise 12 filtration chambers with a surface area of  $46.17\text{ m}^2$ . The filter beds are 0.6 m layers of quartz sand and 40 cm layers of anthracite. The maximum speed of filtration is  $10\text{ m/h}$ . Water from the filtration chamber flows into two indirect ozonation chambers where viruses and bacteria are eliminated and most pesticides are oxidized. Water is retained in the ozonation chambers for about 15 min at a flow rate of  $3000\text{ m}^3/\text{h}$ . The water is filtered through 8 carbon filters arranged in two rows between which there is an ozonation line. In the last stage, the water is disinfected with chlorine dioxide and chlorine gas. When the water is appropriately pure, it is collected in two tanks with a combined capacity of  $10,000\text{ m}^3$ , and from there, it is distributed through the water supply network to the city of Szczecin (materials from ZWiK, Department of Waterworks, Szczecin, Poland) [19].

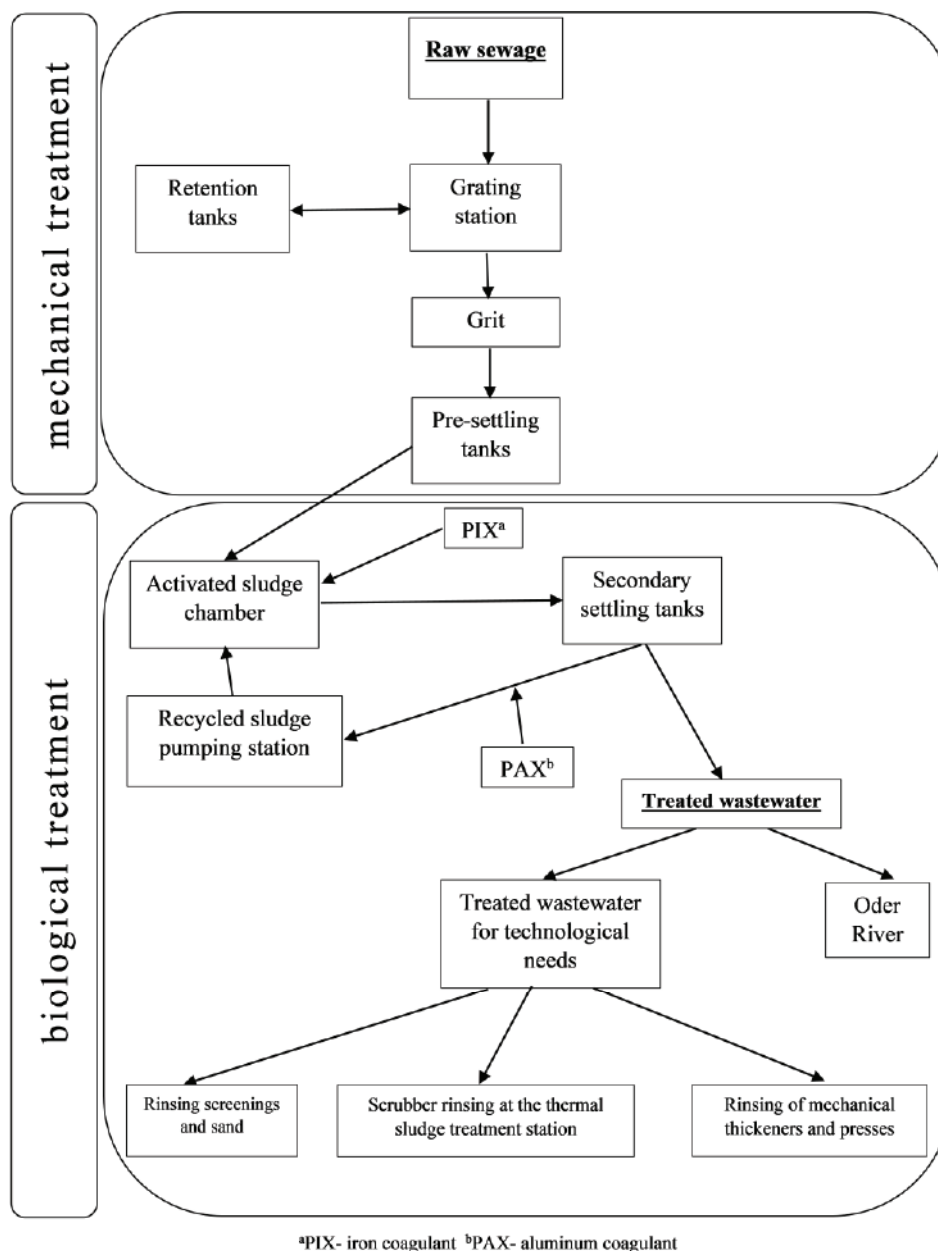
### 2.1.2. Wastewater Treatment

Szczecin's wastewater is treated at the Pomorzany Wastewater Treatment Plant (Figure 3).

Wastewater flows into the expansion chamber, then through a canal in which there is a sampling station, and then, to the grating station fitted with two 40 mm mesh screens and six 6 mm mesh screens. The flow rate in the grating station is  $5.4\text{ m}^3/\text{s}$ . The largest solids are removed with a screw compacting press, while the particle sizes of the solids retained on the fine screens are further reduced.

Once the largest solids are removed, the wastewater flows to the aerated grit chambers constructed of reinforced concrete with a degreasing chamber on the side. A bottom scraper collects fat and grease into the grease chamber, and then it is pumped into fermentation chambers. Minerals that sediment to the tank bottom are funneled into sand separators. From here, the wastewater flows to pre-settling tanks. The sludge that accumulates is collected with chain scrapers and is pumped into the sludge chamber. Fat and grease that rise to the surface are collected in a gutter and pumped to the fermentation chamber along with the fat and grease from the grit chambers. Mechanical treatment is followed by

biological treatment. This part of the plant is equipped with devices that take measurements and steer processes such as how much air is released into the nitrification or denitrification chambers or the quantities required of iron coagulants PIX 113 (Kemipol, Poland, with the following properties: total iron  $11.8 \pm 0.4\%$ ; density in  $\text{kg}/\text{m}^3$  ( $20\text{ }^\circ\text{C}$ )  $1500\text{--}1570$ ; PAX 16 (Kemipol, Poland, with the following properties:  $\text{Al}_2\text{O}_3$  content  $15.5 \pm 0.4\%$ ; chlorides ( $\text{Cl}^-$ )  $19.0 \pm 2.0\%$ ; alkalinity  $37.0 \pm 5.0\%$ ; density in  $\text{kg}/\text{m}^3$  ( $20\text{ }^\circ\text{C}$ )  $1330 \pm 20$ ; pH  $1.0 \pm 0.2$ ).



**Figure 3.** Model of mechanical and biological treatment at the Pomorzany wastewater treatment plant.

## 2.2. Methods

High-purity analytical reagents from Merck (Darmstadt, Germany) were used in the study. Selenium concentrations in samples were determined with the spectrofluorimetric method. Water samples were digested in  $\text{HNO}_3$  for 180 min at a temperature of  $230\text{ }^\circ\text{C}$  and in  $\text{HClO}_4$  for 20 min at a temperature of  $310\text{ }^\circ\text{C}$ . After mineralization, a solution of 9%  $\text{HCl}$  was added to the samples to reduce selenate to selenite. Then, the Se was derivatized in an acidic environment (pH 1–2), which resulted in the formation of a selenodiazole complex,

which was then extracted with cyclohexane. Selenium concentrations were determined with an RF-5001 PC fluorescence spectrophotometer (Shimadzu, Tokyo, Japan) at a emission wavelength of 518 nm and an excitation wavelength of 378 nm.

The accuracy of the analytical method was tested with Certified Reference Materials: Trace Metals in Drinking Water Solution A (CRM-TMDW-A; High-Purity Standards, North Charleston, SC, USA) (for water and Certified Reference Material ERM®-CA713 (IRMM, Geel, Belgium) for sewage. The recoveries of these reference materials were, respectively,  $96.3 \pm 3.2\%$  and  $-98.8 \pm 2.9\%$ . In addition our own standard material, selenium content was used, whose recoveries ranged from 91 to 95%. The analytical procedure was verified with blank samples (20 replicates). The relative standard deviation (RSD%) of the determinations was 2.96%, while the limit of detection (LOD,  $x + 3\sigma$ ) was 0.001  $\mu\text{g/mL}$ .

Results for the remaining parameters, including, among others, alkalinity and nitrates, were provided by the laboratories at ZWIK Szczecin (Szczecin, Poland). The results of the study were analyzed with Statistica 13.0 (StatSoft, Kraków, Poland), and they are presented as arithmetic means with standard deviations (SD) and minimum and maximum values. The significance of the differences was tested with Tukey's post hoc test ( $p < 0.05$ ).

### 3. Results

#### 3.1. Analysis of Selenium in Water

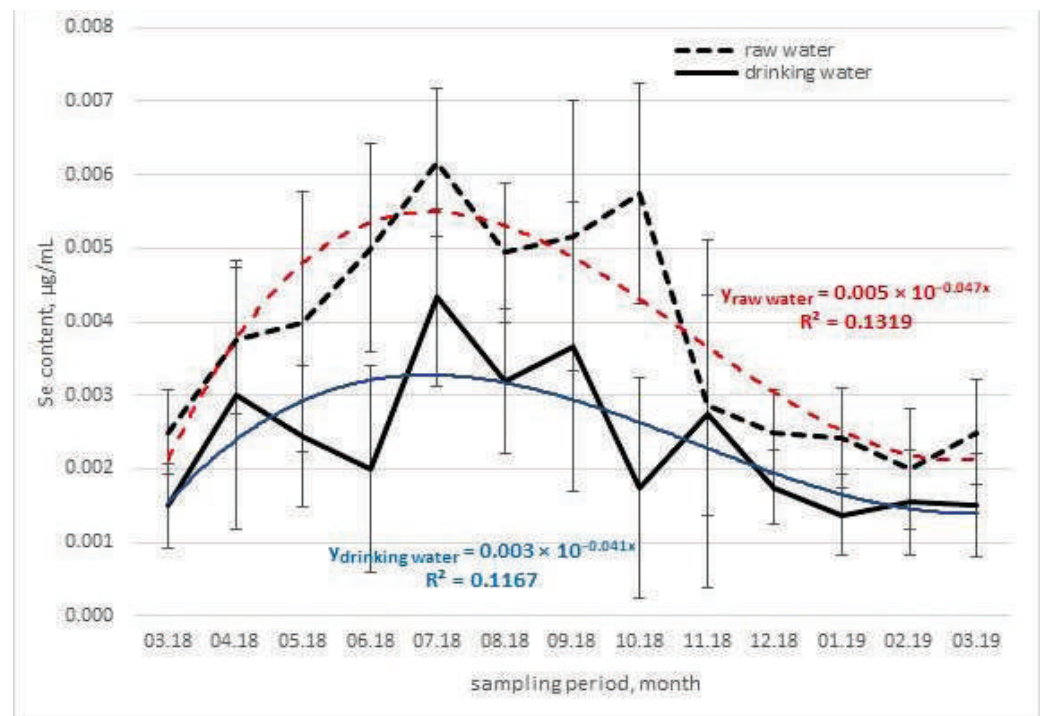
The selenium content in raw water during the study period fluctuated from 0.0020 to 0.0068  $\mu\text{g/mL}$  (average  $0.0037 \pm 0.0016 \mu\text{g/mL}$ ), while in drinking water, it ranged from <LOD to 0.0052  $\mu\text{g/mL}$  (average  $0.0024 \pm 0.0013 \mu\text{g/mL}$ ) (Figure 4).

A positive significant correlation ( $p < 0.05$ ) was noted in the content of Se in raw water and alkalinity, quantity of nitrates, COD, and UV absorbance  $\text{m}^{-1}$  (Table 1). High water alkalinity can prevent soil components from absorbing Se in aquatic ecosystems and prevent high Se concentrations in groundwater. Under these conditions, Se is predominantly present in the form of labile selenate [20]. High nitrate concentrations are known to create weak oxidation conditions that inhibit microbial Se fixation, which can also cause increased Se concentrations in water [21].

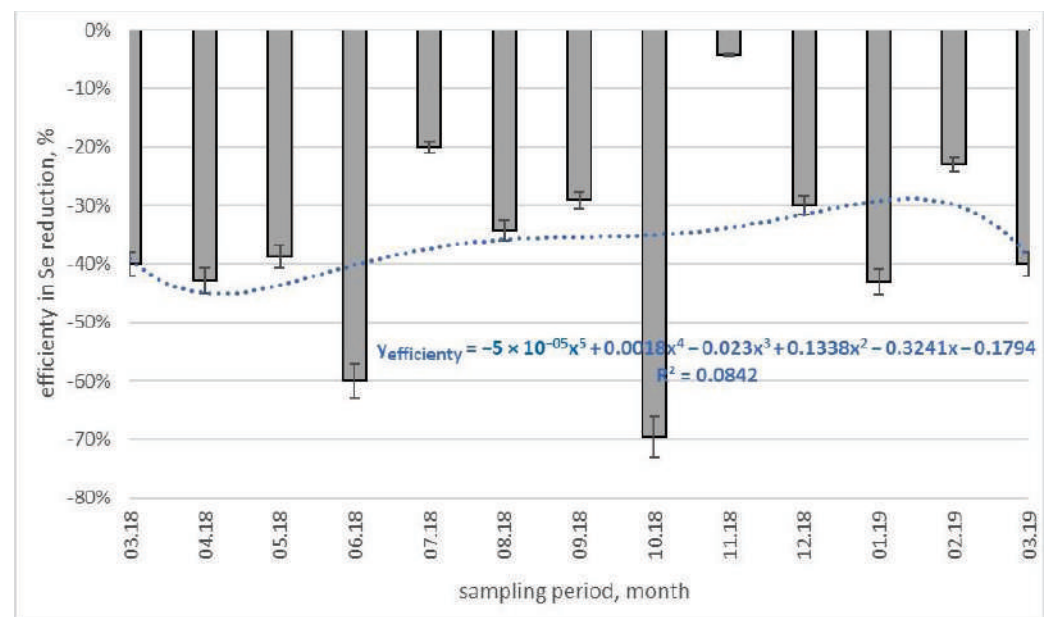
**Table 1.** Correlations between selenium content and selected parameters and chemical compounds in drinking water and raw water.

Drinking Water		Raw Water	
Parameter	Pearson's Correlation Coefficient $p < 0.05$	Parameter	Pearson's Correlation Coefficient $p < 0.05$
pH	−0.440	Absorbance in UV $\text{m}^{-1}$	0.314
alkalinity (mmol/L)	0.353	alkalinity (mmol/L)	0.762
nitrates ( $\text{NO}_3/\text{L}$ )	0.362	nitrates ( $\text{NO}_3/\text{L}$ )	0.350
chlorine dioxide ( $\text{mg ClO}_2/\text{L}$ )	0.502	COD with the permanganate method $\text{mg O}_2/\text{L}$	0.287

The differences in selenium content between raw and drinking water in individual months were statistically insignificant ( $p < 0.05$ ) except in June, July, August, and October. The significance of differences ( $p < 0.05$ ) in selenium content in individual months of the period analyzed is presented in Table A1 (Appendix A). Raw water treatment was shown to significantly reduce Se in drinking water by 4 to 70% (Figure 5).



**Figure 4.** Changes in selenium content in drinking water and raw water in an annual cycle. MAC—the maximum allowable concentration [22].



**Figure 5.** Changes in selenium content in water after treatment.

### 3.2. Analyses of Selenium Content in Treated and Raw Wastewater

The average selenium contents in raw and treated wastewater during the study period were  $0.007 \pm 0.002 \mu\text{g/mL}$  and  $0.005 \pm 0.002 \mu\text{g/mL}$ , respectively (Figure 6). The statistical analysis (Tukey's test) did not reveal significant ( $p < 0.05$ ) differences between the selenium contents in treated and raw wastewater.



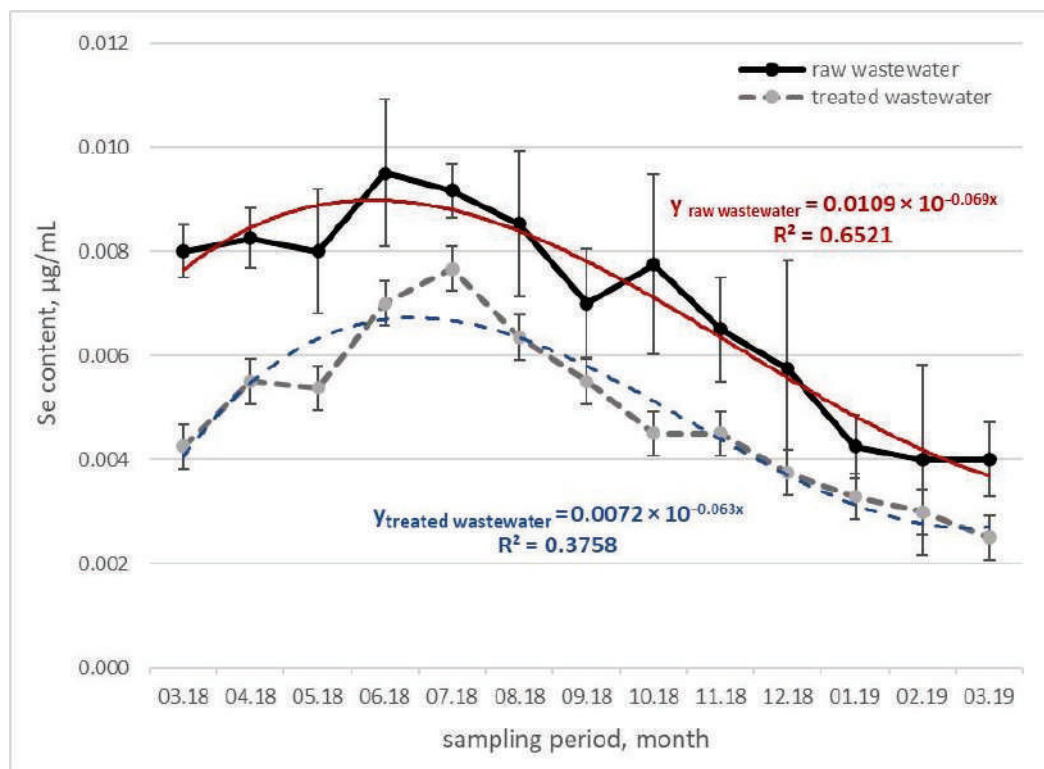


Figure 6. Selenium content in raw and treated wastewater in an annual cycle.

Significantly more selenium was noted in wastewater treated in June and July, 2018 than in other months. Conversely, significantly lower Se content was noted in wastewater treated in the winter months. The significance of the differences (Tukey's test,  $p < 0.05$ ) between the selenium content in treated water and raw sewage in the different months of the period analyzed are presented in Table A2 (Appendix A). Wastewater treatment reduced selenium content significantly (Figure 7).

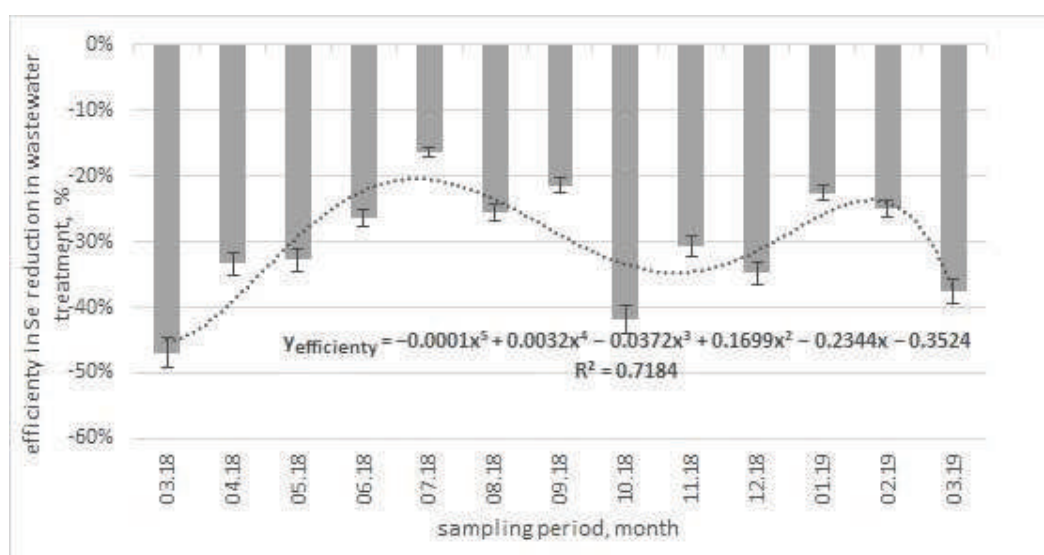
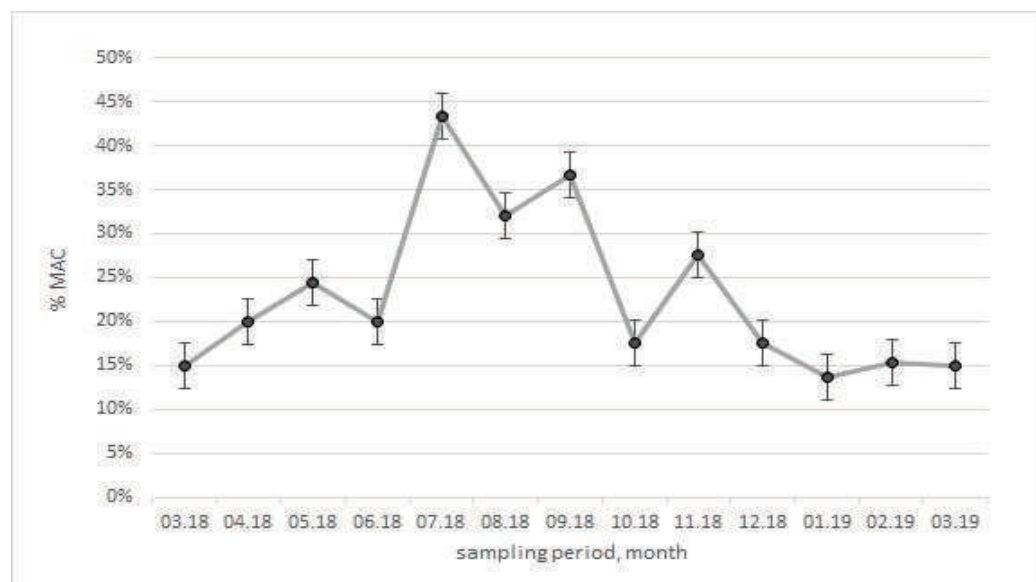


Figure 7. Changes in wastewater selenium content after treatment.

### 3.3. Selenium Consumption with Drinking Water and Consumer Safety

The permissible content of selenium in drinking water in Poland should not exceed  $0.010 \mu\text{g/mL}$  [22]. Based on the results obtained, the amount of Se in drinking water was at a low level (Figure 8). In July 2018, however, the content of this element was over 40% of the maximum residue level (MAC; Figure 8).



**Figure 8.** Selenium content in drinking water compared to MAC values.

## 4. Discussion

The content of selenium in surface water is influenced primarily by the geological environment of water reservoirs, e.g., selenium-rich rocks that are washed out over time. Another factor influencing Se content in water is contact with selenium-rich wastes from metallurgical, chemical, and photoelectric industries. The US EPA [23] established limits for lentic and lotic waters of  $1.5 \mu\text{g/L}$  and  $3.1 \mu\text{g/L}$ , respectively. According to the Regulation of the Minister of Maritime Economy and Inland Navigation [24], the permissible selenium concentration in surface waters in Poland is  $10 \mu\text{g/L}$ . Given the risk of ingesting large amounts of selenium with drinking water, the process of removing this element at individual stages of water treatment was examined [6,25,26].

Selenium in drinking water, groundwater, and wastewater is a global problem. Although selenium health benefits and toxicity are well known, to date, no safe, optimal content of this element in drinking water has been established [27,28]. European standards are not uniform. The World Health Organization (WHO) standard for drinking water is  $40 \mu\text{g/L}$  [29], while the US EPA [30] upper limit is  $50 \mu\text{g/L}$ . The highest, permissible selenium concentration in drinking water set forth in Directive (EU) [31] is  $20 \mu\text{g/L}$ . In Poland, the maximum allowable concentration (MAC) for selenium in drinking water was set forth in a regulation of the Minister of Health at  $0.010 \mu\text{g/mL}$  [22].

Similar problems regarding a lack of standards are found in the selenium content of wastewater [3,28,32,33]. In most countries, Se content in drinking water is less than  $10 \mu\text{g/L}$  [21,29]. Selenium content in drinking water samples from the USA, Canada, and Australia rarely exceed this value [14,34,35], but values as high as  $160 \mu\text{g/L}$  have been reported in China [36]. Selenium content varies in drinking water in Europe; in Germany, values of  $0.02$ – $0.03 \mu\text{g/L}$  [37,38] have been noted, while in Slovenia, the value is  $0.2 \mu\text{g/L}$  [39]. In the current study, the average content of this element in drinking water fluctuated around  $2.4 \mu\text{g/L}$ , but it did not exceed the safe value for consumers. According to the WHO, selenium content in groundwater and surface water globally range from  $0.06 \text{ ng/mL}$  to  $0.4 \mu\text{g/mL}$ , while in specific cases, it can reach  $6 \mu\text{g/mL}$  [3,29]. The

minimum and maximum values cited above do not differ from the selenium content in raw water in Szczecin.

In the current study, the slightly higher selenium content in raw water than in drinking water could have come from field and pasture fertilisers, the main component of which is selenium. This element can also reach water reservoirs with precipitation. Changes in selenium content in raw water can also be affected by the presence of other elements with which it forms compounds. Changes in selenium content in treated water could also be caused by the use of PAX aluminum coagulants that can alter water pH, among other things.

Water that is treated for drinking water usually contains less than 0.1 mg Se/L, while values in industrial water are above 1 mg/L. Wastewater that contains selenium is often associated with other substances and high salinity [40,41]. Consequently, the choice and effectiveness of treatment processes depend not only on the degree of selenium oxidation but also on the presence and concentrations of other contaminants and on other factors, including existing treatment plants and processes, treatment objectives, and concerns regarding waste treatment and costs [28]. There is no single method that ensures adequate water treatment, and, in practice, various chemical, biological, and physical methods are applied to attain the desired water quality [28,42]. For drinking water, certain local management solutions are implemented to maintain selenium below threshold levels without increasing costs to consumers. For example, groundwater can be cleaned through sand filtration combined with ion exchange resins and membrane treatments, e.g., microfiltration and nanofiltration, which can remove up to 95% of selenium [42]. However, such methods are often poorly adapted, not highly selective, and expensive. Thus, innovation is required to develop water treatment methods that are efficient, inexpensive, technologically feasible, and environmentally friendly. In France, these solutions generally aim to either request that the responsible authorities grant operational exemptions, or, most frequently, to seek other water sources [28]. When removing selenium on an industrial scale, the first possible method is to use iron co-precipitation and adsorption, and, if necessary, to combine this with coagulation–flocculation [27,28]. The principal technologies used to remove Se are the following: a nanofiltration membrane-based process [43], coagulation [44], phytoremediation [45], precipitation [46], and adsorption [6,47]. The water treatment solutions described in the present study fulfilled their roles.

Water tests were supplemented with wastewater analyses from the same study period. The highest allowable selenium concentration in all types of water is 1 mg/L [48]. At no time during the period analyzed was this limit exceeded in the current study. The causes of fluctuations in selenium content in treated wastewater could have been the PIX iron coagulant used, the components of which could have formed compounds with selenium. Coagulants are added to wastewater during treatment in various quantities depending on, among other things, oxygen and nitrogen concentrations. PAX coagulants, which can also influence Se contents in wastewater, are added when filamentous bacteria occur, which is also linked with fluctuations. The results of this study indicated that the likelihood of treated wastewater potentially increasing selenium concentrations in sources used to produce drinking water was low.

Despite the wastewater treatment, it is impossible to completely eliminate selenium, which returns to water reservoirs together with the treated wastewater. Therefore, treated wastewater may be a source of this element in the environment. Consumers and industrial plants can influence Se content. As a result of using drinking water, the level of selenium can be decreased or increased by discharging substances rich in this element.

Human requirements for water vary depending primarily on age and sex. The Institute of Food and Nutrition in Warsaw set recommended standards for H<sub>2</sub>O consumption, taking into consideration the liquid form and water consumed with foods (Table 2). The recommended daily allowance (RDA) of selenium for adults is 55 µg/day (Table 2), and the tolerable upper intake is 400 µg/day, as set by the US Institute of Medicine of the National Academy of Sciences [49], while selenium intake in excess of 5 mg/day can be fatal [50,51].

**Table 2.** Selenium requirements in different age categories [52].

Age	RDA—Recommended Daily Allowance for Selenium (µg/Day) *	Recommended Water Consumption mL/Day	Daily Se Requirement Met by Drinking the Water Tested (%)
1–3	20	1250	15
4–9	30	1600 (4 YOA)–1750 (9 YOA)	13 (4 YOA)–14 (9 YOA)
10–12	40	1900 ♀; 2100 ♂	11 ♀; 13 ♂
13–15	55	1950 ♀; 2350 ♂	9 ♀; 10 ♂
≥16	55	2000 ♀; 2500 ♂	9 ♀; 11 ♂
Pregnant women	60	2300	9
Breastfeeding women	70	2700	9

Note(s): \* nutrition standards for the Polish population according to Jarosz et al. [52].

## 5. Conclusions

The selenium content in surface waters is influenced, among others, by the type of geochemical environment, leaching processes from rocks, and environmental pollution. Lake Miedwie, which was the source of the tested water, is characterized by a high content of organic matter, which affects the amount of nitrates in the water. In addition, the catchment area of Lake Miedwie is mainly agricultural land, where, among others, nitrogen fertilizers are also used. These compounds, as well as other polluting chemicals, may enter the waters of Lake Miedwie as a result of soil leachate and surface runoff of rainwater.

The amount of precipitation during the year also affects the presence of selenium in the analyzed water reservoir.

Selenium content in raw water was the highest in summer, which correlated with higher contents of nitrates (III). Usually in the summer period (June–August), there is also the largest leachate from the soil to the lake caused by heavier rainfall, which is short and very intense.

The removal of selenium from raw water and wastewater is difficult because the metalloid is often present in various complex forms and can also form various compounds with other elements.

The higher content of Se in raw water may be caused by fertilizing fields and pastures with fertilizers whose main component is selenium.

The water treatment process lowered selenium content in drinking water by a range of 4 to 70%.

The processes used during water treatment affect the reduction in the selenium content in the water. The changes in the selenium content in water after treatment could also be caused by the use of PAX aluminum coagulants, causing, among others, changes in water pH.

As a results of treatment process, the selenium content in drinking water was low and ranged from 14 to 43% of the MAC value.

Treated wastewater can be a source of selenium in the environment and, discharged into surface waters, can become a secondary source of selenium in treated water. In our study, treating wastewater resulted in lowering selenium content in treated wastewater by as much as 47%.

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## Appendix A

**Table A1.** Significant differences (in bold type) in selenium content in raw and drinking water among sampling periods ( $p < 0.05$ )—Tukey's test.

Sampling Period	03.18	04.18	05.18	06.18	07.18	Raw Water		10.18	11.18	12.18	01.19	02.19
						08.18	09.18					
03.18												
04.18	0.9947											
05.18	0.8871	1.0000										
06.18	0.7302	0.9911	0.9998									
07.18	0.0826	0.6210	0.7527	1.0000								
08.18	0.3020	0.9383	0.9912	1.0000	0.9999							
09.18	0.1560	0.7971	0.9166	1.0000	1.0000	1.0000						
10.18	<b>0.0320</b>	0.3750	0.7425	1.0000	1.0000	0.9983	1.0000					
11.18	1.0000	0.9999	0.9855	0.8859	0.2082	0.5650	0.3475	0.0923				
12.18	1.0000	0.9947	0.8871	0.7302	0.0826	0.3020	0.1560	<b>0.0320</b>	1.0000			
01.19	1.0000	0.9894	0.8456	0.6881	0.0658	0.2548	0.1272	<b>0.0249</b>	1.0000	1.0000		
02.19	1.0000	0.8871	0.5574	0.4661	<b>0.0192</b>	0.0947	<b>0.0409</b>	<b>0.0066</b>	0.9985	1.0000	1.0000	

Sampling Period	03.18	04.18	05.18	06.18	07.18	Drinking Water		10.18	11.18	12.18	01.19	02.19
						08.18	09.18					
03.18												
04.18	1.0000											
05.18	0.9965	1.0000										
06.18	1.0000	1.0000	1.0000									
07.18	0.0999	0.3155	0.3321	0.8034								
08.18	0.7738	0.9756	0.9976	0.9989	0.9276							
09.18	0.4269	0.7929	0.8889	0.9783	0.9992	1.0000						
10.18	1.0000	1.0000	0.9998	1.0000	0.1851	0.9075	0.6151					
11.18	0.9660	0.9997	1.0000	1.0000	0.8422	1.0000	0.9975	0.9945				
12.18	1.0000	1.0000	0.9998	1.0000	0.1851	0.9075	0.6151	1.0000	0.9945			
01.19	1.0000	0.9999	0.9897	1.0000	0.0715	0.6866	0.3416	1.0000	0.9334	1.0000		
02.19	1.0000	1.0000	0.9977	1.0000	0.1113	0.8003	0.4571	1.0000	0.9737	1.0000	1.0000	

**Table A2.** Significant differences (in bold type) in selenium content in treated and raw wastewater among sampling periods ( $p < 0.05$ ).

Sampling Period	03.18	04.18	05.18	06.18	07.18	Treated Wastewater		10.18	11.18	12.18	01.19	02.19
						08.18	09.18					
03.18												
04.18	0.9592											
05.18	0.9817	1.0000										
06.18	0.5562	0.9887	0.9784									
07.18	<b>0.0140</b>	0.3884	0.0889	1.0000								
08.18	0.4342	0.9984	0.9701	1.0000	0.8040							
09.18	0.9592	1.0000	1.0000	0.9887	0.1332	0.9900						
10.18	1.0000	0.9931	0.9980	0.6932	<b>0.0311</b>	0.6276	0.9931					
11.18	1.0000	0.9931	0.9980	0.6932	<b>0.0311</b>	0.6276	0.9931	1.0000				
12.18	1.0000	0.7064	0.7934	0.3017	<b>0.0026</b>	0.1511	0.7064	0.9996	0.9996			
01.19	0.9953	0.3596	0.4492	0.1442	<b>0.0006</b>	<b>0.0428</b>	0.3596	0.9682	0.9682	1.0000		
02.19	0.9592	0.1939	0.2567	0.0838	<b>0.0003</b>	<b>0.0172</b>	0.1939	0.8661	0.8661	0.9996	1.0000	
03.19	0.9621	0.4210	0.4874	<b>0.0297</b>	<b>0.0064</b>	0.1107	0.4210	0.9061	0.9061	0.9978	1.0000	1.0000



Table A2. Cont.

Sampling Period	03.18	04.18	05.18	06.18	07.18	Raw Wastewater		10.18	11.18	12.18	01.19	02.19
03.18												
04.18	1.0000											
05.18	1.0000	1.0000										
06.18	0.9995	0.9999	0.9995									
07.18	0.9987	0.9999	0.9919	1.0000								
08.18	1.0000	1.0000	1.0000	1.0000	1.0000							
09.18	0.9997	0.9976	0.9980	0.9565	0.5964	0.9357						
10.18	1.0000	1.0000	1.0000	0.9978	0.9925	1.0000	1.0000					
11.18	0.9878	0.9595	0.9878	0.8590	0.5891	0.8922	1.0000	0.9976				
12.18	0.8058	0.6812	0.8058	0.5975	0.2236	0.5274	0.9976	0.9007	1.0000			
01.19	0.1259	0.0780	0.1259	0.1348	<b>0.0104</b>	<b>0.0441</b>	0.5424	0.1951	0.8058	0.9878		
02.19	0.0780	<b>0.0467</b>	0.0780	0.0969	<b>0.0057</b>	<b>0.0255</b>	0.4070	0.1259	0.6812	0.9595	1.0000	

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## Article

# Health Risk Assessment of Nitrate and Fluoride in the Groundwater of Central Saudi Arabia

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**Abstract:** High nitrate and fluoride contamination in groundwater cause a variety of disorders, including methemoglobinemia, teratogenesis, and dental and skeletal fluorosis. The present work assesses the non-carcinogenic health risks posed by nitrate and fluoride in infants, children, and adults using the daily water intake (CDI), hazard quotient (HQ), and non-carcinogenic hazard index (HI). Groundwater samples were collected from 36 wells and boreholes in three central Saudi Arabian study areas for nitrate and fluoride analysis using ionic chromatography and fluoride selective electrode, respectively. Nitrate concentrations varied from 0.70 to 47.00 mg/L. None of the 36 studied boreholes had nitrate levels that exceeded WHO guidelines (50.00 mg/L). Fluoride ranged from 0.63 to 2.00 mg/L, and 30.55% of the fluoride samples (11 out of 36) exceeded the WHO recommendations for acceptable drinking water (1.5 mg/L). The average hazard index (HI) values for adults, children, and infants were 0.99, 2.59, and 2.77, respectively. Water samples surpassed the safety level of 1 for adults, children, and infants at 44.44, 97.22, and 100%, respectively. Accordingly, water samples from Jubailah and a few from Wadi Nisah may expose infants, children, and adults to non-cancer health concerns. Infants and children are more vulnerable to non-carcinogenic health risks than adults, possibly due to their lower body weight. Immediate attention and remedial measures must be implemented to protect residents from the adverse effects of F- in the study area.

**Keywords:** nitrate; fluoride; hazard index; groundwater; Saudi Arabia

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## 1. Introduction

Groundwater is an important resource for drinking and irrigation, especially in dry and semi-arid regions [1,2]. However, shallow groundwater is vulnerable to contamination from various geogenic and anthropogenic sources, such as rock weathering, cation exchange during oxidation or reduction, rapid industrialization, urbanization, and excessive fertilizer use [3,4]. Recently, there has been a growing concern about groundwater quality and its influence on human health due to water consumption with high concentrations of nitrate and fluoride. Nitrate and fluoride concentrations in groundwater can negatively affect human health. These two toxic ions are listed as non-carcinogens by the US Environmental Protection Agency (USEPA) and have received worldwide attention for their devastating effects on human health [2].

Nitrate is an inorganic ion ( $\text{NO}_3^-$ ) that naturally occurs in the nitrogen cycle and is widely found in nitrogen-containing fertilizers. Nitrate can enter groundwater through different natural and anthropogenic sources, e.g., rock-water interaction from the weathering of nitrite-bearing rocks, septic tanks, dairy lagoons, wastewater effluents, livestock waste, agricultural land, landfill leachate, fertilizers, pesticides, and manure application [5,6]. High levels of  $\text{NO}_3$  in water bodies and drinking water create eutrophication, toxic algal blooms, and various diseases, including blue infant disorder (methemoglobinemia), thyroid disorders, teratogenesis, and mutagenesis [2,7,8]. Pregnant women, infants, and young children are most susceptible to the harmful effects of  $\text{NO}_3$  [9].

The USEPA lists fluorine as a potentially harmful chemical pollutant [10,11]. Fluoride enters water bodies through natural and artificial sources, e.g., weathering of fluoride-bearing minerals, aluminum smelters, coal-based power stations, phosphatic fertilizer plants, brick manufacturing, steel production, coal combustion, sewerage, over-withdrawal of groundwater, and electroplating industries [12,13]. Drinking water with excessive fluoride can cause side effects including tooth decay (0.50 mg/L), fluorosis (1.50–5 mg/L), and skeletal fluorosis (5–40 mg/L) [14,15]. Arthritis, neurological problems, thyroid disease, cancer, infertility, hypertension, and a low fetus-to-sperm ratio are all linked to high fluoride concentrations (>10 mg/L). Moreover, fluoride alters DNA structure, which impacts teeth and bones [14,16,17].

The groundwater in central Saudi Arabia has been subjected to intense study in the last two decades regarding water resources, groundwater quality for drinking and agricultural usage, and general hydrochemical evaluations, e.g., [18–23]. Previous studies in the Northwest Riyadh area indicated that average concentrations of TDS,  $\text{Ca}^{2+}$ ,  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Cl}^-$ ,  $\text{SO}_4^{2-}$ , and  $\text{F}^-$  exceeded the WHO's permissible limits for drinking water. The evaluation of groundwater quality from Wasia and Biyadh aquifers in Wasia Well Field (Northeast Riyadh) concluded the suitability of Wasia samples for drinking and agricultural purposes but not for industrial ones. Conversely, water samples from Biyadh are unsuitable for drinking, industrial, or agricultural purposes. The groundwater quality in Wadi Nisah, south of Riyadh, is unsuitable for drinking. Moreover, results of quality and groundwater contamination of Wadi Hanifa indicated concentrations of  $\text{SO}_4^{2-}$ ,  $\text{Cl}^-$ ,  $\text{Ca}^{2+}$ ,  $\text{HCO}_3^-$ ,  $\text{Na}^+$ ,  $\text{Mg}^{2+}$ , and  $\text{K}^+$  that are higher than the WHO standards for drinking water [24]. Previous studies concluded that extensive and repeated irrigation could increase ion levels in general. They attributed the higher  $\text{NO}_3^-$  and  $\text{F}^-$  values to the precipitation/dissolution of the carbonates and evaporites, as well as the widespread application of fertilizers and pesticides in the study area. In dry and semi-dry regions where groundwater is the main source of drinking water, nitrate and fluoride are two of the most common and hazardous toxins found in the water supply.

None of the previously mentioned studies on the groundwater of central Saudi Arabia addressed the health risk impacts of nitrate and fluoride on the people inhabiting the study area. Therefore, the main objectives of this study are to (1) determine the nitrate and fluoride contamination and distribution in groundwater of central Saudi Arabia, (2) document the potential sources of nitrate and fluoride in the collected groundwater, and (3) determine the human health risks of nitrate and fluoride via the ingestion pathway for adults, children, and infants using a methodology suggested by the USEPA. The results of this investigation will benefit risk management, the safeguarding of groundwater quality, and health professionals' decision-making.

## 2. Geological Setting

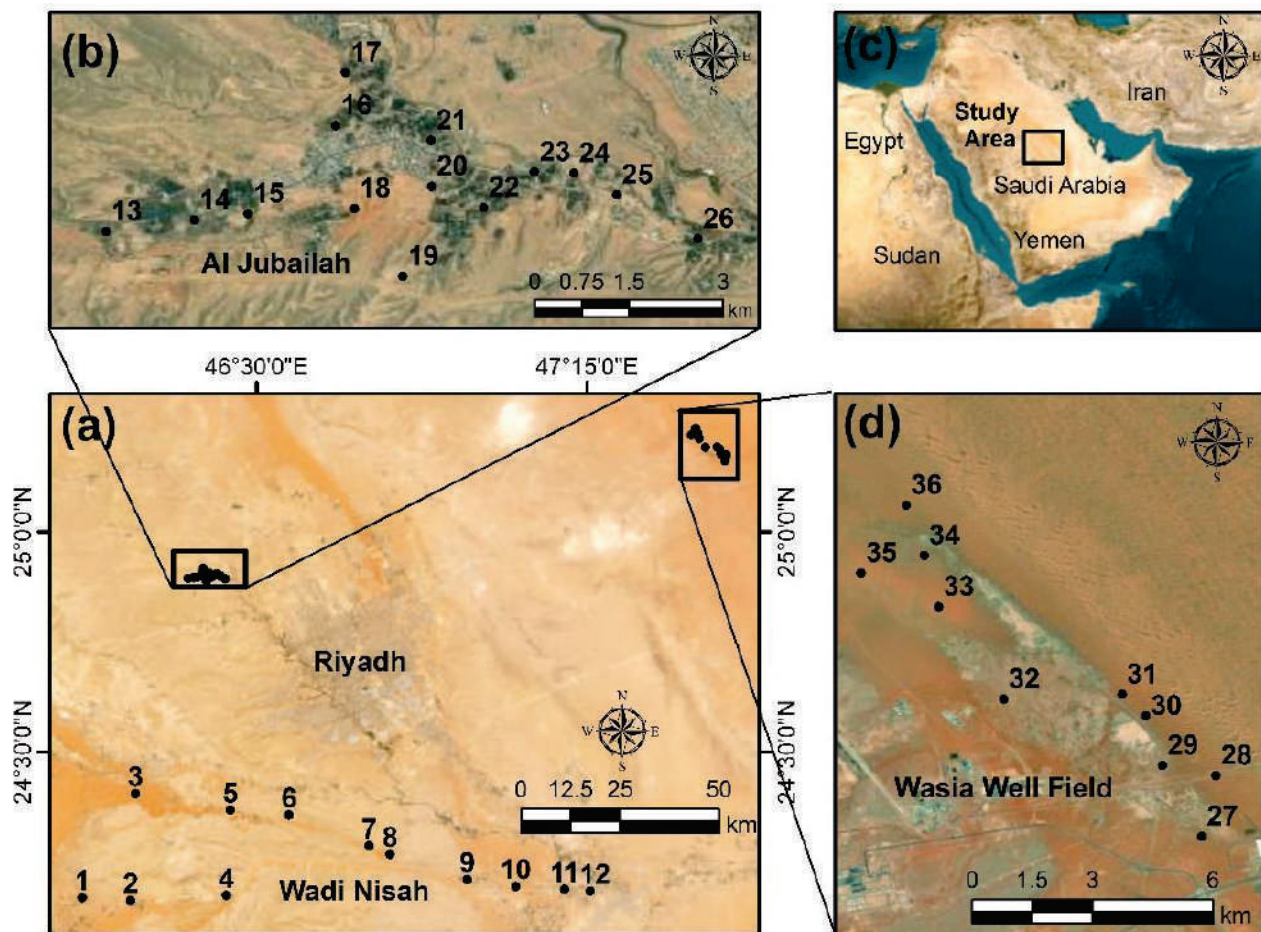
The exposed sedimentary succession in central Saudi Arabia is represented mainly by Triassic–Cretaceous rocks and is subdivided into the following formations from older to younger: Minjur, Marrat, Dhurma, Tuwaiq Mountain Limestone, Hanifa, Jubaila, Arab, Hith, Wasia, and Aruma formations. The Jurassic and Cretaceous rocks in central Saudi Arabia have been described from many points of view, such as stratigraphy, paleontology, sedimentology, and depositional history, e.g., [25–39].

The major aquifer systems identified in the Wasia Well Field are Wasia (Middle Cretaceous) and Biyadh (Lower Cretaceous). Both Biyadh and Wasia have a primarily continental origin. The Biyadh aquifer has mainly cross-bedded quartzite and sandstone with some thin shale, marl, dolomite, and ironstone. The Wasia aquifer comprises medium- to coarse-grained, well-sorted, non-cemented, and poorly cemented rock. The Wadi Nisah area consists of sedimentary formations ranging from the Upper Triassic to the Quaternary period, with outcrops decreasing in age from west to east. Hydrogeologically, the study area consists of a multi-layered aquifer system with the Manjur, Biyadh, and Jurassic Limestone

Formations, Cretaceous Wasia, and Quaternary alluvial deposits forming the main water supply sources.

### 3. Material and Methods

Groundwater samples were collected from 36 groundwater wells mainly used for the agricultural water supply in central Saudi Arabia: 12 from Wadi Nisah, 14 from the Al Jubailah area, and 10 from the Wasia Well Field (Figure 1). The Al Jubailah area is located 40–55 km northwest of Riyadh, at  $24^{\circ}53'14.4''$  to  $24^{\circ}54'59.4''$  N and  $46^{\circ}20'41.5''$  to  $46^{\circ}25'47.2''$  E in Wadi Hanifa, which runs south through Riyadh. The Wasia Well Field is located some 110 km northeast of Riyadh between latitudes  $25^{\circ}09'$  and  $25^{\circ}14'$  N and longitudes  $47^{\circ}28'$ – $47^{\circ}33'$  E. The samples were collected in pre-rinsed plastic bottles and filtered through 0.45-mm pore-size filters. Nitric acid was added to the samples for preservation, and the bottles were stored in cooling boxes at temperatures below  $5^{\circ}\text{C}$ .  $\text{F}^{-}$  and  $\text{NO}_3^{-}$  levels were analyzed using fluoride selective electrode and ionic chromatography, respectively, in the laboratories of King Saud University. The accuracy of the results of the chemical analyses was checked by calculating the charge balance error of each sample, which showed a charge balance error of less than 5%, which is acceptable.



**Figure 1.** Locations of the groundwater samples in central Saudi Arabia. (a). Wadi Nisah, (b). Al Jubailah, (c). Location of study in Saudi Arabia, (d). Wasia Well Field.

In this study, we assessed the danger that  $\text{NO}_3^{-}$  and  $\text{F}^{-}$  pose to human health for adults, children, and infants via the oral channel. The following equations calculate the

daily water intake (CDI), hazard quotient (HQ), and non-carcinogenic hazard index (HI) associated with drinking water [40,41].

$$CDI = (C \times DI \times F \times ED) / (BW \times AT)$$

$$HQ = CDI / RfD$$

$$HI = \Sigma (HQ_{\text{fluoride}} + HQ_{\text{nitrate}})$$

where C is the concentration of nitrate and fluoride in the water in milligrams per liter; DI is the amount of water consumed daily in liters; F is the number of days per year of exposure; ED is the number of years of exposure; BW is the weight of the age group under consideration in kilograms; AT is the average timing in days; and RfD is the reference dose ( $NO_3^- = 1.6$  and  $F^- = 0.06$  mg/kg/day) [42]. Table 1 describes the parameter values applied to the health exposure assessment.

**Table 1.** Parameters applied for health exposure assessment through drinking water and hazard index classification of in this work.

Risk Exposure Factors	Unit	Adults	Children	Infants
DI	L/d	2.0	1.5	0.8
F	d/year	365	365	365
ED	years	40	10	1.0
BW	kg	70	20	10
AT	d	14,600	3650	365
HI ≤ 1	no health risk to humans			
HI > 1	higher level of hazard			

## 4. Results

### 4.1. Concentration and Distribution of Nitrate and Fluoride

The shallow groundwater levels provide a favorable condition for the leaching of nitrate into groundwater, resulting in the widespread nitrate contamination of groundwater in the study area [43]. It has been documented that the overused fertilizer cannot be fully utilized by plant roots and the maximum efficiency of N uptake from added inorganic fertilizers is around 50%, and consequently it can either be lost through denitrification, leaching, and volatilization, or be retained within the soil [44,45]. In groundwater,  $F^-$  is naturally occurring or artificially affected [12]. The breakdown of fluoride-bearing minerals such as fluorite, hornblende, amphiboles, biotite, apatite, and muscovite are the natural source of  $F^-$  [13]. Phosphatic fertilizer plants, over-withdrawal of groundwater, brick manufacturing, coal combustion, and sewerage are among the anthropogenic sources of  $F^-$  in groundwater [46].

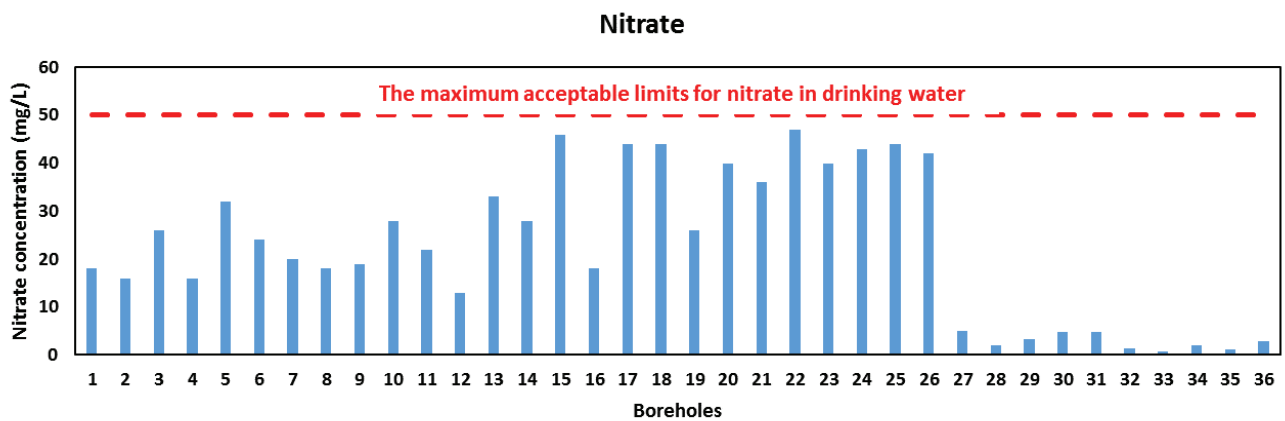
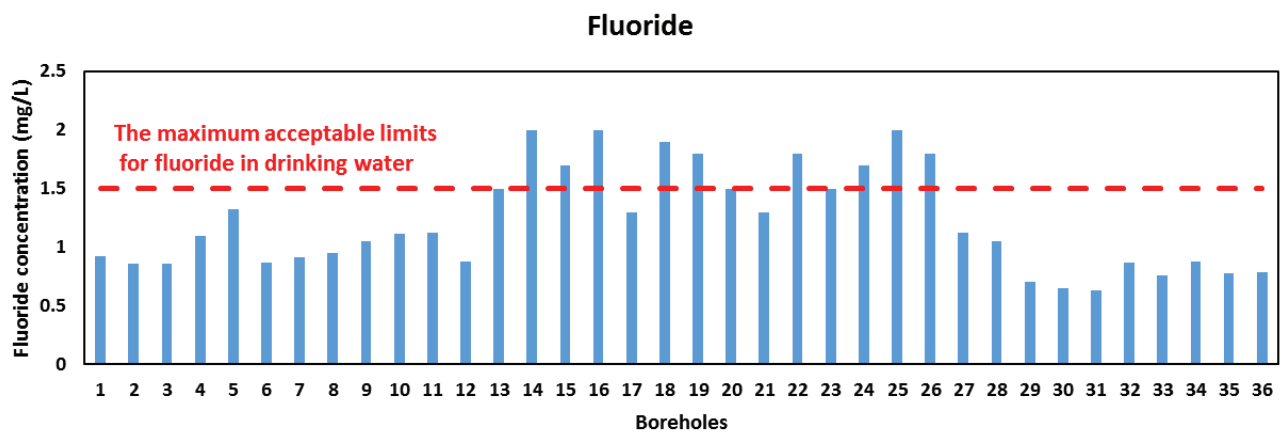
The spatial distribution of nitrate and fluoride in groundwater may vary depending on the local hydrogeological characteristics, such as aquifer type, depth, recharge rate, hydraulic conductivity, and porosity. The nitrate concentration in the study areas varied from 0.70 mg/L in borehole 33 (Wasia Well Field) to 47.0 mg/L in borehole 22 (Jubailah), with an average of 22.59 mg/L (Table 2). None of the 36 boreholes studied had nitrate levels exceeding WHO guidelines (50.0 mg/L). However, by comparing these three study areas, we noticed that the lowest nitrate levels were recorded in the Wasia Well Field, averaging 2.79 mg/L. By contrast, the highest concentrations were recorded in Jubailah, averaging 37.93 mg/L. The Wadi Nisah levels fell in between, averaging 21 mg/L (Figure 2). Similarly, fluoride ranged from 0.63 mg/L in borehole 31 from Wasia Well Field to 2.00 mg/L in borehole 25 from Jubailah, averaging 1.23 mg/L. Eleven out of thirty-six fluoride samples (30.55%) exceeded the WHO's acceptable limit for drinking water (1.5 mg/L). The lowest nitrate levels were recorded in Wasia Well Field, averaging 0.82 mg/L, whereas the highest concentrations were recorded in Jubailah, averaging 1.70 mg/L. Wadi Nisah fell in between, averaging 1.00 mg/L (Figure 3).



**Table 2.** Concentrations of nitrate and fluoride in the study areas.

S.N.	Lat.	Long.	Nitrates	Fluoride	S.N.	Lat.	Long.	Nitrates	Fluoride
1	24.16958	46.10469	18	0.92	21	24.90678	46.39139	36	1.3
2	24.16344	46.21425	16	0.86	22	24.89717	46.39886	47	1.8
3	24.40542	46.22508	26	0.86	23	24.90225	46.40614	40	1.5
4	24.17483	46.43194	16	1.1	24	24.90211	46.41181	43	1.7
5	24.36869	46.44094	32	1.32	25	24.899	46.41792	44	2
6	24.35672	46.57375	24	0.87	26	24.89272	46.42953	42	1.8
7	24.28703	46.75603	20	0.91	27	25.16055	47.5633	5.1	1.12
8	24.26814	46.80336	18	0.95	28	25.17417	47.56645	2.1	1.05
9	24.21053	46.97817	19	1.05	29	25.17642	47.55445	3.2	0.7
10	24.19528	47.08814	28	1.11	30	25.18752	47.55073	4.7	0.65
11	24.1885	47.19881	22	1.12	31	25.19228	47.54542	4.8	0.63
12	24.18442	47.25731	13	0.88	32	25.19123	47.51897	1.3	0.87
13	24.89375	46.34486	33	1.5	33	25.21192	47.50437	0.7	0.76
14	24.89539	46.3575	28	2	34	25.2234	47.50117	2.1	0.88
15	24.89628	46.36517	46	1.7	35	25.2195	47.487	1.1	0.78
16	24.90889	46.37775	18	2	36	25.23465	47.49712	2.8	0.79
17	24.9165	46.37911	44	1.3		Min.		0.7	0.63
18	24.89706	46.38039	44	1.9		Max.		47	2
19	24.88733	46.38731	26	1.8		Aver.		22.59	1.23
20	24.90019	46.39144	40	1.5					

Notes: 1–12 (Wadi Nisah); 13–26 (Jubailah); 27–36 (Wasia Well Field).

**Figure 2.** Distribution of nitrate concentrations (mg/L) in the groundwater of the study areas.**Figure 3.** Distribution of fluoride concentrations (mg/L) in groundwater of the study areas.



#### 4.2. Human Health Risk

Nitrate's chronic daily intake (CDI) values (mg/kg/d) for adults, children, and infants ranged from 0.020 to 1.343 (average of 0.646), 0.053 to 3.525 (average of 1.695), and 0.056 to 3.76 (average of 1.808), respectively. The CDI values for fluoride in adults, children, and infants varied from 0.018 to 0.057 (average of 0.035), 0.047 to 0.150 (average of 0.092), and 0.050 to 0.160 (average of 0.098), respectively (Table 3). The average HQ values of nitrate and fluoride for adults, children, and infants were 0.40 and 0.58, 1.06 and 1.53, and 1.13 and 1.64, respectively (Table 4).

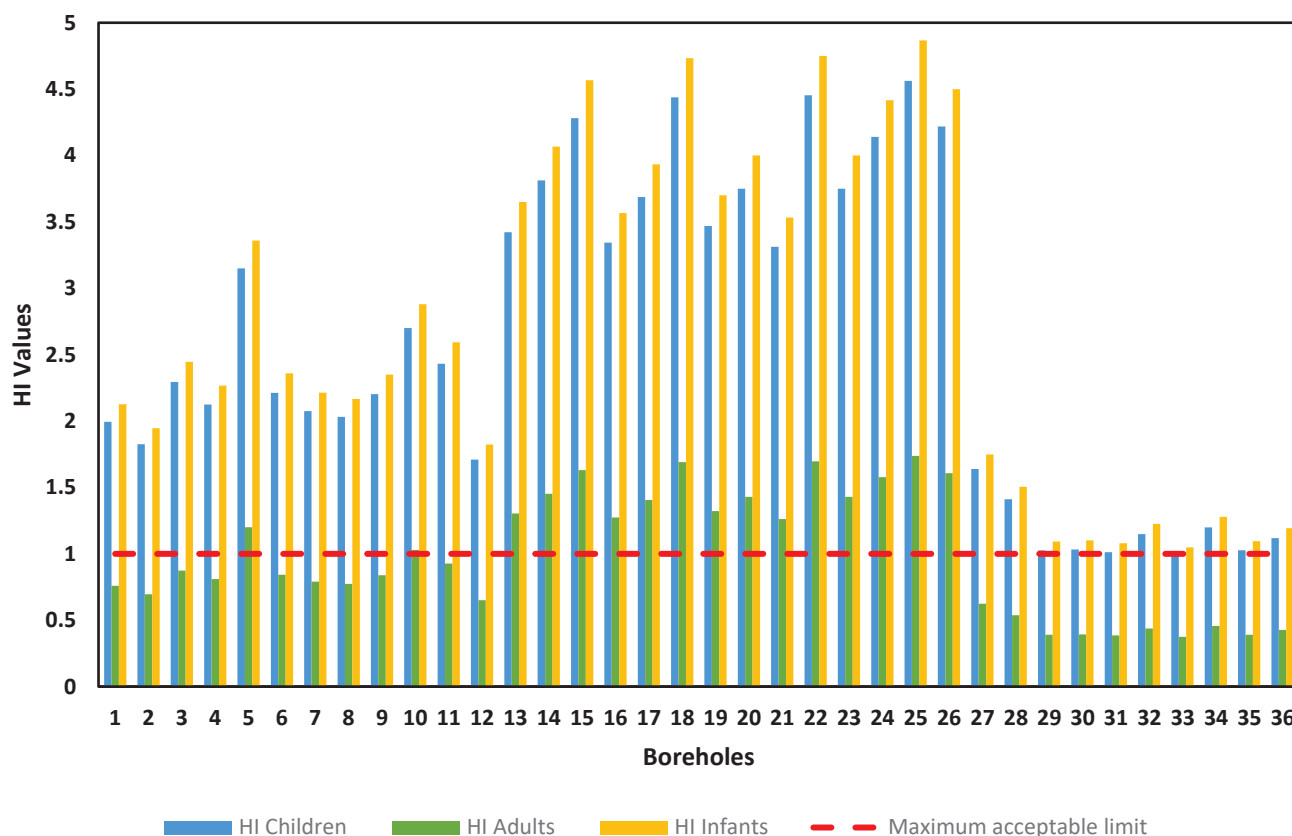
**Table 3.** Chronic daily intake (CDI) (mg/kg/d) of nitrate and fluoride for adults, children, and infants.

S.N.	NO <sub>3</sub> <sup>−</sup>	CDI			F <sup>−</sup>	CDI		
		Infants	Children	Adults		Infants	Children	Adults
1	18	1.44	1.35	0.514	0.92	0.074	0.069	0.026
2	16	1.28	1.2	0.457	0.86	0.069	0.065	0.025
3	26	2.08	1.95	0.743	0.86	0.069	0.065	0.025
4	16	1.28	1.2	0.457	1.1	0.088	0.083	0.031
5	32	2.56	2.4	0.914	1.32	0.106	0.099	0.038
6	24	1.92	1.8	0.686	0.87	0.07	0.065	0.025
7	20	1.6	1.5	0.571	0.91	0.073	0.068	0.026
8	18	1.44	1.35	0.514	0.95	0.076	0.071	0.027
9	19	1.52	1.43	0.543	1.05	0.084	0.079	0.03
10	28	2.24	2.1	0.8	1.11	0.089	0.083	0.032
11	22	1.76	1.65	0.629	1.12	0.09	0.084	0.032
12	13	1.04	0.98	0.371	0.88	0.07	0.066	0.025
13	33	2.64	2.48	0.943	1.5	0.12	0.113	0.043
14	28	2.24	2.1	0.8	2.0	0.16	0.15	0.057
15	46	3.68	3.45	1.314	1.7	0.136	0.128	0.049
16	18	1.44	1.35	0.514	2.0	0.16	0.15	0.057
17	44	3.52	3.3	1.257	1.3	0.104	0.098	0.037
18	44	3.52	3.3	1.257	1.9	0.152	0.143	0.054
19	26	2.08	1.95	0.743	1.8	0.144	0.135	0.051
20	40	3.2	3.0	1.143	1.5	0.12	0.113	0.043
21	36	2.88	2.7	1.029	1.3	0.104	0.098	0.037
22	47	3.76	3.53	1.343	1.8	0.144	0.135	0.051
23	40	3.2	3.0	1.143	1.5	0.12	0.113	0.043
24	43	3.44	3.23	1.229	1.7	0.136	0.128	0.049
25	44	3.52	3.3	1.257	2.0	0.16	0.15	0.057
26	42	3.36	3.15	1.2	1.8	0.144	0.135	0.051
27	5.1	0.408	0.38	0.146	1.12	0.09	0.084	0.032
28	2.1	0.168	0.16	0.06	1.05	0.084	0.079	0.03
29	3.2	0.256	0.24	0.091	0.7	0.056	0.053	0.02
30	4.7	0.376	0.35	0.134	0.65	0.052	0.049	0.019
31	4.8	0.384	0.36	0.137	0.63	0.05	0.047	0.018
32	1.3	0.104	0.1	0.037	0.87	0.07	0.065	0.025
33	0.7	0.056	0.05	0.02	0.76	0.061	0.057	0.022
34	2.1	0.168	0.16	0.06	0.88	0.07	0.066	0.025
35	1.1	0.088	0.08	0.031	0.78	0.062	0.059	0.022
36	2.8	0.224	0.21	0.08	0.79	0.063	0.059	0.023

**Table 4.** The hazard quotient (HQ) and hazard index (HI) for fluoride and nitrate in adults, children, and infants.

S.N.	HQ Nitrates			HQ Fluoride			HI		
	Infants	Children	Adults	Infants	Children	Adults	Infants	Children	Adults
1	0.9	0.844	0.321	1.227	1.15	0.438	2.127	1.994	0.76
2	0.8	0.75	0.286	1.147	1.075	0.41	1.947	1.825	0.695
3	1.3	1.219	0.464	1.147	1.075	0.41	2.447	2.294	0.874
4	0.8	0.75	0.286	1.467	1.375	0.524	2.267	2.125	0.81
5	1.6	1.5	0.571	1.76	1.65	0.629	3.36	3.15	1.2
6	1.2	1.125	0.429	1.16	1.088	0.414	2.36	2.213	0.843
7	1.0	0.938	0.357	1.213	1.138	0.433	2.213	2.075	0.79
8	0.9	0.844	0.321	1.267	1.188	0.452	2.167	2.031	0.774
9	0.95	0.891	0.339	1.4	1.313	0.5	2.35	2.203	0.839
10	1.4	1.313	0.5	1.48	1.388	0.529	2.88	2.7	1.029
11	1.1	1.031	0.393	1.493	1.4	0.533	2.593	2.431	0.926
12	0.65	0.609	0.232	1.173	1.1	0.419	1.823	1.709	0.651
13	1.65	1.547	0.589	2	1.875	0.714	3.65	3.422	1.304
14	1.4	1.313	0.5	2.667	2.5	0.952	4.067	3.813	1.452
15	2.3	2.156	0.821	2.267	2.125	0.81	4.567	4.281	1.631
16	0.9	0.844	0.321	2.667	2.5	0.952	3.567	3.344	1.274
17	2.2	2.063	0.786	1.733	1.625	0.619	3.933	3.688	1.405
18	2.2	2.063	0.786	2.533	2.375	0.905	4.733	4.438	1.69
19	1.3	1.219	0.464	2.4	2.25	0.857	3.7	3.469	1.321
20	2.0	1.875	0.714	2.0	1.875	0.714	4.0	3.75	1.429
21	1.8	1.688	0.643	1.733	1.625	0.619	3.533	3.313	1.262
22	2.35	2.203	0.839	2.4	2.25	0.857	4.75	4.453	1.696
23	2.0	1.875	0.714	2.0	1.875	0.714	4.0	3.75	1.429
24	2.15	2.016	0.768	2.267	2.125	0.81	4.417	4.141	1.577
25	2.2	2.063	0.786	2.667	2.5	0.952	4.867	4.563	1.738
26	2.1	1.969	0.75	2.4	2.25	0.857	4.5	4.219	1.607
27	0.255	0.239	0.091	1.493	1.4	0.533	1.748	1.639	0.624
28	0.105	0.098	0.038	1.4	1.313	0.5	1.505	1.411	0.538
29	0.16	0.15	0.057	0.933	0.875	0.333	1.093	1.025	0.39
30	0.235	0.22	0.084	0.867	0.813	0.31	1.102	1.033	0.393
31	0.24	0.225	0.086	0.84	0.788	0.3	1.08	1.013	0.386
32	0.065	0.061	0.023	1.16	1.088	0.414	1.225	1.148	0.438
33	0.035	0.033	0.013	1.013	0.95	0.362	1.048	0.983	0.374
34	0.105	0.098	0.038	1.173	1.1	0.419	1.278	1.198	0.457
35	0.055	0.052	0.02	1.04	0.975	0.371	1.095	1.027	0.391
36	0.14	0.131	0.05	1.053	0.988	0.376	1.193	1.119	0.426

The hazard index (HI) ranged from 0.37 to 1.74 (average of 0.99) for adults, 0.98 to 4.56 (average of 2.59) for children, and 1.05 to 4.87 (average of 2.77) for infants (Table 4, Figure 4). Groundwater samples surpassed the safety level of 1 by 44.44 (16 out of 36), 97.22 (35 out of 36), and 100% for adults, children, and infants, respectively (Figure 4). According to the study's findings, drinking water in most of the study areas, particularly in water samples from Jubailah (boreholes 13–26) and a few from Wadi Nisah (boreholes 5 and 10), could expose infants, children, and adults to non-cancer health concerns. Additionally, our findings show that infants and children are more vulnerable to non-carcinogenic health risks than adults.



**Figure 4.** Non-carcinogenic risks induced by fluoride and nitrate in drinking water.

## 5. Discussion

The Al Jubailah area has a shallow unconfined aquifer, with a groundwater depth ranging from 25–100 m, that is recharged by flood waters from nearby mountains. The aquifer has high hydraulic conductivity and porosity due to the presence of fractures and karst features in the carbonate rocks. These factors may influence the transport and attenuation of nitrate and fluoride in groundwater [22]. Moreover, the Al Jubailah region is a fertile valley that sustains extensive cultivation of palm trees, vegetables, and fruits. These crops demand significant quantities of water and nutrients, which can result in excessive use of fertilizers and pesticides that contain nitrate and fluoride compounds [47]. Some of these pollutants may also be due to the region's rocky geology. Sedimentary rocks, such as limestone, dolomite, and gypsum, dominate Al Jubailah. These rocks may contain nitrate and fluoride minerals that can dissolve into groundwater under certain hydrogeochemical conditions, such as pH, temperature, redox potential, and salinity.

On the contrary, the region of the Wasia Well Field is located far away from agricultural areas, which are known to be the main sources of nitrate and fluoride contamination caused by fertilizers and pesticides. Unlike other study areas where the groundwater is shallow (25–100 m), the groundwater in the Wasia Well Field is deeper (18–300 m), making it less vulnerable to surface runoff and infiltration of pollutants from human activities. This groundwater is considered fossil water [18], which means it has a low recharge rate and a long residence time, reducing the likelihood of nitrate and fluoride minerals leaching from rocks and sediments. Additionally, the Wasia aquifer contains fine sandstones with low porosity and permeability, which could limit the movement of nitrate and fluoride ions in groundwater.

The Wadi Nisah area has moderate groundwater quality in terms of nitrate and fluoride concentrations. Compared to the Wadi Al Jubailah area, the Wadi Nisah area has lower nitrate and fluoride concentrations in groundwater. This could be due to the low extent and intensity of agricultural activities in Wadi Nisah as well as the low recharge

rate [19]. The Wadi Al Jubailah area has more intensive farming of palm trees, vegetables, and fruits, which require more fertilizers and pesticides that increase nitrate and fluoride concentration.

Fluoride and nitrate are two of the most frequent and pervasive contaminants in many groundwater supplies, making water contamination a major environmental problem. Excessive nitrate levels in drinking water have been linked to various human health issues, including gastrointestinal cancers, methemoglobinemia, Alzheimer's disease, vascular dementia, and multiple sclerosis [48]. The amount of fluoride ions released into groundwater is controlled by the degree of saturation of fluorite and calcite and the concentrations of calcite, sodium, and bicarbonate ions in groundwater [43]. According to the study's findings from HQ and HI, drinking water in most of the study areas could expose infants, children, and adults to non-cancer health concerns. Infants and children are more vulnerable to non-carcinogenic health risks than adults, possibly due to their lower body weights. Many researchers worldwide have obtained similar results assessing health risks caused by nitrate and fluoride in groundwater, e.g., in northwest China, western Khorasan Razavi, Iran, the Medchal area, South India, the Noyyal basin, India, the Khyber district, north-western Pakistan, and central India [2,11,40,43,49,50].

However, to reduce the high concentrations of fluoride and nitrate in groundwater, there are three effective techniques: adsorption, electrocoagulation, and reverse osmosis. Adsorption involves using solid materials to capture fluoride and nitrate ions from water. It is a simple and low-cost method, but it requires regular adsorbent replacement [51]. Electrocoagulation involves using electric currents to generate metal hydroxides that precipitate fluoride and nitrate ions from water. It is a fast and eco-friendly method, but it requires high energy and proper sludge disposal [52]. Reverse osmosis involves using high pressure and a membrane to filter fluoride and nitrate ions from water. It is a highly effective and selective method, but it can be costly and requires proper brine disposal [53].

## 6. Conclusions

The present work highlighted the non-carcinogenic risk of drinking nitrate and fluoride-contaminated groundwater collected from central Saudi Arabia. Our findings indicate that none of the 36 studied boreholes had nitrate levels above WHO guidelines (50.00 mg/L). Differently, 11 out of 36 areas had fluoride levels exceeding the acceptable limit for drinking water (1.5 mg/L). The lowest nitrate and fluoride levels were recorded in the Wasia Well Field area, whereas the highest concentrations were recorded in the Jubailah area. The increased nitrate and fluoride concentrations in Jubailah may be attributed to the rock–water interaction, the intensive palm farms, and the region's heavy use of fertilizers and sanitation. The average values of the HI for adults, children, and infants were 0.99, 2.59, and 2.77, respectively. According to the USEPA method, the water samples had HI values exceeding the safety level for adults, children, and infants at 44.44%, 97.22%, and 100%, respectively. Infants and children are more vulnerable to non-carcinogenic health risks due to consumption of groundwater than adults.

In the future, it would be helpful to study different methods of removing fluoride and nitrate from groundwater, choose the best method for a particular location, and enhance drinking water quality for the local population.

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## Article

# The Sources of Sedimentary Organic Matter Traced by Carbon and Nitrogen Isotopes and Environmental Effects during the Past 60 Years in a Shallow Steppe Lake in Northern China

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**Abstract:** The organic matter of lake sediment plays an important role in paleolimnological reconstruction. Here, we report a detailed study of organic matter components ( $C_{org}$ %, N%,  $\delta^{13}C$ ,  $\delta^{15}N$ ) in a dated sediment core of Hulun Lake in northern China. Multiple mixing models based on the stoichiometric ratios and stable isotopic compositions were applied to quantify the contributions of organic matter sources in lake sediment. The results show that the organic matter in the sediments from Hulun Lake mainly comes from terrestrial organic matter: the proportion of terrestrial organic matter is more than 80%. The results of the SIAR mixing model further reveal that the proportions of terrestrial  $C_3$  plants-derived organic matter, soil organic matter, and lake plankton-derived organic matter were 76.0%, 13.9%, and 10.1%, respectively. The organic matter content of lake sediment from terrestrial sources began to increase significantly from 1980 onward, which is consistent with the growth in overgrazing in the Hulun Lake basin. The content of organic matter from endogenous lake-derived sources began to increase significantly after 2000 due to the nutrients gradually becoming concentrated in lake water, indicating that the reduction in rivers' discharge and the downgrade of the lake water level were the immediate causes of the lake's environmental deterioration during this period.

**Keywords:** sources of sedimentary organic matter; carbon and nitrogen isotopes; end-member model; SIAR mixing model; overgrazing; Hulun Lake

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## 1. Introduction

The organic matter of lake sediment plays an important role in paleolimnological reconstruction. The vertical profiles of organic matter components, including abundance, elemental content, isotopic composition, and molecular ratio, were used to identify and differentiate between the effects of changes in natural conditions and anthropogenic activities on the environment of lake and its surrounding area [1–3]. In general, the organic matter in lake sediment is commonly derived from terrestrial (allochthonous) and aquatic organic materials (autochthonous). Over the past century, because of the growth in human activities, the terrestrial sources may be not only be controlled by natural conditions, such as precipitation, surface runoff, and vegetation cover, but also impacted by anthropogenic factors, such as use of agricultural fertilizers, land clearing, and cropping. Studies about sources of organic matter are of high importance for understanding how natural

environmental changes and human activities affect the aquatic environment, biological productivity, and the global cycle of carbon [4–6].

The stoichiometric ratios and stable isotopic compositions of C (carbon) and N (nitrogen) in organic matter were developed as effective methods to trace the organic matter sources and identify predominant processes [7,8]. Moreover, the sediment profiles of stoichiometric ratios and stable isotopes of organic matter can reflect the historical changes in aquatic ecosystem productivity and terrigenous organic matter transportation processes, providing important information for the interpretation of paleoenvironmental conditions [4,5,9,10].

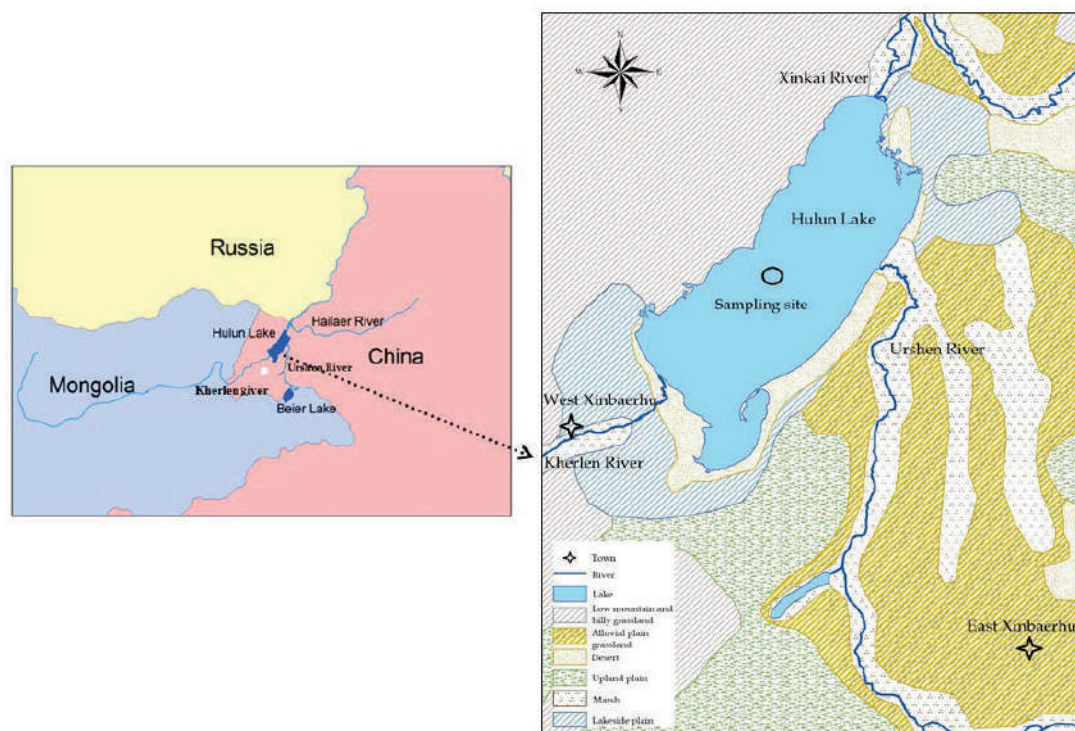
With the development of the techniques in tracing organic matter sources, the quantitative mathematical models were developed to analyze the proportions of different sources of the organic matter in lake sediment. In particular, the quantitative models based on the stoichiometric ratios and stable isotopic compositions of C and N were widely used to quantify the contributions of organic matter sources, such as the end-member mixing models and Bayesian mixing models [11–14]. The end-member mixing models are linear mixing models based on the mass balance equation that calculate the contributions of different organic matter sources in mixtures [14,15]. The average values of potential sources and mixture samples were used for the calculation of the end-member mixing models, and these models were only suitable for calculating the contribution ratios of no more than three major pollution sources [14]. Bayesian mixing models use Bayesian statistical theory to quantify source contributions. The contributions of potential sources in the model were estimated using the probability distribution of the proportional contribution of each source, which is determined via the logistic distribution and posterior distribution [16]. The models developed include mixing sample-importance resampling (MixSIR, R indicates the R Programming Language), stable isotope analysis in R (SIAR), mixing stable isotope analysis in R (MixSIAR), and compound-specific stable isotopes analysis in R (CSSI) [13,17,18]. Compared to the end-member models, Bayesian mixing models incorporate all sources (i.e., more than three potential sources) and mixture sample values to account for the uncertainties in the sample data. The output of Bayesian mixing models are reported as probability distributions of the source contributions, rather than as a single value in end-member mixing models, which define the uncertainty in the experimental process [16].

The Hulun Lake is located in a sparsely populated, mildly farmed, and slightly industrialized steppe area in the northeastern part of Inner Mongolia, China (Figure 1), which has relatively limited anthropogenic factors affecting the lake water ecosystem. Notably, the Hulun Lake is in an active, NE–SW-trending, trans lithospheric fault zone [19,20]. However, it has recently experienced severe environmental deterioration due to the high concentrations of nutrients in lake water [21]. It is important to know the sources and processes of nutrients loading to understand the past environmental evolution of Hulun Lake. Unfortunately, available data about nutrient level of water, pollutant loading, and human activities in Hulun Lake and its basin are rare. Furthermore, since few instrumental and documentary records are detailed, it is difficult to identify whether the changes over a long time-scale are caused by natural condition changes and/or human activities. It is necessary to carry out paleolimnological reconstruction using the paleoenvironmental proxies archived in lake sediment, which were successfully used to trace the changes in sources of nutrients in and environmental evolution of lakes [22,23].

In this study, we report detailed studies of organic matter components, including the contents of organic carbon ( $C_{org}\%$ ), the contents of nitrogen ( $N\%$ ), and the stable isotopic compositions of carbon ( $\delta^{13}C$ ) and nitrogen ( $\delta^{15}N$ ), which were conducted in a dated sediment core. Two end-member mixing models and a Bayesian mixing model based on the stoichiometric ratios and stable isotopic compositions of carbon and nitrogen were used to quantify the contributions of organic matter sources to lake sediment. The objective was to trace the sources of sedimentary organic matter and quantify the contributions of different sources of organic matter within Hulun Lake's sediment. Furthermore, the proxies



of  $C_{org}\%$ ,  $N\%$ ,  $\delta^{13}C$ , and  $\delta^{15}N$  as paleolimnological indicators were used to further trace the historical environmental evolution of Hulun Lake in the past 60 years, as well as infer the environmental effects of climate change and human activities on the recent environmental deterioration in Hulun Lake. Due to its importance for the region, this information can be used to provide baseline data for the environmental management of Hulun Lake basin.



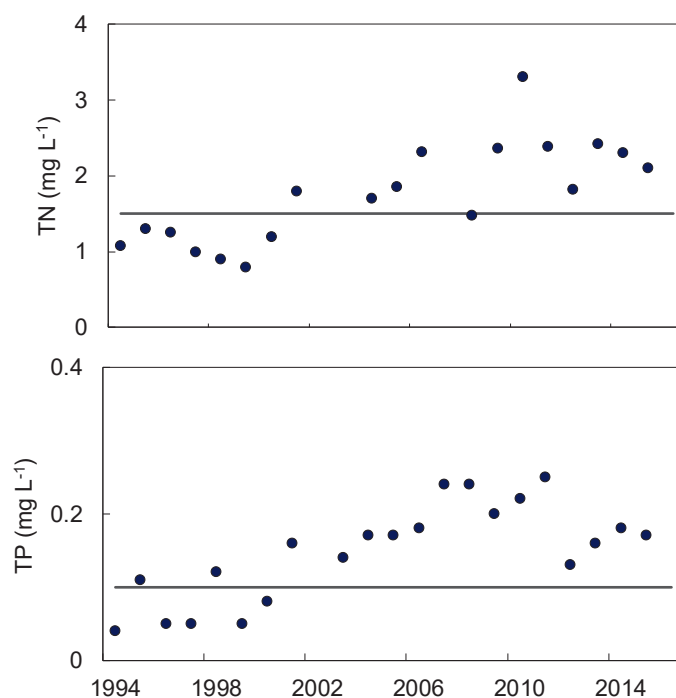
**Figure 1.** Location and geography of study area and sedimentary core sampling site from Hulun Lake (black circle).

## 2. Materials and Methods

### 2.1. Study Area

Hulun Lake ( $48^{\circ}31'–49^{\circ}20' N$ ,  $116^{\circ}58'–117^{\circ}48' E$ ), which is located in a steppe area in the northeastern part of Inner Mongolia, China (Figure 1), is the fifth largest lake in China [24]. Although the lake is located in China, about 63.7% of the total basin areas of 256,000 km<sup>2</sup> are located in Mongolia. In addition, two rivers (Kherlen River and Urshen River) controlling the main input sources of Hulun Lake both originate from Mongolia [25]. Most areas of the lake basin are covered by the steppe grassland and are used for grazing [26]. There were relatively large changes in land use type in the Hulun Lake basin in recent years, which indicated that grassland degradation became increasingly serious [27]. Recent studies indicated that Hulun Lake suffered from eutrophication, and, sometimes, a cyanobacterial bloom occurs in certain areas of the lake [21]. Monitoring data gathered from Lake Hulun over the past 20 years (1994–2015) show that the TN (Total Nitrogen) and TP (Total Phosphorus) concentrations ranged from 0.80 to 3.30 mg/L and 0.04 to 0.25 mg/L (Figure 2), respectively; these values greatly exceed the National Grade IV Standards for Surface Water [28,29].





**Figure 2.** Changes in aqueous TN (Total Nitrogen) and TP (Total Phosphorus) concentrations in Hulun Lake during 1994–2015 (black lines denote limiting values of TN (Total Nitrogen) and TP (Total Phosphorus) of National Grade IV Standards for Surface Water [30]).

## 2.2. Sampling

A 41-centimeter-long sedimentary core was obtained at the deepest site in the center of Hulun Lake (Figure 1), China, in July 2015. Core samples were sliced immediately in 1 cm intervals on board the vessel. Sub-samples were stored in the sealing bags in an ice cooler and then transferred to the refrigerator ( $<4^{\circ}\text{C}$ ) after transportation to the laboratory.

## 2.3. Experiments and Methods

All sediment sub-samples were measured in 1-centimeter intervals. Sediments used in carbon, nitrogen and isotopic analyses were ground in a mortar and homogenized. The  $\text{C}_{\text{org}}$  and N contents (% of dry weight) and their corresponding stable isotope compositions ( $\delta^{13}\text{C}_{\text{org}}$  and  $\delta^{15}\text{N}$ ) were determined using a CN Automatic Elemental Analyzer and an isotope ratio mass spectrometer (DELTA plus Advantage), respectively. Analytical accuracy and precision were compared with known isotopic standards (Vienna Pee Dee Belemnite (VPDB) for carbon and atmospheric  $\text{N}_2$  for nitrogen). The analytical precision for standards was within  $\pm 0.2\text{‰}$  for  $\delta^{13}\text{C}_{\text{org}}$  and  $\pm 0.3\text{‰}$  for  $\delta^{15}\text{N}$ . The results are expressed innovatively as deviations in per milliliter (‰) differences relative to standard values of international standards (VPDB), as shown below:

$$\delta[\text{‰ vs. VPDB}] = [R_{\text{sample}}/R_{\text{standard}} - 1] \times 1000 \quad (1)$$

To determine the age of the sediment core, the radioactive elements of  $^{137}\text{Cs}$  and  $^{210}\text{Pb}$  for 41 sub-samples in one-centimeter intervals were conducted via gamma spectrometry at Nanjing Institute of Geography and Limnology Chinese Academy of Sciences. The profile of  $^{210}\text{Pb}$  dating for 41 samples was calculated through the constant initial concentration model (CIC). Combined with the  $^{137}\text{Cs}$  activity data, a chronology framework for the whole core was established, which corresponded to a 57-year series from 1958 to 2015. The detailed results were described in a previous study [31].

#### 2.4. Calculations

Organic matter in lake sediment is often described as a binary mixture of aquatic and terrestrial end members [32]. The binary model proposed by Qian, et al. can be employed to quantify the amount and percentage of allochthonous and autochthonous organic matter [11]. This model is designed as follows for carbon and nitrogen:

$$C(i) = C_{al}(i) + C_{au}(i) \quad (2)$$

$$N(i) = N_{al}(i) + N_{au}(i) \quad (3)$$

$$R_{al}(i) = C_{al}(i) / N_{al}(i) \quad (4)$$

$$R_{au}(i) = C_{au}(i) / N_{au}(i) \quad (5)$$

where  $C(i)$  and  $N(i)$  are the measured values of  $C_{org}$  and  $N$  in sample  $(i)$ , respectively;  $C_{al}(i)$  and  $N_{al}(i)$  are the content of  $C_{org}$  and  $N$  derived from allochthonous organic matter, respectively;  $C_{au}(i)$  and  $N_{au}(i)$  are the content of  $C_{org}$  and  $N$  derived from autochthonous organic matter respectively; and  $R_{al}$  and  $R_{au}$  are  $C_{org}/N$  ratios derived from allochthonous and autochthonous sources, respectively. Thus, the results are as follows:

$$N_{al}(i) = [C(i) - R_{au}(i) \cdot N(i)] / [R_{al}(i) - R_{au}(i)] \quad (6)$$

$$N_{au}(i) = [C(i) - R_{al}(i) \cdot N(i)] / [R_{au}(i) - R_{al}(i)] \quad (7)$$

$$C_{al}(i) = R_{al}(i)[C(i) - R_{au}(i) \cdot N(i)] / [R_{al}(i) - R_{au}(i)] \quad (8)$$

$$C_{au}(i) = R_{au}(i)[C(i) - R_{al}(i) \cdot N(i)] / [R_{au}(i) - R_{al}(i)] \quad (9)$$

Therefore, if the values of  $R_{al}$  and  $R_{au}$  are provided, the amounts and relative proportions of allochthonous and autochthonous sources can be calculated via this model. In this study, the  $C_{org}/N$  weight ratios for allochthonous ( $R_{al}$ ) and autochthonous ( $R_{au}$ ) sources of organic matter are given as 20 and 6, respectively [33].

The terrestrial (allochthonous) and lake (autochthonous) organic carbon fractions in sediment can also be estimated using a reliable two-end-member isotope-mixing model based on  $\delta^{13}C$  [14]:

$$\delta^{13}C(i) = f_{al}\delta^{13}C_{al}(i) + f_{au}\delta^{13}C_{au}(i) \quad (10)$$

$$f_{al} + f_{au} = 1 \quad (11)$$

$$C_{al}(i) = f_{al}C(i) \quad (12)$$

$$C_{au}(i) = f_{au}C(i) \quad (13)$$

where  $\delta^{13}C(i)$  is the measured value of  $\delta^{13}C$  in sample  $(i)$ ;  $f_{al}$  and  $f_{au}$  are the proportions of allochthonous organic matter and autochthonous organic matter, respectively;  $\delta^{13}C_{al}(i)$  and  $\delta^{13}C_{au}(i)$  are end-members of allochthonous organic matter and autochthonous organic matter, respectively; and  $C_{al}(i)$  and  $C_{au}(i)$  are the content of organic matter derived from autochthonous organic matter and autochthonous organic matter, respectively.

To quantify the relative contributions of organic carbon from multiple sources, the potential sources were considered. Since the low temperatures recorded throughout the year in the study area are not conducive to the growth of aquatic plants, the macrophytes

are absent in Lake Hulun, and most of the autochthonous organic matter in Lake Hulun was derived from algae [21]. Combined with previous research reports, the main plant type in the Hulun Lake basin is  $C_3$  plants [34]. Thus, the allochthonous organic matter of Hulun Lake may mainly come from terrestrial  $C_3$  plants. In this study, the end-members  $\delta^{13}C$  of allochthonous organic matter ( $-28.11 \pm 0.12\text{‰}$ ) were obtained from the  $\delta^{13}C$  values measured in  $C_3$  plants around the lake [35,36]. Since the  $\delta^{13}C$  values of lake plankton in Hulun Lake were not measured in this study, the end-members  $\delta^{13}C$  of autochthonous organic matter ( $-21.37 \pm 2.84\text{‰}$ ) in Hulun Lake were obtained from Liang's surveys, which provide an average  $\delta^{13}C$  value for plankton sourced from 10 lakes in Eastern Yunnan, China [37]. To assess the uncertainties in differentiating between the contributions of allochthonous organic matter and autochthonous organic matter associated with the range in  $\delta^{13}C$  values for the different sources, we implemented three sets of calculations for each sample. Our "best" estimates were based on the average  $\delta^{13}C$  values ( $-28.11\text{‰}$  for allochthonous organic matter and  $-21.37\text{‰}$  for autochthonous organic matter). The upper limit  $\delta^{13}C$  for allochthonous organic matter contributions was calculated using  $\delta^{13}C = -27.99\text{‰}$ , while the upper limit for autochthonous organic matter concentrations was calculated using  $\delta^{13}C = -24.21\text{‰}$ .

Finally, a Bayesian mixing model (Stable Isotope Analysis in R, SIAR) based on  $\delta^{13}C$  and  $\delta^{15}N$  was run to determine the potential sources of sediment organic matter in more detail [18]. The SIAR model can be expressed as follows:

$$X_{ij} = \sum_{k=1}^k P_k (S_{jk} + C_{jk}) + \varepsilon_{ij} \quad (14)$$

$$S_{jk} \sim N(\mu_{jk}, \omega_{jk}^2) \quad (15)$$

$$C_{jk} \sim N(\lambda_{jk}, \tau_{jk}^2) \quad (16)$$

$$\varepsilon_{ij} \sim N(0, \sigma_j^2) \quad (17)$$

where  $X_{ij}$  is the observed isotope value  $j$  of the mixture  $i$ , in which  $i = 1, 2, 3, \text{etc.}, I$ , and  $j = 1, 2, 3, \text{etc.}, J$ ;  $P_k$  is the proportion of source  $k$ , which needs to be estimated via SIAR model;  $S_{jk}$  is the source value  $k$  on isotope  $j$  ( $k = 1, 2, 3, \text{etc.}, K$ ) under normal distribution with mean  $\mu_{jk}$  and variance  $\omega_{jk}^2$ ;  $C_{jk}$  is the isotopic fractionation factor for isotope  $j$  ( $k = 1, 2, 3, \text{etc.}, K$ ) under normal distribution with mean  $\lambda_{jk}$  variance  $\tau_{jk}^2$ ; and  $\varepsilon_{ij}$  is the residual error representing the additional unquantified variation between individual mixtures under normal distribution, with mean 0 and standard deviation  $\sigma_j$  being estimated through the model.

Compared to the two-end-member model, the SIAR model can incorporate more potential sources to account for the contributions for each source. Combined with previous analysis of the possible sources of sediment organic matter in Hulun Lake, the potential four sources, including pasture ( $C_3$ ), soil organic matter, lake phytoplankton, and lake zooplankton, were considered in the model's calculations. Due to the limitation of sampling conditions, the samples of plankton were not collected for elemental and isotopic determination. The values of  $\delta^{13}C$  and  $\delta^{15}N$  as the end-members for the SIAR model were instead cited from the results as being within the typical ranges of previous studies (Table 1). The fractionation factors for all sources were set to zero [ $C_{jk} = 0$  in Equation (15)] because corresponding experiments for determining enrichment factors were not conducted, and no significant isotope fraction signals were observed in this study.

**Table 1.** Data for two-end-member model and SIAR model (‰).

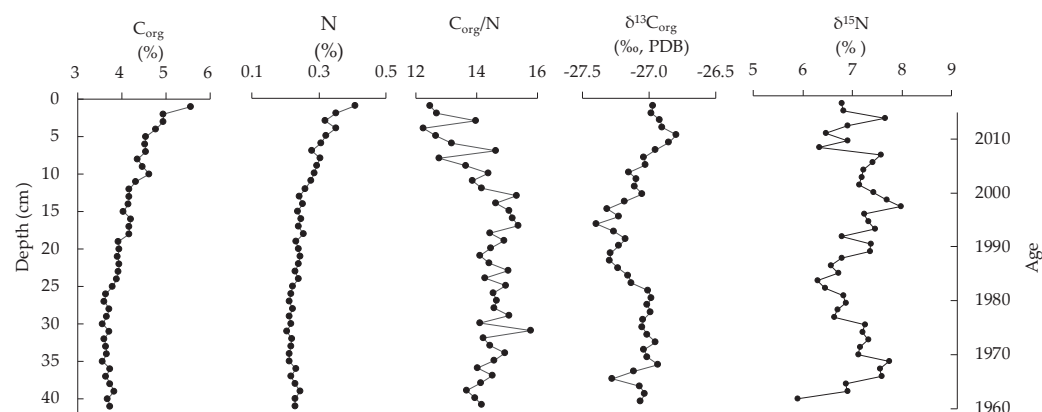
Source	$\delta^{13}\text{C}$	SD	$\delta^{15}\text{N}$	SD	Reference
Terrestrial $\text{C}_3$ plants	−28.11	±0.12	6.20	±0.50	[34,35]
Lake plankton	−21.37	±2.84	9.14	±3.51	[36]
Soil organic matter	−26.04	±0.29	4.74	±1.64	[21,35]
Lake phytoplankton	−21.88	±2.97	7.26	±3.83	[36]
Lake zooplankton	−20.85	±2.70	11.02	±3.18	[36]

Note: lake plankton was divided into lake phytoplankton and lake zooplankton.

### 3. Results and Discussion

#### 3.1. The Characteristic of $\text{C}_{\text{org}}\%$ , $\text{N}\%$ , $\text{C}_{\text{org}}/\text{N}$ , $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ Distribution in Sediment Profile

The results of  $\text{C}_{\text{org}}\%$ ,  $\text{N}\%$ ,  $\text{C}_{\text{org}}/\text{N}$ ,  $\delta^{13}\text{C}$ , and  $\delta^{15}\text{N}$  are plotted as profiles with core depth (left y-axis) and sediment ages (right y-axis) in Figure 3. The mean value, maximum value, minimum value, and standard deviation (SD) for values of  $\text{C}_{\text{org}}\%$ ,  $\text{N}\%$ ,  $\text{C}_{\text{org}}/\text{N}$ ,  $\delta^{13}\text{C}$ , and  $\delta^{15}\text{N}$  are shown in Table 2. The content of organic carbon ( $\text{C}_{\text{org}}\%$ ) shows a trend of gradual increase, ranging from 3.06 to 5.07%, with an average value of 3.57%, and the maximum value appears at the surface of the sediment core. The vertical variation trend for nitrogen content ( $\text{N}\%$ ) is consistent with that of organic carbon, which increases gradually from the bottom to the surface of the sediment profile, ranging from 0.41 to 0.20%, with an average value of 0.25%. The maximum value of  $\text{N}\%$  also appears at the surface of the sediment core. The lake's organic matter mainly comes from the input by aquatic organisms in the lake itself and land sources in the basin. The changes in  $\text{C}_{\text{org}}\%$  and  $\text{N}\%$  in lake sediments reflect the primary productivity of the lake and its surrounding area, and the higher  $\text{C}_{\text{org}}\%$  and  $\text{N}\%$  indicate the improvement in the primary productivity [33]. The distribution of  $\text{C}_{\text{org}}\%$  and  $\text{N}\%$  in the sediment profile changed, displaying a rapid growth trend approximately after the year 2000 (corresponding above the depth of 12 cm), indicating that the organic matter in Hulun Lake increased in this period. This outcome may have been caused by either the increased input of terrestrial substances or the increase in the number of endogenous organisms in the lake.

**Figure 3.** Profiles of  $\text{C}_{\text{org}}\%$ ,  $\text{N}\%$ ,  $\text{C}_{\text{org}}/\text{N}$ ,  $\delta^{13}\text{C}$ , and  $\delta^{15}\text{N}$  in lake sediment core.

Due to the different characteristics of the  $\text{C}_{\text{org}}/\text{N}$  ratios of aquatic plants and land plants, the method of using  $\text{C}_{\text{org}}/\text{N}$  ratio is widely used to determine the source of organic matter in lake sediments. Generally, the  $\text{C}_{\text{org}}/\text{N}$  ratio of aquatic plankton is 4–10, that of aquatic plants ranges from 2.80 to 3.40, and that of terrestrial vascular plants is 20 or greater [33]. If the  $\text{C}_{\text{org}}/\text{N}$  ratio in the sediment exceeds eight, it is usually considered that the composition of organic matter includes both endogenous and exogenous sources. The increase in  $\text{C}_{\text{org}}/\text{N}$  ratio in the vertical depth of sediment is often considered to represent an increase in the proportion of terrestrial materials received by lakes during this period,

while the proportion of aquatic plankton decreased. In contrast, the decreasing  $C_{org}/N$  ratio in the vertical depth of the sediment is often considered to represent an increase in the proportion of aquatic plankton in the lake, while the proportion of terrestrial materials received decreased. The  $C_{org}/N$  ratio increased vertically in Hulun Lake's sediment profile, ranging from 12.25 to 15.79, with a mean value of 14.25, and there is an obvious turning point at the depth of 12 cm approximately corresponding to the year 2000. The decrease in  $C_{org}/N$  may reflect the increase in the proportion of endogenous organic matter relative to the total organic matter in the lake; thus, the primary productivity of plankton in the lake was relatively high during this period.

**Table 2.** Observed values of  $C_{org}\%$ ,  $N\%$ ,  $C_{org}/N$ ,  $\delta^{13}C$ , and  $\delta^{15}N$  in lake sediment core.

Samples	$C_{org}\%$	$N\%$	$C_{org}/N$	$\delta^{13}C$	$\delta^{15}N$
1	5.07	0.41	12.46	−26.97	6.78
2	4.44	0.35	12.70	−26.98	6.82
3	4.45	0.32	13.98	−26.92	7.65
4	4.28	0.35	12.25	−26.90	6.89
5	4.05	0.32	12.66	−26.79	6.47
6	4.03	0.31	13.17	−26.85	6.89
7	4.06	0.28	14.65	−26.95	6.32
8	3.87	0.30	12.78	−27.04	7.56
9	3.98	0.29	13.64	−27.03	7.39
10	4.12	0.29	14.40	−27.15	7.22
11	3.82	0.28	13.88	−27.09	7.18
12	3.67	0.26	14.17	−27.11	7.13
13	3.68	0.24	15.32	−27.05	7.41
14	3.66	0.25	14.64	−27.18	7.68
15	3.54	0.24	15.06	−27.31	7.97
16	3.72	0.25	15.17	−27.22	7.22
17	3.67	0.24	15.37	−27.39	7.32
18	3.67	0.25	14.44	−27.26	7.45
19	3.43	0.23	14.91	−27.18	6.77
20	3.46	0.24	14.46	−27.23	7.36
21	3.42	0.24	14.12	−27.29	7.35
22	3.45	0.24	14.42	−27.29	6.77
23	3.43	0.23	15.04	−27.23	6.56
24	3.39	0.24	14.29	−27.16	6.72
25	3.29	0.22	14.97	−27.13	6.29
26	3.14	0.22	14.56	−27.01	6.44
27	3.11	0.21	14.67	−26.98	6.82
28	3.22	0.22	14.57	−27.01	6.86
29	3.16	0.21	15.06	−26.99	6.69
30	3.06	0.22	14.12	−27.04	6.63
31	3.22	0.20	15.79	−27.05	7.25
32	3.10	0.22	14.21	−27.01	7.20
33	3.14	0.22	14.45	−26.95	7.31
34	3.17	0.21	14.95	−27.04	7.15
35	3.07	0.21	14.57	−27.01	7.11
36	3.24	0.23	14.03	−26.93	7.73
37	3.14	0.22	14.52	−27.11	7.55
38	3.24	0.23	14.14	−27.27	7.58
39	3.34	0.24	13.67	−27.07	6.86
40	3.18	0.23	13.95	−27.03	6.90
41	3.25	0.23	14.18	−27.06	5.89
Mean	3.57	0.25	14.25	−27.08	7.05
Maximum	5.07	0.41	15.79	−26.79	7.97
Minimum	3.06	0.20	12.25	−27.39	5.89
SD	0.46	0.05	0.82	0.13	0.45

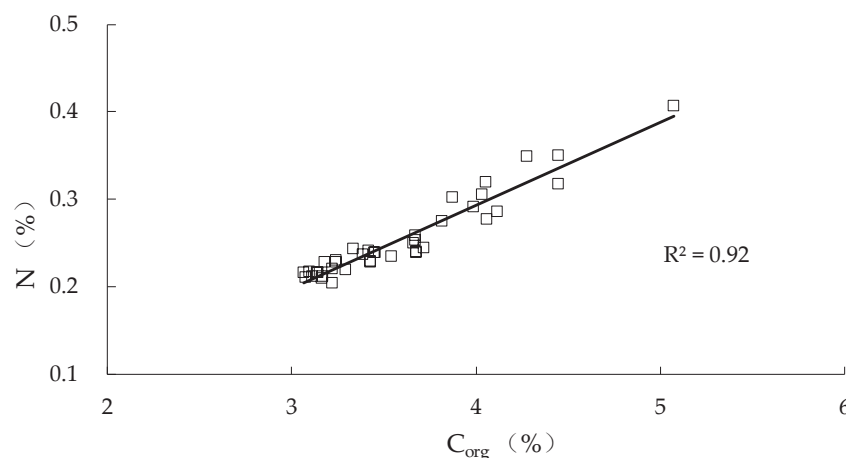
Note: Mean, maximum, minimum, and SD indicate mean value, maximum value, minimum value, and Standard Deviation (SD) for values of  $C_{org}\%$ ,  $N\%$ ,  $C_{org}/N$ ,  $\delta^{13}C$ , and  $\delta^{15}N$  in lake sediment core.



The carbon isotopic composition of organic matter in lake sediments is important in identifying organic matter sources and reconstructing the changes in past productivity [33]. The carbon isotopic composition of organic matter in Hulun Lake sediments ( $\delta^{13}\text{C}$ ) had a relatively large fluctuation range, varying from  $-27.39$  to  $-26.79\text{‰}$ , with an average value of  $-27.09\text{‰}$ . The  $\delta^{13}\text{C}$  values had a small change range from the bottom to 26 cm of the sediment profile, beyond which there is an obvious decreasing trend from a minimum value at 17 cm, and, finally, a rapid increase from 18 cm to the surface layer of the sediment profile. The shift in  $\delta^{13}\text{C}$  values indicates that the productivity of the lake or the surrounding area of the lake changed during this period.

The nitrogen isotopic compositions ( $\delta^{15}\text{N}$ ) can similarly help to identify sources of organic matter in lakes and reconstruct past productivity rates [38]. However, additional factors besides source discrepancy complicate interpretations of the nitrogen isotopic composition of organic matter in lake sediments, such as denitrification DIN in anoxic bottom water, seasonal changes in phytoplankton, and nitrogen fixation [16]. Thus,  $\delta^{15}\text{N}$ -assisted  $\delta^{13}\text{C}$  in organic matter tracing will provide more reliable results [39]. The nitrogen isotopic compositions ( $\delta^{15}\text{N}$ ) in the Hulun Lake sediment profile show a large fluctuation range, varying from  $5.89$  to  $7.97\text{‰}$ , with an average value of  $7.05\text{‰}$ . The  $\delta^{15}\text{N}$  values change irregularly throughout the depth of the sediment profile, indicating that the processes of nitrogen isotopic fractionation could be affected by complicated factors that compare the carbon isotopes during the transportation and deposition of organic matter.

The correlation between  $\text{C}_{\text{org}}\%$  and  $\text{N}\%$  in the sediment core is shown in Figure 4. The changes in  $\text{C}_{\text{org}}\%$  and  $\text{N}\%$  at different depths were extremely consistent and in significant correlation with a correlation coefficient  $0.92$ , indicating that organic carbon and nitrogen in lake sediment cores originated from the same source, while most nitrogen may exist as organic form in sediment.



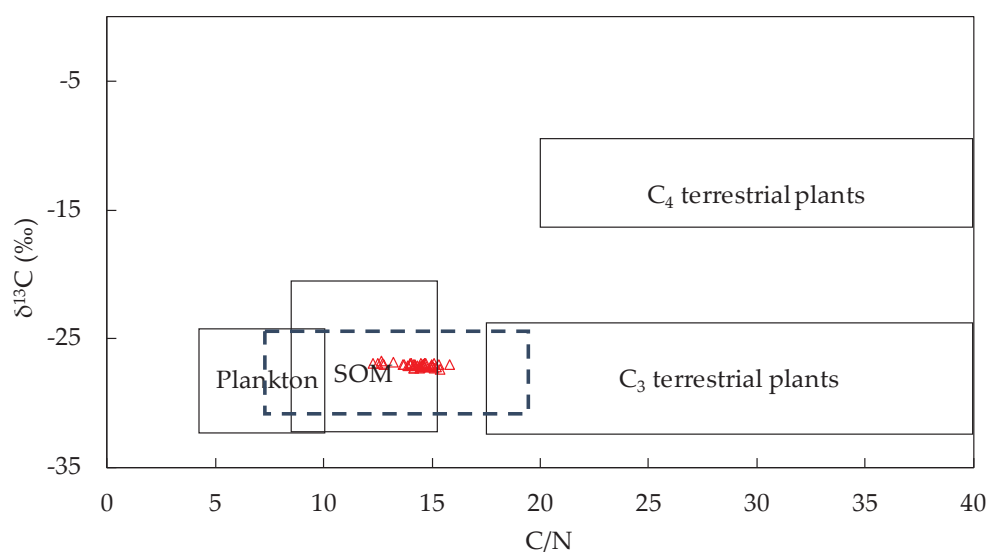
**Figure 4.** Relationships between  $\text{C}_{\text{org}}\%$  values and  $\text{N}\%$  values in lake sediment.

### 3.2. The Sources of Sedimentary Organic Matter in Hulun Lake

The diagram of the relationship between  $\text{C}_{\text{org}}/\text{N}$  ratios and  $\delta^{13}\text{C}$  values of sediment organic matter was successfully used to distinguish between the different organic matter sources in the sediment, as proposed by Meyers [33]. In this study, the diagram of the relationship between  $\text{C}_{\text{org}}/\text{N}$  ratios and  $\delta^{13}\text{C}$  values was plotted in Figure 5. Generally, plankton in fresh aquatic have low  $\text{C}_{\text{org}}/\text{N}$  ratios between 4 and 10, whereas vascular land plants with cellulose-rich and protein-poor traits usually have  $\text{C}_{\text{org}}/\text{N}$  ratios of 20 and greater. In contrast, soil organic matter have intermediate  $\text{C}_{\text{org}}/\text{N}$  ratios, ranging from 8 to 15 [40]. In the lake ecosystem,  $\delta^{13}\text{C}$  is another effective tracer used to identify the autochthonous and allochthonous organic matter sources of sediment. Autochthonous organic matter sources are produced by the biota within aquatic ecosystems, such as aquatic plants ( $\delta^{13}\text{C}$  values range from  $-20$  to  $-12\text{‰}$ ) and plankton ( $\delta^{13}\text{C}$  values range from  $-32$  to  $-24\text{‰}$ ) [41], while allochthonous organic matter sources are derived from sources found

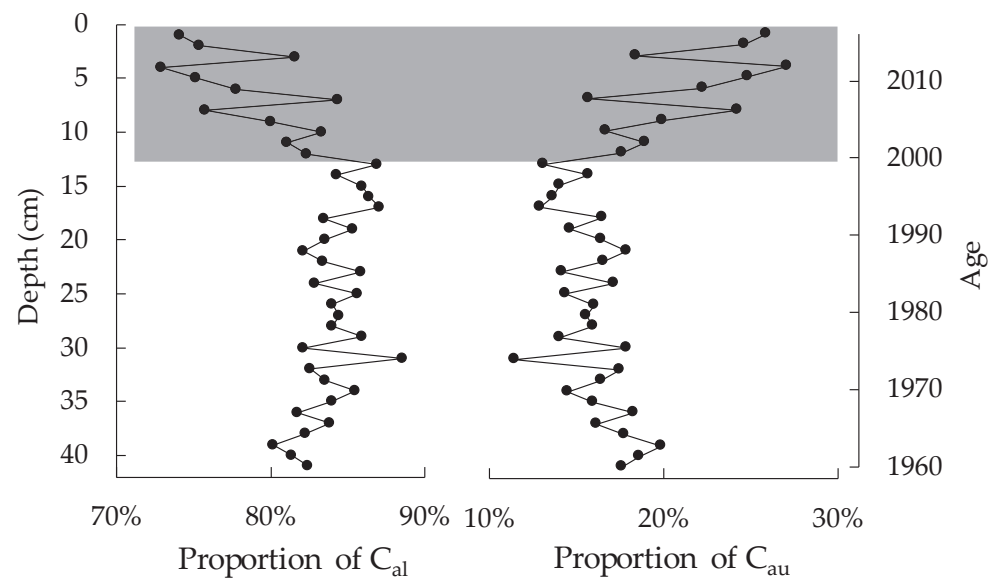
in areas surrounding the lake, such as  $C_3$  terrestrial plants ( $\delta^{13}C$  values range from  $-33$  and  $-24$ ‰),  $C_4$  terrestrial plants ( $\delta^{13}C$  values range from  $-16$  to  $-10$ ‰), and soil organic matter ( $\delta^{13}C$  values range from  $-32$  to  $-20$ ‰) [33,40].

The  $\delta^{13}C$  values between  $-27.39$  and  $-26.79$ ‰ in lake sediment fall within a typical range for  $C_3$  terrestrial plants, soil organic matter, and plankton, while the  $\delta^{13}C$  values fall outside of the values for organic matter produced by  $C_4$  terrestrial plants. As the previous section described, since the low temperatures are not conducive to the growth of aquatic plants, the macrophytes are absent in Lake Hulun; thus, the  $C_{org}/N$  ratios range from 12.25 to 15.79 and  $\delta^{13}C$  values range from  $-27.39$ ‰ to  $-26.79$ ‰, suggesting that the contribution of organic matter to Hulun Lake sediment may mainly derived from a mixture of  $C_3$  terrestrial plants, soil organic matter, and lake plankton. Moreover, the  $C_{org}/N$  ratios and  $\delta^{13}C$  values were closer to the range for  $C_3$  terrestrial plants and soil organic matter, indicating that a greater proportion of organic matter in Hulun Lake sediment was derived from allochthonous sources.

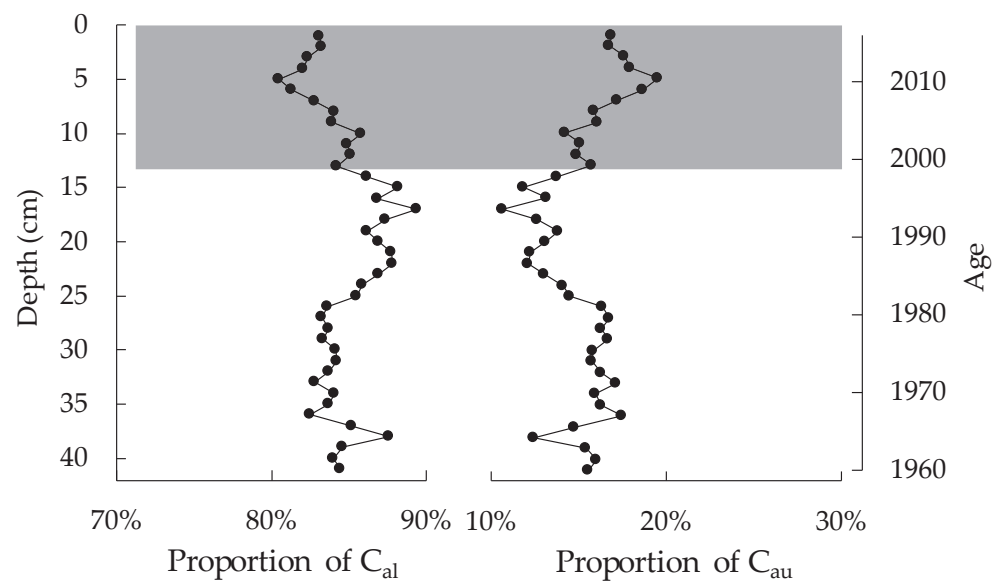


**Figure 5.** Distributions of  $\delta^{13}C$  values and  $C_{org}/N$  values in sediment cores from Hulun Lake (red triangle) and diagram of potential identification of sources of organic matter using  $\delta^{13}C$  values and  $C_{org}/N$  values for sediment samples. (SOM denotes soil organic matter).

Based on the binary models of  $C_{org}/N$  and  $\delta^{13}C$ , the relative proportions of allochthonous and autochthonous organic carbon are calculated, as shown in Figures 6 and 7. The results show that the proportions of allochthonous organic carbon in the sediment core calculated via the  $C_{org}/N$  model varied from 72.9 to 88.6%, with an average value of 82.5%, and the proportions of autochthonous organic carbon calculated via the  $C_{org}/N$  model varied from 11.4 to 27.1%, with an average value of 17.5%. The results of  $\delta^{13}C$  model show that the proportions of allochthonous organic carbon in the sediment core varied from 80.5 to 89.4%, with an average value of 84.7%, and the proportions of autochthonous organic carbon varied from 10.6 to 19.5%, with an average value of 15.3%. Comparing the results calculated via the two models, it can be seen that the proportions of allochthonous and autochthonous organic carbon are relatively consistent. Furthermore, the organic matter in the sediments of Hulun Lake mainly comes from terrestrial organic matter, of which the proportion is more than 80%, while the proportion of endogenous plankton organic matter is less than 20%. The binary models' results support the findings depicted in the diagram of the relationship between  $C_{org}/N$  ratios and  $\delta^{13}C$  values shown in Figure 5.



**Figure 6.** Proportions of allochthonous ( $C_{al}$ ) and autochthonous ( $C_{au}$ ) organic carbon in lake sediment calculated via binary models of  $C_{org}/N$ .



**Figure 7.** Proportions of allochthonous ( $C_{al}$ ) and autochthonous ( $C_{au}$ ) organic carbon in lake sediment calculated using  $\delta^{13}C$  values.

As shown in the vertical profiles of proportions of allochthonous and autochthonous organic carbon based on the binary models of  $C_{org}/N$  and  $\delta^{13}C$  (Figures 6 and 7), obvious alterations occur in the upper 12 cm (corresponding to the approximate period after the year 2000) of the sediment core. The relative constant proportion of  $C_{au}$  began to increase and reached the maximum, while a continuous increase in proportion of  $C_{al}$  since 2000 was recorded, indicating that the productivity of phytoplankton in the lake increased during this period.

The SIAR mixing model outputs regarding the proportional contributions are presented in Table 3. The results show that terrestrial  $C_3$  plants-derived organic matter (average proportion of 76.0%) was the predominant source, while soil organic matter from the lake basin was the second source, with an average proportion of 13.9%. The lake phytoplankton- and lake zooplankton-derived organic matter were the smaller contributors, with average values of 6.9% and 3.2%, respectively. Therefore, the total proportion of allochthonous and autochthonous organic matter in Hulun Lake sediment can be calculated as being 89.9%

and 10.1%, respectively. The values are close to the calculated results based on the binary models of  $C_{org}/N$  and  $\delta^{13}C$ , indicating the reliability of the model results based on  $\delta^{13}C$  and  $\delta^{15}N$ . In addition, these results also reveal that allochthonous organic matter input was the predominant source of sediment in Hulun Lake.

**Table 3.** Relative contributions of putative sources of sedimentary organic matter in Hulun Lake calculated via SIAR mixing model.

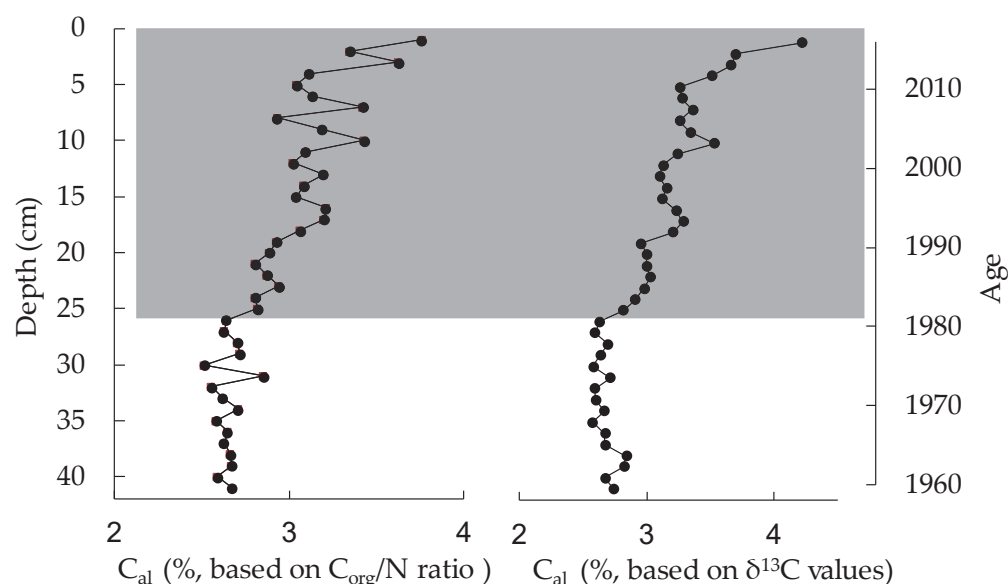
Source	Mean	SD	25%	50%	75%	95%
Terrestrial $C_3$ plants	0.760	0.081	0.711	0.775	0.823	0.868
Soil organic matter	0.139	0.100	0.061	0.119	0.197	0.334
Lake phytoplankton	0.069	0.029	0.050	0.071	0.091	0.115
Lake zooplankton	0.032	0.024	0.013	0.027	0.046	0.079

Note: SD denotes standard deviation, and contributions are designated as estimated region mode with probability distribution ranging from 25% to 95%.

### 3.3. The Environmental Effects during the Past 60 Years in Hulun Lake

#### 3.3.1. Allochthonous Organic Matter

The concentrations of allochthonous organic matter in Hulun Lake sediment cores calculated via  $C_{org}/N$  ratio and  $\delta^{13}C$  values are shown in Figure 8. The results calculated via the two different methods are very consistent, which show that the organic matter from terrestrial sources began to increase significantly at the sediment depth of 25 cm (the corresponding year is about 1980). The contents of organic matter from terrestrial sources increased from 25 cm to the top of the sediment core, which range from 2.82 to 3.76% calculated via  $C_{org}/N$  ratio and 2.82 to 4.21% calculated via  $\delta^{13}C$  values.



**Figure 8.** Concentrations of allochthonous ( $C_{al}$ ) organic carbon in Hulun Lake sediment calculated via binary models of  $C_{org}/N$  ratio and  $\delta^{13}C$  values, respectively.

The changes in organic matter content in the lake are mainly controlled through the external input and the internal change in the lake. Generally, the increase in exogenous organic matter may be due to the changes in land use in the basin, as well as the domestic and industrial pollution generated via direct human activities. Hulun Lake is located in Hulunbeier Grassland in the north of China, and is, thus, surrounded by grassland. Human activities mainly include grazing without industrial pollution and large-scale urban domestic sewage discharge, and there is no strong non-point source pollution caused

by livestock manure [21]. In addition, the upstream catchment areas of the lake's main discharge rivers are located in the sparsely populated mountainous areas, which have no direct discharge of pollutants. Thus, the sources of organic matter in Hulun Lake are mainly plant debris, hay, soil, etc. from the surrounding grassland, which are carried into the lake by rivers and winds.

The vegetation coverage condition is the main factor that controls the loss of surface materials caused by soil erosion or wind erosion. Hulun Lake basin is mainly covered by grassland, and most of the area is used by local herdsman for grazing. Due to the lack of awareness of grassland environmental protection rules, herdsman usually adopt the most primitive grazing system. This problematic grazing system may cause serious damage to the grassland and aggravate the water and soil loss. Researchers evaluated the grassland soil loss caused by different grazing intensities, indicating that grassland degradation will occur if the grazing intensity reaches 0.5–0.6 sheep per hectare [42]. In recent years, some researchers also carried out research on the impact of different grazing systems and grazing amounts on the grassland in the Hulun Lake basin. Onda Y. et al. conducted a survey on the grassland in the Klulan River basin in Mongolia, which is a sub-basin of the Hulun Lake basin, showing that the number of grazing livestock in the region increased from the 1980s, and the number of sheep converted from grazing intensity was 0.8 sheep per hectare in the 2000s [26]. Furthermore, the grazing intensity in parts of the Hulun Lake basin even reached to 1.7 sheep per hectare in recent years, according to the survey of the grassland in Hulunbeier City [21]. It can be seen that the grazing intensity in the Hulun Lake basin exceeded the reasonable grazing range (0.5–0.6 sheep per hectare), which could have an impact on its grassland ecosystem. Thus, overgrazing system may be a critical factor affecting the degradation of grassland in Hulun Lake basin, resulting in an increase in soil and water loss as the materials are carried into the lake.

As discussed previously, the organic matter of lake sediment from terrestrial sources began to increase significantly from the year 1980, which is consistent with the time when grazing intensity started to increase in the basin, indicating that the increase in grazing intensity in the lake basin may be the main reason for the increase in organic matter entering the lake.

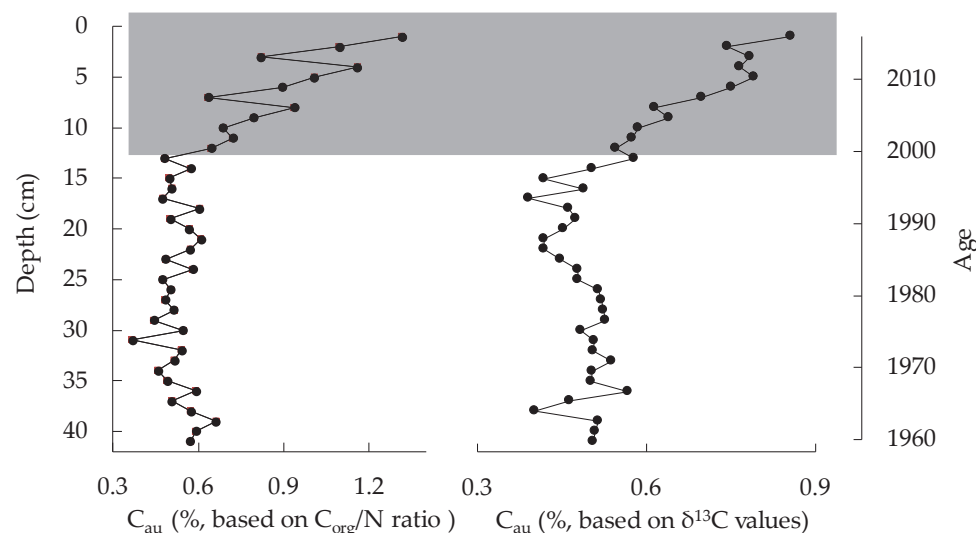
### 3.3.2. Autochthonous Organic Matter

The concentrations of autochthonous organic matter in Hulun Lake sediment cores calculated via the  $C_{org}/N$  ratio and  $\delta^{13}C$  values are shown in Figure 9. The results calculated via the two different methods are also very consistent, which shows that the content of organic matter from endogenous lake-derived sources remained stable below the sediment depth of 12 cm, before beginning to increase significantly at the sediment depth of 12 cm (the corresponding period is about 2000), with a range from 0.65 to 1.31% calculated via the  $C_{org}/N$  ratio and 0.55 to 0.86% calculated via  $\delta^{13}C$  values. These results show that the nutrients in the lake were sufficient in the period after 2000, which was conducive to the growth of plankton and improved the productivity of the lake.

For closed lakes in arid and semi-arid regions, their hydrochemistry is very sensitive to climate change and hydrological processes. Due to the reduction in precipitation and possible upstream artificial closure, the water supply from two discharge rivers of Hulun Lake decreased rapidly since 2000, from  $17.5 \times 10^8 \text{ m}^3$  in 1999 to  $2.5 \times 10^8 \text{ m}^3$  in 2011. Due to cold and dry climate conditions, the water level of Hulun Lake dropped sharply since 2000. Compared to the highest water level, the water level dropped by a maximum of 4 m, making it unable to flow out through the outlet; thus, the lake became a closed lake. Furthermore, the substances in the lake could not be exchanged with outside sources, and the amount of water replenished by rivers was far from balanced with the strong evaporation loss experienced in the lake, which made the substances in the lake become gradually more concentrated. Monitoring data gathered from Lake Hulun over the past 20 years (1994–2015) also show that the nutrient (TN and TP) concentrations increased and the lake experienced eutrophication from the year 2000 (Figure 2). This period of



time is very consistent with the results of sediment records. In this period, the high concentration of nutrients in the lake could have benefitted the growth of lake plankton, resulting in increased autochthonous organic matter content being deposited in Hulun Lake sediment. In addition, this finding also reveals that the reduction in rivers' discharge and the downgrading of lake water level were the immediate causes of the lake's environmental deterioration during this period.



**Figure 9.** Concentrations of autochthonous ( $C_{au}$ ) organic carbon in Hulun Lake sediment calculated via binary models of  $C_{org}/N$  ratio and  $\delta^{13}C$  values, respectively.

#### 4. Conclusions

The variation patterns of organic matter components and isotope signatures of C and N were exhibited in a dated sediment core of Hulun Lake in this study. Multiple models based on the stoichiometric ratios and stable isotopic compositions revealed that terrestrial  $C_3$  plants-derived organic matter was the predominant source of sediment in Hulun Lake. The variation patterns of organic matter in the sediment were associated with the impact of human activities and climatic changes, especially those related to grazing, inflow discharge, and the lake water level. The organic matter of lake sediment from terrestrial sources began to increase significantly from the year 1980, which is consistent with the time when grazing intensity started to increase in the basin, indicating that overgrazing in the lake basin may be the main reason for the increase in organic matter entering the lake. The content of organic matter from endogenous lake-derived sources began to increase significantly after 2000, indicating that high concentrations of nutrients in the lake could be beneficial to the growth of lake plankton, resulting in increased autochthonous organic matter content being deposited in Hulun Lake sediment. In addition, it also revealed that the reduction in rivers' discharge and the downgrading of the lake water level was the immediate cause of the lake's environmental deterioration during this period. These results highlight the need to pay attention to the inputs of terrestrial organic matter in Hulun Lake and take measures to control the decline in the lake's water level.

**Author Contributions:** H.G. and Y.F. designed and performed research. H.G., R.Z. and G.W. wrote the paper. Z.Z., L.L., L.W. and S.L. assisted experiment, Z.J., X.Z. and J.W. provided comments. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The data that support the findings of this study are available from the authors upon reasonable request.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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## Article

# Analysis of the Driving Mechanism of Water Environment Evolution and Algal Bloom Warning Signals in Tai Lake

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**Abstract:** Understanding the evolution characteristics and driving mechanisms of eutrophic lake ecosystems, especially over long time scales, remains a challenge. Little research on lake ecosystem mutation has been conducted using long-term time series data. In this study, long-term water quality indicators, as well as ecological indexes, natural meteorological factors, and socio-economic indexes, were collected for Tai Lake to enable us to study the environmental evolution of the lake ecosystem. The key time nodes and early warning signals of the steady-state transformation of Tai Lake were also identified, which could provide a theoretical basis for early indication of the transformation of lake ecosystems. Furthermore, the characteristics and driving mechanism of the lake's ecosystem evolution were analyzed based on the physical and chemical indexes of its sediments and its long-term water quality indexes. The results show that the early warning signals (variance, autocorrelation, and skewness) of ecosystem mutation included abnormal changes 10 years before the steady-state change, and the evolution of Tai Lake was driven by the complex nonlinear effects of biological, physical, chemical, and socio-economic factors in the lake basin. These results have important theoretical and practical value for pollution control and the management of eutrophic lakes.

**Keywords:** water ecology; Tai Lake; tipping point; driving mechanism

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## 1. Introduction

Lake ecosystems have a variety of ecological functions and have provided ecosystem services for humans for thousands of years. However, most lakes are experiencing serious ecosystem degradation, which directly leads to a loss of biodiversity and an imbalance in the ecosystem structure and function [1,2]. With the changing climate and increasingly intensive nature of human activity, multiple driving forces interacting with each other have exerted serious damage upon the global natural ecosystem. Therefore, it is important to predict sudden and nonlinear changes in the system [3–6]. Ecologists have long recognized that ecosystems can exist in one steady state and operate within a predictable range for a long period of time and then suddenly switch to another state [7–10]. For example, the desertification of grassland, the expansion of shrubs in the Arctic region, the eutrophication of lakes, the acidification of seawater, and the degradation of coral reefs are all real or potential ecosystem mutations that are indicated by tipping points or system thresholds and driven by one or more external driving forces, as well as the internal control variables of the system. This leads to changes in the structure, function, and dynamics of the system [11]. Many scientific studies have shown that the Earth's system is currently developing along an unsustainable trajectory [12], with shallow lake ecosystems being relatively fragile. The lakes' low pollution loading capacity and the vulnerability of their water–soil interfaces to external disturbances result in strong material exchange and instability. In addition, in

shallow lakes located in areas with intense human activity, it is difficult to fundamentally control external discharge. When the cycle characteristics of the nutrients in lake sediments, the food web structure, and the aquatic environment in the lower layer of a lake are destroyed, changes in the hydrodynamic conditions and the physical and chemical properties of the surface sediments will form a harmful positive feedback loop, thus hindering the process of ecological restoration in the lake [13].

Many studies have been conducted on the basic principles, driving mechanisms, statistical methods, and early indicators of steady-state transition in shallow lakes. Crawford S Holling (1973) studied the ability of ecosystems to respond to stress and proposed the term “resilience” to clarify the nonlinear characteristics of ecosystems to external stress [10]. Robert M May (1977) proposed the multistable state and threshold theory of ecosystems, pointing out that persistent external stress will weaken the resilience of an ecosystem and trigger a homeostatic transition [14]. Carpenter (2011) confirmed that methods such as experimental observation, statistical analysis, and model simulation can be used to identify the driving factors of the steady-state transition of lake ecosystems [15]. Wang Rong (2012) took Erhai Lake in Yunnan Province as an example to discuss the early warning signals of lake ecosystem mutation and revealed that under the strong interference of environmental change, the ecosystem state fluctuated frequently before the occurrence of steady-state transition; he termed this the “flickering” phenomenon [16]. However, due to the relatively scattered nature of the quantitative and long-term observation and research data, and the use of different research scales, key areas, research directions or starting points, and methods, his research conclusions were not completely consistent. Therefore, there is an urgent need for a long-term comprehensive analysis based on a large number of monitoring data. This paper takes the large, shallow Tai Lake as the key research object. We collected long-term ecological data and data on natural meteorological factors and the social economy, as well as other physical, chemical, biological, and comprehensive data, to explore the driving mechanism of water environment evolution.

The continuous monitoring of the water environment in Tai Lake began in the 1980s when it first entered a eutrophication state. Therefore, in order to study the characteristics of ecosystem mutation in Tai Lake, monitoring data from before the 1980s are needed for analysis. There is insufficient research on the evolution characteristics of the lake water environment before the 1980s due to the lack of water quality monitoring data in the 1970s. However, based on the “amplification effect” of environmental information on lake sediments and the orderliness of time records, physical, chemical, and biological information from different historical periods can be obtained by studying lake sediments; moreover, the historical process of ecological environment changes in lakes can be indirectly obtained by analyzing this information. Among a series of lacustrine sediment indicators, paleoecological indicators have been widely used in the study of lake eco-environmental evolution, among which diatoms in sediments are especially suitable for the study of high-resolution environmental change events because of their short life cycle, rapid reproduction, extreme sensitivity to environmental changes in water, and easy preservation in strata [17].

Therefore, in this paper, the evolution of diatom community structure in Tai Lake sediments was used to characterize the evolution process of Tai Lake and identify the key time nodes of steady-state transition. Furthermore, the characteristics of structural transformation and the driving mechanism during the steady-state transition were analyzed in order to provide a scientific basis for determining the steady-state transformation of large shallow lake ecosystems and management of the lake water environment [18–20].

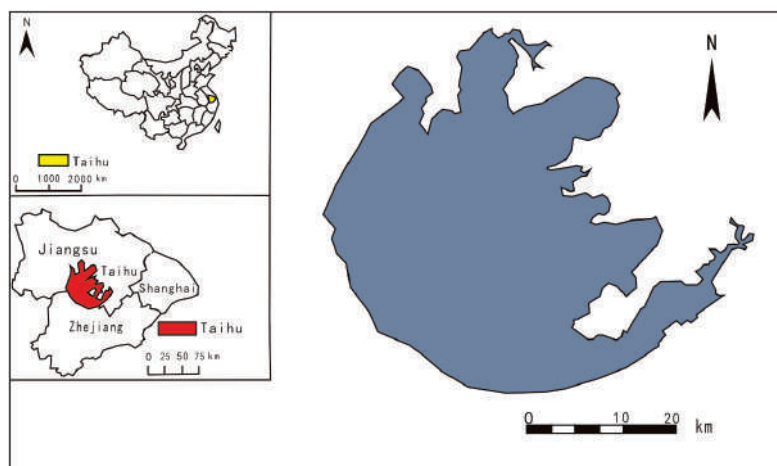
## 2. Materials and Methods

### 2.1. Study Area

Tai Lake is the third largest freshwater lake in China [21] and is located near the Yangtze River Delta in a subtropical monsoon zone (Figure 1). The catchment area is 36,500 km<sup>2</sup>, and the lake shoreline is 393.2 km long [22]. The average annual temperature and precipitation are between 16.0 and 18.0 °C and 1100 and 1150 mm, respectively. The lake



supplies humans with vital ecosystem services such as agricultural grain production, flood control, fish, tourist tours, shipping, etc. In addition, Tai Lake also acts as a repository for a large quantity of industrial and domestic sewage discharge from nearby cities, villages, and industries due to the rapidly growing economy [23].



**Figure 1.** Location of Tai Lake in China.

## 2.2. Data Source

### 2.2.1. Physical and Chemical Indicators of the Water Environment

In this study, water quality indexes such as total phosphorus [TP], total nitrogen [TN], ammonia nitrogen [ $\text{NH}_3\text{-N}$ ], chlorophyll [Chl-a], 5-day BOD [BOD5], chemical oxygen demand [COD], dissolved oxygen [DO], pH, water temperature [WT], and transparency [SD] were collected from Tai Lake from 1980 to 2012 and sorted. Among them, water quality index data from 1980 to 2000 were obtained from water environmental monitoring data (GEMS/Water) collected by the Global and Regional Environmental Monitoring Coordination Center under the United Nations Environment Program. Data from 2000 to 2012 were collected from the National Ecosystem Observation and Research Network (CNERN). Water quality monitoring data from 2012 to 2017 were obtained from literature published in related fields [24,25], China's Environmental Status Bulletin of the Ministry of Environmental Protection, and the Tai Lake Health Report of the Taihu Basin Administration of the Ministry of Water Resources.

### 2.2.2. Aquatic Ecological Indexes

The aquatic ecological indicators were collected from the National Ecosystem Observation and Research Network (CNERN: [http://www.cnern.org.cn/data/iitDRsearch?classcode=SYC\\_A01](http://www.cnern.org.cn/data/iitDRsearch?classcode=SYC_A01) (access on 20 October 2022); it is important to note that the time range of the data may change over time) and the Tai Lake Health Report of the Tai Lake Network. The aquatic ecological indicators of Tai Lake in this study mainly include phytoplankton, zooplankton, benthic animals, macro-aquatic plants, and bacteria. The first principle component analysis (PCA1) results on the presence of algae in Tai Lake sediments were obtained from the literature [26]; the TOC (%), TN (%), and C/N data on sediments were obtained from the literature [27]; and data on the abundance of *Bosmina* spp were obtained from the literature [28].

### 2.2.3. Meteorological Factors

Meteorological element data were collected from the National Ecosystem Observation and Research Network (CNERN) and provided by the Meteorological Data Room of the National Meteorological Information Center, China Meteorological Administration. These data included rainfall, wind speed, and temperature indicators.

#### 2.2.4. Hydrological Data

The data on hydrological elements (which mainly refer to water level) were collected from the National Ecosystem Observation and Research Network (CNERN), and daily water level data were recorded at five water stations in the Tai Lake area: Wangting, Dapukou, Jiapu, Xiaomeikou, and Dongting, Xishan.

#### 2.2.5. Socio-Economic Indicators

Data on China's annual grain production and fertilizer use from 1960 to 2013 were downloaded from: [http://www.earthpolicy.org/data\\_center/C24.html](http://www.earthpolicy.org/data_center/C24.html) (access on 20 October 2022).

#### 2.3. Data Analysis and Processing

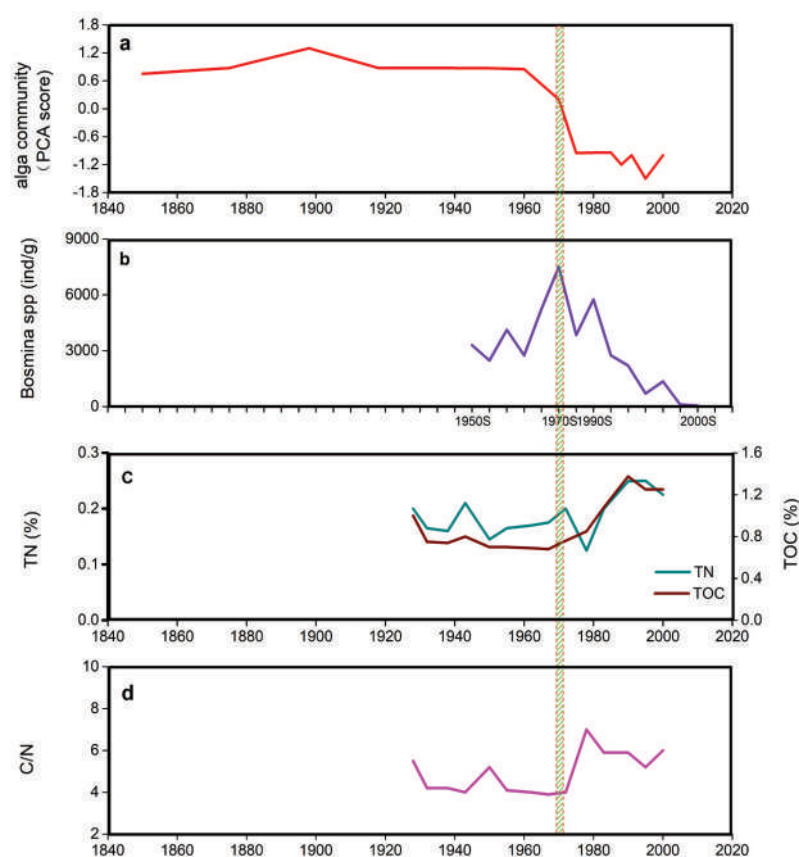
In this paper, STARS (Sequential T-test Algorithm for Analyzing), proposed by Rodionov [29], was used to analyze the statistical mutation of the mean level and amplitude of fluctuation of the evolution of the diatom community structure PCA1, and the abundance of the clean-tolerant species *Bosmina*, using a 10-year cutoff length ( $p < 0.01$ ). The abundance of PCA1 and *Bosmina* spp in the sediments was calculated using Z-scores, which further supported the detection results regarding the mutation point of the lake ecosystem. Gaussian kernel density estimation of the PCA1 time series was conducted using R software to obtain a bimodal curve, which confirmed the existence of bistability in the ecosystem. Furthermore, an Autoregressive Integrated Moving Average (ARIMA (p, d, q)) model of the time series before PCA1 mutation was fitted using R software using the Stats program package, which was downloaded from <http://www.r-project.org/> (access on 20 October 2022). Based on the minimum value of the Akaike Information Criterion (AIC), the optimal model was selected to predict the evolution process of the PCA1 time series from 1850 to 1960, and the predicted values were compared with the actual observed values. In addition, single exponential smoothing was conducted using Minitab software to detrend the PCA1 time series and lake sediments before the mutation. Then, the first-order lag autocorrelation coefficient, standard deviation, and skewness were calculated based on the detrended residuals with a 4-year moving window to assess changes in the elasticity of the lake ecosystem. Furthermore, SPSS statistical software was used to create a simple regression model and a multiple linear regression model and to verify the hypothesis that non-stationary driving factors lead to changes in the ecosystem.

### 3. Results and Discussion

#### 3.1. Historical Records of Response Variables and Environmental Driving Factors in the Sediment of the Tai Lake Ecosystem

Continuous monitoring of the water environment in Tai Lake began in the 1980s, and during this time, the understanding of water environment evolution was insufficient. In view of the “amplification” effect of environmental information on sediments, spatial statistical representativeness, and chronological orderliness, this paper analyzes the evolution processes of the sedimentary environment and anthropogenic pollution in Tai Lake by combining geochemical records of sedimentary cores with dating data. Based on this analysis, we were able to reconstruct and analyze the historical evolution of the water environment in Tai Lake. The time series of the abundance of the diatom community PCA1 showed a downward trend from around 1960, with a sharp decline in 1970 (Figure 2a). The abundance of *Bosmina* spp increased 1.3-fold from the 1950s to the 1970s and began to decrease rapidly in the 1970s; following this, the abundance of *Bosmina* spp in the sediments decreased sharply and nearly disappeared in the 2000s, which indicates that the water environment of Tai Lake deteriorated quickly from the 1970s (Figure 2b). Furthermore, the amount of TOC in the sediments of Tai Lake increased rapidly from 1970 to 2000 and nearly doubled. Meanwhile, the content of TN increased slowly from 1950 to 1970 and then decreased by 5% from 1970 to 1979, followed by a rapid increase of 10% from 1979 to 2000 (Figure 2c); this is consistent with the strengthening of agricultural economic activity

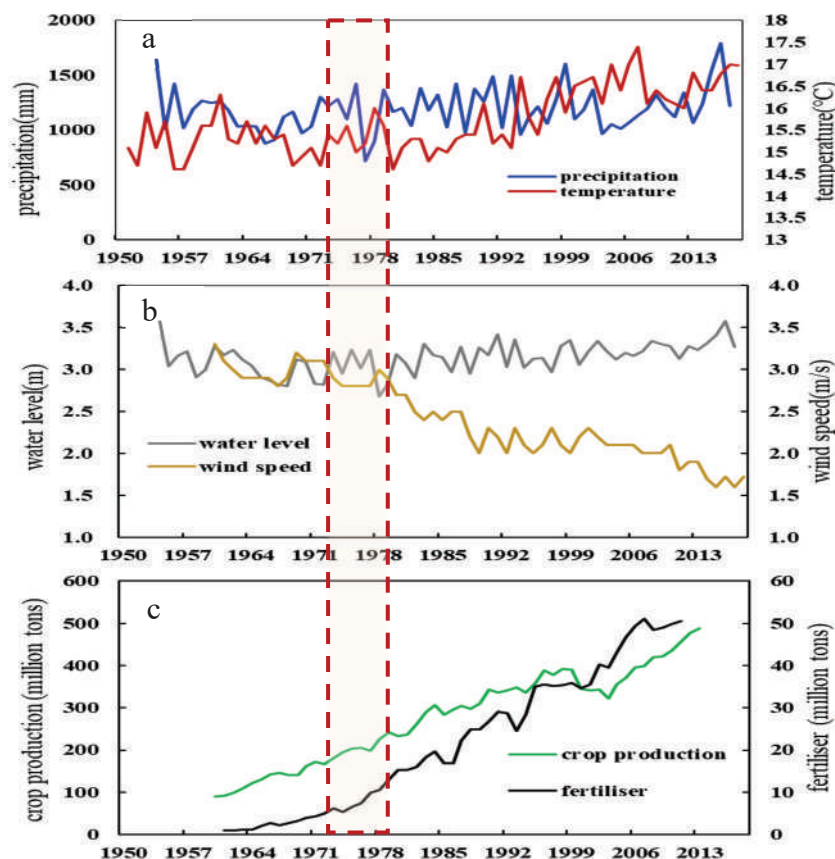
in the Tai Lake basin and indicates that the extensive application of farmland fertilizers is an important contributor to the total nitrogen in lake sediments [22]. Since the 1970s, the nutrient contents in the sediments of Tai Lake have increased rapidly, and the quality of the water environment has deteriorated remarkably. In addition, since 1970, the C/N ratio in the sediment has also increased significantly (Figure 2d); combined with the variation in TOC and TN, this indicates that before the 1970s, the carbon and nitrogen cycles were in a balanced state, and occurred mainly in the internal cycle of the system. During this time, the trophic status of the lake was still within the threshold before the occurrence of its mutation. After the 1970s, with the significant increase in the proportion of exogenous organic matter in the lake sediments, the balance of carbon and nitrogen was disturbed, and Tai Lake gradually became eutrophic.



**Figure 2.** Historical records of lake sediment-based aquatic system response variables (The dotted box represents tipping points of the lake sediment-based aquatic system response variables). (a) Silicon alga composition in sediment; (b) density of *Bosmina* spp. species in sediment; (c) TN and TOC in sediment(%); (d) C/N in sediment.

From 1951 to 1970, historical records of natural driving factors in the Tai Lake basin show that the temperature and rainfall decreased from 15.1 °C and 1650 mm to 14.9 °C and 970 mm, respectively (Figure 3a), while from 1970 to 2017, the temperature and rainfall increased significantly ( $p < 0.01$ ). Since 1970, the 2-min average wind speed, which can affect the chemical and biological processes in Tai Lake, has decreased year by year (from 3.1 m/s to 1.72 m/s in 2018) (Figure 3b). With wind speed gradually decreasing, vertical disturbance in the lake has gradually weakened, which has slowed the increase in nutrients in the water body, thus alleviating the eutrophication process. In addition, the lake water level decreased from 3.58 m in 1954 to 3.1 m in 1970 and then gradually increased (Figure 3b) to 3.58 m in 2016. Furthermore, the annual average grain output and fertilizer use in China has increased rapidly since 1970. By 2011, fertilizer use had increased 49.5-fold (Figure 3c),

and by 2013, grain output had increased 4.42-fold. The intensive development of agriculture has led to the discharge of a large number of nutrients into the lake, thus causing continuous deterioration of its environment.



**Figure 3.** Historical records of environmental drivers during the period 1840–2017 (The dotted box represents tipping points of the lake sediment-based aquatic system response variables). (a) Temperature; (b) water level and wind speed; (c) grain production and fertilizer.

### 3.2. Detection and Evidence of Mutation in the Tai Lake Ecosystem

Based on the comprehensive analysis of sediment data, water level, meteorology, and other natural factors in the Tai Lake basin (Figures 2 and 3), we can deduce that the algal community structure changed significantly after the 1970s. The exogenously driven historical record (the 1950s) shows that the structural evolution of the algal community, which began in the 1960s, has changed in line with the nutrient loading of the lake due to agricultural intensification, which is a slow-driving variable that takes effect over decades (Figure 3c). In addition, the rapid driving variables, comprising the short-term regulation of lake water levels and short-term water volume changes caused by low rainfall from 1950 to 1970, have jointly triggered the transformation of the Tai Lake ecosystem. With an increased nutrient concentration in the lake (Figure 2c), its productivity is increased, and the dissolved oxygen in the water body is decreased; this results in the release of bioavailable phosphorus into the water body of Tai Lake from its upper sediments, which further aggravates eutrophication [30]. Although the water level of Tai Lake increased in 1980 (Figure 3b), the diatom community did not show signs of recovery until 1998 due to the occurrence of positive feedback in the eutrophication process.

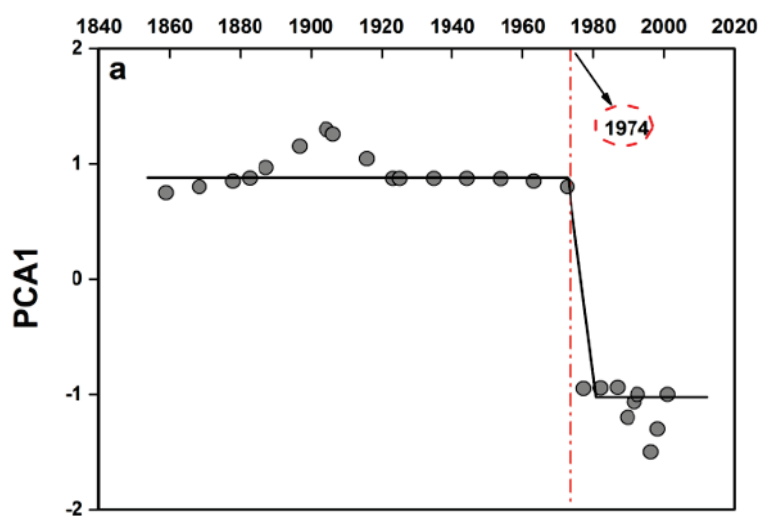
The results of STARS mutation detection show that there was a downward mutation in the PCA1 time series in 1974 (Figure 4a). In order to further prove the existence of the mutation point, a set of autoregressive integrated moving average (ARIMA ( $p, d, q$ )) models were fitted using the Stats package of R software, where  $p$  is the autoregressive (AR)

order,  $q$  is the moving average (MA) order, and  $d$  is the differential part of the 1850–1960 PCA1 time series. Based on the minimum Akaike information criterion (AIC), the evolution process of the PCA1 time series from 1970 to 2000 was predicted, and ARIMA (1,1,2) was selected as the optimal model for the PCA1 time series (Table 1). The predicted values for 1970–2000 (red lines represent 95% confidence intervals), observed values (solid black lines), and predicted values (circles) are significantly different within the 95% probability level (Figure 4b); this indicates that the abrupt change observed in the 1970s cannot be predicted by a linear model, further proving the existence of an ecosystem break point. Furthermore, the value of PCA1 decreases dramatically from about 0.9 to  $-0.9$  (Figure 4a). Compared with Figure 4b, it can be seen that in the absence of strong external interference, the value should have been within the predicted range instead of the mutation range. In addition, the probability density function (Gaussian kernel density estimate) from 1850 to 2000 indicates the existence of bistability in the ecosystem, with short vertical bars indicating the density of individual points (Figure 4b). This indicates that the ecosystem of Tai Lake has undergone dramatic mutation in the past 150 years, with a significant increase in eutrophic planktonic diatoms in its sediments [26] and a rapid decrease in the clean-tolerant species *Bosmina* spp. (Figure 4c). Overall, these results indicate that the ecosystem of Tai Lake underwent large-scale mutation and rapid reorganization in the 1970s.

**Table 1.** The selection details of the ARIMA (1,1,2) model of PCA1, including model type (AR and MA), coefficient, standard deviation of coefficient, T-test statistic, and probability level (P).

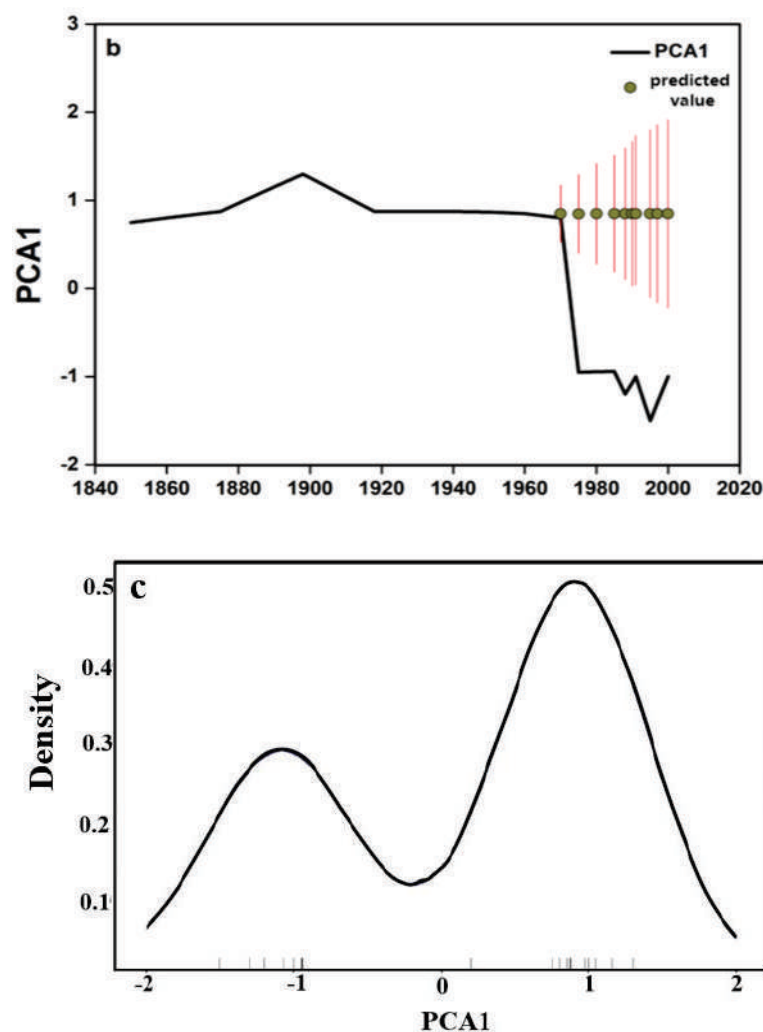
PCA1_ARIMA (1,1,2)				$R^2 = 0.98$
Type	Coefficient	SE Coef	T	P
AR(1)	0.533	0.375	1.422	0.193
AR(2)	0.466	0.368	1.265	0.242
MA(1)	0.888	0.171	2.185	0.0008

In addition, the PCA1 and *Bosmina* spp. data were normalized based on their means and standard deviation using the function Z-score (X) in MATLAB software. The obtained Z-score values indicate the variability of the PCA1 and *Bosmina* spp. time series data. Before the 1970s, the Z-score value fluctuates within a relatively low range of one standard deviation, and a sudden jump occurs in the 1970s, exceeding the range of one standard deviation, indicating that the state of the Tai Lake ecosystem suddenly changed in the 1970s (Figure 5).



**Figure 4.** Cont.



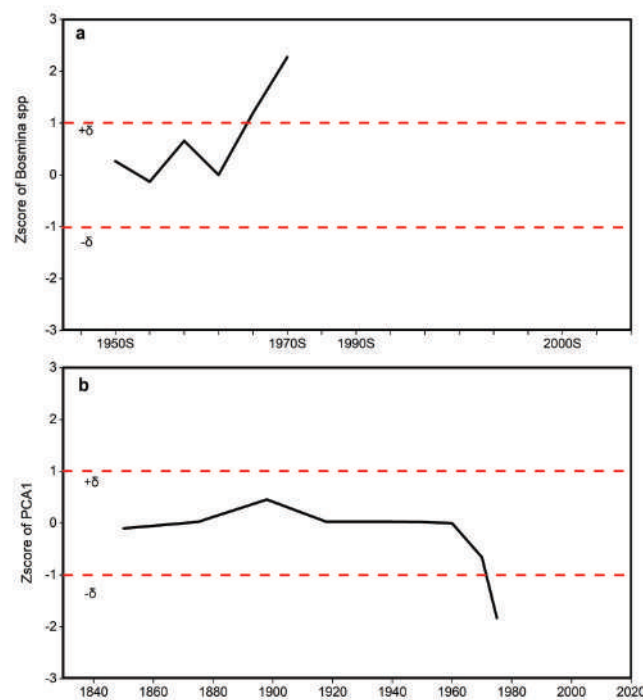


**Figure 4.** Evidence of bistability and regime shift in time series of PCA1.

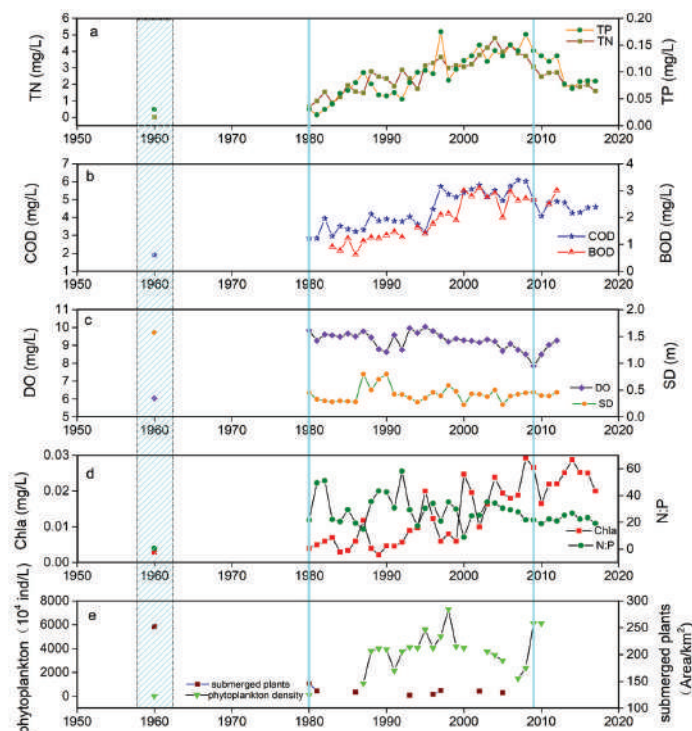
In 1960, the transparency of Tai Lake was 1.57 m, which decreased to 0.45 m in 1980. At the same time, the area covered by submerged macrophytes decreased from 252 km<sup>2</sup> to 146 km<sup>2</sup>, while the chlorophyll concentration increased from 0.003 mg/L to 0.004 mg/L. Meanwhile, COD content increased from 1.9 mg/L to 2.83 mg/L. This shows that in 1960, the water quality of Tai Lake was good, the ecological environment was not affected by large-scale external stress, and the water environment was still undergoing natural evolution. By 1980, water quality monitoring results showed that Tai Lake had entered a stable state of eutrophication (Figure 6). This indicates that the water environment of Tai Lake underwent a steady-state transition during the 1970s, changing from a natural evolution state to a disordered state. By 1980, the ecosystem of Tai Lake had completed its steady-state transition (Figure 6).

In order to further exclude the possibility of PCA1 time series mutation caused by non-stationary external stress, a regression model was used to test our hypothesis. The results of the multiple linear regression analysis for 1950–2000 (including the potential mutation point of the 1970s) (Table 2) show that rainfall, temperature, wind speed, fertilizer, water level, and grain yield do not provide a clear explanation for the changes in linear relationships with the PCA1 time series (none were significant at a probability level of  $p \leq 0.05$ ). In our simple linear regression model (Table 2), only wind speed, fertilizer, and grain yield showed significant statistical significance, but the relationship between wind speed and PCA1 was a counterintuitive negative correlation, which was difficult to explain using a simple causal relationship. Therefore, the mutation of the Tai Lake ecosystem could

be a critical transition phenomenon caused by a series of complex nonlinear interactions, internal and external feedback of the ecosystem, and internal threshold crossing.



**Figure 5.** Z-scores of *Bosmina* spp. species and PCA1. (a) Z-score of *Bosmina* spp.; (b) Z-score of PCA1.



**Figure 6.** Time series of water quality indicators between 1960 and 2011–2017 in Tai Lake (The dotted box was used to highlight 1960, and the blue and green lines were used to mark time nodes of water environment change). (a) Total nitrogen and total phosphorous; (b) chemical oxygen demand and biological oxygen demand; (c) dissolved oxygen and transparency; (d) chla, ratio of nitrogen to phosphorous; (e) phytoplankton and submerged plants.

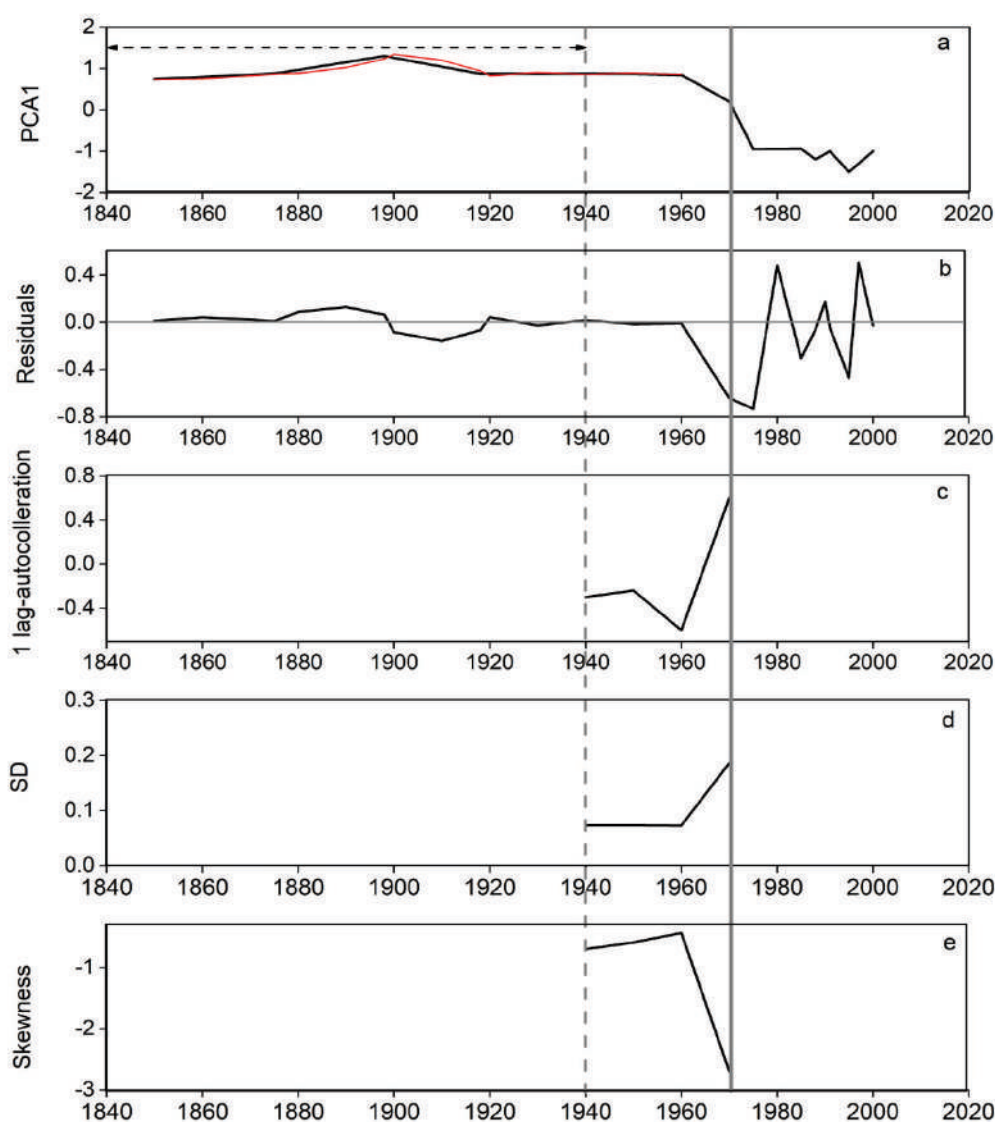
**Table 2.** A simple, multivariate regression analysis of potential external drivers (rainfall, air temperature, wind speed, fertilizer, water level, and crop production) and algal response (PCA1) in the Tai Lake ecosystem from 1950 to 2000.

Multiple regression model standardized coefficients	
	PCA1
precipitation	−0.046
air temperature	−0.039
wind speed	0.942
fertilizer	0.586
crop production	−0.438
Water level	0.046
Notes: $p \leq 0.05$ insignificant.	
Simple regression model R value	
	PCA1
precipitation	−0.476
air temperature	−0.476
wind speed *	0.834
Fertilizer *	−0.739
water level	0.077
crop production *	−0.773
Notes: $p < 0.05$ * (2-tailed test) significant, the rest are insignificant.	

### 3.3. Extraction of Potential Early Warning Signals of Ecosystem Mutation

R software and Minitab software were adopted to perform Gaussian kernel density estimation and trend decomposition to obtain the residuals of the PCA1 time series (Figure 7b), and the red line represents the smooth curve fitted after the time series before the mutation point was detrended (Figure 7a). The first-order autocorrelation coefficient (lag1-autocorrelation), standard deviation (SD), and skewness (skew) of the residuals were calculated using a semi-time series sliding window, and the early warning signal of ecosystem mutation was extracted. The results show that the first-order autocorrelation coefficient and standard deviation of the PCA1 time series residuals have increased significantly since 1960, and the skewness has decreased since 1960. Compared with the mutation that occurred in the 1970s, the change in the trend of warning signals occurred about 10 years earlier, in 1960. Previous studies have found that the responses of shallow lake ecosystems to stress drivers will change suddenly with changes or increases in disturbance intensity, which will lead to a series of changes in the structure or function of the ecosystem, that is, the homeostatic transition of the ecosystem [7,31]. The results of the PCA1 long-time series analysis of the diatom community structure in the sediments of Tai Lake show that the standard deviation, autocorrelation coefficient, and skewness of the Tai Lake ecosystem increased significantly about 10 years before the threshold point of steady-state transition in the 1970s. Previous studies have shown that when driven or stressed by the external environment, the rate of change of a dynamic system will slow down when the tipping point is approached, and in an ecosystem, the autocorrelation of the system will increase in the short term [31,32]. Scheffer et al. studied changes in the characteristics of the variable autocorrelation coefficient in the transition of a lake from an oligotrophic state to a eutrophic state and found that the autocorrelation of variables was significantly enhanced before the steady-state transition of the lake ecosystem [31]. Owing to the increasing intensity of external stress factors, the number of species showed an obvious downward trend. In this process, the autocorrelation of statistics that indicate the number of species was significantly enhanced, suggesting that the enhancement of the autocorrelation of a large number of statistics can be used for early indication of the steady-state transition of ecosystems [31,33]. This is consistent with this study's conclusion that the system autocorrelation of Tai Lake

was enhanced 10 years before the tipping point in the 1970s. This indicates that the change rate of the ecosystem in Tai Lake gradually slowed down 10 years before the threshold mutation occurred under the influence of external interference. Therefore, the long-term monitoring data of Tai Lake can be used for analysis; moreover, the autocorrelation coefficient of the system state residual can be extracted so as to provide an early indication of the threshold mutation of the ecosystem and determine the time node of steady-state transition.



**Figure 7.** Potential early warning signals of a regime shift in the lake's trophic state for PCA time series. (a) PCA1 scores of sediment diatom composition (derived from Figure 2) (The red line is the Minitab curve after filtering out the slow trend, and the arrow indicates the width of the moving window used to calculate the warning signal); (b) residuals of PCA1 (the gray line indicates zero); (c) lag-autocorrelation of (b); (d) SD of (b); (e) skewness of (b) (the solid gray vertical line indicates the 1970s).

Secondly, the early warning signals extracted in this study also include an increase in the standard deviation of the residuals. Carpenter (2011) successfully obtained advanced warning of a sudden change in the food web of an ecosystem by introducing competitive predators to destroy the food web of the aquatic ecosystem and analyzing significant changes in statistical data, such as a sudden increase in standard deviation or a sudden

decrease in recovery rate. It was proven that a regular change in long-term time series data can be used to judge the occurrence of steady-state transition in an ecosystem [15]. When Scheffer (2007) studied the community structure of aquatic plants in shallow lakes, he simulated a “large aquatic plant quantity model” over a long time scale and analyzed the change of the systems upon approaching the mutation point of a multi-stable curve and found that the variance increased significantly [34]. The above results are consistent with those of the Tai Lake ecosystem in this paper, which show a significant increase in variance 10 years before approaching the threshold point of the multistable system. As a result of the strong evidence of exogenous driving forces (Figures 2 and 3), we can reject the hypothesis that the increase in variance is explained by internal noise generated only by internal changes in the ecosystem. Thus, the rising variance is most likely indicative of a phenomenon caused by multiple exogenously driven interactions and transitions across thresholds within the ecosystem that amplify the system response.

In addition, in the field of ecosystem steady-state transitions, sudden changes in the skewness of statistical data can be used to accurately indicate whether the symmetry of the target long-term time series data has changed [35]. It has been confirmed that when the system is close to the threshold point where the mutation is about to occur, the nonlinear influence of a large number of external stress factors is gradually strengthened, which leads to an increasing trend of asymmetry in the statistical data density distribution. Therefore, the gradual strengthening of the law of asymmetry of statistical data can be used to identify the occurrence of steady-state transition in the system. Thus, through the research and analysis of long-term time series data of an ecosystem, if it is confirmed that the skewness of the statistical data curve has a sudden change, it can be predicted that the system will cross the mutation point of the steady-state transition, and then, transform to other steady states. Guttal (2008) regarded the oligotrophic and eutrophic states of a lake as two stable states of an ecosystem [36]. Our research results show that in the process of the system approaching the threshold point of the multistable curve, with the increasing intensity of external interference, the symmetry of the curve describing the system state variables decreased significantly, and the skewness value of statistical data changed abruptly. This trend appeared 10 years before the steady-state transition of the lake ecosystem, which confirmed that the sudden change in skewness could be used as an important early indicator of lake eutrophication. The results of this study show that the skewness of residuals has become increasingly left-aligned since 1960 (the absolute value of the negative number has become increasingly larger), and this appeared 10 years before the steady-state transition of the Tai Lake ecosystem; this is consistent with Guttal’s results [36]. Therefore, the feasibility of developing an early warning system for lake ecosystem eutrophication based on the increased skewness of statistical data is further verified.

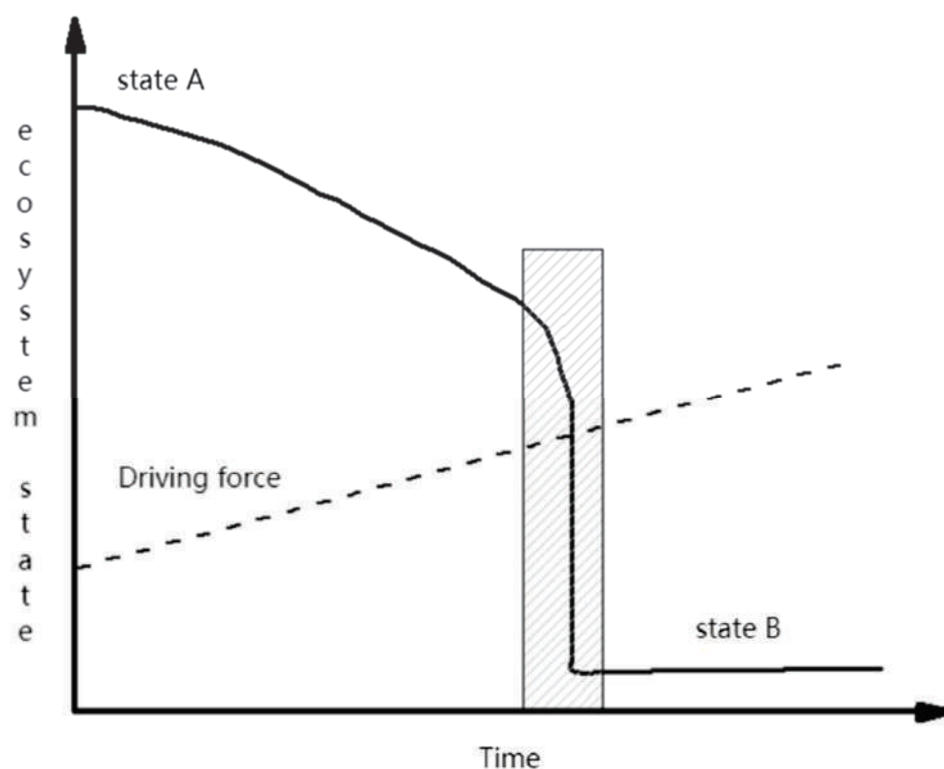
At present, the driving mechanisms for the steady-state transition of lake ecosystems are mainly divided into six types [37], and the characteristics of external force and the nature of random disturbance in the ecosystem determine the type of steady-state transition and whether it can be identified [38]. Whether or not the warning factor for steady-state transition is effective can determine the type of mechanism that drives steady-state transition. In our study, external environmental driving forces, such as climate and nutrient load, slowly pushed the ecosystem to the threshold of steady-state transition, which represents a typical slow environmental driving mechanism (Figure 8). Therefore, the potential early warning signals (variance, first-order, autocorrelation, and skewness) extracted in this paper were generated by the slow environmental driving mechanism and the reorganization and feedback of the lake’s internal system [39]. These factors can be identified and used for the early identification of water bloom in Tai Lake, with an early warning time scale of as long as 10 years.

### 3.4. Dynamic Change Process of the Socio-Lake System

Ecosystems can be driven by sudden environmental events or by slowly changing environmental variables that cause internal reorganization and feedback [30,40,41]. The

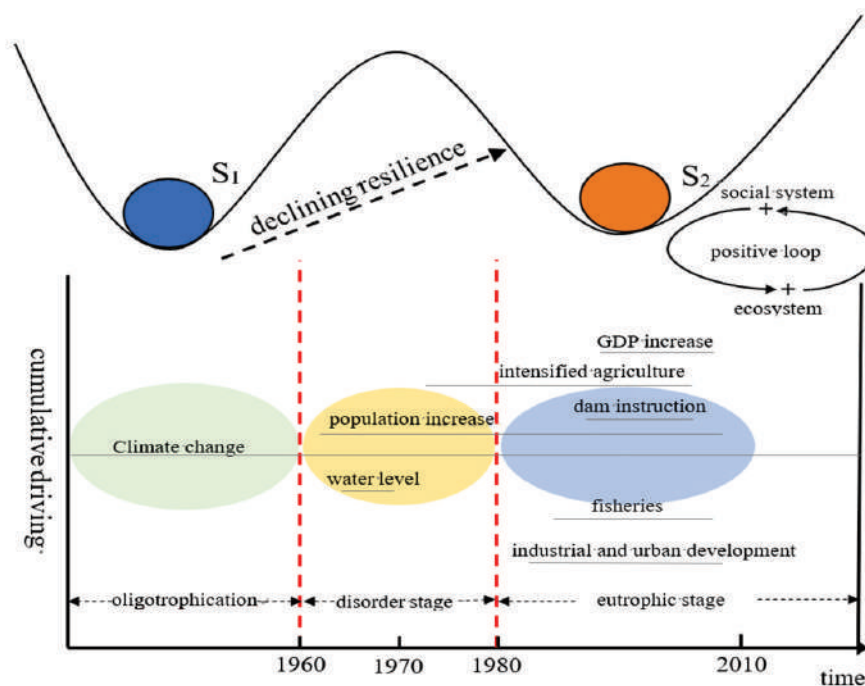


research in this paper shows that the degradation of the Tai Lake ecosystem has experienced three different stages corresponding to different climate–socioeconomic regimes in the last two centuries (Figure 9). Before the 1960s, the ecosystem of Tai Lake was in an oligotrophic state (Figure 6), and the lake ecosystem maintained a relatively stable state, which can be seen from the relatively stable natural variability of the PCA1 time series (Figure 5). Although human beings began long-term reclamation and development of the Tai Lake basin thousands of years ago, due to the limitations of farming technology and the sparse population density [42], this reclamation was limited to a local area of the Tai Lake basin; thus, the impact of human activity was much smaller than that of modern society. Therefore, during this period, the ecosystem maintained a high recovery capacity after external disturbance, that is, it had high system elasticity (upper part of Figure 9), which enabled the maintenance of an ecological balance between the lake ecosystem and human disturbance.



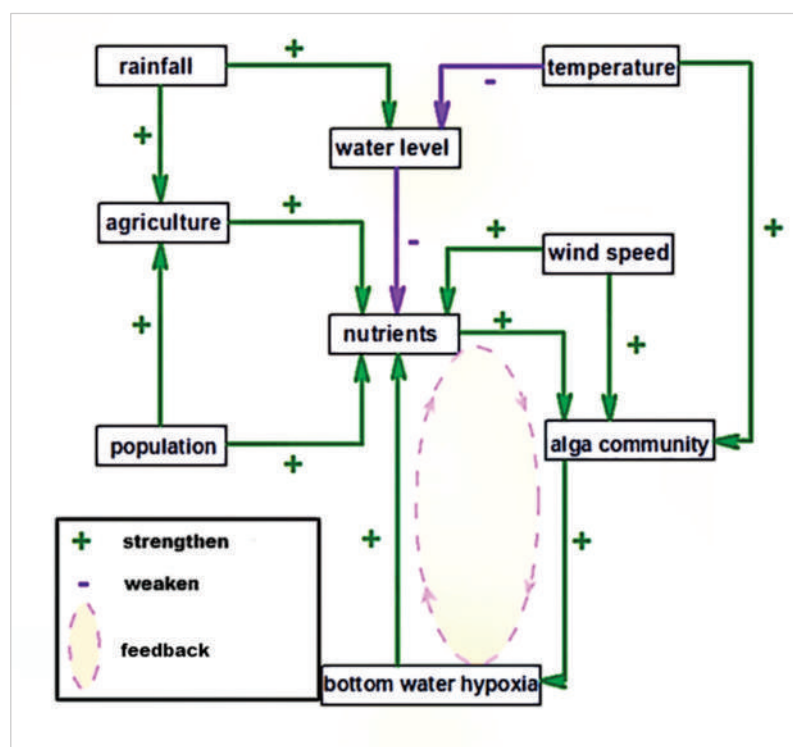
**Figure 8.** The mechanism of driving forces for regime shift in Tai Lake (the dotted line represents the time range of ecosystem mutation).

However, after 1960, the balance between the ecosystem of Tai Lake and the social system surrounding the basin has gradually been broken. The pressure of population growth and the increasing demand for food has led to an increase in the intensity of agricultural activity in the Tai Lake basin, such as the Great Leap Forward in 1958–1961 and the People’s Commune Movement in 1958–1982 [40], which were marked by large-scale wetland reclamation and a campaign to build farmland around the lake. As a result, the lake area has shrunk, and nutrients have been discharged into the lake. At the same time, the water level of Tai Lake declined from 1965 to 1970, which further aggravated the degradation of the ecological environment. In the 1970s, fluctuation in the environmental, chemical, and physical processes of Tai Lake led to significant changes in its species composition (Figure 7a) and food web structure. The system elasticity continuously decreased, and steady-state mutation of the ecosystem from an oligotrophic state to a eutrophic state occurred (upper part of Figure 9).



**Figure 9.** A time axis of multiple cumulative driving factors in the Tai Lake basin (the bottom figure) over the past 60 years, which have led to degradation of the ecosystem and reduced its resilience (the top figure). The three ellipses of different colors represent the different development stages of the Lake Tai ecosystem, representing the oligotrophic stage (before 1960s), the disordered mutation stage (1960–1980), and the eutrophication stage (1980–2010).

Since the 1980s, with the deepening of the reform and opening-up policy, the impact of human activity on the ecosystem of Tai Lake has increased exponentially. In 2010, the urbanization rate of the Tai Lake basin in Jiangsu Province reached 67.9% [42]. Large-scale and high-intensity human socio-economic activity has greatly accelerated the eutrophication process of Tai Lake [43,44]. With the development of society, people obtain increasing amounts of natural resources from lakes, which leads to problems such as overfishing, the extensive use of chemical fertilizers and pesticides, and the excessive excavation of sediment. Meanwhile, the temperature of the Tai Lake basin keeps rising (Figure 3), which further aggravates the ecological and environmental effects of pollution and overfishing, such as the outbreak of cyanobacteria in Tai Lake in 2007 and the “black water mass” event in 2008, both of which were extreme ecological disasters caused by the synergistic effects of external driving factors. Under these conditions, the response of the Tai Lake ecosystem has been much greater than if it were under the influence of a single external driving force. In addition, there is a positive feedback loop of interaction and mutual reinforcement between the development of the Tai Lake ecosystem and its social system, which further accelerates the lack of elastic resilience of the Tai Lake ecosystem. For example, a decline in the fishing rate in Tai Lake would lead to the strengthening of fishing activity, and a long-term decline in fishing would stimulate the economic value of rare goods, which would lead to a further increase in fishing activity. Under the strong influence of social activity [45] and the positive feedback loop of the ecosystem, we believe that after the 1980s, the external stress exerted on the Tai Lake ecosystem exceeded the elastic adjustment ability of the system itself, which has led to great changes in the composition, structure, and function of its species (Figure 10).



**Figure 10.** The figure describes the occurrence of eutrophication (Figure 6) after the 1980s. At this time, a positive feedback loop (dotted ellipse) of phosphorus in the sediments circulating in the lake water was firmly established. The figure shows the diversity and interconnectivity of the main external drivers (bold) of the algal community in Lake Tai, with typical relationships (positive and negative) shown for major interactions. This figure is modified from Wang et al., 2012 [16].

#### 4. Conclusions

Our study offers new insight into research on lake ecosystems. In this research, the sediment environmental index and water quality monitoring data were used to analyze the abrupt change in the Tai Lake ecosystem, which successfully fills the research gap caused by the lack of environmental monitoring records for Tai Lake in the 1970s.

In order to further understand the evolutionary process and driving mechanism of the Tai Lake ecosystem, we considered the evolutionary process of diatom community structure in the sediments to represent the evolutionary process of Tai Lake. Time series with long time scales were used for inversion, and early indications (variance, autocorrelation, and skewness) of ecosystem mutation showed the occurrence of abnormal changes ten years before the steady-state transition; moreover, we found that the evolution of the Tai Lake ecosystem is driven by complex nonlinear interactions between biophysical, ecological and socio-economic factors.

In addition, this study also shows that the evolution of the ecological structure of the diatom community in the Tai Lake ecosystem is driven by complex interactions between biophysics, ecology, and the social economy. Therefore, in the processes of protecting and restoring a lake's ecological environments, the tipping point and threshold of ecosystem mutation should be included in their management; moreover, on this basis, the driving forces of the further collapse of lake ecosystems should be studied in depth.

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## Article

# New Green and Sustainable Tool for Assessing Nitrite and Nitrate Amounts in a Variety of Environmental Waters

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**Abstract:** This paper aims to provide improved selectivity and sensitivity with a short analysis time of about 10 min and low residues for quantitation of nitrite and nitrate in waters by liquid chromatography. Ion-pair formation and ion exchange retention mechanisms were considered. The optimized option was in-tube solid phase microextraction (IT-SPME) by means of a silica capillary of 14 cm length and 0.32 mm id, coupled online with a capillary anion exchange analytical column (Inertsil AX 150 × 0.5 mm id, 5 µm) and the use of their native absorbance. Precision of the retention times expressed as % relative standard deviation (RSD) were <1% for both, nitrite ( $t_R$  = 5.8 min) and nitrate ( $t_R$  = 10.5 min). Well, river, channel, lake, sea, tap and bottled waters and several matrices of a drinking water treatment plant were analysed, and no matrix effect was observed for all of them. Inorganic anions and several organic acids were tested as possible interferences and suitable selectivity was obtained. Precision expressed as % relative standard deviation (RSD) was between 0.9 and 3%. Low detection limits of 0.9 and 9 µg/L for nitrite and nitrate were obtained, respectively, and low residue generation near 100 µL per run was also achieved.

**Keywords:** nitrite; nitrate; waters; ion exchange; capillary LC; IT-SPME

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## 1. Introduction

The excessive use of products rich in nitrogen in several fields has generated an alteration of its cycle [1]. From some of them, and due to their solubility in water, nitrate and nitrite concentrations affect markedly the quality of drinking water [2] and contribute to eutrophication. When these compounds are ingested, they can generate N-nitrosamines that according to the International Agency for Research on Cancer (IARC) are probably carcinogenic in humans and were included in group 2A [3]. Current regulations on nitrate and nitrite content in drinking water are based on guidelines established by the World Health Organization (WHO) considering short-term effects such as methemoglobinemia and thyroid effects, but not long-term health effects [4].

European legislation established a maximum concentration of 50 mg/L for nitrate and 0.5 mg/L for nitrite [5] in drinking water, and in groundwater the limit value is 50 mg/L for nitrate [6], but their content in environmental waters is variable for both, nitrate and nitrite [7]. Various spectroscopic and electroanalytical techniques have been proposed for nitrate and/or nitrite determination each having its own merits and demerits [8,9]. However, conventional ion chromatography with electronic suppression of eluent conductivity and conductimetric detection is a standard method (method 4110 C) to measure nitrate and nitrite in water and wastewater chemical analysis [10], with limits of detection (LOD) around 0.1 mg/L. Ion-pair formation from adding to the mobile phase of an ion-pairing agent and using conventional reversed phase analytical columns were also proposed [11–13], but higher LODs than that achieved by method 4110 C are achieved.

A more recent work has proposed the determination of inorganic anions in seawater samples by ion chromatography with UV detection using a monolithic octadecylsilyl

column of  $150 \times 4.6$  mm i.d. coated with dodecylammonium cation [14] using a flow rate of 400  $\mu\text{L}/\text{min}$  and a sample injection volume of 100  $\mu\text{L}$  and achieving LODs of 0.9  $\mu\text{g}/\text{L}$  and 1.9  $\mu\text{g}/\text{L}$  for nitrite and nitrate, respectively, although both limits were estimated from signal/noise ratios ( $S/N = 3$ ). Sedyohutomo et al. [15] described the utilization of triacontyl-bonded silica coated with imidazolium ions for capillary ion chromatographic determination of nitrite and nitrate in both river water and seawater. The dimensions of the column used was  $100 \times 0.32$  mm i.d., 5  $\mu\text{m}$  working at a flow rate of 4  $\mu\text{L}/\text{min}$  and the injected sample volume was 2  $\mu\text{L}$ . In these conditions, the LODs were 0.14 and 0.16  $\text{mg}/\text{L}$  for nitrite and nitrate, respectively.

A portable analyser using two-dimensional ion chromatography with UV light-emitting diode-based absorbance detection for nitrate monitoring within both saline and freshwaters was proposed by Fitzhenry et al. [16]. Two columns ( $50 \times 4$  mm, 9  $\mu\text{m}$ ) in tandem were used, the flow rate was 700  $\mu\text{L}/\text{min}$  and the injected sample volume was 195  $\mu\text{L}$ . The LODs obtained in [16] were 20 and 10  $\mu\text{g}/\text{L}$  for nitrate in freshwater and marine water, respectively.

The miniaturization of analytical systems in order to achieve real information from samples, in as short as possible time while taking account of environmental and economic issues, is one of the main goals in modern analytical chemistry. In this sense, miniaturized liquid chromatography (LC) contributes in this way. The main advantages perceived in using miniaturized LC are related to the reduction of column id, which comes along with a reduction in mobile phase flow rate; this promotes reductions in both the solvent consumption and waste from the analysis. Although the sample dilution ratio for capillary (Cap)-LC is lower than that achieved in conventional HPLC, as shown before from dimensions of the analytical columns and flow rates of [15] vs. [14,16], respectively, as examples, sensitivity for several applications is unsatisfactory mainly due to the low injected sample volume [15]. A method that facilitates working with higher sample volumes without losses in resolution is the online in-tube solid-phase microextraction (IT-SPME) [17,18]. IT-SPME is based typically on the use of a fused-silica capillary tube packed or coated on its inner surface with an extractive phase. When the sample is passed through the capillary, the analytes are extracted and concentrated by adsorption/absorption onto or into the internal coating of the capillary. Then, the extracted analytes are desorbed by filling the capillary with a solvent, which are transferred to the capillary LC. Clean-up, preconcentration, separation, and detection of analytes can be carried out online by coupling the IT-SPME to LC.

On the other hand, in the context of current chemistry, the requirements of sustainable and green chemistry also have to be considered. The concept “sustainable” first appeared in the 70’s, and is connected to the idea of linking economic development with the preservation of natural ecosystems [19]. Later on, green chemistry emerged from the concern about environmental contamination caused by pollution from chemical industry in 1990s. These interests were expressed by Anastas and Warner in 1998, who established a list of 12 principles as a guide for a good practice in green chemistry [20]. In this context, there is an intersection zone between the mentioned subjects, greenness and sustainability, both included into the wider concept of suitable chemistry [21]. A goal of this paper was also to contribute in this direction [22,23].

This paper demonstrates the feasibility of the online coupling of IT-SPME and ion-exchange capillary liquid chromatography (IE-CapLC) with diode array detection for the first time. The selected analytes were nitrite and nitrate considering their relevant influence in health and environmental scenarios. The paper demonstrates the achievements of this couple for improving parameters in the literature indicated in previous paragraphs such detection limits (LODs), time analysis, and applicability to a variety of water matrices with also different levels of both analytes and trueness, besides decreasing waste and without sample treatment.

## 2. Materials and Methods

### 2.1. Materials and Reagents

Ultra-pure water was obtained for a Nanopure II system (Barnstead, NH, USA). Acetonitrile (99.8%) and methanol (96%) grade LC-MS were supplied by VWR Chemicals (Randnor, PA, USA). Potassium nitrate (99%), potassium nitrite (97%), sodium sulfate (99%), potassium biphosphate (98%), citric acid (99%), and orthophosphoric acid (85%) were purchased from Panreac (Barcelona, Spain). Hexadecyltrimethylammonium hydroxide (TBA-OH, 99%), tetrabutylammonium chloride (TBA-Cl, 99%), and hexadecyltrimethylammonium bromide (HTAB, 99%), gallic acid (97.5%), benzoic acid (99.5%), chlorogenic acid (95%), salicylic acid (99%), and phthalic acid (99.5%) were obtained from Sigma-Aldrich (Darmstadt, Germany).

### 2.2. Equipment and Columns

A capillary LC Agilent 1260 infinity series (Agilent, Waldbronn, Germany) with an injection valve rheodyne 7725i and coupled online in in-valve mode to IT-SPME was used. For this purpose, the loop of the six-port injection valve was substituted by the extractive IT-SPME capillary [17,18]. Several IT-SPME capillaries were tested: fused silica capillary of 0.32 mm id and 15 cm length (Supelco, Bellefonte, Pennsylvania USA) and 50% Diphenyl-50% dimethyl polysiloxane, bonded and crosslinked phase capillary: TRB 50 (Teknokroma, Barcelona, Spain) with the same dimensions and 3  $\mu$ m of film thickness. A photodiode array detector (DAD, Hewlett-Packard 1040M series II) with a cell volume of 80 nL was employed. The analytical columns tested were: ZORBAX SB-C18 150  $\times$  0.5 mm id, 5  $\mu$ m (Agilent Technologies Spain), ZORBAX SB-C18 35  $\times$  0.5 mm id, 5  $\mu$ m (Agilent technologies Spain), and Inertsil AX 150  $\times$  0.5 mm id, 5  $\mu$ m (GL Sciences Tokyo, Japan). The data were acquired and processed by the Agilent HPLC ChemStation Software. Signals were recorded in the range of 210–400 nm and monitored at 220 nm.

The processed volume of standards or samples was 25  $\mu$ L and all of them were loaded manually into the IT-SPME system using a 100  $\mu$ L precision syringe at a flow rate of 50  $\mu$ L/min. Then, the valve was changed to the injection position, so that the analytes retained in the capillary were desorbed with the mobile-phase and transferred to the analytical column for separation and detection. The chromatographic run was carried out with the valve in the injection position.

### 2.3. Optimization of Chromatographic Conditions

Ion pair capillary liquid chromatography (IP-CapLC) technique was studied employing the capillary reversed analytical columns and several ion pairing agents: TBH-OH, TBH-Cl or HTAB at levels 1, 5, 10 mM in the mobile phase (see Table 1) and 1 mg/L standard solution of nitrate and mixtures of nitrate and sulfate and nitrate and phosphate.

**Table 1.** Mobile phases for IP-CapLC method, flow rate 8  $\mu$ L/min. Ionic pairing agent solutions at pH = 3.1 reached with HCl containing 20% of methanol (MeOH) and MeOH compositions.

Time (min)	TBH-OH		Mobile Phase %		HTAB	MeOH
	TBH-OH	MeOH	TBH-Cl	MeOH		
0	100	0	100	0	50	50
2	50	50	80	20		
4	40	60	40	60		
8	30	70	30	70		
10	20	80	20	80		
12	100	0	100	0		

For ion-exchange capillary liquid chromatography (IE-CapLC) a column Interstil AX was employed and different compositions of acetonitrile and water at pH 3.1 reached with phosphoric acid were evaluated in the mobile phase and also ionic strength was varied by adding NaCl or  $\text{KH}_2\text{PO}_4$ . Three flow rates were tested (8, 10 and 12  $\mu$ L/min). The figures

of merit: linearity ( $n = 5$ ), LODs, limits of quantitation (LOQs), selectivity and matrix effect were evaluated. Nitrite and nitrate concentrations were assayed up to 1 and 2.5 mg/L, respectively, for obtaining the linear calibration graph. Precision was estimated at LOQ ( $n = 3$ ) and 0.1 ( $n = 4$ ) and 1 ( $n = 4$ ) mg/L for both nitrite and nitrate and the matrix effect at the latter levels of concentrations.

LODs and LOQs were obtained by injecting decreasing values of analyte concentration until obtaining signal/noise ratios of 3 and 10 times, respectively.

#### 2.4. Analysis of Water Samples

Natural water samples that were collected in different places in the vicinity of Valencia (well, river, channel, sea and lake) and drinking waters such as tap water obtained in the municipality of Burjassot (Valencian community) and several bottled commercial waters. Samples from a potable water treatment plant provided by the municipality of Gandia (Valencian community) collected in three stages of the treatment process of diminishing nitrate concentration (input, mid-process and output) were also analysed. The samples were passed through nylon filters with a pore size of 0.22  $\mu\text{m}$  and/or diluted if necessary.

A study of confirmation was carried out by using the native absorbance of nitrate measured with a CARY UV Visible spectrophotometer model G6860AAR (Agilent Technologies, Santa Clara, CA, USA) with a quartz cuvette of 1 cm path length. Nitrate analysis was performed by measuring the sample directly at a wavelength of 220 nm, the values obtained were interpolated on a calibration line up to 50 mg/L. For nitrite, the Griess technique from PDMS sensors embedding the reagents [24] was employed. A Griess sensor was placed in a vial containing 0.5 mL of the sample and 0.5 mL of citric acid (330 mM), left to stand for 8 min and the absorbance was measured at a wavelength of 540 nm, the values were interpolated on a calibration graph up to 1.5 mg/L.

### 3. Results and Discussion

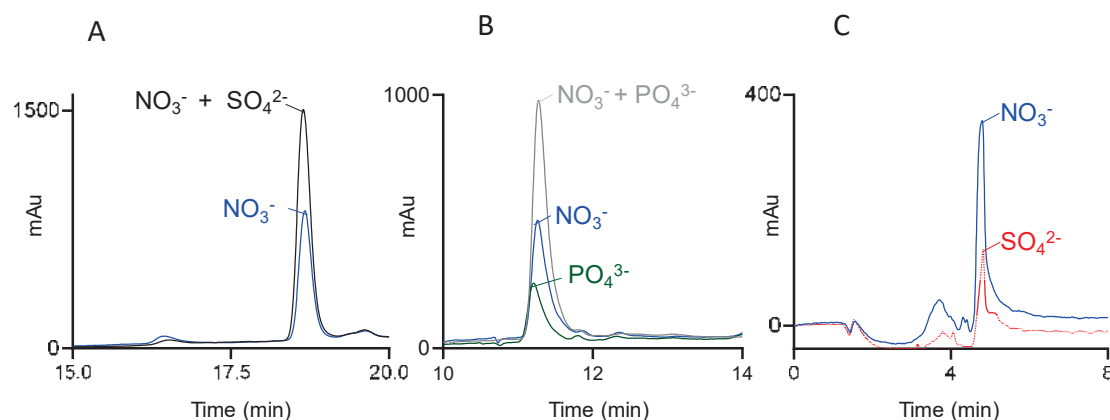
#### 3.1. Assessment of Chromatographic Performance

Two mechanisms were evaluated for the direct analysis of nitrite and nitrate; the first one was ionic pair chromatography with a capillary C18 column (IP-CapLC), and the second one was anion exchange capillary liquid chromatography with an anionic column (IE-CapLC). In both cases, the IT-SPME silica capillary was used. The IT-SPME capillary column was used as the loop of the injector valve (in-valve, in-tube SPME), and the analytes are extracted in the IT-SPME capillary during sample loading, and then transferred to the capillary analytical column with the mobile phase by changing the valve to the injection position. The sensitivity can be improved by flushing a sample volume higher than that of the capillary internal volume through the capillary [17,18].

To study the chromatographic conditions in the IP-CapLC method, three ion pairing agents were evaluated. TBH-OH and TBH-Cl were analysed in gradient mode (see Table 1) and HTAB in isocratic mode. The more suitable responses were obtained working with concentrations of 5 mM for TBH-OH and TBH-Cl and 1 mM for HTAB. The achieved retention times ( $t_R$ ) for nitrate were: 18.6, 11.2 and 4.7 min for TBH-OH, TBH-Cl and HTAB, respectively, as Figure 1 shows.

Mixtures of nitrate and sulfate and/or phosphate were assayed and overlapped peaks were obtained in all cases (Figure 1). Bearing in mind the obtained results for IP-CapLC, ion-exchange capillary liquid chromatography (IE-CapLC) was assayed. The selected column contained diethylamino group, which is a strong base ( $pK_a \approx 10.6$ ). Z. Kadlecová et al. [25] found that the anion-exchange retention gradually diminishes above pH 5, although it is fully charged below pH < 9. The authors indicated a possible mechanism that is deprotonation of residual silanols of uncapped sorbent, which can result in the formation of zwitter-ions and decrease in diethylamino surface charge. The mobile phases were adjusted at pH 3.1 with orthophosphoric acid. Methanol was discarded as a modifier as used in IP-CapLC because assaying a mixture 60:40 methanol:water as the mobile phase, nitrate remained in the analytical column for more than 200 min. Acetonitrile was employed

instead of methanol and water/acetonitrile ratios of 70:30, 55:45, and 50:50 were evaluated in the mobile phase and also the effect of adding NaCl or  $\text{KH}_2\text{PO}_4$ .



**Figure 1.** Chromatograms obtained by the IP–CapLC method for the standard solutions, using the three ion pairing agents tested: (A) TBH–OH (1 mg/L nitrate and 0.5 mg/L Nitrate + 0.5 mg/L Sulfate), (B) TBH–Cl (1 mg/L Nitrate, 0.5 mg/L Nitrate + 0.5 mg/L Phosphate and 0.25 mg/L Phosphate) and (C) HTA–Br (1 mg/L Nitrate and 0.5 mg/L Sulfate).

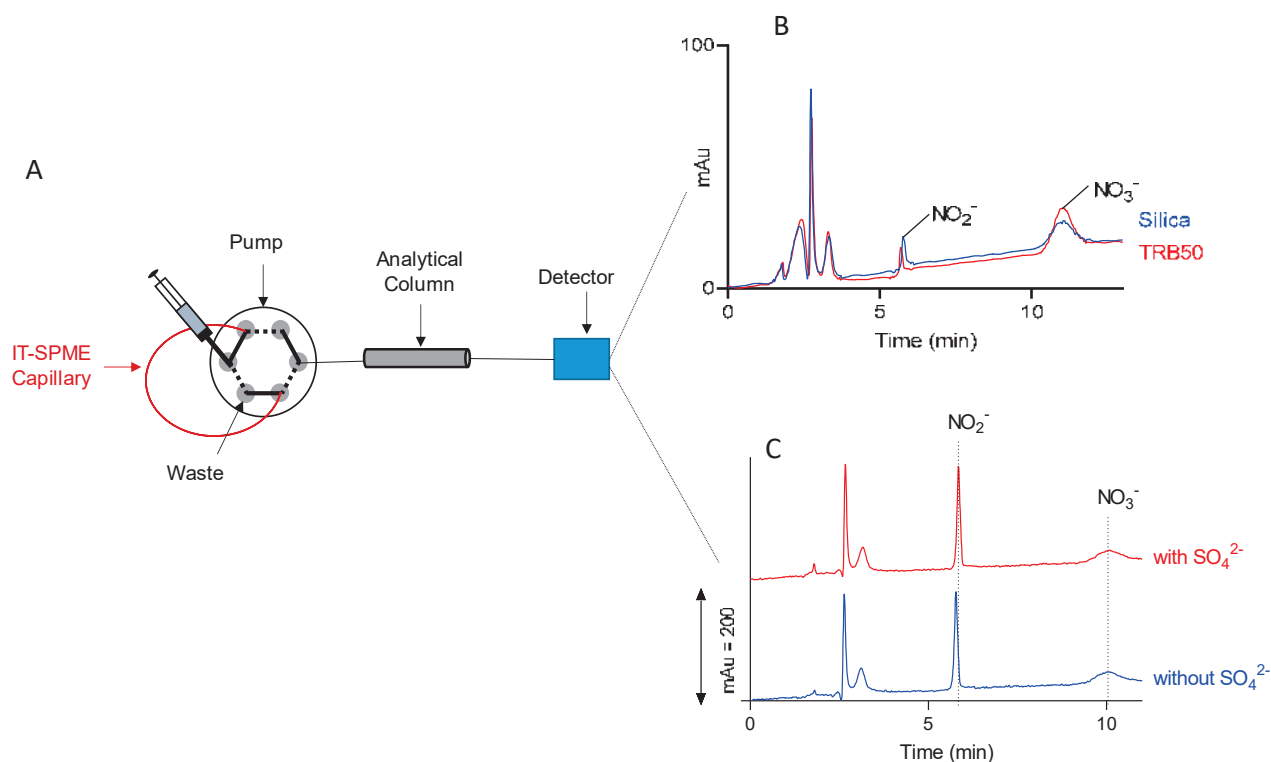
As it can be seen in Table 2 the percentage of water had a great influence on the retention time of nitrate for ion-exchange. The influence of the presence of chloride in the mobile phase on  $t_R$  of nitrate (36.6 min vs. 46 min without chloride) was not significant compared to the results provided by dihydrogen phosphate ( $t_R$  14 min vs. 46 min without dihydrogen phosphate). The best chromatographic conditions were achieved with mobile phases containing this last anion, which produces the elution of nitrate in short times. The selected conditions are given in Table 2, and it can be seen the retention time for nitrate was 10.5 min. Lower concentrations of dihydrogen phosphate (20 mM and 10 mM) increased  $t_R$  of nitrate and they were discarded.

**Table 2.** Optimization of mobile phase in IE–CapLC. For all mobile phases pH = 3.1; <sup>1</sup> 130 mM concentration. \* Optimal conditions. For more explanations see text.

Percentage % Water-Acetonitrile	Salt <sup>1</sup>	Flow Rate $\mu\text{L}/\text{min}$	$t_R$ Nitrate (min)
70–30	-	8	75
55–45	-	8	57
50–50	-	8	46
50–50	NaCl	8	36.6
50–50	$\text{KH}_2\text{PO}_4$	8	14
50–50	$\text{KH}_2\text{PO}_4$	10	12
* 50–50	$\text{KH}_2\text{PO}_4$	12	10.5

Two capillaries with phases with different polarity and with the same internal volume of 12  $\mu\text{L}$  were tested for IT–SPME: fused silica and TRB 50. A sample clean-up step was not necessary after in-valve processing of the samples, which were directly transferred to the analytical column by switching the valve to the injection position as mentioned above. The chromatographic run was achieved in the inject mode of the IT–SPME valve. The chromatographic profile was the same for both IT–SPME capillaries, as it can be seen in Figure 2A; however, similar areas were obtained, although the processed concentrations were 0.1 and 0.5 mg/L of nitrate for silica and TRB 50 capillaries, respectively. The most polar capillary of silica provided a higher level of preconcentration than TRB 50 for a processed volume of 25  $\mu\text{L}$  in accordance with the polar nature of the analytes: nitrite and nitrate. The silica capillary achieved a factor of a preconcentration of five in reference to TRB 50, considering that their internal volumes were the same.





**Figure 2.** (A) Scheme of the IT-SPME-CapLC-DAD. (B) Influence of the nature of IT-SPME capillary: silica vs. TRB 50 for nitrate concentrations of 0.1 and 0.5 mg/L, respectively. (C) Chromatograms obtained by IE-CapLC for mixtures of standard solutions containing 0.5 mg/L of nitrite and 0.1 mg/L of nitrate and 0.5 mg/L of nitrite, 0.1 mg/L of nitrate and 0.5 mg/L of sulfate. The retention times for nitrite and nitrate are 5.8 and 10.5 min, respectively.

Sulpizi et al. [26] gave a detailed understanding of the molecular behaviour of the silica–water interface, indicating that the silanols determine the surface acidity and modulate the water properties. These authors showed how the silanols' orientation and their hydrogen bond properties are responsible for an amphoteric behavior of the surface, which can explain the results obtained here. TRB 50 is a slightly polar stationary phase containing phenyl into methylpolysiloxane, i.e.,  $-\text{CH}_3$  groups with phenyl groups,  $-\text{C}_6\text{H}_5$ , providing  $\pi$ - $\pi$ , dipole–dipole, and dipole-induced dipole interactions and moderate amounts of hydrogen bonding. Figure 2B shows the chromatograms obtained for mixtures of nitrite and nitrate without and with sulfate. This anion did not interfere due to it does not absorb at 220 nm.

### 3.2. Figures of Merit

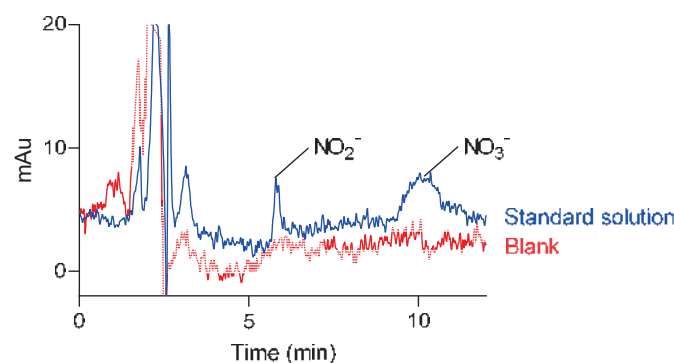
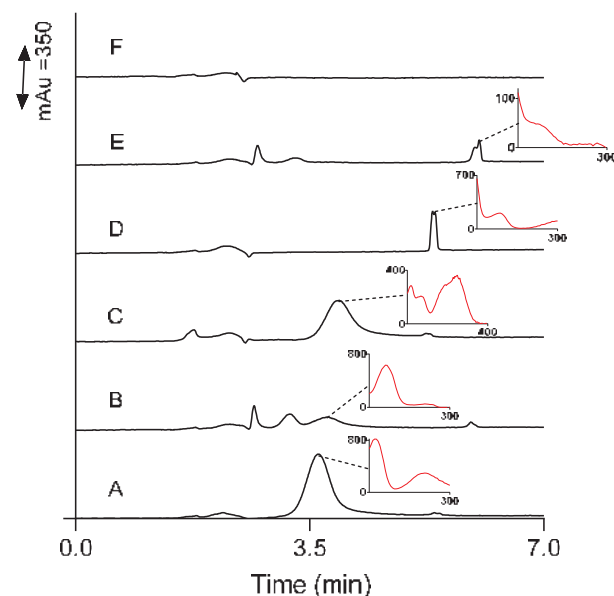
Linearity, LODs, LOQs and precision were studied for IT-SPME-IE-CapLC-DAD. Suitable linearity was obtained for nitrite and nitrate as Table 3 shows. The obtained LOQs permit the quantification of a wide variety of environmental and drinking waters. Figure 3 shows the chromatogram obtained for the LOQs. Precision was evaluated from % RSD for LOQs and by injecting four replicates of the 0.1 and 1.0 mg/L standard solutions and satisfactory values were obtained (see Table 3). Precision of the retention times expressed as % RSD was <1%,  $n = 20$  and 100  $\mu\text{L}$  per run of wastes were generated.

Several organic acids assayed as possible interferents (Figure 4), with pKa between 2.93 and 5.4 [27–31], gave retention times different to those obtained by nitrite and nitrate (see Figure 2B). Retention time for nitrite (5.8 min) was between those presented by salicylic (5.3 min) and phthalic (6.0 min); this latter was the closest one but at the working pH value of the mobile phase, phthalic acid is protonated. On the other hand, its UV absorption spectra are easily distinguishable from that provided by nitrite.

**Table 3.** Analytical parameters obtained with the proposed method IT-SPME-IE-CapLC-DAD.

Anion	Linearity <sup>1</sup> $b_1 \pm s_{b1}$	$b_0 \pm s_{b0}$	$Y = b_1x + b_0$ $R^2$	LOD ( $\mu\text{g/L}$ )	LOQ ( $\mu\text{g/L}$ ); %RSD (n = 3)	% RSD
nitrite	$1011 \pm 45$	$64 \pm 6$	0.993	0.9	3; 6	$1.8^2$ ; $3^3$
nitrate	$1669 \pm 100$	$115 \pm 40$	0.996	9	30; 5	$0.9^2$ ; $2^3$

Notes: <sup>1</sup> mg/L n = 5, Established at a concentration of <sup>2</sup> 0.1 and <sup>3</sup> 1 mg/L n = 4.

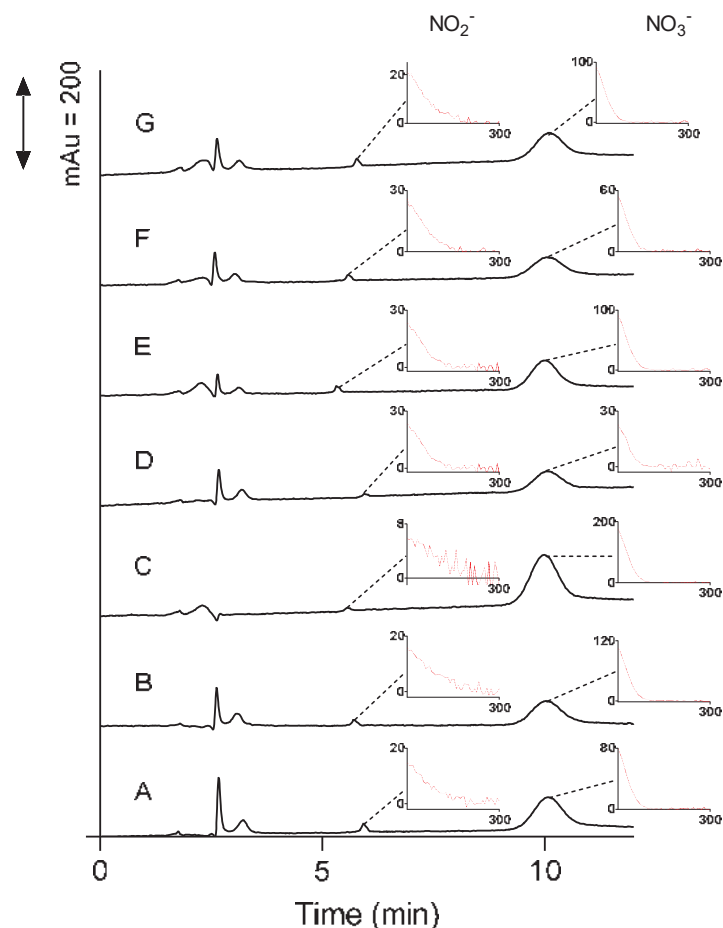
**Figure 3.** Limits of quantification for nitrite and nitrate (3 and 30  $\mu\text{g/L}$ , respectively) by IT–SPME–IE–CapLC–DAD.**Figure 4.** Chromatograms and spectra of organic acids: gallic ( $\text{pK}_a = 4.2$ , trace A), benzoic ( $\text{pK}_a = 4.1$ , trace B), chlorogenic ( $\text{pK}_a = 3.6$ , trace C), salicylic ( $\text{pK}_a = 2.9$ , trace D), phthalic ( $\text{pK}_a = 5.4$ , trace E) and sulfate (trace F) using the optimized conditions for nitrite and nitrate determination by IT-SPME-IE-CapLC-DAD. Phthalic and salicylic acids were assayed at 1 mg/L, chlorogenic, benzoic and gallic acids at 3.5, 0.5 and 1.7 mg/L, respectively.

### 3.3. Analysis of Waters

To evaluate the applicability of the developed method, several real water samples including natural and drinking water and samples from a potable water treatment collected during the nitrate removal process (inlet, mid-process, and outlet water) were analysed. Representative chromatograms obtained are given in Figure 5.

The chromatograms obtained for samples, shown in Figure 5, maintained the shape of the peaks, the resolution, and the retention times compared to the standard solutions of nitrite and nitrate (see Figures 2 and 3). The inserts of Figure 5 contained the spectra obtained at the maximum of the chromatographic peak, which permit the identification

of the analytes. Spiked samples provided % recoveries near to  $100 \pm 5\%$  and then, the matrix effect was not present. Table 4 shows the obtained values for nitrite and nitrate in the analysed waters. A study of confirmation was carried out by analysing samples with the Griess method for nitrite and UV spectrophotometry for nitrate, and the results are also included in Table 4.



**Figure 5.** Chromatograms and spectra obtained at maximum of each chromatographic peak for several waters by IT–SPME–IE–CapLC–DAD. Natural water: well (trace G), river (trace F), and lake (trace E) diluted 1/40, 1/50 and 1/500, respectively, drinking water: tap (trace D) diluted 1/50 and undiluted bottled (trace C) and water treatment plant: inlet (trace A) and outlet (trace B), both diluted 1/50. The retention times for nitrite and nitrate are 5.8 and 10.5 min, respectively.

In reference to nitrite determination by the Griess method, a river water sample presented interference and its concentration could not be obtained. On the other hand, only samples containing nitrite concentrations higher than 0.03 mg/L could be quantified by the Griess method. However, the proposed IT-SPME-EI-CapLC-DAD provided results for all samples, as can be seen in Table 4.

In the case of nitrate, the proposed method provided quantitative results for all samples, which presented concentrations between 1.56 and 93 mg/L. Biased results were obtained for the sea water assayed from UV-spectrophotometry at 220 nm and this method did not permit quantifying nitrate below 9 mg/L. Table 4 shows that when the Griess and UV spectrophotometry methods could be applied, the results were statistically similar to those provided by the proposed method.

**Table 4.** Nitrite and nitrate concentration in real water samples (natural water, drinking water and water treatment plant) (n = 4). For more explanations see text.

Sample		Nitrite (mg/L)		Nitrate (mg/L)	
		Cap-ionLC	Griess Method <sup>1</sup>	Cap-ionLC	UV Spectrophotometry <sup>2</sup>
Natural water	Well	0.4 ± 0.1	-	54.4 ± 0.6	55.1 ± 0.7
		0.20 ± 0.05	-	19.8 ± 0.6	21.5 ± 0.7
	River	0.20 ± 0.05	Not applicable <sup>3</sup>	53 ± 1	51 ± 1
		0.30 ± 0.09		-	36.5 ± 0.5
		0.40 ± 0.09	0.4 ± 0.1	93 ± 1	102 ± 1
		0.30 ± 0.09	-	40.3 ± 0.6	41.3 ± 0.8
	Channel	0.30 ± 0.03	-	30.8 ± 0.4	30.5 ± 0.3
	lake	0.40 ± 0.03	-	13.3 ± 0.5	14 ± 0.7
	sea	0.30 ± 0.03	-	4.3 ± 0.3	Not applicable <sup>3</sup>
	Drinking water	tap	0.05 ± 0.01	<LOQ	
0.09 ± 0.01			-	17.4 ± 0.9	17 ± 1
0.10 ± 0.01			-	19.5 ± 0.1	18.0 ± 0.2
bottled		0.010 ± 0.003	<LOD	2.23 ± 0.01	<LOD
		0.030 ± 0.005	≈LOD	1.56 ± 0.06	<LOD
		<LOD	-	2.19 ± 0.03	<LOD
Water treatment plant	inlet	0.9 ± 0.1	1.0 ± 0.2	55.8 ± 0.6	56.0 ± 0.7
	half process	0.7 ± 0.1	-	37.9 ± 0.6	37.1 ± 0.4
	outlet water	0.10 ± 0.01	-	14.7 ± 0.6	13 ± 1

Notes: <sup>1</sup> PDMS Griess sensor [24], LOD 0.01 mg/L, <sup>2</sup> direct UV spectrophotometry at 220 nm, LOD 3 mg/L. <sup>3</sup> Not applicable due to interference.

#### 4. Conclusions

In the present work, a novel method for the quantification of nitrite and nitrate in a variety of waters with variable concentrations was proposed. The ion exchange mechanism provided better results than ion-pair formation with respect to analysis time, selectivity and trueness. The IT-SPME-IE-CapLC-DAD analytical procedure was applied for water analysis, decreasing the amount of waste generation by minimizing the amount of solvents employed, 100 µL/run instead of 10 mL/run for conventional liquid chromatography, which uses flow rates around 1 mL/min and considering a retention time for nitrate of around 10 min. A goal of this work was also to demonstrate that minimizing sample treatment by online IT-SPME by using a fused silica capillary, and miniaturizing the system can remarkably improve the greenness and sustainability of an analytical method, maintaining or even improving its figures of merit as detection limits and applicability to different water matrices with different levels of nitrite and nitrate, between 0.01–0.9 mg/L and 1.56–93 mg/L, respectively. The proposed IT-SPME-IE-CapLC-DAD approach proposed here for the first time is a good choice for the direct analysis of environmental waters. The quantitative performance of the proposed method is suitable in terms of linearity and precision, LODs, and selectivity, besides the absence of the matrix effect for both anions. The precision of the retention times is also remarkable for standards and samples.

**Author Contributions:** Conceptualization, P.C.-F.; methodology, H.R.R.-J., N.J.-M. and P.C.-F.; validation, H.R.R.-J.; investigation, H.R.R.-J., N.J.-M. and P.C.-F.; writing—original draft preparation, H.R.R.-J.; writing—review and editing, P.C.-F.; supervision, P.C.-F.; funding acquisition, P.C.-F. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** Data are included in the paper.

**Conflicts of Interest:** The authors declare no conflict of interest.

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## Review

# Geochemical Indicators for Paleolimnological Studies of the Anthropogenic Influence on the Environment of the Russian Federation: A Review

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**Abstract:** Lake sediments are a reliable source of information about the past, including data of the origin of water bodies and their changes. Russia has more than 2 million lakes, so paleolimnological studies are relevant here. This review deals with the most significant studies of sequential accumulation of pollutants, including heavy metals in recent lake sediments in Russia. The key areas are northwestern regions of Russia (Murmansk Region, the Republic of Karelia, Arkhangelsk Region), the Urals (Chelyabinsk Region, the Republic of Bashkortostan), and Siberia. The review presents the data of pollutants accumulation, the sedimentation rate in lakes in the anthropogenic period, and the key sources of pollution of the environment in each of the mentioned regions. The article is divided into three parts (sections): industrial areas, urbanized areas, and background (pristine) areas so that readers might better understand the specifics of particular pollution and its impact on lake ecosystems. The impact of metallurgical plants, mining companies, boiler rooms, coal and mazut thermal power plants, transport, and other anthropogenic sources influencing geochemical characteristics of lakes located nearby or at a distance to these sources of pollution are considered. For instance, the direct influence of factories and transport was noted in the study of lake sediments in industrial regions and cities. In the background territories, the influence of long-range transport of pollutants was mainly noted. It was found that sedimentation rates are significantly lower in pristine areas, especially in the Frigid zone, compared to urbanized areas and industrial territories. In addition, the excess concentrations of heavy metals over the background are higher in the sediments of lakes that are directly affected by the source of pollution. At the end of the article, further prospects of the development of paleolimnological studies in Russia are discussed in the context of the continuing anthropogenic impact on the environment.

**Keywords:** freshwater ecosystems; lake sediments; human impact; heavy metals; Russia; Arctic

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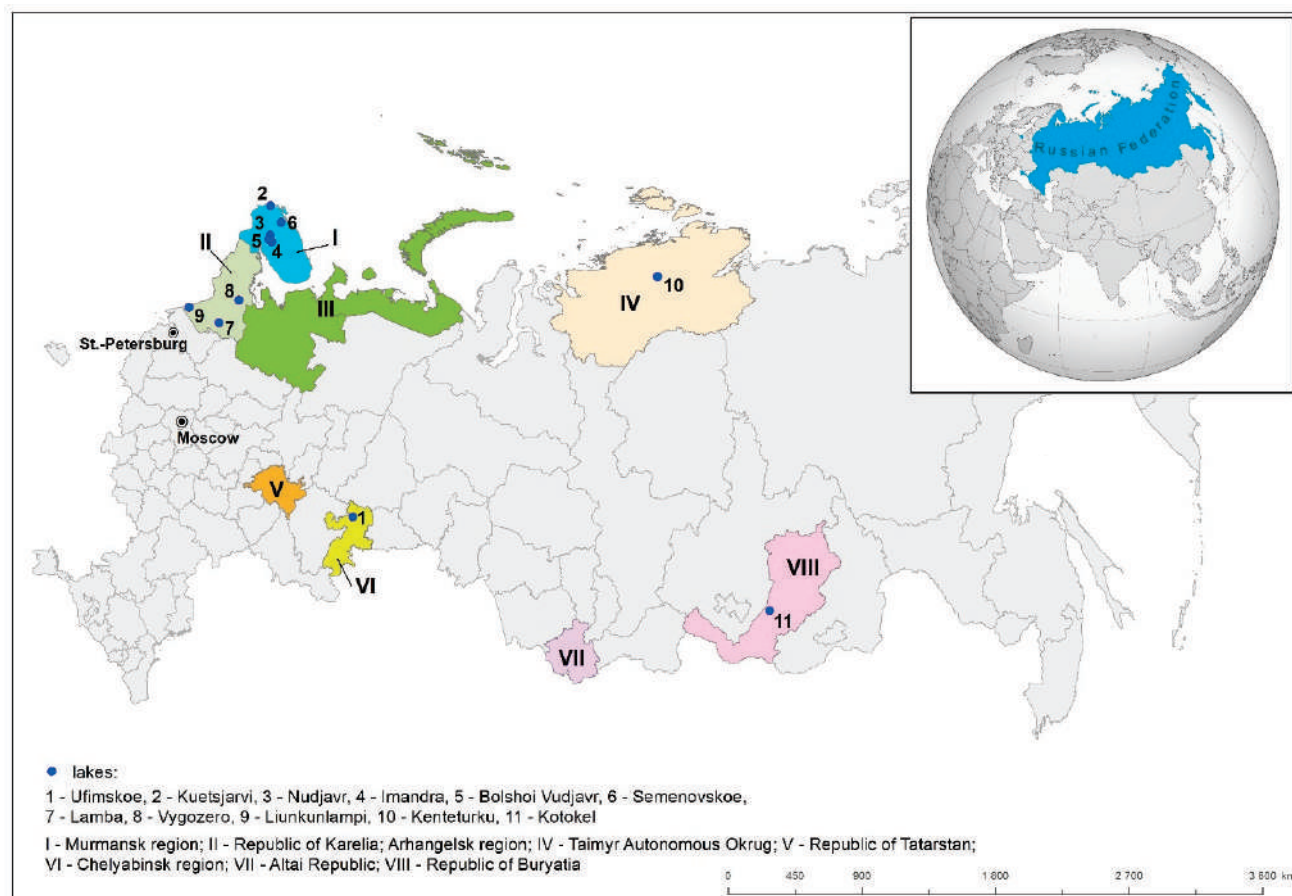
## 1. Introduction

The anthropogenic impact on the environment for the last two or three centuries is an indisputable fact. One of the well-known manifestations of this process is chemical pollution, reflected in the increased concentrations of various elements and substances in the main environmental components—air, soil, surface and underground waters, sediments of water bodies, and living organisms. Heavy metals and metalloids are among the most dangerous environmental pollutants, as their compounds are quite stable, can exhibit toxic properties, migrate along trophic chains from abiotic components of ecosystems to biota, and accumulate in sediments, soils, tissues, and organs of organisms [1–4]. Paleo-archive methods allow for simultaneous analysis of the current state of the environment and the historical trends often under the influence of the anthropogenic factors. Environmental archives often examined for anthropogenic contamination include ice and tree cores, and peat and lake sediments cores [5–9]. Lake sediments can best perform the present and past environmental assessments of anthropogenic metal contamination, as lakes sediments act

as a passive sampler of the environment, can be readily dated with radiometric methods, and are generally common in the vicinity of urban and industrial centers.

All over the world, researchers conduct paleolimnological reconstructions based on the detailed (layer-by-layer) study of sediment cores of the lakes, thus restoring the main stages of the anthropogenic influence on the studied water bodies and their surrounding areas [5,10–14]. Such works are certainly widely developed in Russia, where there are more than 2 million lakes with a surface area of ~350 thousand km<sup>2</sup> (excluding the Caspian sea). Paleolimnological studies and reconstructions are especially relevant for regions with large industrial histories (the Southern Ural, Murmansk Region, Western Siberia) [15–17]. Besides, the close location of the aquatic ecosystems to the direct sources of the anthropogenic emissions is important for such research. Therefore, paleolimnological studies are either impossible or barely conducted in the regions with a small number of lakes or in inaccessibility areas.

This review aims to highlight the main paleolimnological studies of the anthropogenic impact on the environment of the Russian Federation, published so far in Russian (in most cases) and English in scientific journals, books, and theses. This is extremely important, since, for example, this review of studies of natural archives [18] is not full without the data of Russian scientists. The focus was on the regions of Russia, where paleolimnological studies based on the analysis of the accumulation dynamics of heavy metals and metalloids in recent sediments have long been a part of the environmental monitoring system. These are the regions of Northwest Russia, the Southern Ural, and Siberia (Figure 1).



**Figure 1.** A map with the designation of lakes and key regions of paleolimnological studies. The main characteristics of water bodies from the map are in Table 1.

**Table 1.** Main parameters of water bodies are shown on the map in Figure 1. Note: n/d—there are no data.

Water Bodies	Area	Coordinates	Square, km <sup>2</sup>	Depth, m	
				Maximum	Average
Lake Ufimscoe	Chelyabinsk Region	60.11862, 55.52231	0.89	3.5	1.1
Lake Kuetsjarvi	Murmansk Region	30.16771, 69.43524	17.00	37.0	n/d
Lake Nudjavr		32.88535, 67.92346	3.97	2.0	1.6
Lake Imandra		33.08029, 67.64688	876.00	67	13.3
Lake Bolshoi Vudjavr		33.67456, 67.63246	3.49	38.6	n/d
Lake Semenovskoe		33.09001, 68.99101	0.21	11.3	2.4
Lake Lamba	Republic of Karelia	34.24950, 61.80713	0.01	5.2	3.4
Vygozero Reservoir		34.69694, 63.59750	1270	25	7.1
Lake Liunkunlampi		29.87730, 61.49913	0.1	6.8	3.6
Lake Kenteturku	Krasnoyarsk region	96.43925, 73.46444	2.5	20	10
Lake Kotokel	Republic of Buryatia	108.15000, 52.81667	70	14	4.25

## 2. Materials and Methods

Publications, including articles, conference materials, books, and chapters in books published so far to the end of 2021, studied by the author, were used to prepare the review. The main criterion for using publications was the presence of the data on the studies of cores (up to 1 m) sediments of lakes and water bodies with the analysis of the layer-by-layer distribution of chemical elements (mainly heavy metals) and/or isotopes of <sup>210</sup>Pb or <sup>137</sup>Cs in these cores. Although the most studied materials were published in Russian language, they are still important for world science, as researchers have been using methods recognized in paleolimnology for studying geochemistry and the age of sediments of water bodies. This review will allow scientists from all over the world who do not speak Russian to become better acquainted with these studies, considering that Russia is a country with one of the largest number of lakes in the world, and thus has some of the largest numbers of limnological studies which should be known and recognizable. All the publications in Russian are marked in References as (in Russian).

Another criterion for choosing publications was dividing recent sediments cores by researchers into layers no more than 5 cm, with a few exceptions of 10 cm. Personal experience shows that larger layers do not allow for accurately assessing the impact of sources of anthropogenic emissions on the aquatic ecosystem. The best option is to divide cores into 1–2 cm layers, however, studies where cores were divided into 3–10 cm layers were also included in the review. Besides, the review focused on studying lake ecosystems, with rare exception being reservoir ecosystems. This choice resulted from the fact that the water bodies with relatively stagnant water are best suitable for paleolimnological reconstructions as sedimentary material does not mix, and thus accumulates more sequentially, which allows for accurately fixing various changes in the water body and its catchment area. River sediments were excluded from the review as sedimentary material in rivers accumulates in a dynamic environment constantly mixing, which can provide only a general picture of sediment geochemistry. Marine sediments were also excluded since the sedimentation rate in seas, oceans, and large marine water bodies at all is usually very low, which does not allow for fixing point changes in the geochemistry of recent sediments over the last 100–300 years.

Concentrations of chemical elements in the article are presented in mg/kg. If concentrations could be taken only from charts, graphs, or figures, then approximate concentrations were used. All figures in this review are made by the author, and are made either on the basis of the numerical data from publications or the charts from the same works. In this

case, there is no copyright infringement as charts were not copied—they were taken from open access sources and then remade either to another format or using other software for illustrations. In special cases, the researchers gave permission to use their data.

### 3. Results and Discussion

#### 3.1. Industrial Areas

##### 3.1.1. Ural Region

Chelyabinsk and Murmansk Regions are some of the most industrially developed regions of Russia. There are metallurgical plants for mining and processing copper and copper–nickel ores in both regions. There are also a large number of lakes subject to substantial pollution due to operations of these industrial enterprises in these regions [19–21]. Karabashmed (Karabashskiy Copper-Smelting Plant) (the city of Karabash, Figure 2), producing blister copper, has been operating in Chelyabinsk Region since 1910. Many paleolimnological studies assessing the dynamics of pollutants in water bodies of the Chelyabinsk Region have been conducted in the impact area of this plant. For instance, the analysis of dynamics of heavy metals and stable  $^{210}\text{Pb}$  isotopes behavior in the core of recent sediments of Lake Serebry located 4 km from Karabashmed showed increased concentrations of Cu (up to ~6000 mg/kg, while background level is about 50 mg/kg), Zn (up to ~6000 mg/kg, background is ~70 mg/kg), Pb (up to ~2000 mg/kg, background is ~20 mg/kg), and Mn (up to ~1000 mg/kg, background is ~410 mg/kg) in the upper layers compared to the lower ones [16,22,23]. The increase in concentrations of these metals started according to different references at a depth of 50–80 cm, likely corresponding to the start of the plant operations. The average sedimentary rate in Lake Serebry in the industrial period was 4.8 mm/year [22]. However, more recent data show that this value can be higher, up to ~9 mm/year (calculated based on data from [16]).

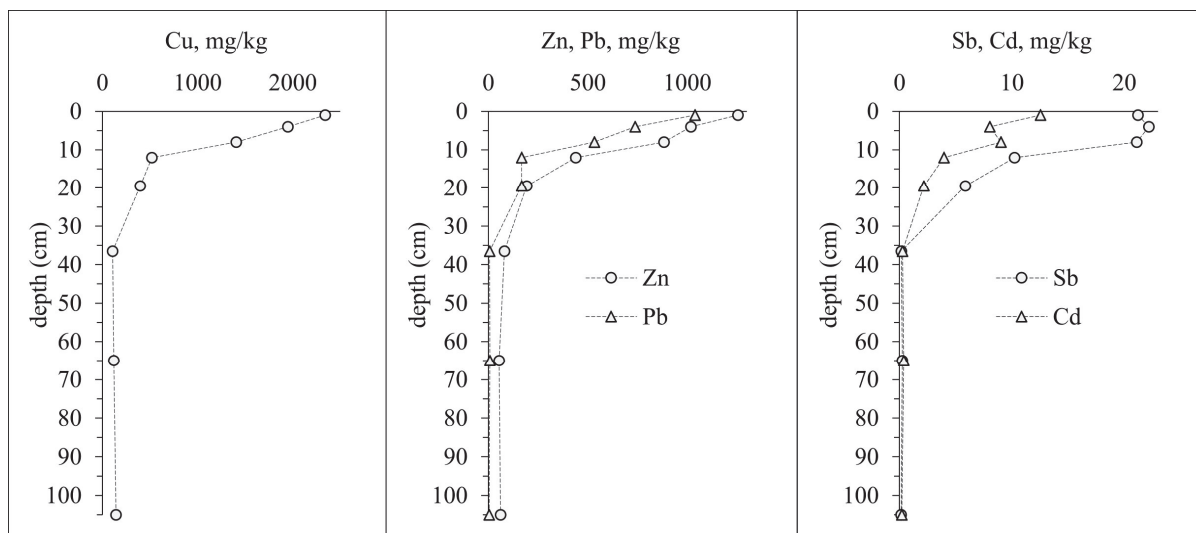


**Figure 2.** The view of Karabashskiy Copper-Smelting Plant (photo by the author).

Similar trends of heavy metals (Cu, Zn, Pb, Sb, Cd) can also be seen in sediments of other lakes located in the impact area of Karabashskiy Copper-Smelting Plant [19,23]. For instance, this is well-demonstrated in the example of Lake Ufimskoe located 7 km from the plant (Figure 3). The uppermost layers of lake sediments are enriched with Cu



(up to 2341 mg/kg while the background level is ~120 mg/kg), Zn (up to 1256 mg/kg, background is ~54), Pb (up to 1039 mg/kg, background is ~8), Sb (up to 21 mg/kg, background is ~0.3), and Cd (up to 13 mg/kg, background is ~0.4) [19]. These metals are closely related to the copper-smelting plant operations. Other elements (e.g., V, Co, Li, rare earth elements, etc.) did not have a similar tendency towards an increase in the upper layers compared to the lower ones, which indicates that their origin in sediments is not related to the anthropogenic impact on the water body [19].



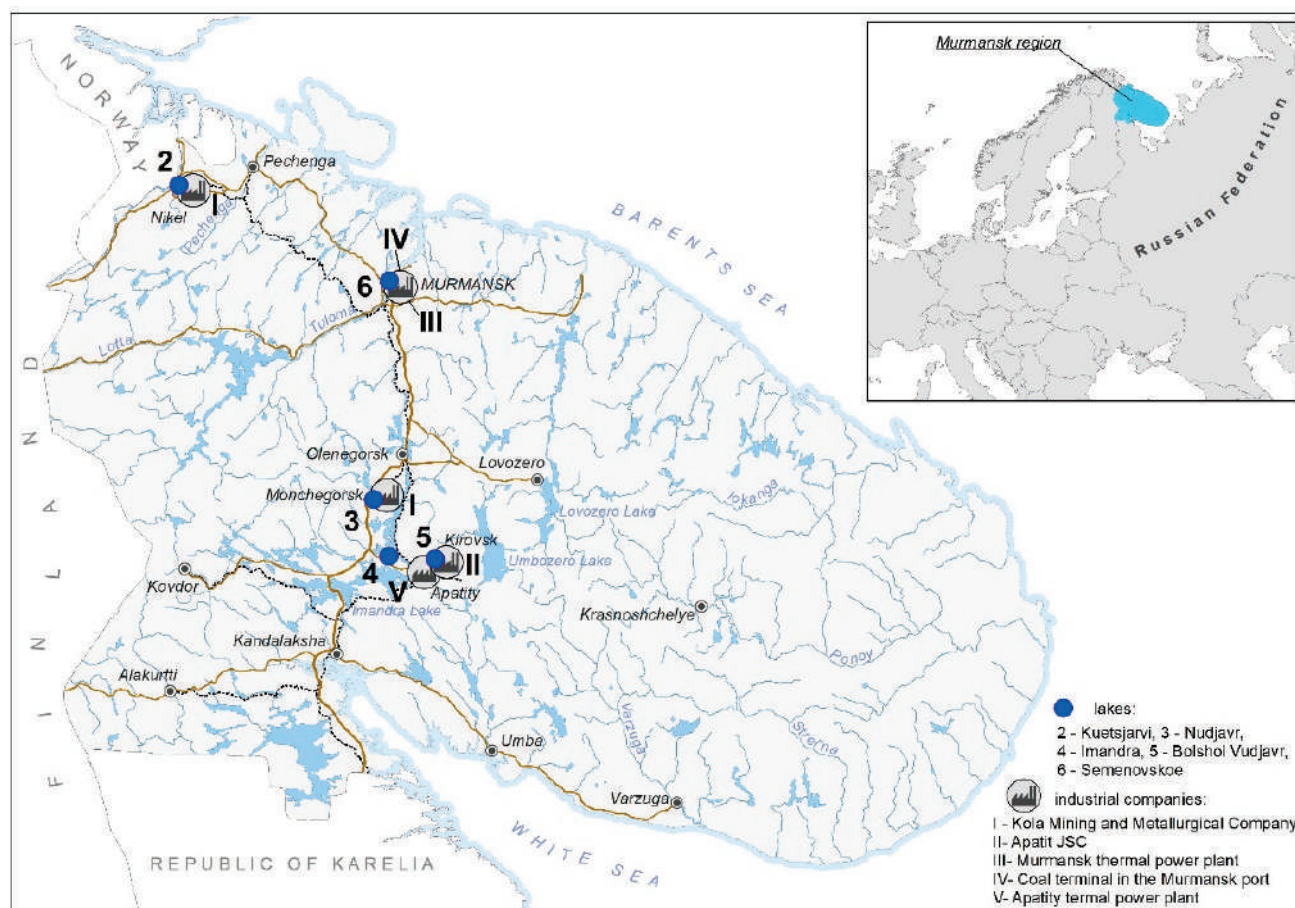
**Figure 3.** The vertical distribution of heavy metals in sediments of Lake Ufimscoe (Chelyabinsk Region) [19].

Scientists from the Institute of Mineralogy, Ural Branch of the Russian Academy of Sciences, revealed the similar dynamics of accumulation of heavy metals in Lake Syrytkul (~30 km from the plant) and Lake Turgoyak (~40 km from the plant) [22,23]. However, total values of Cu, Zn, and Pb concentrations in the upper layers of sediments of these lakes were lower than in Lake Serebry and Lake Ufimscoe. It was noted that the concentration of Cu reached 800 mg/kg, Zn—260 mg/kg, Pb—200 mg/kg in sediments of Lake Turgoyak, where the sedimentation rate was 1.7 mm/year [22]. Thus, there is a tendency towards decreasing concentrations of heavy metals in lake sediments with increasing distance to Karabashskiy Copper-Smelting Plant. In the north of Chelyabinsk Region, 100 km from the city of Karabash, Cu concentrations in recent sediments (0–16 cm) of Lake Itkul varied from 58 to 91 mg/kg, Zn from 94 to 228 mg/kg, and Pb from 20 to 64 mg/kg [19]. However, researchers include these three elements and Cd, Bi, Sb, Co, and Te in the anthropogenic geochemical association of studied sediments of Lake Itkul, as there is a stable tendency towards their increased concentrations in upper layers compared to the background level.

Furthermore, in the Urals (the Republic of Bashkortostan), Cu, Zn, Co, and Ni were also studied in recent sediments of Lake Bolshye Uchaly subject to the Uchaly geotechnical system (the city of Uchaly) [24]. Paleolimnological studies indicated that due to massive quarry blasting in the 1970–1980s and aerial dust from the processing plant, upper layers of sediments aged 40 years of Lake Bolshye Uchaly were enriched with Zn (up to ~6000 mg/kg, while the background level is ~100 mg/kg), Cu (up to ~600 mg/kg, background is ~200 mg/kg), Ni (up to ~45 mg/kg, background is ~27 mg/kg), Co (up to ~15 mg/kg, background is ~10 mg/kg), and Cd (up to ~4 mg/kg). Scientists associate these processes not only with the mine and the concentrating plant operations but also with transport emissions, which is reflected in increased concentrations of Pb (up to ~70 mg/kg, while the background level of Pb in lake sediments of Ural region is 21 mg/kg) in sediments of this water body [24].

### 3.1.2. Murmansk Region

The main sources of pollution in Murmansk Region (Figure 4) are two plants of Kola Mining and Metallurgical Company (Kola MMC), located near the city of Monchegorsk—“Severonickel” combine (the central part of the region) and the urban-type settlement of Nikel—“Pechenganickel” combine (the northwestern part of the region, near the Norway–Russia border) [20]. As the company deals with the mining and processing of copper–nickel ore, the key pollutants of lakes nearby are heavy metals Ni and Cu. Both combines started operating in the 1930s, which caused a significant anthropogenic load on terrestrial and aquatic ecosystems nearby [25–27].



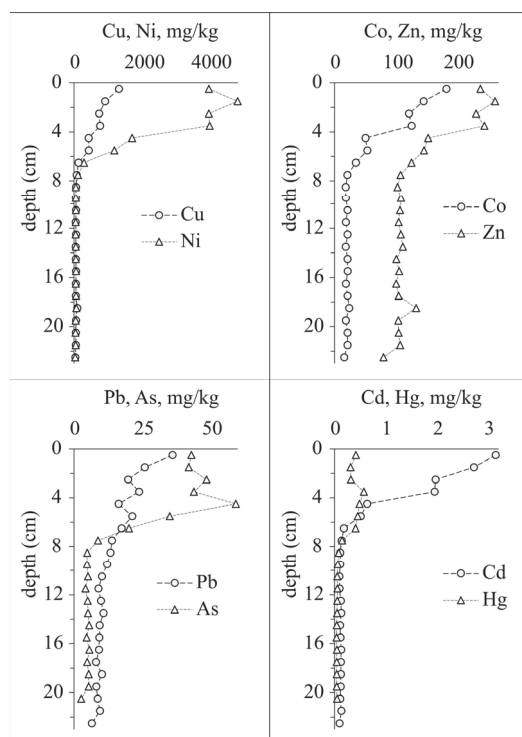
**Figure 4.** A map of Murmansk region with key lakes, cities, and industrial companies that are noted in the article.

In the area of Nikel, paleolimnological studies of the anthropogenic load on the aquatic ecosystems were mostly focused on lakes of the Pasvik river system. The largest lake, on the banks of which the plant operates (Figure 5), is Lake Kuetsjarvi. Researchers in Institute of the North Industrial Ecology Problems of Kola Science Center of Russian Academy of Sciences have been conducting environmental monitoring of this water body for about 30 years [27]. Studies showed that the upper 10–15 cm of sediments of Lake Kuetsjarvi were polluted with heavy metals [28,29]. The sedimentation rate in the lake varied from 1.5 to 3 mm/year depending on the study area [29]. The increases in concentrations of Ni (up to 4892 mg/kg, while background level is 32 mg/kg), Cu (up to 1496 mg/kg, background is 40 mg/kg), Zn (up to 301 mg/kg, background is 80 mg/kg), Co (up to 184 mg/kg, background is 16 mg/kg), Cd (up to 3.14 mg/kg, background is 0.10 mg/kg), Pb (up to 45.7 mg/kg, background is 6.6 mg/kg), As (up to 59.3 mg/kg, background is 2.6 mg/kg), and Hg (0.57 mg/kg, background is 0.05 mg/kg) were noted at all studied sites of Lake Kuetsjarvi (Figure 6), which is related to the start of the metallurgical plant operations

in the 1930s. In 2020, the melting shop stopped working, which will probably lead to a decrease in the anthropogenic load on the lake. However, due to the pollution of soils around the water body with heavy metals, pollutants will continue to enter the Kuetsjarvi.



**Figure 5.** The view of Kola Mining and Metallurgical Company, “Pechenganickel” combine (photo by the author). Lake Kuetsjarvi is in the foreground.



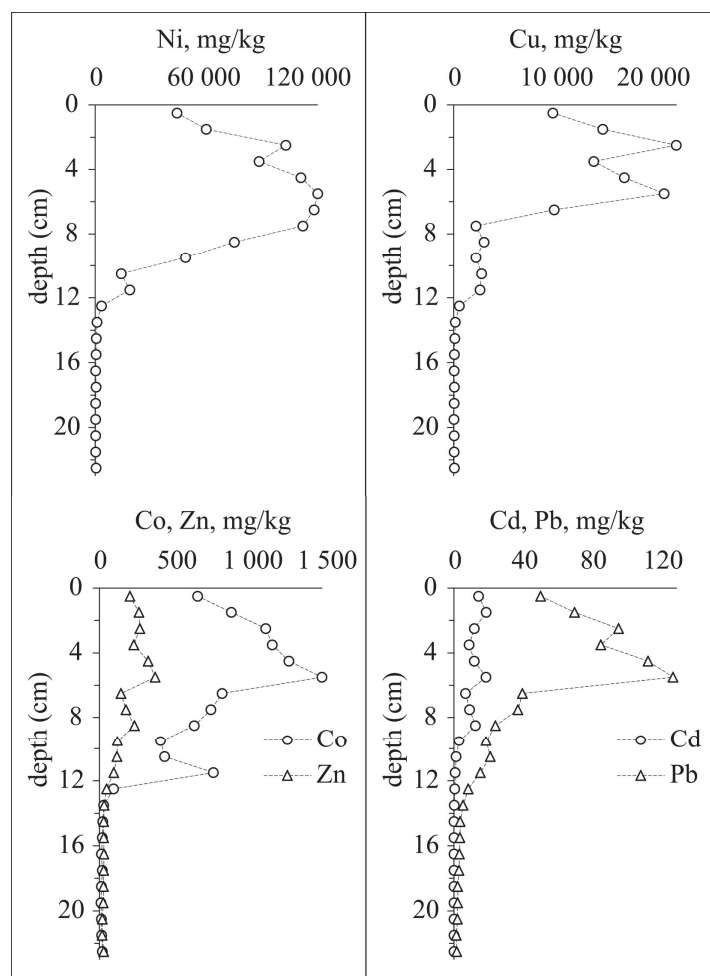
**Figure 6.** The vertical distribution of heavy metals in sediments of Lake Kuetsjarvi (Murmansk Region) [28,29].

Similar tendencies of accumulation of heavy metals in upper layers of sediments were also noted in other lakes located in the northwestern part of Murmansk Region and in the lakes of the north of Finland and Norway, also subject to the influence of Kola MMC (“Pechenganickel” combine). For instance, increased concentrations of Ni (up to

373 mg/kg, while background level is 45 mg/kg), Cu (up to 185 mg/kg, background is 38 mg/kg), and Co (up to 35 mg/kg, background is 21 mg/kg) were found in the upper layer of sediments of Lake Bjørnevatn located ~10 km to the north from the metallurgical plant [30,31]. Only the uppermost 4 cm of sediments were polluted with both heavy metals, which indicated the low sedimentation rate in this water body (~1 mm/year). In total, based on the data on the distribution of radionuclides  $^{137}\text{Cs}$  and  $^{210}\text{Pb}$  in sediment cores in the area of borders of Russia, Finland, and Norway, the average sedimentation rates varied from 0.65 to 3 mm/year [30,32]. In the sediment core of Lake Rabbvatnet (Norway) located ~40 km to the north from the metallurgical plant Ni concentrations reached ~250 mg/kg, Cu—~300 mg/kg, and Co—~12 mg/kg despite the distance [32]. As in other studied lakes, the increases in the content of mentioned heavy metals in sediments of this lake were found in layers dating to the 1920–1930s, and maximum concentrations were fixed in the 1970–1980s due to the most intensive work of the plant and the highest atmospheric emissions of pollutants. The impact of Kola MMC was also noted in the lakes of the north of Finland [33]. For instance, a slight increase in the concentrations of Ni (from ~14 to ~20 mg/kg) and Cu (from ~18 to ~23 mg/kg) was fixed in the uppermost 2–3 cm of sediments of Lake Vassikajarvi, despite the fact that this water body is located ~150 km from the direct source of pollution. Therefore, similarly to research in Chelyabinsk Region, limnological studies in the northwest of Murmansk Region and in the border area showed a tendency towards decreasing concentrations of main pollutants from the metallurgical plant in the upper layers of lake sediments with increasing distance from the industrial enterprise. The negative impact of emissions from the second combine of Kola MMC located near the city of Monchegorsk was also well studied on the example of lakes, including Lake Imandra, which is the largest water body in Murmansk Region [34,35]. The metallurgical plant in Monchegorsk (“Severonickel” combine) started operating in the 1930s refining copper–nickel ore. By mass, these elements (Ni and Cu) are the main pollutants of the local environment. One of the most polluted water bodies of this region is Lake Nudjavr, receiving waste and mine water from the combine [21,36]. Figure 7 illustrates that due to the impact of the metallurgical plant there was an increase in concentrations of Ni from 191 to 129,916 mg/kg and Cu—from 34 to 22,965 mg/kg in sediments (0–13 cm) [21]. The increases in content of Co (up to 1498 mg/kg, while background level is 12 mg/kg), Zn (up to 376 mg/kg, background is 19 mg/kg), Cd (up to 18.8 mg/kg, background is 0.1 mg/kg), and Pb (up to 127.7 mg/kg, background is 1.4 mg/kg) were also noted. Moreover, there were some of the highest concentrations of chalcophile elements (Cd, Pb) of all lakes of Murmansk Region in this water body, which is related to the fact that pollutants enter Lake Nudjavr not only by air and through polluted soil, but also directly with wastewater from Kola MMC.

Studies of other lakes located in the impact zone of atmospheric emissions from the metallurgical plant [34] showed that there were similar dynamics of increased concentrations of heavy metals in all lakes, despite the different distances (from 7.5 to 12 km) from the source of pollution. Depending on the water body, the increases in the content of pollutants were found at depths of 10–15 cm, which indicated that the sedimentation rate in these lakes was ~2.3 mm/year. The concentrations of heavy metals in these lakes were by an order or even several orders of magnitude lower than in sediments of Lake Nudjavr and varied from ~500 to ~2200 mg/kg for Ni, from ~150 to ~1100 mg/kg for Cu, and from ~35 to ~115 mg/kg for Co [34]. The lowest concentrations of mentioned metals were found in Lake Pagel, located 12 km from the metallurgical plant.

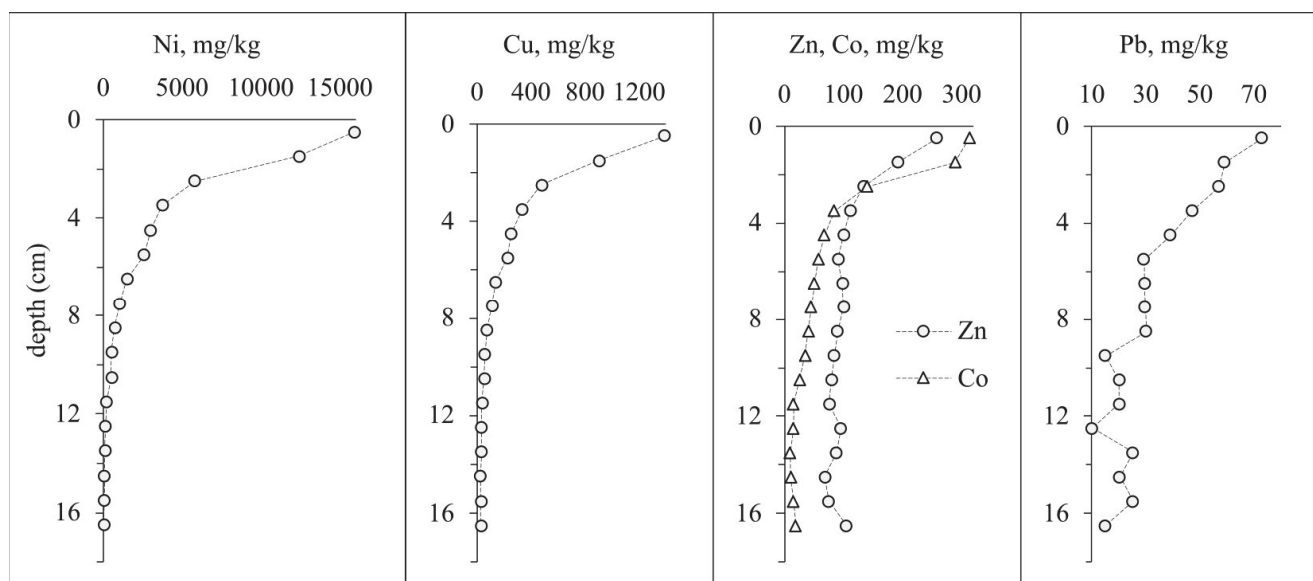




**Figure 7.** The vertical distribution of heavy metals in sediments of Lake Nudjavr (Murmansk Region) [21].

Lake Imandra, on the bank of which the city of Monchegorsk is located, is also subject to the impact of Kola MMC. The most polluted area is Monche Bay, the part of the lake near the city and the metallurgical plant [35]. Here, the increases in concentrations of heavy metals (Ni, Cu, Co, Zn и Pb) were fixed at a depth of 10 cm, which corresponds to the start of operating of the combine in the late 1930s. The maximum contents of almost all mentioned pollutants were found in the uppermost layer of sediments (0–1 cm): ~16000 mg/kg for Ni, ~1400 mg/kg for Cu, ~315 mg/kg for Co, ~260 mg/kg for Zn, and ~75 mg/kg for Pb (Figure 8). Paleolimnological studies revealed that there are similar dynamics of behavior of main pollutants from the metallurgical plant in another part of Lake Imandra, Kunchast Bay, located ~100 km from Kola MMC [35], which may be related to both atmospheric and aquatic transport of substances in the largest water body of Murmansk Region. However, total values of heavy metals concentrations in sediments of Lake Imandra in the area of Kunchast Bay were lower than in the area of Monche Bay. Thus, the maximum content of Ni in Kunchast Bay sediments was ~300 mg/kg, Cu—~120 mg/kg, Co—~25 mg/kg, Zn—~130 mg/kg, Pb—~36 mg/kg. Moreover, the increase in concentrations of pollutants began at a depth of 5 cm. Therefore, according to the knowledge of the timing of smelting/mining operations in the studied region, the sedimentation rate in these areas of Lake Imandra varied from ~0.8 to 1.6 mm/year.

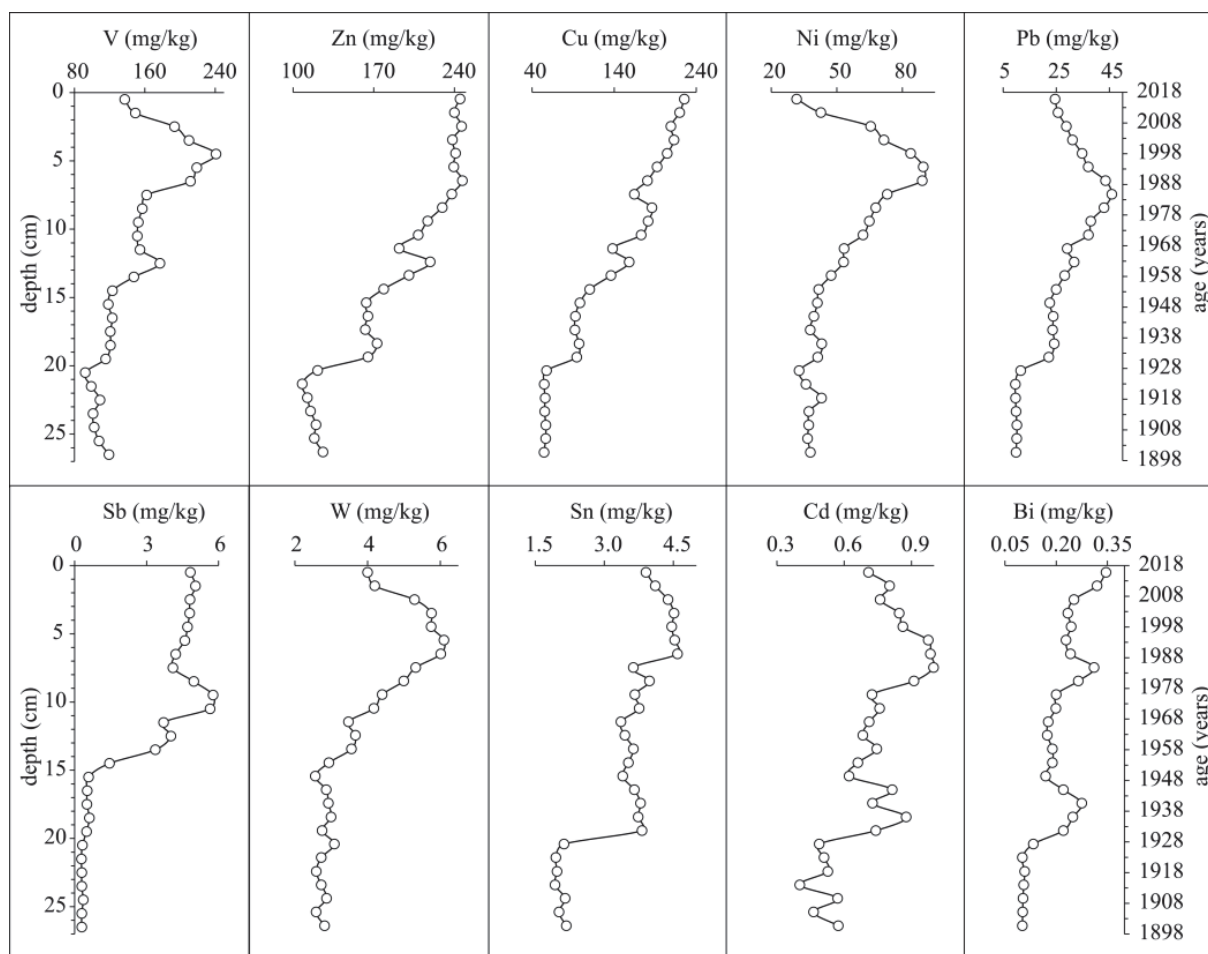




**Figure 8.** The vertical distribution of heavy metals in sediments of Lake Imandra (Murmansk Region) [35].

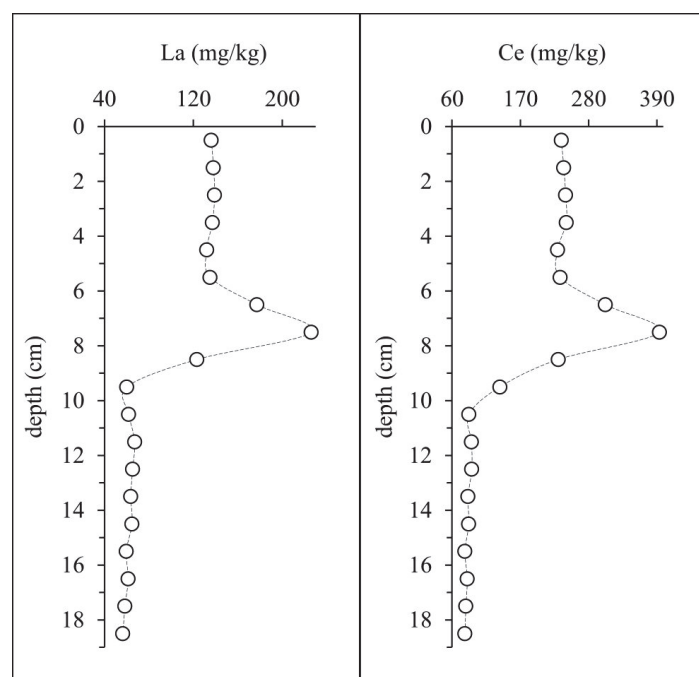
Another large industrial enterprise of Murmansk Region is Apatit JSC in Kirovsk, mining apatite-nepheline ore from the Khibiny deposit. Studies reported that wastewater and dust emissions from Apatit JSC played a significant role in the pollution of lakes located near this enterprise and its mines. The paleolimnological studies of Lake Bolshoi Vudjavr and Lake Imandra are the most illustrative [37–39]. Lake Bolshoi Vudjavr is the largest water body of the Khibiny Massif. This water body is mostly influenced by wastewater from mines. There were the increases in concentrations of P (up to ~15,000 mg/kg, while background level is less ~1000 mg/kg), Ca (up to ~76,500 mg/kg, background is ~1500 mg/kg), Sr (up to ~2900 mg/kg, background is ~400 mg/kg), Pb (up to 45.9 mg/kg, background is 9.7 mg/kg), and Cu (up to 225 mg/kg, background is 55 mg/kg) in upper layers of sediments of Lake Bolshoi Vudjavr [37,39–41], which is related to the composition of apatite-nepheline ore and also the influence of the city and the long-range transport of pollutants, including those from the metallurgical combine in Monchegorsk located ~45 km from this lake [42]. Recent studies of sediments of Lake Bolshoi Vudjavr have confirmed previously received data, broadened the range of identified elements, and specified the sedimentation rate in the lake [39,41]. Based on the data on the vertical distribution of the  $^{210}\text{Pb}$  isotope, the sedimentation rate in the water body was 2.3 mm/year. Figure 9 illustrates that the increase in concentrations of heavy metals started in the early 1930s when the city of Kirovsk and the mining and concentrating company were founded. The majority of pollutants (Pb, Cu, Zn) enter the water body with wastewater from mines. However, V is probably related to the operations of boiler room, functioning until 2013, and has used heavy residual fuel oil (mazut). Additionally, increased contents of Sb and W in the sediments are related to the operations of the thermal power plant located ~10 km from the lake using coal as fuel [41].

Besides the anthropogenic impact on Lake Bolshoi Vudjavr, paleolimnological studies determined the natural geochemical anomaly of Mo in sediments of the studied lake [39,43]. It was shown that the sediment cores were enriched with Mo both in upper layers (up to 9.9 mg/kg) due to the influence of mine waters and lower layers (up to 15.1 mg/kg) due to the influence of underlying rocks with increased concentrations of this metal. Previously, the increased concentrations of Mo were found in rivers, streams, and industrial wastewater entering Lake Bolshoi Vudjavr [44].



**Figure 9.** The vertical distribution of heavy metals in sediments of Lake Bolshoi Vudjavr (Murmansk Region) [39,41].

The impact of the Apatit JSC operations was also revealed in Lake Imandra, the largest lake of Murmansk Region. This was reflected in the upper layers of sediments in the increased content of P, Ca, Sr, and rare earth elements [38], enriching rocks in the Khibiny Massif [45]. Paleolimnological studies determined the increase in concentrations of rare earth elements in sediments of Lake Imandra at a depth of 10 cm, which corresponds to the start of operating of the ore-processing plant. The highest concentrations of rare earth elements (up to ~240 mg/kg for La (background is 56.5 mg/kg) and up to ~400 mg/kg for Ce (background is 80.6 mg/kg)) in studied sediments date back to the 1970s, the period of the most active ore production (Figure 10) [38]. Even higher concentrations of rare-earth elements due to the activities of JSC Apatit were found in the upper layers of the sediments of Lake Bolshoi Vudjavr [39]. For instance, the detailed analysis of the sediment core of this lake revealed a tendency towards increased concentrations of La (up to 535 mg/kg, while minimum in the core is 84 mg/kg), Ce (up to 802 mg/kg, while minimum in the core is 128 mg/kg), Sm (up to 44 mg/kg, while minimum in the core is 7.3 mg/kg), and Eu (up to 13 mg/kg, while minimum in the core is 2). Obviously, due to the close proximity of Lake Bolshoy Vudjavr to the plant, the concentration of rare earth elements in the sediments of this lake is significantly higher than in Lake Imandra.



**Figure 10.** The vertical distribution of La and Ce in sediments of Lake Imandra (Murmansk Region) [38].

The studies of lakes of Murmansk Region, including Lake Imandra, located in the impact zone of Olcon JSC, mining, and processing iron-bearing ores, demonstrated the increase of the contents of Fe (up to 20%, while background level is ~3%) and Mn (up to 4%, background is ~1%) in the upper layers of studied sediments [46]. Moreover, the pollution of these lakes by Kola MMC was fixed using marker elements Ni, Cu, and Co with their increased concentrations in 0–10 cm layers similar to other studies. The average sediment rate in studied lakes was 1–2 mm/year, which is close to the average sediment rates in lakes of Murmansk Region in the industrial period [47].

### 3.1.3. Conclusions of Section 3.1

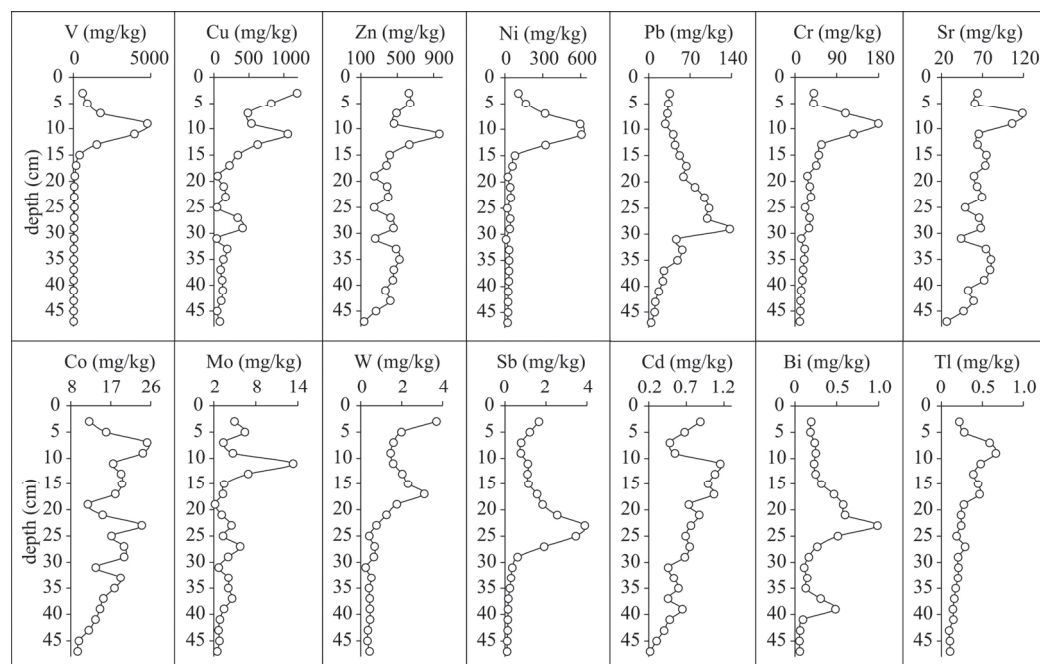
Anthropogenic influence is considered on the example of the Murmansk region and the Ural region (mainly the Chelyabinsk region). The impact on lake ecosystems from metallurgical enterprises and the mining industry is shown. Lake sediments formed during the 20th and 21st centuries are characterized by a significant level of enrichment in heavy metals (Ni, Cu, Zn, Co, Mo, Pb, Cd) and other elements (for instance, rare earth metals).

### 3.2. Urbanized Areas

A great number of potential sources of anthropogenic pollution are often concentrated in cities. These sources are industrial enterprises, all means of transport, road, and construction dust, and household waste [48–52]. Moreover, the long-range transport of pollutants influences the city areas similar to other (non-urban) areas. The targeted detailed paleolimnological studies of urban areas in Russia were conducted only by the author and his colleagues from the Institute of the North Industrial Ecology Problems of Kola Science Center of RAS and the Institute of Geology, Karelian Research Centre of RAS in Murmansk Region and the Republic of Karelia. It should be noted that these studies are still ongoing.

According to different monitoring services, the Republic of Karelia is one of the clean regions of Russia. There, the anthropogenic pollution of the aquatic environment is mainly related to urban areas and rarely to industrial areas [53]. The majority of paleolimnological studies of the anthropogenic impact on lakes were conducted in Petrozavodsk, the largest city of Karelia [54,55]. For instance, the detailed analysis of the sediment core of Lake Lamba located in the northern part of the city district revealed a tendency towards in-

creased concentrations of heavy metals, including Pb (up to 137 mg/kg, while background is 4 mg/kg), Cd (up to 1.2 mg/kg, background is 0.2 mg/kg), Ni (up to 607 mg/kg, background is 22 mg/kg), V (up to 4785 mg/kg, background is 17 mg/kg), Cr (up to 179 mg/kg, background is 10 mg/kg), Cu (up to 1189 mg/kg, background is 45 mg/kg), Zn (up to 963 mg/kg, background is 136 mg/kg), etc. (Figure 11). The analysis of concentrations of mentioned elements in lower (Holocene) layers of sediments showed that they were similar to the background, or even lower [56].



**Figure 11.** The vertical distribution of heavy metals in sediments of Lake Lamba (the Republic of Karelia) [55].

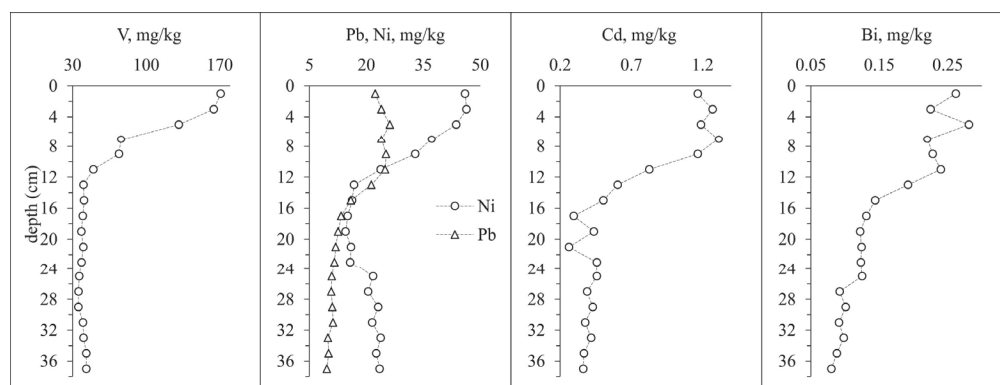
The analysis revealed that the lake was exposed to the multifactorial outside load. For example, the increased levels of V and Ni are related to the operations of the thermal power plant (Figure 12), which had been using mazut from 1978 until 2000 and then started using gas. Studies show that mazut boiler rooms and thermal power plants always induce increased concentrations of V and Ni in the environment [57,58]. The transition of the Petrozavodsk thermal power plant from mazut to gas resulted in a sharp decrease in concentrations of both heavy metals in the uppermost layers of sediments of Lake Lamba (Figure 11). The increase in concentrations of Zn, Cu, W, and Mo in sediments of the water body is associated with the operations of engineering and instrument-making plants [59], and Pb is related to transport, which had used leaded gasoline with tetraethyllead in Russia until 2002 [13,18,60,61]. Similar behavior of mentioned heavy metals was observed in the paleolimnological study of Lake Chetyrekhverstnoe, also located in the Petrozavodsk city area [54]. The exception was V and Ni behavior. Concentrations of these metals were significantly lower in sediments of Lake Chetyrekhverstnoe compared to Lake Lamba, as the first lake (the Chetyrekhverstnoe) is located 11 km from the thermal power plant and the other is 500 m from the plant. It is known that the range of transfer of particles from mazut thermal power plants and boiler rooms usually does not exceed ~15 km [62].



**Figure 12.** The view of the Petrozavodsk thermal power plant (the Republic of Karelia).

Based on the paleolimnological studies, in other cities of the Republic of Karelia (Medvezhyegorsk, Suoyarvi, Sortavala) the main pollutants are Pb, related to the transport activities and the long-range transport of pollutants [63], Sb and Cd, entering lakes due to fuel combustion all around the world [64], and rarely Cu, Zn, and Sn, which may be related to both transport and dust pollution of urban areas [65–67]. The main geochemical markers allowed for determining that the sedimentation rate in urban lakes of Karelia varied from 2 to 5 mm/year [66].

The impact of urbanized areas in Karelia was also shown in the analysis of geochemistry of sediments of Vygozero Reservoir located in the center of this region [68,69]. The increase in concentrations of V (up to 171 mg/kg, while background for Vygozero is 48 mg/kg), Ni (up to 46 mg/kg, background for Vygozero is 26 mg/kg), Pb (up to 26 mg/kg, background for Vygozero is 6.4 mg/kg), Cd (1.3 mg/kg, background for Vygozero is 0.7 mg/kg), and Bi (up to 0.28 mg/kg, background for Vygozero is 0.09 mg/kg) at depths of 16–24 cm was fixed in the sediment core sampled ~5 km from the city of Segezha (Figure 13). Considering that recent sediments of Vygozero Reservoir have formed in the last 80 years, the age of the studied core was no more than 30–40 years. Therefore, the newest anthropogenic processes relevant to the environment of Segezha were fixed in this case. For instance, the increased level of V and Ni accumulation is associated with emissions from the Mazut thermal power plant operating since the 2000s [69]. The increase in concentrations of Pb, Cd, and Bi in the uppermost layers of sediments of Vygozero Reservoir is evidence of the perpetual entering of pollutants into the area of the North of Russia due to the long-range atmospheric transport [70,71].

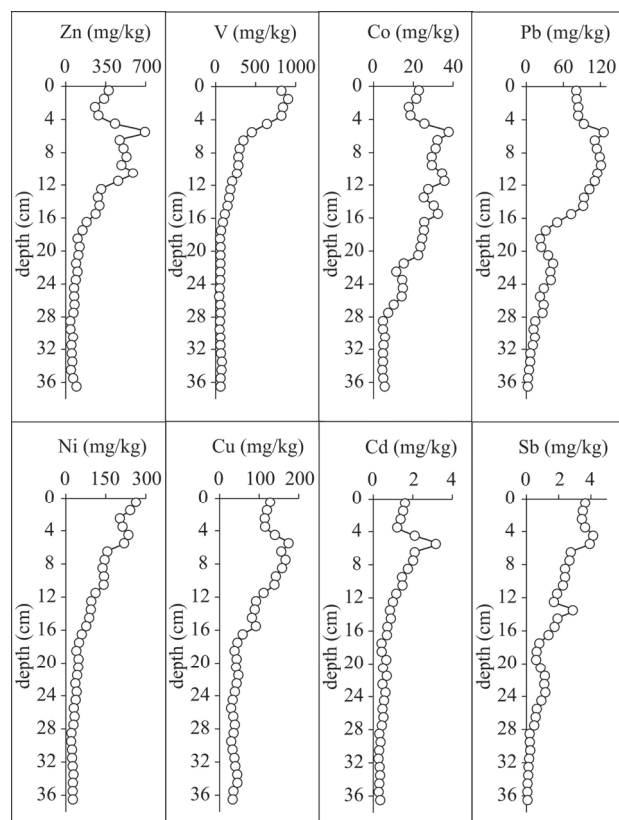


**Figure 13.** The vertical distribution of heavy metals in sediments of Vygozero Reservoir (Republic of Karelia) [69].



Murmansk Region is one of the most urbanized regions of Russia. However, paleolimnological studies in the city areas of this industrial region have not been conducted until recently, since they were focused mainly on the impact of the metallurgical plants and mining enterprises. Similar to Karelia, the urban lakes of Murmansk Region are subject to pollution from the energy industry, transport, and also the metallurgical plants mentioned before [52,72,73].

In the city of Murmansk, which is the capital of Murmansk Region, the analysis of the recent sediment core of Lake Semenovskoe (Figure 14) showed the increases in concentrations of heavy metals starting from a depth of 32 cm for Pb (up to 125 mg/kg, while background is ~4 mg/kg), 28 cm for Zn (up to 694 mg/kg, background is ~76 mg/kg), Co (up to 38 mg/kg, background is ~5 mg/kg), Ni (up to 263 mg/kg, background is ~27 mg/kg), Cd (up to 3.2 mg/kg, background is ~0.3 mg/kg), and Sb (up to 4.1 mg/kg, background is ~0.08 mg/kg), and 16 cm for V (up to 904 mg/kg, background is ~70 mg/kg). Studies [74,75] revealed that the Mazut thermal power plant and boiler rooms play a significant part in the pollution of Murmansk lakes with V and Ni, because mazut has been used at this enterprise since the 1960s as the main fuel [76]. Based on the dynamics of behavior of the two mentioned pollutants, it was determined that the average sedimentation rate in the lake in the industrial period was ~3 mm/year. Other metals are related to dust emissions from the coal terminal in the Murmansk port (Zn, Co, Pb, Cu, Cd, Sb), transport using leaded fuel (Pb) [5], the incineration plant, and also the influence of the long-range transport of pollution from the local plants and the plants located in other regions of Russia and other countries [64,77]. It should be noted that all studied lakes of Murmansk are characterized by similar dynamics of behavior of mentioned heavy metals [72].



**Figure 14.** The vertical distribution of heavy metals in sediments of Lake Semenovskoe (Murmansk Region) [52].

In addition, studies of the urban lakes of Murmansk showed that rare earth elements can also be indicators of technogenic impact on water bodies [78]. In the course of the work, the general dynamics of the accumulation of rare earth elements and «classical»

heavy metals in the upper layers of sediments of polluted lakes were established. Basically, rare earth elements enter aquatic ecosystems as a result of dust emissions (from transport, enterprises, wear of buildings and roads, destruction of soil cover and rocks) [49,79,80]. Similar patterns have not been established in the remote territories of the Murmansk region, since the described processes have a minimal manifestation there.

In Monchegorsk, the other city of Murmansk Region mentioned before, the main anthropogenic load on Lake Komsomolskoe comes from Kola MMC emissions [81]. The stable dynamics of increased concentrations of a wide range of heavy metals such as Ni (up to 2140 mg/kg, while the background is 89 mg/kg), Cu (up to 2607 mg/kg, background is 68 mg/kg), Cr (up to 335 mg/kg, background is 54 mg/kg), Zn (up to 335 mg/kg, background is 41 mg/kg), Co (up to 129 mg/kg, background is 4 mg/kg), V (up to 140 mg/kg, background is 35 mg/kg), Pb (up to 100 mg/kg, background is 8 mg/kg), Cd (up to 2.5 mg/kg, background is 0.4 mg/kg), Sb (up to 3.3 mg/kg, background is 0.2 mg/kg), etc., were fixed in recent sediments of this lake [82]. Similar tendencies of heavy metals behavior, shown earlier on the example of other lakes in the impact area of the plant, remain there, mainly because Lake Komsomolskoe is located 4 km from the metallurgical plant [34,35]. Besides, the impact of the Mazut thermal power plant located on the premises of the metallurgical plant was noted for the first time using marker element V. The average sedimentation rate, calculated using  $^{210}\text{Pb}$  isotope activity in this urban lake, was 2.7 mm/year [82]. The comparison of the age of sediments and the dynamics of behavior of heavy metals showed that the increase in main pollutants content began in the late 1930s when the plant near Monchegorsk started operating.

Other urbanized areas of Russia are poorly studied from the paleolimnological point of view. Unfortunately, despite the great activity of lake researchers in the Republic of Tatarstan and a large number of publications on the content of heavy metals in recent lake sediments [83–85], there are almost no studies with the detailed analysis (layers from 0 to 10 cm) of the vertical distribution of pollutants in sediment cores. There is only one example of such a study of the urban water body in the Republic of Tatarstan. In particular, the studies of the geochemistry of the sediment core 110 cm long of Lake Verkhny Kaban located in the city of Kazan revealed the anthropogenic impact on the lake by the vertical distribution of Pb (up to 45 mg/kg, minimum for the sediment core is 6.1 mg/kg), Cd (up to 4.7 mg/kg, minimum for the sediment core is 0.01 mg/kg), Cu (up to 176 mg/kg, minimum for the sediment core is 0.2 mg/kg), and Zn (up to 480 mg/kg, minimum for the sediment core is 1.4 mg/kg) [86]. The highest concentrations of mentioned heavy metals were found in the upper layers of sediments accumulated in the area of the discharge channel of the thermal power plant. Moreover, other industrial enterprises of Kazan use this channel for untreated water disposal.

### Conclusions of Section 3.2

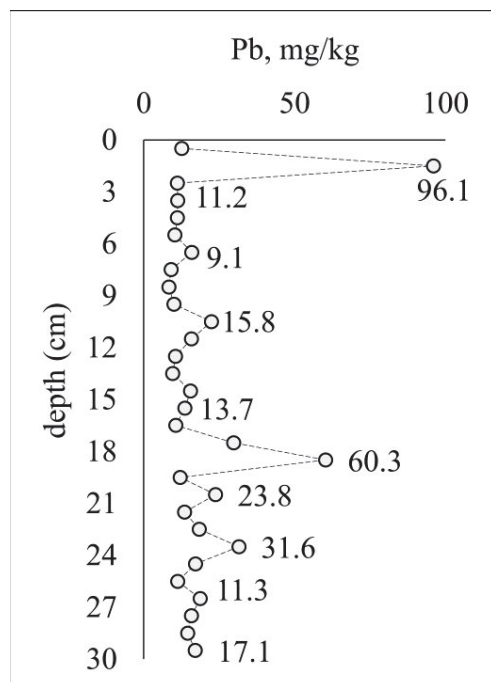
According to published data, paleolimnological studies of the anthropogenic impact of cities on the environment were carried out on the example of urbanized areas of the Republic of Karelia and the Murmansk region (north-west Russia). The pollutants of water ecosystems are industrial enterprises, thermal power plants, boiler houses, waste processing plants, and transport (primarily cars). In recent sediments of lakes, background excesses for V, Ni, Pb, Zn, Cu, Cd, and others have been established. In addition, it was found that lithophile elements that enter the environment with dust from the destruction of soil, road surfaces, and buildings can also be indicators of urban impact on water bodies.

### 3.3. Background (Pristine) Areas

The important part of paleolimnological studies in Russia is the study of lakes in the regions remote from the anthropogenic sources of pollution. To a certain extent, these regions can be considered as a background. First of all, this concerns the Arctic zone of the Russian Federation. The studies of the levels of heavy metals accumulation in sediments of

lakes in such areas are of interest, mainly in terms of the study of the long-range transport of pollutants [3,9,18,70,87].

Udachin V.N. and his colleagues conducted studies of the arctic lake Kenteturku located in the center of the Taimyr Peninsula [88]. Researchers sampled the sediment core 30 cm long and divided it into 1 cm layers. It was found that the lake is still practically pristine. There was no significant exceedance of heavy metals concentrations in the upper layers of studied sediments, except for Pb in the 1–2 cm layer (Figure 15).



**Figure 15.** The vertical distribution of Pb in sediments of Lake Kenteturku (the Arctic) [88].

First of all, it is interesting to note that there were no abnormal peaks of Ni and Cu concentrations, considering that the Norilsk industrial hub is located 550 km from the lake [89]. Emissions from the metallurgical plant are likely to not reach the studied area and Lake Kenteturku. The peak concentration of Pb (96 mg/kg), in contrast to the median content (14 mg/kg) throughout the sediment core, seems to be a measurement error on the one hand. However, on the other hand, taking into account possible extremely low sedimentation rates in the lake, the sharp increase in Pb concentrations may indicate the influence of the long-range transport of pollutants, which is typical for the recent lake sediments in the Northern Hemisphere [14,34].

The studies of the geochemistry of sediments of other arctic lakes located in the Yamal and the Gyda Peninsulas also did not show the significant dynamics of the majority of elements except for Hg [90]. In sediments of Lake Langtibeito, the concentration of this metal slightly increased to ~0.08 mg/kg, starting from a depth of ~10 cm. The sedimentation rate in lakes of mentioned areas was from 1.7 to 2.0 mm/year based on the  $^{210}\text{Pb}$  activity.

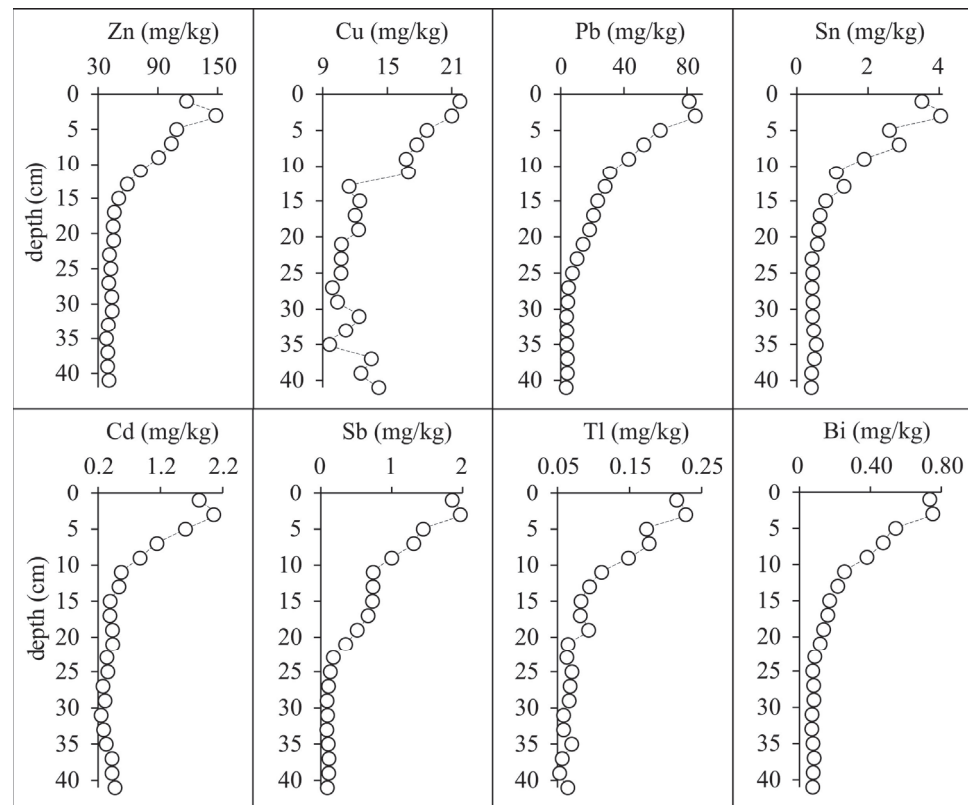
In the Murmansk Region, which also belongs to the Arctic zone, the studies of lakes of the background areas showed a tendency towards an increase in traditional pollutants from among chalcophile elements (Pb, Cd, Hg, As) and local pollutants Ni and Cu from Kola MMC emissions in the uppermost layers of sediments [34,91]. The increased concentrations of Ni (from 12 up to 111 mg/kg) and Pb (from 8 up to 36 mg/kg) in the uppermost layers (5–6 cm) of sediments were even found in the lakes located in the mountainous areas, which can act as a barrier for pollutants distribution [92]. The sedimentation rate in such lakes can be assessed as ~1 mm/year or less based on the marker pollutants. In Lake Umbozero, the second largest lake of Murmansk Region, concentrations of heavy metals in sediments increased from a depth of ~10 cm (typical for Pb, Cd, As) and ~5 cm (typical for Ni and

Cu) [14,93]. In total, both the largest lakes of Murmansk Region are characterized by similar patterns of accumulation of heavy metals, which are the main pollutants in the region.

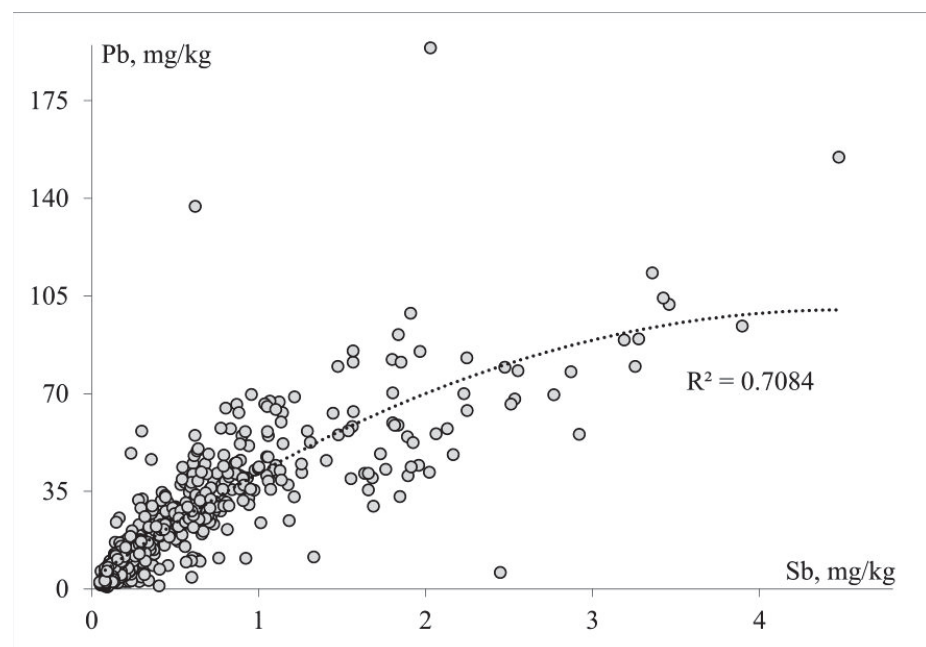
In recent years, when studying the lakes of Murmansk Region, a range of pollutants also indicating the long-range atmospheric transport has been extended due to the use of new methods for the analysis of microelements in sediments (ICP-MS) [94]. In lake sediments of pristine areas of the northern part of Murmansk Region (the area of the Rybachy Peninsula), the increased concentrations of Ni (up to 127 mg/kg, background is 25 mg/kg), Cu (up to 370 mg/kg, background is 35 mg/kg), Co (up to 40 mg/kg, background is 4.2 mg/kg), Pb (up to 82 mg/kg, background is 10 mg/kg), As (up to 6.3 mg/kg, background is 1.8 mg/kg), Sn (up to 32 mg/kg, background is 0.8 mg/kg), Bi (up to 1 mg/kg, background is 0.06 mg/kg), Sb (up to 0.5 mg/kg, background is 0.08 mg/kg), and Tl (up to 0.11 mg/kg, background is 0.09 mg/kg) were found. Paleolimnological studies of lakes in the south of Murmansk Region and the north of the Republic of Karelia showed that the range of transport of emissions from Kola MMC enterprises reached ~250 km [82]. The sedimentation rate in lakes of pristine taiga landscapes of Northwest Russia can be ~0.6 mm/year based on the  $^{210}\text{Pb}$  isotope [82].

Similar tendencies of the behavior of the above-mentioned heavy metals in lake sediments are found in two regions located to the south of Murmansk Region. These are the Republic of Karelia [54,95] and Arkhangelsk Region [96]. In the study of the geochemical analysis of recent sediments of Lake Maselgskoe (the south-west of Arkhangelsk Region), it was determined that the upper layers of sediments were enriched with Pb, Cd, Sb, Bi, and W. Particularly, the increase in concentrations of Pb (up to ~50 mg/kg) started at a depth of ~30 cm. The sedimentation rate was 4.1 mm/year based on the nonequilibrium  $^{210}\text{Pb}$  [96]. Other studied elements (for instance, Sc and Zn) do not tend to increase in the upper layers of sediments of Lake Maselgskoe, since they are not the agents of the long-range atmospheric transport.

The sedimentation rate in recent sediments of Lake Ukonlampi located in the south-eastern part of Karelia (near the Finnish–Russian border) was 1.25 mm/year based on the  $^{210}\text{Pb}$  activity [97,98]. The similar tendency towards increased concentrations of Pb (up to 91.1 mg/kg, background is 3.8 mg/kg), Cd (up to 2.69 mg/kg, background is 0.39 mg/kg), Sb (up to 1.97 mg/kg, background is 0.10 mg/kg), Sn (up to 5.34 mg/kg, background is 0.46 mg/kg), Tl (up to 0.84 mg/kg, background is 0.06 mg/kg), Bi (up to 4.06 mg/kg, background is 0.08 mg/kg), Cu (up to 51.2 mg/kg, background is 12 mg/kg), and Zn (up to 263.8 mg/kg, background is 40 mg/kg) was found in this lake and two water bodies nearby (Figure 16). It should be noted that pollution of these background water bodies might be associated not only with the global pollution of the Northern Hemisphere but also with the proximity of this region to industrial enterprises of Finland in Imatra and Kotka [99]. This explains the increased content of Zn and Cu, which usually are not categorized as indicators of the atmospheric transport in pollution of the North background regions. In total, the analysis of recent sediment cores of 30 small lakes of the south of Karelia and Vygozero Reservoir showed that the main pollutants in the region are due to the long-range atmospheric transport of Pb, Sb, Cd, Bi, and Tl [67,69]. The close correlation between concentrations of these metals (for instance, Pb and Sb, Figure 17) confirmed the unity of their entering to the aquatic ecosystem and accumulation in lake sediments.



**Figure 16.** The vertical distribution of Pb in sediments of Lake Liunkunlampi (the Republic of Karelia) [98].



**Figure 17.** The correlation between Pb and Sb in lake sediments of Karelia (author data).

Similar patterns are observed in Lake Onega, the largest reservoir in the Republic of Karelia and the second largest in Europe [95]. In technogenesis, the rate of sedimentation in Lake Onega is not high, 1 mm/year, which is estimated from the activity of  $^{137}\text{Cs}$  and  $^{210}\text{Pb}$  isotopes [100]. This value is close to the average sedimentation rates in lakes in remote (background) areas. It has been established that the content of Pb, Cd and Sb increases



in the upper (up to 10 cm) sediment layers of Lake Onega. The authors attribute this dynamic to the technogenic impact on the lake, primarily due to the long-range transport of pollutants. In particular, an increase in the concentration of Pb up to ~40 mg/kg (with a background level is ~10) and Cd up to ~1 mg/kg (background is ~0.2) was found.

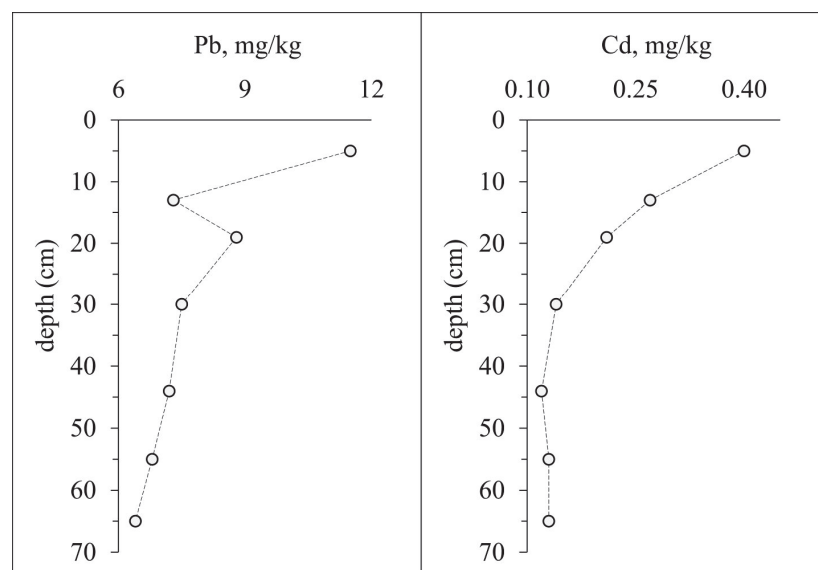
Siberia is a region of Russia where limnological geochemical studies of freshwater sediments are well-developed. The focus of Siberian paleolimnology has been on the analysis of natural variations of chemical elements in sediment cores. At the same time, there are studies on the determination of the anthropogenic impact on lake ecosystems and the environment.

The study of the sediment core of Lake Manzherok in the Altai Republic (Siberia) showed the difference in the accumulation of heavy metals such as Pb (up to ~13 mg/kg, minimum for the core is ~4.5), Cd (up to ~1.7 mg/kg, minimum for the core is ~0.15), and As (up to ~35 mg/kg, minimum for the core is ~4) in the uppermost layers of sediments (0–20 cm) compared to lithophile elements [15]. Paleolimnologists suggest that this behavior of the mentioned heavy metals can be explained by the anthropogenic impact on the studied lake and its catchment area. In another Siberian lake (the Kolyvanovskoe) located in the southwest of Altai Krai, similar behavior of Pb, Cd, and Hg was observed in recent sediments [101]. The concentrations of mentioned heavy metals increased from the lower to the upper layers in the 50-cm core dated using  $^{137}\text{Cs}$  and  $^{210}\text{Pb}$  isotopes. The age of studied sediments of Lake Kolyvanovskoe showed that the increase in the concentrations of Pb (up to ~25 mg/kg, background is ~9), Cd (up to ~0.3 mg/kg, background is ~0.05), and Hg (up to ~0.3 mg/kg, background is ~0.02) started in the period from the end of the 19 century to the present. Other trace elements such as Cu, Co, Zn, and Ni do not have similar accumulation dynamics in the studied sediments of Lake Kolyvanovskoe.

The extensive studies of Siberian lakes demonstrate common patterns of increased concentrations of Pb, Cd, Hg, and Sb in sediments dated back to the last three centuries using  $^{137}\text{Cs}$  and  $^{210}\text{Pb}$  isotopes [17,102,103]. The majority of scientists admit the significant anthropogenic impact on the formation of geochemical anomalies of mentioned elements [101,104]. The high concentrations of some heavy metals were found in recent sediments of small lakes: 3345 mg/kg of Pb in sediments of Lake Bolshye Rakity, adjacent to the city of Rubtsovsk, 112 mg/kg of Sb, and 4.2 mg/kg of Cd in sediments of Lake Yakov (Tomsk Region) [17]. However, such concentrations of heavy metals are not common for small lakes of Siberia, even in cases of the anthropogenic impact on studied lakes. For instance, in the uppermost layers of sediments of Lake Kotokel (Pribaykalsky District), the concentrations of Pb reached 11.5 mg/kg (minimum for the core is 6.4) and Cd—0.4 mg/kg (minimum for the core is 0.13) (Figure 18) [104]. In the uppermost layers of sediments of Lake Shchuchie (Tomsk region), Cd concentrations reached 0.83 mg/kg (minimum for the core is 0.08). Despite the historical dynamics of the anthropogenic input of heavy metals into the water bodies, median background levels of Pb (20 mg/kg), and Cd (0.14 mg/kg) for Siberia, in the lake, sediments are not often exceeded [17].

### Conclusions of Section 3.3

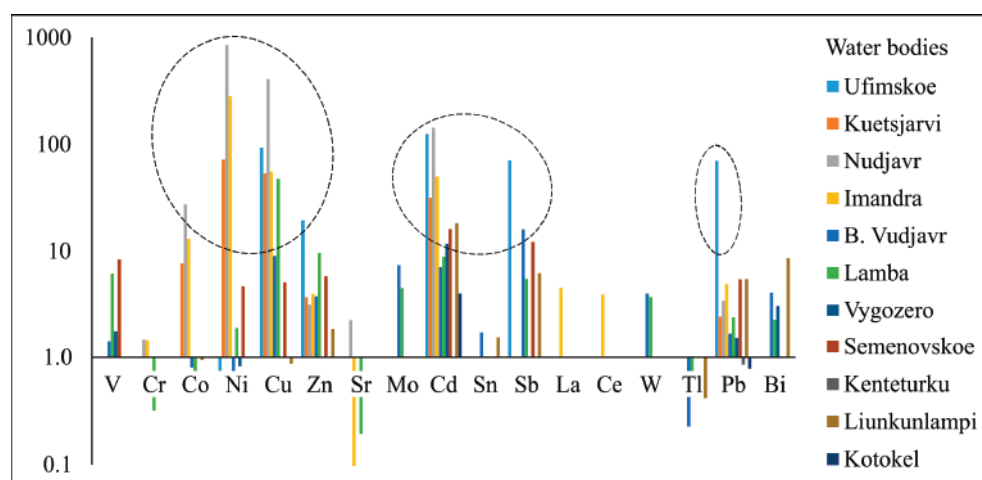
The geography of paleolimnological studies of the anthropogenic impact on the pristine areas of Russia is more extensive than in the previous sections of the article. Studies of lake sediments were carried out here in the Arctic, in the taiga zone of Karelia, and in different regions of Siberia. Practically everywhere, the influence of the long-range transport of heavy metals (Pb, Sb, Cd, Bi, Tl) associated with the combustion of fossil fuels at the enterprises of North America, Europe, and Asia is manifested. According to isotopic dating, low sedimentation rates are noted in the lakes of the background areas compared to industrial and urban areas.



**Figure 18.** The vertical distribution of Pb and Cd in sediments of Lake Kotokel (Siberia) [104].

### 3.4. Comparison of Element Concentrations

In order to compare the values of the content of chemical elements in the sediments of lakes from different regions of Russia, it was necessary to carry out a normalization procedure. For this, the average content of chemical elements in the upper part of the Earth's crust [105] was used, by which the concentrations of elements in the sediments of the lakes were divided (the uppermost layers of the lake cores were taken). After that, the obtained data (enrichment factors) were logarithmic so that they could be placed on one chart (Figure 19).



**Figure 19.** Enrichment factors of chemical elements from lake sediments described in this review (Figure 1).

It can be seen that the sediments of industrial lakes (Ufimskoe, Kuetsjavri, Nudjavr) are the most polluted. The highest enrichment factors are noted for Ni, Cu, Cd, Pb, and Sb. On the other hand, even in the lakes of the background areas, there are excesses of heavy metals, which are associated with the long-range atmospheric transport of pollutants (Cd, Pb, Bi, Sb). Vanadium is a specific pollutant in the urban lakes of the North-West of Russia, which, as noted, is associated with emissions from mazut thermal power plants and boiler houses.

## 4. General Conclusions and Perspectives

### 4.1. Conclusions

The analysis of the large number of paleolimnological studies of the recent anthropogenic impact on lakes of Russia showed that, despite the large distances between regions, likely different geology, and other factors influencing the sedimentation, there were a lot of similarities in the accumulation dynamics of pollutants in lake sediments. For instance, the specifics of metallurgical and mining plants are well fixed both in Chelyabinsk Region (the Southern Ural) and Murmansk Region (Northwest Russia). The increases in Cu, Zn, and Pb concentrations in the upper layers of sediments were observed in lakes near the metallurgical plant of the city of Karabash [16]. Moreover, this enterprise influences even lakes located at a distance of 100 km from emissions. A similar situation can be observed in Murmansk Region [21,27], where Cu and Ni are the key pollutants. Sediments most polluted with these metals were found in Lake Kuetsjarvi (the area of the Norway–Russia border) and Lake Nudjavr (the central part of the region). In Murmansk Region, paleolimnological studies of the impact of the mining enterprises determined the increased concentrations of P, Ca, Sr, and rare earth elements in recent sediments, as all these elements are included in produced ore entering water bodies with mine waters and dust [39].

The similarity in the impact of Mazut boiler rooms and thermal power plants on sediments of lakes of Petrozavodsk, Segezha, Murmansk, and Monchegorsk was found in urban areas of Karelia and Murmansk Region. It was shown that all water bodies were enriched with V and Ni, included in ash from mazut burning [54]. Moreover, there were increased concentrations of Pb in sediments of these cities due to the active use of leaded fuel in cars all over the world [61]. In Russia, the fuel containing Pb was banned in 2002. Besides, the impact of engineering and instrument-making companies was fixed in sediments of Petrozavodsk lakes, and the impact of the coal port, the incineration plant, and metallurgical industry was observed in lakes of Murmansk Region [72,74].

The special analysis of areas not subject to the direct anthropogenic impact showed that lake sediments in the Arctic zone of Russia, Karelia, Arkhangelsk Region, and Siberia were still influenced by the long-range transport of pollutants. Mostly, it is related to the burning of fossil fuel (coal), therefore Pb, Cd, Sb, Hg, Bi, and Tl, included in coal as additives, are the main geochemical agents of this process [3,87]. The clearest patterns of the increase in concentrations of these heavy metals are found in Northwest Russia, possibly due to its proximity to Europe [97]. The studies of a great number of lakes of the Republic of Karelia showed that Pb is closely associated with Sb in sediments of this region, which indicates the similar pattern of the input and accumulation of metals in sediments of lakes in pristine areas [67].

Studies also demonstrated that sedimentation rates estimated using  $^{210}\text{Pb}$  and  $^{137}\text{Cs}$  isotopes varied to a large extent in modern times. The lowest sedimentation rates (less than 1 mm/year) were fixed in small lakes of background areas or in large water bodies of the Russian North [92]. The highest sedimentation rates (from 3 to 5 mm/year) were found in lakes of urban or industrial areas [22]. In regions subject to the increased level of the anthropogenic load, the more intensive weathering, together with the atmospheric inputs of pollutants to water, possibly lead to the larger amount of matter accumulated in lakes.

### 4.2. Perspectives

The review of all known paleolimnological research aimed at the influence of the modern anthropogenic load on the environment of Russia showed that all these studies were concentrated in three regions—the Northwest, the Urals, and Siberia. In the author's opinion, it is related not only to the fact that there are a lot of lakes and several large anthropogenic objects in these regions, but also to the lack of the necessary equipment for the detailed sediment core sampling and the analysis of a wide range of chemical elements including heavy metals, and the lack of human resources for conducting paleolimnological studies in other regions. For instance, despite the fact that a lot of studies of geochemistry of lake sediments are conducted in order to analyze the anthropogenic impact on water

ecosystems in the Republic of Tatarstan [86], there are almost no works with the detailed analysis of sediment cores of urban and remote lakes. Unfortunately, there are no such works in other regions of Russia, where they can be highly in demand. These studies can be relevant for Moscow, Saint Petersburg, large cities of Siberia, and the Russian Far East. Recently, the author conducted detailed studies of the sediment cores of lakes of Arkhangelsk, which will be published soon. However, this is obviously not enough, considering that there are a lot of significant regions of Russia where paleolimnological studies of the anthropogenic load on the environment have not yet been conducted. Hopefully, such works will be done in future involving international cooperation, since the equipment for sampling recent sediments with an option of the detailed dividing cores into layers is mainly produced abroad (for instance, in Finland and Norway), and lake research is almost always included in European scientific projects on the environmental quality assessment.

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